

Cochrane Database of Systematic Reviews

Prebiotics to prevent necrotising enterocolitis in very preterm or very low birth weight infants (Review)



Sharif S, Oddie SJ, Heath PT, McGuire W. Prebiotics to prevent necrotising enterocolitis in very preterm or very low birth weight infants. *Cochrane Database of Systematic Reviews* 2023, Issue 6. Art. No.: CD015133. DOI: 10.1002/14651858.CD015133.pub2.

www.cochranelibrary.com

i



TABLE OF CONTENTS

ABSTRACT	•••••
PLAIN LANGUAGE SUMMARY	
SUMMARY OF FINDINGS	
BACKGROUND	
OBJECTIVES	
METHODS	
RESULTS	
Figure 1	
Figure 2	
Figure 3	
Figure 4	
Figure 5	
DISCUSSION	
AUTHORS' CONCLUSIONS	
ACKNOWLEDGEMENTS	
REFERENCES	
CHARACTERISTICS OF STUDIES	
DATA AND ANALYSES	
Analysis 1.1. Comparison 1: Prebiotics versus control, Outcome 1: Necrotising enterocolitis	
Analysis 1.2. Comparison 1: Prebiotics versus control, Outcome 2: All-cause mortality	
Analysis 1.3. Comparison 1: Prebiotics versus control, Outcome 3: Late-onset invasive infection	
Analysis 1.4. Comparison 1: Prebiotics versus control, Outcome 4: Bayley Scales of Infant Development Mental Develop Index < 85	
Analysis 1.5. Comparison 1: Prebiotics versus control, Outcome 5: Bayley Scales of Infant Development Psychor Development Index < 85	
Analysis 1.6. Comparison 1: Prebiotics versus control, Outcome 6: Cerebral palsy	
Analysis 2.1. Comparison 2: Prebiotics versus control (trials at low risk of bias), Outcome 1: Necrotising enterocolitis	
Analysis 2.2. Comparison 2: Prebiotics versus control (trials at low risk of bias), Outcome 2: All cause mortality	
Analysis 2.3. Comparison 2: Prebiotics versus control (trials at low risk of bias), Outcome 3: Late-onset invasive infection	
Analysis 2.4. Comparison 2: Prebiotics versus control (trials at low risk of bias), Outcome 4: Bayley Scales of Infant Develop Mental Development Index < 85	
Analysis 2.5. Comparison 2: Prebiotics versus control (trials at low risk of bias), Outcome 5: Bayley Scales of Infant Develop Psychomotor Development Index < 85	
Analysis 2.6. Comparison 2: Prebiotics versus control (trials at low risk of bias), Outcome 6: Cerebral palsy	
APPENDICES	
HISTORY	
CONTRIBUTIONS OF AUTHORS	
DECLARATIONS OF INTEREST	
SOURCES OF SUPPORT	
DIFFERENCES RETWEEN PROTOCOL AND REVIEW	



[Intervention Review]

Prebiotics to prevent necrotising enterocolitis in very preterm or very low birth weight infants

Sahar Sharif¹, Sam J Oddie², Paul T Heath³, William McGuire¹

¹Centre for Reviews and Dissemination, University of York, York, UK. ²Bradford Neonatology, Bradford Teaching Hospitals NHS Foundation Trust, Bradford, UK. ³Division of Child Health and Vaccine Institute, St. George's, University of London, London, UK

Contact: William McGuire, william.mcguire@york.ac.uk.

Editorial group: Cochrane Neonatal Group.

Publication status and date: New, published in Issue 6, 2023.

Citation: Sharif S, Oddie SJ, Heath PT, McGuire W. Prebiotics to prevent necrotising enterocolitis in very preterm or very low birth weight infants. *Cochrane Database of Systematic Reviews* 2023, Issue 6. Art. No.: CD015133. DOI: 10.1002/14651858.CD015133.pub2.

Copyright © 2023 The Authors. Cochrane Database of Systematic Reviews published by John Wiley & Sons, Ltd. on behalf of The Cochrane Collaboration. This is an open access article under the terms of the Creative Commons Attribution Licence, which permits use, distribution and reproduction in any medium, provided the original work is properly cited.

ABSTRACT

Background

Dietary supplementation with prebiotic oligosaccharides to modulate the intestinal microbiome has been proposed as a strategy to reduce the risk of necrotising enterocolitis (NEC) and associated mortality and morbidity in very preterm or very low birth weight (VLBW) infants.

Objectives

To assess the benefits and harms of enteral supplementation with prebiotics (versus placebo or no treatment) for preventing NEC and associated morbidity and mortality in very preterm or VLBW infants.

Search methods

We searched the Cochrane Central Register of Controlled Trials (CENTRAL), MEDLINE, Embase, the Maternity and Infant Care database and the Cumulative Index to Nursing and Allied Health Literature (CINAHL), from the earliest records to July 2022. We searched clinical trials databases and conference proceedings, and examined the reference lists of retrieved articles.

Selection criteria

We included randomised controlled trials (RCTs) and quasi-RCTs comparing prebiotics with placebo or no prebiotics in very preterm (<32 weeks' gestation) or VLBW (<1500 g) infants. The primary outcomes were NEC and all-cause mortality, and the secondary outcomes were late-onset invasive infection, duration of hospitalisation since birth, and neurodevelopmental impairment.

Data collection and analysis

Two review authors separately evaluated risk of bias of the trials, extracted data, and synthesised effect estimates using risk ratio (RR), risk difference (RD), and mean difference (MD), with associated 95% confidence intervals (CIs). The primary outcomes of interest were NEC and all-cause mortality; our secondary outcome measures were late-onset (> 48 hours after birth) invasive infection, duration of hospitalisation, and neurodevelopmental impairment. We used the GRADE approach to assess the level of certainty of the evidence.

Main results

We included seven trials in which a total of 705 infants participated. All the trials were small (mean sample size 100). Lack of clarity on methods to conceal allocation and mask caregivers or investigators were potential sources of bias in three of the trials. The studied prebiotics were fructo- and galacto-oligosaccharides, inulin, and lactulose, typically administered daily with enteral feeds during birth hospitalisation.



Meta-analyses of data from seven trials (686 infants) suggest that prebiotics may result in little or no difference in NEC (RR 0.97, 95% CI 0.60 to 1.56; RD none fewer per 1000, 95% CI 50 fewer to 40 more; low-certainty evidence), all-cause mortality (RR 0.43, 95% CI 0.20 to 0.92; 40 per 1000 fewer, 95% CI 70 fewer to none fewer; low-certainty evidence), or late-onset invasive infection (RR 0.79, 95% CI 0.60 to 1.06; 50 per 1000 fewer, 95% CI 100 fewer to 10 more; low-certainty evidence) prior to hospital discharge. The certainty of this evidence is low because of concerns about the risk of bias in some trials and the imprecision of the effect size estimates. The data available from one trial provided only very low-certainty evidence about the effect of prebiotics on measures of neurodevelopmental impairment (Bayley Scales of Infant Development (BSID) Mental Development Index score < 85: RR 0.84, 95% CI 0.25 to 2.90; very low-certainty evidence; BSID Psychomotor Development Index score < 85: RR 0.24, 95% 0.03 to 2.00; very low-certainty evidence; cerebral palsy: RR 0.35, 95% CI 0.01 to 8.35; very low-certainty evidence).

Authors' conclusions

The available trial data provide low-certainty evidence about the effects of prebiotics on the risk of NEC, all-cause mortality before discharge, and invasive infection, and very low-certainty evidence about the effect on neurodevelopmental impairment for very preterm or VLBW infants. Our confidence in the effect estimates is limited; the true effects may be substantially different. Large, high-quality trials are needed to provide evidence of sufficient validity to inform policy and practice decisions.

PLAIN LANGUAGE SUMMARY

Prebiotics for preventing necrotising enterocolitis in preterm infants

Review question

Does giving very preterm or very low birth weight infants prebiotics prevent necrotising enterocolitis?

Background

Very preterm (born more than eight weeks early) and very low birth weight (less than 1.5 kg) infants are at risk of developing necrotising enterocolitis, a severe condition where some lining of the infant's bowel becomes inflamed and dies. This condition is associated with death, serious infection, and long-term disability and developmental problems. One way to help prevent necrotising enterocolitis may be to add prebiotics (non-digestible sugar chains to support intestinal colonisation with healthy 'probiotic' bacteria) to milk feeds.

What did we do?

We searched for trials that looked examined the effect of prebiotics on the risk of necrotising enterocolitis in very preterm or very low birth weight infants. We compared and summarised the results of the trials and rated our confidence in the evidence, based on factors such as study methods and sizes.

What did we find?

We found seven trials involving 705 infant participants in total. Trials were mostly small, and most had design flaws that might bias their findings.

Key results

Combined analyses showed that giving very preterm or very low birth weight infants prebiotics may result in little or no difference in the risk of necrotising enterocolitis, death, or serious infection, but we have little confidence in the evidence. One trial assessed the effect on disability or developmental outcomes, but we have very little confidence in this evidence.

What are the limitations of the evidence?

We have little confidence in the evidence for effects on necrotising enterocolitis, death, and serious infection (and very little confidence for the effect on disability or developmental outcomes) because of concerns that the methods used in the included trials may have introduced biases that exaggerated the benefits of prebiotics supplementation, and because some effect estimates are imprecise.

How up to date is this evidence?

The evidence is up to date to July 2022.

Coch

Summary of findings 1. Prebiotics compared to control in very preterm or very low birth weight infants

Patient or population: very preterm or very low birth weight infants

Setting: neonatal care centres globally

Intervention: prebiotics (fructo- and galacto-oligosaccharides, inulin, lactulose)

Comparison: control

Outcomes	Anticipated absolut	te effects* (95% CI)	Risk ratio (95% CI)	№ of participants (trials)	Certainty of the evidence
	Risk with control	Risk with prebiotics	((,	(GRADE)
Necrotising enterocolitis (before hospital discharge)	86 per 1000	83 per 1000 (52 to 134)	0.97 (0.60 to 1.56)	686 (7)	⊕⊕⊙⊝ Low ^{a,b}
Mortality (all-cause before hospital discharge)	25 per 1000	10 per 1000 (5 to 23)	0.43 (0.20 to 0.92)	686 (7)	⊕⊕⊝⊝ Low ^{a,b}
Late-onset invasive infection (before hospital discharge)	237 per 1000	175 per 1000 (128 to 237)	0.79 (0.60 to 1.06)	686 (7)	⊕⊕⊝⊝ Low ^{a,b}
Bayley Scales of Infant Development Mental Development Index < 85 (assessed beyond infancy)	128 per 1000	108 per 1000 (32 to 372)	0.84 (0.25 to 2.90)	76 (1)	⊕⊝⊝⊝ Very low ^{c,d}
Bayley Scales of Infant Development Psychomotor Development Index < 85 (assessed beyond infancy)	121 per 1000	29 per 1000 (4 to 242)	0.24 (0.03 to 2.00)	68 (1)	⊕⊝⊝⊝ Very low ^{c,d}
Cerebral palsy (assessed beyond infancy)	26 per 1000	9 per 1000 (0 to 217)	0.35 (0.01 to 8.35)	76 (1)	⊕⊝⊝⊝ Very low ^{c,d}

^{*}The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI).

CI: confidence interval

GRADE Working Group grades of evidence

High-certainty: we are very confident that the true effect lies close to that of the estimate of the effect.

Moderate-certainty: we are moderately confident in the effect estimate: the true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different.

Low-certainty: our confidence in the effect estimate is limited: the true effect may be substantially different from the estimate of the effect.

Very low-certainty: we have very little confidence in the effect estimate: the true effect is likely to be substantially different from the estimate of effect.

^bDowngraded one level for imprecision of effect estimate (95% CI around estimate consistent with either benefit or harm/no effect).

^cDowngraded one level for methodological limitations in one trial (risk of attrition bias).

^dDowngraded two levels for serious imprecision of effect estimate (low number of participants and events).



BACKGROUND

This review assesses the trial evidence for the effectiveness of enteral supplementation with prebiotic oligosaccharides for preventing necrotising enterocolitis (NEC) in very preterm or very low birth weight (VLBW) infants. Other Cochrane Reviews intend to assess the evidence for prebiotics in combination with probiotics ('synbiotics') or probiotics alone (Sharif 2020; Sharif 2021).

Description of the condition

Necrotising enterocolitis (NEC) is a syndrome of acute intestinal necrosis, which affects about one in 20 very preterm (born before 32 weeks' gestation) or VLBW (birth weight less than 1500 g) infants (Horbar 2012). The risk factors for NEC include being extremely preterm (born before 28 weeks' gestation) or extremely low birth weight (ELBW; birth weight less than 1000 g), and intrauterine growth restriction or compromise indicated by absent or reversed end-diastolic flow velocities (AREDFV) in antenatal Doppler studies of the umbilical artery (Samuels 2017). Infants who develop NEC experience more episodes of severe infection, have lower levels of nutrient intake, grow more slowly, and have longer durations of hospital stay than gestation-comparable infants who do not (Battersby 2018; Berrington 2012). The associated mortality rate is about 20%, and, in infants who survive NEC — especially if it is associated with bloodstream infections — there is a high risk of neurodevelopmental problems and disabilities (Hickey 2018).

The pathogenesis of NEC is incompletely understood, but intestinal dysbiosis, infection and inflammation are thought to contribute (Eaton 2017; Mara 2018; Stewart 2016). Evidence exists that the pattern, diversity and stability of the intestinal microbiome (microbial life and genes) is associated with the risk of developing NEC (Masi 2019; Olm 2019; Stewart 2012; Warner 2016). Feeding with human milk compared with cow-milk formula reduces the risk of NEC in very preterm or VLBW infants (Cleminson 2015; Quigley 2019). One putative mechanism for this protective effect is that 'prebiotic' oligosaccharides, which are abundant in human milk (but not in standard formula), promote the growth of non-pathogenic probiotic microorganisms, such as lactobacilli and bifidobacteria. These modulate the intestinal microbiome and enhance mucosal barrier functions (Embleton 2017; Granger 2020; Walsh 2019). Compared with human milkfed term infants, however, very preterm or VLBW infants tend to harbour fewer intestinal probiotic microorganisms, and more potential pathogens, which might be due to the dysbiotic effects of antibiotic exposure and enteral fasting during the early neonatal period (Stewart 2017).

Description of the intervention

Prebiotics are a diverse family of complex glycans (chains of polymerised carbohydrates) that promote intestinal colonisation by probiotic microorganisms (Davani-Davari 2019; Gibson 2017). Human milk contains numerous prebiotic substances, predominantly galacto-oligosaccharides and fructo-oligosaccharides (based on the sugars galactose and fructose, respectively), that influence the intestinal microbiome in preterm infants (Boehm 2008; Nolan 2020). More than 150 different prebiotic oligosaccharides have been detected in human milk, with about 20 of these accounting for almost all human milk oligosaccharide content in most women. The pattern of human milk oligosaccharides produced varies markedly between

individual women, and can vary temporally (depending on the stage of lactation) within an individual woman (Austin 2019; Durham 2021; Smilowitz 2013).

Newborn infants do not digest human milk oligosaccharides. Rather, these are primarily nutrient sources for intestinal probiotic microorganisms, particularly bifidobacteria (Alcon-Giner 2020; Jost 2015). Emerging evidence suggests that specific humanmilk oligosaccharides can promote probiotic predominance and reduce intestinal dysbiosis in very preterm infants (Masi 2021; Underwood 2015). Manufactured or plant-based (for example, inulin) prebiotic oligosaccharides are less heterogeneous than natural human-milk oligosaccharides, typically consisting of chains of galactose or fructose, usually with a terminal glucose monomer (Johnson-Henry 2016). These include lactulose, a nonabsorbable disaccharide synthesised from galactose and fructose (MacGillivray 1959). Evidence exists that giving supplemental, synthetic, prebiotic oligosaccharides to formula-fed very preterm infants stimulates the growth of an intestinal microflora that is similar to that found in infants fed with maternal milk (Autran 2018; Boehm 2008; Kapiki 2007; Veereman-Wauters 2011). Prebiotic oligosaccharides are added as ingredients to some cow-milk formulas for feeding newborn infants for whom sufficient human milk is not available (Salminen 2020). Studies using animal models, however, have not provided consistent evidence of efficacy in preventing NEC-like syndromes (Nolan 2020).

How the intervention might work

The principal mechanism of action of supplemental prebiotics is likely to be the enhancement of probiotic microorganism growth and intestinal colonisation (Nolan 2020; Underwood 2019). Probiotic bacteria and fungi use prebiotic oligosaccharides as a major source of nutrients (Alcon-Giner 2020). Promoting a probiotic-rich intestinal microbiome is thought to benefit infants via several mechanisms. Probiotics may out-compete pathogens for nutrients. Bifidobacteria and lactobacilli ferment prebiotic oligosaccharides to produce short-chain fatty acids, including lactic acid, butyric acid, and propionic acid, that inhibit adhesion of pathogenic bacteria and modulate intestinal epithelial development, integrity, and barrier function (Johnson-Henry 2016; Zmora 2018). Short-chain fatty acids also lower the pH level of the stool and may enhance intestinal motility, thereby improving feed tolerance (Armanian 2019). Other putative actions include stimulating differentiation and proliferation of enterocytes (cells of the intestinal lining), enhancing expression of intestinal digestive enzymes, and improving intestinal mucosal barrier integrity (Johnson-Henry 2016; Sanders 2019).

While there is some trial-based evidence that enteral administration of exogenous probiotics reduces the risk of NEC and associated mortality and morbidity in very preterm or VLBW infants, concerns exist that effect size estimates are inflated by publication bias (Sharif 2020). Another major barrier to use of probiotic supplementation is uncertainty about the optimal constitution of products, as well as availability, and regulatory and licensing issues (Berrington 2019; Duffield 2019; Fleming 2019; Pell 2019; Vermeulen 2020). Furthermore, although existing data are reassuring with regard to safety, probiotic bacteraemia or fungaemia (the potentially problematic presence of live bacteria/fungi in the bloodstream) and other adverse effects have been reported in preterm infants (Bertelli 2015; Esaiassen 2016; Zbinden 2015).



Why it is important to do this review

Necrotising enterocolitis and its associated complications — particularly invasive infection — are the commonest causes of mortality and serious morbidity beyond the early neonatal period in very preterm or VLBW infants (Berrington 2012). It is plausible that prebiotic supplementation might promote endogenous probiotic growth and colonisation, and reduce the risk of NEC and its associated morbidity and mortality (with fewer risks than exogenous probiotic supplementation). Appraising and synthesising the trial evidence about the effectiveness and safety of prebiotic supplementation could inform practice, policy and research.

OBJECTIVES

To assess the benefits and harms of enteral supplementation with prebiotics (versus placebo or no treatment) for preventing NEC and associated morbidity and mortality in very preterm or VLBW infants.

METHODS

Criteria for considering studies for this review

Types of studies

We included randomised or quasi-randomised (predictable allocation) controlled trials, including cluster-randomised controlled trials. Cross-over studies were not eligible for inclusion.

Types of participants

Eligible participants were very preterm (< 32 weeks' gestation) or VLBW (< 1500 g) infants.

Types of interventions

The interventions of interest were prophylactic enteral prebiotics: any combination or dose of prebiotic oligosaccharides (galactooligosaccharides (GOS); fructo-oligosaccharides (FOS); inulin; or lactulose), commenced within 14 days of birth and continued (at least) daily for (at least) one week was eligible, versus placebo or no prebiotic.

We did not include trials of synbiotics (combinations of probiotics and prebiotics), or trials of other substances that may have some prebiotic properties, for example lactoferrin. The effectiveness of these interventions is addressed in other Cochrane Reviews (Pammi 2020; Sharif 2021).

Types of outcome measures

We focused on assessing effects on infant- and family-important outcomes, principally neonatal morbidities that plausibly affect rates of mortality or neurodisability. We did not include surrogate outcomes such as stool colonisation patterns.

Primary outcomes

- NEC before discharge from hospital, confirmed at surgery or autopsy or using standardised clinical and radiological criteria (VON 2020):
 - at least one of: bilious gastric aspirate or emesis; or abdominal distention; or blood in stool; and

- at least one of: abdominal radiograph showing pneumatosis intestinalis; or gas in the portal venous system; or free air in the abdomen
- · All-cause mortality before discharge from hospital

Secondary outcomes

- Late-onset invasive infection, as determined by the culture of bacteria or fungus from blood or cerebrospinal fluid or from a normally sterile body space (> 48 hours after birth until discharge from hospital)
- Duration of hospitalisation since birth
- Neurodevelopmental impairment assessed by a validated test after 12 months' post-term: neurological evaluations, developmental scores, and classifications of disability, including cerebral palsy and auditory and visual impairment

Search methods for identification of studies

We used the criteria and standard methods of Cochrane Neonatal, as set out in our protocol (Sharif 2021).

Electronic searches

We searched the following electronic databases using a combination of text words and MeSH terms described in Appendix 1:

- Cochrane Central Register of Controlled Trials (CENTRAL; 2022, Issue 7), in the Cochrane Library;
- MEDLINE via Ovid (1946 to July 2022);
- Embase via Ovid (1974 to July 2022);
- Maternity & Infant Care Database via Ovid (1971 to June 2022);
- the Cumulative Index to Nursing and Allied Health Literature (CINAHL) (1982 to July 2022)

We limited the search outputs with filters for clinical trials as recommended in the *Cochrane Handbook for Systematic Reviews* of *Interventions* (Higgins 2020). We did not apply any language restrictions.

We searched clinical trials registries for ongoing or recently completed trials (clinicaltrials.gov; the World Health Organization's International Trial Registry Platform (www.who.int/clinical-trials-registry-platform), and the ISRCTN Registry (www.isrctn.com)).

Searching other resources

We examined the reference lists of any articles selected for inclusion in this review.

Data collection and analysis

We used the standard methods of Cochrane Neonatal as set out in our protocol (Sharif 2021).

Selection of studies

Two review authors (SS and WM) independently screened the titles and abstracts of all studies and assessed the full articles for all potentially relevant trials. We excluded those reports that did not meet all the inclusion criteria, and we stated the reasons for exclusion. We discussed disagreements until consensus was achieved, with referral to a third author (SO or PTH) for final decision as necessary.



Data extraction and management

Two authors (SS, SO or WM) extracted data independently, using a form to aid extraction of information on design, methodology, participants, interventions, outcomes and treatment effects from each included study. We discussed disagreements until we reached a consensus. If data from the study reports were insufficient, we contacted the report authors for further information.

Assessment of risk of bias in included studies

Two review authors (SS, SO or WM) independently assessed the risk of bias (low, high or unclear) of all included trials using the Cochrane risk of bias tool (RoB 1) (Higgins 2011) for the following domains:

- sequence generation (selection bias);
- allocation concealment (selection bias);
- blinding of participants and personnel (performance bias);
- blinding of outcome assessment (detection bias);
- incomplete outcome data (attrition bias);
- selective reporting (reporting bias);
- any other bias (principally baseline imbalance).

Had any disagreements occurred, we planned to resolve these through discussion or by involving the third assessor. See Appendix 2 for a description of risk of bias for each domain.

For cluster-randomised trials, where groups of individuals rather than individuals were randomised to the different interventions, we additionally planned to assess bias arising from prior knowledge of cluster-allocation (identification/recruitment bias, suggested by baseline imbalances in characteristics of participants rather than of clusters) and bias arising from the timing of identification and recruitment of participants (Higgins 2020).

Measures of treatment effect

We analysed the treatment effects in the individual trials and reported the risk ratio (RR) and risk difference (RD) for dichotomous data and the mean difference (MD) for continuous data, with respective 95% confidence intervals (CI). We planned to determine the number needed to treat for an additional beneficial outcome (NNTB) or an additional harmful outcome (NNTH) for analyses with a statistically significant difference in the RD.

Unit of analysis issues

The unit of analysis was the participating infant in individually randomized trials and the neonatal unit (or subunit) for cluster-randomised trials. For cluster-randomised trials, we planned to undertake analyses at the level of the individual while accounting for the clustering in the data using the methods recommended in the *Cochrane Handbook for Systematic Reviews of Interventions* (Higgins 2020).

Dealing with missing data

We planned to request additional data from trial investigators when data on important outcomes were missing or reported unclearly. If unavailable, we planned to undertake sensitivity analyses to assess the potential impact on outcomes by excluding those trials with > 20% missing data.

Assessment of heterogeneity

We examined the treatment effects of individual trials and heterogeneity between trial results by inspecting the forest plots. We calculated the I^2 statistic for each analysis to quantify inconsistency across studies and describe the percentage of variability in effect estimates that may be due to heterogeneity rather than to sampling error. If we detected high levels of heterogeneity ($I^2 > 75\%$), we planned to explore the possible sources in subgroup analyses.

Assessment of reporting biases

If at least 10 trials were included in a meta-analysis, we planned to examine a funnel plot for asymmetry visually and with Harbord's modification of Egger's test (Harbord 2006).

Data synthesis

We used a fixed-effect inverse variance meta-analysis for combining data where trials examined the same intervention and the populations and methods of the trials were judged to be similar.

Subgroup analysis and investigation of heterogeneity

When high heterogeneity was detected ($1^2 > 75\%$), we planned to examine the potential causes in subgroup analyses for the primary outcomes, specifically:

- type of prebiotic: GOS/FOS; inulin; or lactulose;
- type of enteral feeding permitted for participating infants: human milk, formula, or both;
- trials in which most (> 50%) participants were extremely low birth weight (ELBW; < 1000 g) or extremely preterm (< 28 weeks' gestation at birth) versus trials in which most infants were ≥ 28 weeks' gestation at birth or birth weight ≥ 1000 g;
- trials which restricted participation to infants with intrauterine growth restriction or absent or reversed end-diastolic flow velocities in the foetal aorta or umbilical artery versus trials which did not do so.

Sensitivity analysis

We planned to undertake sensitivity analyses to determine how estimates are affected by including only studies at low risk of bias: (i) selection bias (adequate randomisation and allocation concealment), (ii) detection or performance bias (adequate masking of intervention and measurement), (iii) attrition bias (< 20% loss to follow-up for primary outcome assessment), and (iv) reporting bias (selective reporting).

Summary of findings and assessment of the certainty of the evidence

Two authors (PTH, SO or WM) used the GRADE approach to assess the certainty of the evidence for effects on NEC, all-cause mortality before hospital discharge, late-onset invasive infection, and measures of neurodevelopmental impairment after 12 months' post-term neurological evaluations, developmental scores, and classifications of disability, including cerebral palsy and auditory and visual impairment (Schünemann 2013; Walsh 2021).

We considered evidence from randomised controlled trials as high certainty but downgraded the evidence certainty by one level for serious (or two levels for very serious) limitations based upon the



following domains: design (study limitations), inconsistency across studies, indirectness of the evidence, imprecision of estimates, and presence of publication bias. This approach results in an assessment of the certainty of a body of evidence as one of four grades:

- High certainty: further research is very unlikely to change our confidence in the estimate of effect.
- Moderate certainty: further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate.
- Low certainty: further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate.

• Very low certainty: we are very uncertain about the estimate.

We used $\mbox{\tt GRADEpro}$ $\mbox{\tt GDT}$ to create summary of findings table and to report the certainty of the evidence.

RESULTS

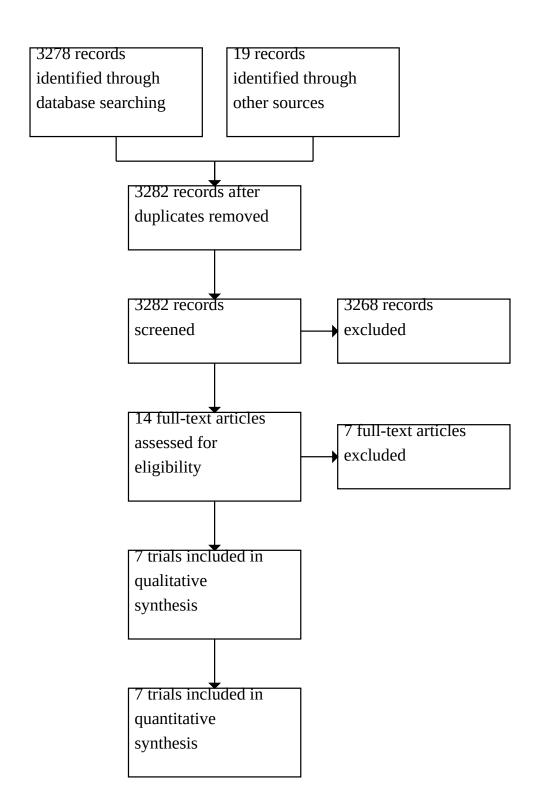
Description of studies

Results of the search

After the removal of duplicates from the search results, we screened 3282 titles and abstracts. We evaluated 14 articles sourced as full-text reports (Figure 1) and of these, we included seven studies. No ongoing studies were identified.



Figure 1. Study flow diagram





Included studies

See: Characteristics of included studies.

We included seven trials (Armanian 2014; Boehm 2002; Dilli 2015; Hascoët 2022; Modi 2010; Riskin 2010; van den Berg 2010). Most were conducted during the past 20 years, predominantly in Europe (five trials), as well as Iran (one trial) and Israel (one trial). Most trials were performed in single centres; three were multicentre trials (Dilli 2015; Hascoët 2022; Modi 2010). In all the trials, individual infants were allocated randomly to intervention or control groups. None used a cluster design.

Population

In total, 705 infants participated in the included trials (mean 100). Three trials enrolled only very preterm or VLBW infants. Four trials enrolled infants of gestational age up to 32 weeks', and because the average gestation at birth was < 32 weeks', or the average birth weight < 1500 g, we included these trials (Boehm 2002; Hascoët 2022; Modi 2010; Riskin 2010). One trial excluded infants who were born with birth weight below the 10th percentile for the reference population ("small-for-gestation") (Modi 2010). None of the trials specified exclusion of infants who had evidence of absent or reversed end-diastolic flow velocities detected on antenatal Doppler studies of the foetal aorta or umbilical artery.

In most trials, participating infants were permitted human milk or formula feeding. One trial enrolled infants who received human milk only (Armanian 2014), and one trial enrolled only formula-fed participants (Boehm 2002).

Interventions and comparisons

The prebiotic preparations tested varied. Four trials used short-chain galacto-oligosaccharides/long-chain fructo-oligosaccharides (9:1 ratio) (Armanian 2014; Boehm 2002; Modi 2010; van den Berg 2010), with one trial additionally including 20% pectin-derived acidic oligosaccharides (van den Berg 2010). One trial used inulin, a plant fructan (Dilli 2015), one used lactulose,

a synthetic fructose-galactose disaccharide (Riskin 2010), and one used a combination of two human milk oligosaccharides; 2'-fucosyllactose (2'FL) and lacto-N-neotetraose (LNnT) in a 10:1 ratio (Hascoët 2022). These were mostly commercially-available products supplied by the manufacturer for use in the trial. Six trials were placebo-controlled (maltodextrin or glucose).

Most trials started prebiotic (and placebo if used) supplements during the first week after birth when enteral feeding with human milk or formula was tolerated. In five of the trials, prebiotics or placebo were administered daily until discharge from hospital (Armanian 2014; Dilli 2015; Hascoët 2022; Modi 2010; Riskin 2010). In two trials the intervention was continued for four weeks (Boehm 2002; van den Berg 2010).

Outcomes

All the trials reported the number of infants who developed NEC, all-cause mortality, and late-onset invasive infection. In one trial, none of the participants experienced any of these outcomes (Boehm 2002). Other in-hospital outcomes reported included time to establish full enteral feeding, rate of weight gain, and duration of hospital stay. Only one of the trials reported neurodevelopmental outcomes (van den Berg 2010).

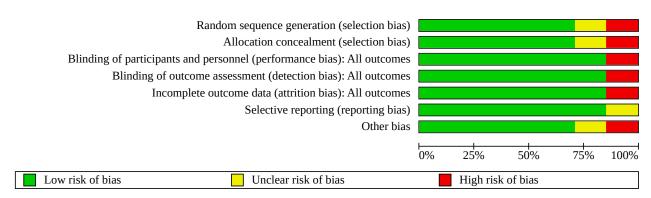
Excluded studies

See Characteristics of excluded studies. We excluded seven reports of studies. Six trials were excluded because participants were term or late-preterm (not very preterm) infants (Dasopoulou 2015; Fanaro 2005; Indrio 2009; Kapiki 2007; Luoto 2013; Neumer 2021). One trial was excluded because participating infants did not commence supplements until beyond the neonatal period, when the risk of the outcomes for this review occurring was already much reduced (Mihatsch 2006).

Risk of bias in included studies

Risk of bias assessments and judgements are described in Characteristics of included studies and are summarised in Figure 2.

Figure 2. Risk of bias graph: review authors' judgements about each risk of bias item presented as percentages across all included studies



Allocation

Most trial reports described methods to generate random sequences (typically computer-generated) and to ensure adequate allocation concealment (typically sealed opaque envelopes). One report did not describe the methods used to randomise infants

(Boehm 2002). One trial was quasi-randomised (high risk) with treatment allocation based on the infant's case file number (Armanian 2014).



Blinding

Six trials were placebo-controlled (Boehm 2002; Dilli 2015; Hascoët 2022; Modi 2010; Riskin 2010; van den Berg 2010). The other trial did not mask parents, caregivers, or clinical investigators (Armanian 2014).

Incomplete outcome data

Six trials reported complete or near-complete assessments of primary outcomes (Boehm 2002; Dilli 2015; Hascoët 2022; Modi 2010; Riskin 2010; van den Berg 2010). In one trial, primary outcome data were not available for more than one-quarter of participants in the intervention group (Armanian 2014).

Selective reporting

Although trial protocols were not available for most trials, selective reporting bias was not considered a major threat given that all relevant clinical outcomes were reported.

Other potential sources of bias

We did not find evidence of between-group baseline differences in participant characteristics or demographics in six trials (Armanian 2014; Boehm 2002; Dilli 2015; Hascoët 2022; Modi 2010; van den Berg 2010). In one trial, the mean birth weight and gestational age differed substantially between the groups (Riskin 2010). These differences were not explained in the report.

Effects of interventions

See: Summary of findings 1 Prebiotics compared to control in very preterm or very low birth weight infants

Primary outcomes

NEC

Meta-analysis of data from seven trials (686 infants) suggests that prebiotics may result in little or no difference in NEC prior to hospital discharge (Analysis 1.1; Figure 3):

Figure 3. Forest plot: effects of prebiotics versus control on necrotising enterocolitis

	Prebi	otics	Cont	trol		Risk Ratio	Risk	Ratio	Risk of Bias
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% CI	M-H, Fix	ed, 95% CI	A B C D E F G
Armanian 2014	0	25	1	50	3.4%	0.65 [0.03 , 15.50]]		• • • • • •
Boehm 2002	0	15	0	15		Not estimable	e		? ? + + + ? +
Dilli 2015	12	100	18	100	60.1%	0.67 [0.34 , 1.31]] _	<u> </u>	\bullet \bullet \bullet \bullet \bullet
Hascoët 2022	3	43	2	43	6.7%	1.50 [0.26, 8.53]]	<u> </u>	\bullet \bullet \bullet \bullet \bullet ?
Modi 2010	2	73	1	81	3.2%	2.22 [0.21, 23.97]]	<u> </u>	\bullet \bullet \bullet \bullet \bullet
Riskin 2010	1	15	2	13	7.2%	0.43 [0.04 , 4.25]]		\bullet \bullet \bullet \bullet \bullet \bullet
van den Berg 2010	10	55	6	58	19.5%	1.76 [0.68 , 4.51]] -	-	$\bullet \bullet \bullet \bullet \bullet \bullet \bullet$
Total (95% CI)		326		360	100.0%	0.97 [0.60 , 1.56]]		
Total events:	28		30					Ĭ	
Heterogeneity: Chi ² = 3	3.95, df = 5 (1	P = 0.56);	$I^2 = 0\%$				0.01 0.1	1 10	100
Test for overall effect: 2	Z = 0.14 (P =	0.89)					Favours prebiotics	Favours con	

Risk of bias legend

- $(A) \ Random \ sequence \ generation \ (selection \ bias)$
- (B) Allocation concealment (selection bias)

Test for subgroup differences: Not applicable

- (C) Blinding of participants and personnel (performance bias)
- (D) Blinding of outcome assessment (detection bias)
- $(E)\ Incomplete\ outcome\ data\ (attrition\ bias)$
- (F) Selective reporting (reporting bias)
- (G) Other bias
- RR 0.97, 95% CI 0.60 to 1.56;
- RD none fewer per 1000, 95% CI 50 fewer to 40 more.

Subgroup analysis for heterogeneity

In the absence of high levels of heterogeneity ($I^2 = 0\%$), we did not undertake subgroup analyses (Subgroup analysis and investigation of heterogeneity).

Using the GRADE approach, we assessed the certainty of the evidence to be 'low'. We downgraded evidence certainty by one level for study limitations and one level for imprecision of the effect estimate (Summary of findings 1).

All-cause mortality before hospital discharge

Meta-analysis of data from seven trials (686 infants) suggests that prebiotics may result in little or no difference in all-cause mortality prior to hospital discharge (Analysis 1.2; Figure 4):



Figure 4. Forest plot: effects of prebiotics versus control on all-cause mortality

	Prebi	otics	Cont	trol		Risk Ratio	Risk Ratio	Risk of Bias
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% CI	M-H, Fixed, 95% CI	A B C D E F G
Armanian 2014	1	25	1	50	3.2%	2.00 [0.13 , 30.66]		•••••
Boehm 2002	0	15	0	15		Not estimable		? ? + + + ? +
Dilli 2015	2	100	12	100	57.1%	0.17 [0.04, 0.73]		\bullet \bullet \bullet \bullet \bullet
Hascoët 2022	0	43	0	43		Not estimable	_	+ $+$ $+$ $+$ $+$ $?$
Modi 2010	3	73	2	81	9.0%	1.66 [0.29, 9.68]		+ $+$ $+$ $+$ $+$ $+$
Riskin 2010	0	15	1	13	7.6%	0.29 [0.01, 6.60]		\bullet \bullet \bullet \bullet \bullet
van den Berg 2010	2	55	5	58	23.1%	0.42 [0.09 , 2.08]	-	\bullet \bullet \bullet \bullet \bullet
Total (95% CI)		326		360	100.0%	0.43 [0.20 , 0.92]		
Total events:	8		21				•	
Heterogeneity: Chi ² = 5	5.15, df = 4 (I	P = 0.27);	$I^2 = 22\%$				0.01 0.1 1 10 10	00
Test for overall effect:	Z = 2.18 (P =	0.03)					Favours prebiotics Favours control	
Test for subgroup differ	rences: Not a	pplicable						

Risk of bias legend

- (A) Random sequence generation (selection bias)
- (B) Allocation concealment (selection bias)
- (C) Blinding of participants and personnel (performance bias)
- (D) Blinding of outcome assessment (detection bias)
- (E) Incomplete outcome data (attrition bias)
- (F) Selective reporting (reporting bias)
- (G) Other bias
- RR 0.43, 95% CI 0.20 to 0.92;
- RD 40 per 1000 fewer, 95% CI 70 fewer to none fewer.

Subgroup analysis for heterogeneity

In the absence of high levels of heterogeneity ($l^2 = 22\%$), we did not undertake subgroup analyses (Subgroup analysis and investigation of heterogeneity).

We assessed the certainty of evidence to be 'low'. We downgraded evidence certainty by one level for study limitations and by one

Secondary outcomes

Late-onset invasive infection

Meta-analysis of data from seven trials (686 infants) suggests that prebiotics may result in little or no difference in late-onset invasive infection prior to hospital discharge (Analysis 1.3; Figure 5):

Figure 5. Forest plot: effects of prebiotics versus control on late-onset invasive infection

	Prebi	otics	Cont	trol		Risk Difference	Risk Difference	Risk of Bias
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% CI	M-H, Fixed, 95% CI	A B C D E F G
Armanian 2014	4	25	17	50	9.8%	-0.18 [-0.37 , 0.01]		•••••
Boehm 2002	0	15	0	15	4.4%	0.00 [-0.12, 0.12]	+	? ? + + + ? +
Dilli 2015	10	100	13	100	29.5%	-0.03 [-0.12, 0.06]	<u> </u>	\bullet \bullet \bullet \bullet \bullet \bullet
Hascoët 2022	11	43	9	43	12.7%	0.05 [-0.13, 0.22]		\bullet \bullet \bullet \bullet \bullet ?
Modi 2010	9	73	10	81	22.7%	-0.00 [-0.10, 0.10]	+	\bullet \bullet \bullet \bullet \bullet \bullet
Riskin 2010	2	15	4	13	4.1%	-0.17 [-0.48, 0.13]		\bullet \bullet \bullet \bullet \bullet
van den Berg 2010	23	55	31	58	16.7%	-0.12 [-0.30 , 0.07]	-	\bullet \bullet \bullet \bullet \bullet
Total (95% CI)		326		360	100.0%	-0.05 [-0.10 , 0.01]		
Total events:	59		84				"	
Heterogeneity: Chi ² = 5	5.59, df = 6 (I	P = 0.47); 1	$I^2 = 0\%$				-1 -0.5 0 0.5	
Test for overall effect: 2	Z = 1.63 (P =	0.10)					Favours prebiotics Favours contro	İ
Test for subgroup differ	rences: Not a	pplicable						

Risk of bias legend

- (A) Random sequence generation (selection bias)
- (B) Allocation concealment (selection bias)
- (C) Blinding of participants and personnel (performance bias)
- (D) Blinding of outcome assessment (detection bias)
- (E) Incomplete outcome data (attrition bias)
- (F) Selective reporting (reporting bias)
- (G) Other bias

level for imprecision (Summary of findings 1).



- RR 0.79, 95% CI 0.60 to 1.06;
- RD 50 per 1000 fewer, 95% CI 100 fewer to 10 more.

Subgroup analysis for heterogeneity

In the absence of high levels of heterogeneity ($I^2 = 0\%$), we did not undertake subgroup analyses (Subgroup analysis and investigation of heterogeneity).

We assessed the certainty of evidence to be 'low'. We downgraded evidence certainty by one level for study limitations and by one level for imprecision (Summary of findings 1).

Duration of hospitalisation

Two trials reported a shorter median duration of hospitalisation with prebiotics versus control:

- Armanian 2014: 16 versus 25 days*;
- Dilli 2015: 38 versus 50 days*.

Two trials did not report a difference:

- Riskin 2010: 53 versus 72 days*;
- van den Berg 2010: 52 versus 54 days*.

Three trials did not report duration of hospitalisation (Boehm 2002; Hascoët 2022; Modi 2010).

*Meta-analysis was not possible as standard errors were not reported.

Neurodevelopmental impairment

One trial assessed neurodevelopmental impairment in surviving children at the corrected age of two years (van den Berg 2010). Outcomes were assessed in 76 infants (75% of eligible participants).

The evidence if very uncertain about the effect of prebiotics on the median Bayley Scales of Infant Development (second or third edition) Index scores:

- Mental Development Index (MDI): 95 (range 80 to 115) versus 100 (range 65 to 115);
- Psychomotor Development Index (PDI): 100 (range 71 to 130) versus 97 (range 69 to 145).

The evidence is very uncertain about the effect of prebiotics on the proportion of infants with Bayley Scales of Infant Development MDI score < 85 (indicative of developmental delay) (Analysis 1.4):

- RR 0.84, 95% CI 0.25 to 2.90;
- RD 20 per 1000 fewer, 95% CI 170 fewer to 120 more.

The evidence is very uncertain about the effect of prebiotics on the proportion of infants with Bayley Scales of Infant Development PDI scores < 85 (Analysis 1.5):

- RR 0.24, 95% 0.03 to 2.00;
- RD 90 per 1000 fewer, 95% CI 220 fewer to 30 more.

The evidence is very uncertain about the effect of prebiotics on the proportion of infants diagnosed with cerebral palsy (Analysis 1.6):

• RR 0.35, 95% CI 0.01 to 8.35;

• RD 30 per 1000 fewer, 95% CI 90 fewer to 40 more.

None of the infants had auditory and visual impairment.

We assessed the certainty of evidence to be 'very low' because of study limitations and serious imprecision of effect estimate (Summary of findings 1).

Sensitivity analyses of trials at low risk of bias

We undertook sensitivity meta-analyses of data from the three trials (467 infants) at low risk of bias across all domains (Dilli 2015; Modi 2010; van den Berg 2010). These showed similar results compared with the primary analyses:

- NEC: RR 0.98, 95% CI 0.58 to 1.65; RD 0 per 1000 fewer, 95% 60 fewer to 50 more (Analysis 2.1);
- All-cause mortality prior to hospital discharge: RR 0.38, 95% CI 0.17 to 0.89; RD 50 per 1000 fewer, 95% 90 to 10 fewer (Analysis 2.2):
- Late-onset invasive infection: RR 0.82, 95% CI 0.59 to1.14; RD 40 per 1000 fewer, 95% 110 fewer to 30 more (Analysis 2.3);
- Neurodevelopmental outcomes: as above; data from van den Berg 2010 only (Analysis 2.4; Analysis 2.5; Analysis 2.6).

DISCUSSION

Summary of main results

Meta-analyses of data from seven trials suggests that enteral supplementation with prebiotics may result in little or no difference in NEC, all-cause mortality, or late-onset invasive infection prior to hospital discharge, but the evidence is of low certainty. Four trials reported a shorter median duration of hospitalisation with prebiotics versus control, and two trials did not show a difference. These trials did not provide data to permit meta-analysis. Only one trial assessed neurodevelopmental impairment and the evidence of effect is of very low certainty.

Overall completeness and applicability of evidence

These data are likely to be relevant to current practice since all the included trials were conducted during the past 25 years in neonatal care facilities across a variety of settings (Iran, Germany, Turkey, England, Israel, Netherlands, France). The risk of developing NEC amongst infants in both the control and intervention groups was about 5% to 10%, similar to incidence estimates from recent observational studies (Battersby 2018; Horbar 2012). While most participants were very preterm or VLBW infants, few were extremely preterm or ELBW. However, only one of the trials specifically excluded infants born 'small for gestational age' (Modi 2010). None excluded infants who had evidence of absent or reversed end-diastolic flow velocities in antenatal Doppler studies of the umbilical artery or foetal aorta, increasing the applicability of the review findings to these populations of very preterm of VLBW infants at high risk of NEC and associated mortality and morbidity.

The trials used a variety of prebiotics. The most commonly assessed formulations were plant-derived and synthetic galacto-oligosaccharides and fructo-oligosaccharides constituted to mimic oligosaccharides found in human milk (Armanian 2014; Boehm 2002; Dilli 2015; Modi 2010; van den Berg 2010). One trial assessed lactulose, a synthetic fructose-galactose disaccharide



(Riskin 2010). Only one trial assessed human milk oligosaccharides (2'-fucosyllactose and lacto-*N*-neotetraose) (Hascoët 2022). These were mostly commercially-available products supplied by the manufacturer for use in the trial. A better understanding of the mechanisms and events occurring at the intestinal epithelial and mucosal level may help to determine which prebiotics optimally supports a putatively beneficial microbiome in very preterm or VLBW infants (Abbas 2021; Autran 2018).

The type of enteral feeds that infants receive might influence the effects of prebiotic supplementation (Quigley 2019). One trial permitted only human milk feeding, two trials recruited formulafed infants, while in the other three trials infants could be fed with human milk or formula, or both. In the absence of high levels of heterogeneity, we did not undertake any subgroup analyses by type of milk feeding. Any such analysis, furthermore, would need to be interpreted cautiously as the data available were insufficient to define subgroups at an infant (rather than trial) level. The possibility remains that infants who receive human milk as their predominant source of nutrition might not gain added benefit from prebiotics supplementation since their milk is already rich in human milk oligosaccharides that enhance probiotic growth and colonisation (Nolan 2020).

Quality of the evidence

We used GRADE methods to assess the certainty of the evidence for effects on NEC, all-cause mortality, late-onset invasive infection, and neurodevelopmental impairment (Summary of findings 1). We downgraded the certainty of the evidence because of methodological weaknesses (risk of bias) in three of the trials (Armanian 2014; Boehm 2002; Riskin 2010). These included uncertainty about measures to conceal allocation and to mask parents, caregivers, and clinical assessors that may have introduced selection, performance and detection biases. In one trial, there was unexplained baseline imbalance with the mean gestational age and birth weight higher in intervention than control groups. A priori, therefore, infants in the intervention group were at lower average risk than control infants of NEC, all-cause mortality, late-onset invasive infection, and neurodevelopmental impairment, potentially leading to over-estimates of effect sizes. However, prespecified sensitivity analyses of the three trials (467 infants) at low risk of bias across all domains showed effects consistent with those in the primary meta-analyses that included all the trials (Dilli 2015; Modi 2010; van den Berg 2010).

The other reason for downgrading the certainty of the evidence was the existence of substantial imprecision in estimates of effect, with meta-analyses generating 95% CI that included large benefit as well as small or no benefit or harm. Estimates of effect were imprecise, especially for less common outcomes, including all-cause mortality prior to hospital discharge, where the 95% CI ranged from an NNTB from 80 fewer to none fewer per 1000 infants given prebiotic supplements. Such imprecise estimates of effect are unlikely to meaningfully inform decision-making in this context.

Potential biases in the review process

We used the standard methods of Cochrane Neonatal to minimise potential biases in the review process. Two authors performed the literature search independently and combined results. We contacted study investigators to clarify inclusion criteria where necessary, and to provide unpublished data and missing information. Following full-text screening, we excluded six studies because of the characteristics of their participant populations (term or near-term infants rather than very preterm infants). We made one marginal decision to exclude another study on the grounds that participating very preterm infants commenced prebiotic supplements only when fully-fed; mean day 36 for prebiotics, day 53 for maltodextrin placebo (Mihatsch 2006). Although this was not a prespecified exclusion criterion, we agreed that the study differed substantially from the review's intent, that is, focused primarily on preventing necrotising enterocolitis.

An important concern with the review process is the possibility that the findings are subject to publication and other reporting biases. Data from trials which show statistically significant or potentially important effects tend to be more readily available for inclusion in meta-analyses (Gale 2020). Publication bias, as well as other sources of small-study bias, is an important contributor to inflation of effect size estimates in meta-analyses of interventions to improve outcomes in very preterm or VLBW infants (Young 2021). For example, the Cochrane Review of probiotics to prevent NEC in very preterm or VLBW infants showed a large reduction in the risk of NEC, but the funnel plot and regression analysis indicated that publication bias was likely to have inflated the pooled effect size estimate (Sharif 2020). In this review, we could not assess whether publication bias (or related small study biases) exaggerated the effect size since the meta-analyses contained insufficient data points (fewer than 10) to make funnel plot inspection and regression analysis valid and reliable; that is, able to distinguish real asymmetry from chance asymmetry (Higgins 2020). Although we attempted to minimise the threat of publication bias by screening the reference lists of included trials and related reviews and searching the proceedings of the major international perinatal conferences to identify trial reports that are not published in full form in academic journals, we cannot be sure that other trials have been undertaken but not reported.

Agreements and disagreements with other studies or reviews

We are aware of one other systematic review that assessed the trial evidence for prebiotics supplementation in preterm infants (Srinivasjois 2013). Although this review employed less stringent inclusion criteria than our review has (for example, including trials in which term infants participated), the findings were similar, that is, suggesting that prebiotic supplementation has little or no effect on the risk of NEC or associated morbidity.

Other Cochrane Reviews have addressed whether probiotics alone or synbiotics (probiotics combined with prebiotics) affect the risk of NEC (Sharif 2020; Sharif 2021). Meta-analyses of data from trials of probiotic or synbiotics supplementation suggested a reduction in the risk of NEC and associated morbidity and all-cause mortality for very preterm or VLBW infants. Similar to the findings in this review, however, concerns about trial quality, heterogeneity of interventions, imprecision, and publication bias, as well as the paucity of data for extremely preterm or ELBW infants, means that these findings are of low certainty, and should be interpreted and applied cautiously.



AUTHORS' CONCLUSIONS

Implications for practice

The available trial data provide low-certainty evidence about the effects of prebiotics on the risk of necrotising enterocolitis (NEC), all-cause mortality before discharge, and invasive infection, and very low-certainty evidence about the effect on neurodevelopmental impairment, for very preterm or very low birth weight (VLBW) infants. Our confidence in the effect estimates is limited; further research is very likely to have an important impact on the estimates of effect. In addition to concern about biases in the existing trials, a major barrier to implementing the findings is that existing analyses are not able to determine reliably the optimal constitution of prebiotic supplements (as well as doses, timing of introduction, duration of use) for routine prophylactic use. A variety of commercially available prebiotic preparations are in use in a minority of neonatal units internationally, but widespread use is limited by availability and regulatory and licensing issues.

Implications for research

Given the low level of certainty about whether (and which) prebiotics affect important outcomes in very preterm or VLBW infants, further high-quality randomised, placebo-controlled trials are needed to provide evidence of sufficient validity to inform policy and practice. Such trials are likely to need to recruit several thousands of infant participants to reliably detect plausible effects on uncommon outcomes such as NEC and mortality prior to hospital discharge (Gale 2020). Ideally, trials should attempt to ensure that caregivers and assessors are masked to the intervention, as investigation and diagnosis of NEC, late-onset invasive infection and neurodevelopmental impairment can be subjective and can be associated with the inter-rater variation. While it may be appropriate to be broadly inclusive of very preterm and VLBW infant participants, trials should ensure sufficient power to assess effects in extremely preterm or extremely low birth weight (ELBW) infants, infants born 'small for gestational age', or with evidence of absent or reversed end-diastolic flow velocities in antenatal Doppler studies of the umbilical artery or foetal aorta. Trials, furthermore, should be powered to explore interactions with the type of enteral feed (human milk versus cow-milk formula) received (Quigley 2019). Investigators need to consider which types of prebiotic to evaluate in trials, including perhaps those specific human milk oligosaccharides that have been associated with a lower risk of NEC in preterm infants (Masi 2021), and whether trials using prebiotics are merited alongside trials of probiotics and synbiotics as part of a factorial or an adaptive design (Underwood 2019).

ACKNOWLEDGEMENTS

Cochrane Neonatal supported the authors in the development of this review. We thank Colleen Ovelman and Jane Cracknell (former Managing Editors), Michelle Fiander (Information Specialist and current Managing Editor), and Roger Soll (Co-ordinating editor). William McGuire is a member of Cochrane Neonatal but was not involved in the editorial process or decision-making for this review.

We thank Melissa Harden (Information Specialist, Centre for Reviews and Dissemination, University of York, UK) for the search strategies and database management.

The following people conducted the editorial process for this review

- Sign-off Editor (final editorial decision): Martin Burton, Director of Cochrane UK; Co-ordinating Editor of Cochrane ENT
- Managing editor (selected peer reviewers, provided comments, collated peer-reviewer comments, provided editorial guidance to authors, edited the article): Joey Kwong, Cochrane Central Editorial Service
- Editorial Assistant (conducted editorial policy checks and supported editorial team): Lisa Wydrzynski, Cochrane Central Editorial Service
- Copy Editor (copy-editing and production): Andrea Takeda, Cochrane Central Production Service
- Peer reviewers (provided comments and recommended an editorial decision): Ana Maria Campos Martinez, San Cecilio University Hospital, Granada, Spain (clinical/content review); Mohan Pammi, Baylor College of Medicine (clinical/content review); Yvan Vandenplas. Kidz Health Castle, UZ Brussel, VUB (clinical/content review); Jo Platt, Cochrane GNOC Group (search review); Nuala Livingstone, Cochrane Evidence Production & Methods Directorate (methods review)



REFERENCES

References to studies included in this review

Armanian 2014 {published data only}

Armanian AM, Sadeghnia A, Hoseinzadeh M, Mirlohi M, Feizi A, Salehimehr N, et al. The effect of neutral oligosaccharides on fecal microbiota in premature infants fed exclusively with breast milk: a randomized clinical trial. *Journal of Research in Pharmacy Practice* 2016;**5**(1):27-34. [DOI: 10.4103/2279-042X.176558]

* Armanian AM, Sadeghnia A, Hoseinzadeh M, Mirlohi M, Feizi A, Salehimehr N, et al. The effect of neutral oligosaccharides on reducing the incidence of necrotizing enterocolitis in preterm infants: a randomized clinical trial. *International Journal of Preventative Medicine* 2014;**5**(11):1387-95. [PMID: 25538834]

Boehm 2002 (published data only)

Boehm G, Fanaro S, Jelinek J, Stahl B, Marini A. Prebiotic concept for infant nutrition. *Acta Paediatrica* 2003;**Suppl 441**:46-67. [DOI: 10.1111/j.1651-2227.2003.tb00648.x]

* Boehm G, Lidestri M, Casetta P, Jelinek J, Negretti F, Stahl B, et al. Supplementation of a bovine milk formula with an oligosaccharide mixture increases counts of faecal bifidobacteria in preterm infants. *Archives of Disease in Childhood Fetal & Neonatal Edition* 2002;**86**(3):F178-81. [DOI: 10.1136/fn.86.3.f178]

Dilli 2015 {published data only}

Dilli D, Aydin B, Fettah ND, Ozyazıcı E, Beken S, Zenciroglu A, et al. The propre-save study: effects of probiotics and prebiotics alone or combined on necrotizing enterocolitis on very low birth weight infants. *Journal of Pediatrics* 2015;**116**:545-51. [DOI: 10.1016/j.jpeds.2014.12.004]

Hascoët 2022 (published data only)

Hascoët JM, Chevallier M, Gire C, Brat R, Rozé JC, et al. Use of a liquid supplement containing 2 human milk oligosaccharides: the first double-blind, randomized, controlled trial in pre-term infants. *Frontiers in Pediatrics* 2022;**10**:858380. [DOI: 10.3389/fped.2022.858380]

Modi 2010 {published data only}

Modi N, Uthaya S, Fell J, Kulinskaya E. A randomized, double-blind, controlled trial of the effect of prebiotic oligosaccharides on enteral tolerance in preterm infants (ISRCTN77444690). *Pediatric Research* 2010;**68**(5):440-5. [DOI: 10.1203/PDR.0b013e3181f1cd59]

Riskin 2010 (published data only)

Riskin A, Hochwald O, Bader D, Srugo I, Naftali G, Kugelman A, et al. The effects of lactulose supplementation to enteral feedings in premature infants: a pilot study. *Journal of Pediatrics* 2010;**156**(2):209-14. [DOI: 10.1016/j.jpeds.2009.09.006]

van den Berg 2010 {published data only}

* Westerbeek EA, van den Berg JP, Lafeber HN, Fetter WP, Boehm G, Twisk JW, et al. Neutral and acidic oligosaccharides in preterm infants: a randomized, double-blind, placebocontrolled trial. *American Journal of Clinical Nutrition* 2010;**91**(3):679-86. [DOI: 10.3945/ajcn.2009.28625]

van den Berg JP, Westerbeek EA, Bröring-Starre T, Garssen J, van Elburg RM. Neurodevelopment of preterm infants at 24 months after neonatal supplementation of a prebiotic mix: a randomized trial. *Journal of Pediatric Gastroenterology and Nutrition* 2016;**63**(2):270-6. [DOI: 10.1097/MPG.000000000001148]

References to studies excluded from this review

Dasopoulou 2015 (published data only)

Dasopoulou M, Briana DD, Boutsikou T, Karakasidou E, Roma E, Costalos C et al. Motilin and gastrin secretion and lipid profile in preterm neonates following prebiotics supplementation: a double-blind randomized controlled study. *Journal of Parenteral and Enteral Nutrition* 2015;**39**(3):359-68.

Fanaro 2005 (published data only)

Fanaro S, Jelinek J, Stahl B, Boehm G, Kock R, Vigi V. Acidic oligosaccharides from pectin hydrolysate as new component for infant formulae: effect on intestinal flora, stool characteristics, and pH. *Journal of Pediatric Gastroenterology and Nutrition* 2005;**41**(2):186-90. [DOI: 10.1097/01.mpg.0000172747.64103.d7]

Indrio 2009 {published data only}

Indrio F, Riezzo G, Raimondi F, Bisceglia M, Cavallo L, Francavilla R. Effects of probiotic and prebiotic on gastrointestinal motility in newborns. *Journal of Physiology and Pharmacology* 2009;**60**(Suppl 6)):27-31.

Kapiki 2007 (published data only)

Kapiki A, Costalos C, Oikonomidou C, Triantafyllidou A, Loukatou E, Pertrohilou V. The effect of a fructo-oligosaccharide supplemented formula on gut flora of preterm infants. *Early Human Development* 2007;**83**(5):335-9. [DOI: 10.1016/j.earlhumdev.2006.07.003]

Luoto 2013 (published data only)

Luoto R, Ruuskanen O, Waris M, Kalliomäki M, Salminen S, Isolauri E. Prebiotic and probiotic supplementation prevents rhinovirus infections in preterm infants: a randomized, placebocontrolled trial. *Journal of Allergy and Clinical Immunology* 2014;**133**(2):405-13. [DOI: 10.1016/j.jaci.2013.08.020]

Mihatsch 2006 {published data only}

Mihatsch WA, Hoegel J, Pohlandt F. Prebiotic oligosaccharides reduce stool viscosity and accelerate gastrointestinal transport in preterm infants. *Acta Paediatrica* 2006;**95**:843-8. [PMID: 16801182]

Neumer 2021 (published data only)

Neumer F, Urraca O, Alonso J, Palencia J, Varea V, Theis S, et al. Long-term safety and efficacy of prebiotic enriched infant formula- a randomized controlled trial. *Nutrients* 2021;**13**(4):1276. [DOI: 10.3390/nu13041276]



Additional references

Abbas 2021

Abbas S, Keir AK, Makrides M, Klein LD, Grzeskowiak LE, McPhee AJ, Rumbold AR. Tailoring human milk oligosaccharides to prevent necrotising enterocolitis among preterm infants. *Frontiers in Nutrition* 2021;**29**(8):702888. [DOI: 10.3389/fnut.2021.702888]

Alcon-Giner 2020

Alcon-Giner C, Dalby MJ, Caim S, Ketskemety J, Shaw A, Sim K, et al. Microbiota supplementation with Bifidobacterium and Lactobacillus modifies the preterm infant gut microbiota and metabolome: an observational study. *Cell Reports Medicine* 2020;**1**(5):100077. [DOI: 10.1016/j.xcrm.2020.100077] [PMID: 32904427]

Armanian 2019

Armanian AM, Jahanfar S, Feizi A, Salehimehr N, Molaeinezhad M, Sadeghi E. Prebiotics for the prevention of hyperbilirubinaemia in neonates. *Cochrane Database of Systematic Reviews* 2019, Issue 8. Art. No: CD012731. [DOI: 10.1002/14651858.CD012731.pub2]

Austin 2019

Austin S, De Castro CA, Sprenger N, Binia A, Affolter M, Garcia-Rodenas CL, et al. Human milk oligosaccharides in the milk of mothers delivering term versus preterm infants. *Nutrients* 2019;**11**(6):1282. [DOI: 10.3390/nu11061282] [PMID: 31195757]

Autran 2018

Autran CA, Kellman BP, Kim JH, Asztalos E, Blood AB, Spence EC, et al. Human milk oligosaccharide composition predicts risk of necrotising enterocolitis in preterm infants. *Gut* 2018;**67**(6):1064-70. [DOI: 10.1136/gutjnl-2016-312819] [PMID: 28381523]

Battersby 2018

Battersby C, Santhalingam T, Costeloe K, Modi N. Incidence of neonatal necrotising enterocolitis in high-income countries: a systematic review. *Archives of Disease in Childhood. Fetal and Neonatal Edition* 2018;**103**(2):F182-9. [DOI: 10.1136/archdischild-2017-313880] [PMID: 29317459]

Berrington 2012

Berrington JE, Hearn RI, Bythell M, Wright C, Embleton ND. Deaths in preterm infants: changing pathology over 2 decades. *Journal of Pediatrics* 2012;**160**(1):49-53.e1. [DOI: 10.1016/j.jpeds.2011.06.046] [PMID: 21868028]

Berrington 2019

Berrington JE, Zalewski S. The future of probiotics in the preterm infant. *Early Human Development* 2019;**135**:75-81. [DOI: 10.1016/j.earlhumdev.2019.05.008] [PMID: 31130262]

Bertelli 2015

Bertelli C, Pillonel T, Torregrossa A, Prod'hom G, Fischer CJ, Greub G, et al. Bifidobacterium longum bacteremia in preterm infants receiving probiotics. *Clinical Infectious Diseases* 2015;**60**(6):924-7. [DOI: 10.1093/cid/ciu946] [PMID: 25472946]

Boehm 2008

Boehm G, Moro G. Structural and functional aspects of prebiotics used in infant nutrition. *Journal of Nutrition* 2008;**138**(9):1818S-28S. [DOI: 10.1093/jn/138.9.1818S] [PMID: 18716193]

Cleminson 2015

Cleminson J, Oddie S, Renfrew MJ, McGuire W. Being baby friendly: evidence-based breastfeeding support. *Archives of Disease in Childhood. Fetal and Neonatal Edition* 2015;**100**(2):F173-8. [DOI: 10.1136/archdischild-2013-304873] [PMID: 25293712]

Davani-Davari 2019

Davani-Davari D, Negahdaripour M, Karimzadeh I, Seifan M, Mohkam M, Masoumi SJ, et al. Prebiotics: definition, types, sources, mechanisms, and clinical applications. *Foods* 2019;**8**(3):92. [DOI: 10.3390/foods8030092] [PMID: 30857316]

Duffield 2019

Duffield SD, Clarke P. Current use of probiotics to prevent necrotising enterocolitis. *Archives of Disease in Childhood. Fetal and Neonatal Edition* 2019;**104**(2):F228. [DOI: 10.1136/archdischild-2018-316199] [PMID: 30464004]

Durham 2021

Durham SD, Robinson RC, Olga L, Ong KK, Chichlowski M, Dunger DB, et al. A one-year study of human milk oligosaccharide profiles in the milk of healthy UK mothers and their relationship to maternal FUT2 genotype. *Glycobiology* 2021;**31**(10):1254-67. [DOI: 10.1093/glycob/cwab057]

Eaton 2017

Eaton S, Rees CM, Hall NJ. Current research on the epidemiology, pathogenesis, and management of necrotizing enterocolitis. *Neonatology* 2017;**111**(4):423-30. [DOI: 10.1159/000458462] [PMID: 28538238]

Embleton 2017

Embleton ND, Berrington JE, Dorling J, Ewer AK, Juszczak E, Kirby JA, et al. Mechanisms affecting the gut of preterm infants in enteral feeding trials. *Frontiers in Nutrition* 2017;**4**:14. [DOI: 10.3389/fnut.2017.00014] [PMID: 28534028]

Esaiassen 2016

Esaiassen E, Cavanagh P, Hjerde E, Simonsen GS, Stoen R, Klingenberg C. Bifidobacterium longum subspecies infantis bacteremia in 3 extremely preterm infants receiving probiotics. *Emerging Infectious Diseases* 2016;**22**(9):1664-6. [DOI: 10.3201/eid2209.160033] [PMID: 27532215]

Fleming 2019

Fleming PF, Berrington JE, Jacobs SE. Addressing safety concerns of probiotic use in preterm babies. *Early Human Development* 2019;**135**:72-4. [DOI: 10.1016/j.earlhumdev.2019.05.016] [PMID: 31155280]

Gale 2020

Gale C, McGuire W, Juszczak E. Randomised controlled trials for informing perinatal care. *Neonatology* 2020;**117**(1):8-14. [DOI: 10.1159/000499881] [PMID: 31137030]



Gibson 2017

Gibson GR, Hutkins R, Sanders ME, Prescott SL, Reimer RA, Salminen SJ, et al. Expert consensus document: The International Scientific Association for Probiotics and Prebiotics (ISAPP) consensus statement on the definition and scope of prebiotics. *Nature Reviews Gastroenterology & Hepatology* 2017;**14**(8):491-502. [DOI: 10.1038/nrgastro.2017.75] [PMID: 28611480]

GRADEpro GDT [Computer program]

GRADEpro GDT. Version accessed 12 May 2020. Hamilton (ON): McMaster University (developed by Evidence Prime), 2020. Available at gradepro.org.

Granger 2020

Granger CL, Embleton ND, Palmer JM, Lamb CA, Berrington JE, Stewart CJ. Maternal breast milk, infant gut microbiome, and the impact on preterm infant health. *Acta Paediatrica* 2020;**110**(2):450-7. [DOI: 10.1111/apa.15534] [PMID: 33245565]

Harbord 2006

Harbord RM, Egger M, Sterne JA. A modified test for small-study effects in meta-analyses of controlled trials with binary endpoints. *Statistics in Medicine* 2006;**25**(20):3443-57. [DOI: 10.1002/sim.2380] [PMID: 16345038]

Hickey 2018

Hickey M, Georgieff M, Ramel S. Neurodevelopmental outcomes following necrotizing enterocolitis. *Seminars in Fetal and Neonatal Medicine* 2018;**23**(6):426-32. [DOI: 10.1016/j.siny.2018.08.005] [PMID: 30145060]

Higgins 2011

Higgins JP, Altman DG, Sterne JA: on behalf of the Cochrane Statistical Methods Group and the Cochrane Bias Methods Group. Chapter 8: Assessing risk of bias in included studies. In: Higgins JP, Green S, editor(s). *Cochrane Handbook for Systematic Reviews of Interventions* Version 5.1.0 (updated March 2011). The Cochrane Collaboration, 2011. Available from handbook.cochrane.org.

Higgins 2020

Higgins JP, Thomas J, Chandler J, Cumpston M, Li T, Page MJ, Welch VA, editor(s). *Cochrane Handbook for Systematic Reviews of Interventions* version 6.1 (updated September 2020). Cochrane, 2020. Available from www.training.cochrane.org/handbook.

Horbar 2012

Horbar JH, Carpenter JH, Badger GJ, Kenny MJ, Soll RF, Morrow KA, et al. Mortality and neonatal morbidity among infants 501 to 1500 grams from 2000 to 2009. *Pediatrics* 2012;**129**(6):1019-26. [DOI: 10.1542/peds.2011-3028] [PMID: 22614775]

Johnson-Henry 2016

Johnson-Henry KC, Abrahamsson TR, Wu RY, Sherman PM. Probiotics, prebiotics, and synbiotics for the prevention of necrotizing enterocolitis. *Advances in Nutrition* 2016;**7**(5):928-37. [DOI: 10.3945/an.116.012237] [PMID: 27633108]

Jost 2015

Jost T, Lacroix C, Braegger C, Chassard C. Impact of human milk bacteria and oligosaccharides on neonatal gut microbiota establishment and gut health. *Nutrition Reviews* 2015;**73**(7):426-37. [DOI: 10.1093/nutrit/nuu016] [PMID: 26081453]

MacGillivray 1959

MacGillivray PC, Finlay HV, Binns TB. Use of lactulose to create a preponderance of lactobacilli in the intestine of bottle-fed infants. *Scottish Medical Journal* 1959;**4**(4):182-9.

Mara 2018

Mara MA, Good M, Weitkamp JH. Innate and adaptive immunity in necrotizing enterocolitis. *Seminars in Fetal Neonatal Medicine* 2018;**23**:394-9. [DOI: 10.1016/j.siny.2018.08.002] [PMID: 30146477]

Masi 2019

Masi AC, Stewart CJ. The role of the preterm intestinal microbiome in sepsis and necrotising enterocolitis. *Early Human Development* 2019;**138**:104854. [DOI: 10.1016/j.earlhumdev.2019.104854] [PMID: 31481262]

Masi 2021

Masi AC, Embleton ND, Lamb CA, Young G, Granger CL, Najera J, et al. Human milk oligosaccharide DSLNT and gut microbiome in preterm infants predicts necrotising enterocolitis. *Gut* 2021;**70**(12):2273-2282. [DOI: 10.1136/gutjnl-2020-322771] [PMID: 33328245]

Nolan 2020

Nolan LS, Rimer JM, Good M. The role of human milk oligosaccharides and probiotics on the neonatal microbiome and risk of necrotizing enterocolitis: a narrative review.

Nutrients 2020;12(10):3052. [DOI: 10.3390/nu12103052] [PMID: 33036184]

Olm 2019

Olm MR, Bhattacharya N, Crits-Christoph A, Firek BA, Baker R, Song YS, et al. Necrotizing enterocolitis is preceded by increased gut bacterial replication, Klebsiella, and fimbriae-encoding bacteria. *Science Advances* 2019;**5**(12):eaax5727. [DOI: 10.1126/sciadv.aax5727] [PMID: 31844663]

Pammi 2020

Pammi M, Gautham KS. Enteral lactoferrin supplementation for prevention of sepsis and necrotizing enterocolitis in preterm infants. *Cochrane Database of Systematic Reviews* 2020, Issue 3. Art. No: CD007137. [DOI: 10.1002/14651858.CD007137.pub6]

Pell 2019

Quigley 2019

Quigley M, Embleton ND, McGuire W. Formula versus donor breast milk for feeding preterm or low birth weight infants. *Cochrane Database of Systematic Reviews* 2019, Issue 7. Art.



No: CD002971. [DOI: 10.1002/14651858.CD002971.pub5] [PMID: 31322731]

Salminen 2020

Salminen S, Stahl B, Vinderola G, Szajewska H. Infant formula supplemented with biotics: current knowledge and future perspectives. *Nutrients* 2020;**12**(7):1952. [DOI: 10.3390/nu12071952] [PMID: 32629970]

Samuels 2017

Samuels N, Van de Graaf RA, de Jonge RC, Reiss IK, Vermeulen MJ. Risk factors for necrotizing enterocolitis in neonates: a systematic review of prognostic studies. *BMC Pediatrics* 2017;**17**(1):105. [DOI: 10.1186/s12887-017-0847-3] [PMID: 28410573]

Sanders 2019

Sanders ME, Merenstein DJ, Reid G, Gibson GR, Rastall RA. Probiotics and prebiotics in intestinal health and disease: from biology to the clinic. *Nature Reviews Gastroenterology & Hepatology* 2019;**16**(10):605-16. [DOI: 10.1038/s41575-019-0173-3] [PMID: 31296969]

Schünemann 2013

Schünemann H, Brożek J, Guyatt G, Oxman A, editor(s). Handbook for grading the quality of evidence and the strength of recommendations using the GRADE approach (updated October 2013). GRADE Working Group, 2013. Available from gdt.guidelinedevelopment.org/app/handbook/handbook.html.

Sharif 2020

Sharif S, Meader N, Oddie SJ, Rojas-Reyes MX, McGuire W. Probiotics to prevent necrotising enterocolitis in very preterm or very low birth weight infants. *Cochrane Database of Systematic Reviews* 2020, Issue 10. Art. No: CD005496. [DOI: 10.1002/14651858.CD005496.pub5]

Smilowitz 2013

Smilowitz JT, O'Sullivan A, Barile D, German JB, Lönnerdal B, Slupsky CM. The human milk metabolome reveals diverse oligosaccharide profiles. *Journal of Nutrition* 2013;**143**(11):1709-18. [DOI: 10.3945/jn.113.178772] [PMID: 24027187]

Srinivasjois 2013

Srinivasjois R, Rao S, Patole S. Srinivasjois [Prebiotic supplementation in preterm neonates: updated systematic review and meta-analysis of randomised controlled trials.]. *Clin Nutr* 2013;**32**(6):958-65. [DOI: 10.1016/j.clnu.2013.05.009]

Stewart 2012

Stewart CJ, Marrs EC, Magorrian S, Nelson A, Lanyon C, Perry JD, et al. The preterm gut microbiota: changes associated with necrotizing enterocolitis and infection. *Acta Paediatrica* 2012;**101**(11):1121-7. [DOI: 10.1111/j.1651-2227.2012.02801.x] [PMID: 22845166]

Stewart 2016

Stewart CJ, Embleton ND, Marrs EC, Smith DP, Nelson A, Abdulkadir B, et al. Temporal bacterial and metabolic development of the preterm gut reveals specific signatures in health and disease. *Microbiome* 2016;**4**(1):67. [DOI: 10.1186/s40168-016-0216-8] [PMID: 28034304]

Stewart 2017

Stewart CJ, Embleton ND, Marrs EC, Smith DP, Fofanova T, Nelson A, et al. Longitudinal development of the gut microbiome and metabolome in preterm neonates with late onset sepsis and healthy controls. *Microbiome* 2017;**5**(1):75. [DOI: 10.1186/s40168-017-0295-1] [PMID: 28701177]

Underwood 2015

Underwood MA, Gaerlan S, De Leoz ML, Dimapasoc L, Kalanetra KM, Lemay DG, et al. Human milk oligosaccharides in premature infants: absorption, excretion, and influence on the intestinal microbiota. *Pediatric Research* 2015;**78**(6):670-7. [DOI: 10.1038/pr.2015.162] [PMID: 26322410]

Underwood 2019

Underwood MA. Probiotics and human milk oligosaccharides in premature infants. *Neoreviews* 2019;**20**(1):e1-1. [DOI: 10.1542/neo.20-1-e1] [PMID: 31261069]

Veereman-Wauters 2011

Veereman-Wauters G, Staelens S, Van de Broek H, Plaskie K, Wesling F, Roger LC, et al. Physiological and bifidogenic effects of prebiotic supplements in infant formulae. *Journal of Pediatric Gastroenterology and Nutrition* 2011;**52**(6):763-71. [DOI: 10.1097/MPG.0b013e3182139f39] [PMID: 21593649]

Vermeulen 2020

Vermeulen MJ, Luijendijk A, Van Toledo L, Van Kaam AH, Reiss IK. Quality of probiotic products for preterm infants: contamination and missing strains. *Acta Paediatrica* 2020;**109**(2):276-9. [DOI: 10.1111/apa.14976] [PMID: 31423636]

VON 2020

Vermont Oxford Network. Manual of Operations. Data Definitions & Infant Data Booklets 2020; Part 2 (Release 25.0).

Walsh 2019

Walsh V, McGuire W. Immunonutrition for preterm infants. *Neonatology* 2019;**115**(4):398-405. [DOI: 10.1159/000497332] [PMID: 30974431]

Walsh 2021

Walsh V, McGuire W, Haliday HL. Evaluation of the quality of perinatal trials: making the GRADE. *Neonatology* 2021;**118**:1-6. [DOI: 10.1159/000516239] [PMID: 33946079]

Warner 2016

Warner BB, Deych E, Zhou Y, Hall-Moore C, Weinstock GM, Sodergren E, et al. Gut bacteria dysbiosis and necrotising enterocolitis in very low birthweight infants: a prospective case-control study. *Lancet* 2016;**387**(10031):1928-36. [DOI: 10.1016/S0140-6736(16)00081-7] [PMID: 26969089]

Young 2021

Young L, McGuire W, Fowlie PW. Commentary on "Enteral lactoferrin supplementation for prevention of sepsis and necrotizing enterocolitis in preterm infants". *Neonatology* 2021;**18**(2):139-42. [DOI: 10.1159/000512988] [PMID: 33561861]



Zbinden 2015

Zbinden A, Zbinden R, Berger C, Arlettaz R. Case series of Bifidobacterium longum bacteremia in three preterm infants on probiotic therapy. *Neonatology* 2015;**107**(1):56-9. [DOI: 10.1159/000367985] [PMID: 25402825]

Zmora 2018

Zmora N, Zilberman-Schapira G, Suez J, Mor U, Dori-Bachash M, Bashiardes S, et al. Personalized gut mucosal colonization resistance to empiric probiotics is associated with unique host and microbiome features. *Cell* 2018;**174**(6):1388-405. [DOI: 10.1016/j.cell.2018.08.041] [PMID: 30193112]

References to other published versions of this review Sharif 2021

Sharif S, Heath PT, Oddie SJ, McGuire W. Synbiotics for preventing necrotising enterocolitis in preterm infants. *Cochrane Database of Systematic Reviews* 2021, Issue 5. Art. No: CD014067. [DOI: 10.1002/14651858.CD014067]

* Indicates the major publication for the study

CHARACTERISTICS OF STUDIES

Characteristics of included studies [ordered by study ID]

Armanian 2014

Study characteristics	5
Methods	RCT
Participants	88 VLBW infants (gestational age < 35 weeks') - human milk fed
Interventions	Prebiotics (N = 34): GOS/FOS (9:1 mixture: 0.5 to 1.5 g/kg) added to human milk daily until hospital discharge
	Control (N = 54): no prebiotic supplement
Outcomes	 NEC Death Invasive infection Feed intolerance Length of hospital stay
Notes	Setting: Iran (2012 to 2013)
	Funding: Nutricia MMP, Mashhad, Iran

KISK OI DIUS		
Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	High risk	"infants into two groups based on their file number"
		"unequal randomization" (1:2)
Allocation concealment (selection bias)	High risk	"those with an even digit at the end of their file numbers were placed in [pre-biotic] group and neonates with their file numbers ending in an odd digit were assigned to [control] group"
Blinding of participants and personnel (perfor- mance bias) All outcomes	High risk	Unmasked
Blinding of outcome assessment (detection bias)	High risk	Unmasked



Arman	ian	2014	(Continued)
-------	-----	------	-------------

All outcomes

Incomplete outcome data (attrition bias) All outcomes	High risk	Outcome data not available for 15 infants (26% of intervention group, 7% of control group)
Selective reporting (reporting bias)	Low risk	Unlikely
Other bias	Low risk	No evidence of baseline imbalance

Boehm 2002

Study characteristics	
Methods	RCT
Participants	30 formula-fed preterm infants < 33 weeks' gestation*
Interventions	Prebiotics (N = 15): GOS/FOS (9:1 mixture: 1 g/100 mL) mixed with preterm formula for 28 days
	Control (N = 15): maltodextrin placebo
Outcomes	 Stool colonisation patterns Stool frequency and consistency Weight gain [No episodes of NEC, all cause mortality, or invasive infection]
Notes	Setting: Germany (late 1990s)
	Funding: Numico Research (Germany)
	*Mean gestational age 31 weeks
	Infants commenced supplements when fully formula-fed (mean day 8 for both groups)

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	"infants were randomly assigned"
Allocation concealment (selection bias)	Unclear risk	"infants were randomly assigned"
Blinding of participants and personnel (perfor- mance bias) All outcomes	Low risk	Masked ("compositions of the two formulas were, apart from the supplemented oligosaccharides, identical")
Blinding of outcome as- sessment (detection bias) All outcomes	Low risk	Masked ("compositions of the two formulas were, apart from the supplemented oligosaccharides, identical")
Incomplete outcome data (attrition bias)	Low risk	Complete reporting



Boe	hm	2002	(Continued)
-----	----	------	-------------

All outcomes

Selective reporting (reporting bias)	Unclear risk	Clinical outcomes not reported
Other bias	Low risk	No evidence of baseline imbalance

Dilli 2015

Study characteristics	
Methods	RCT
Participants	200 very preterm or VLBW infants
Interventions	Prebiotics (N = 100): inulin (900 mg) added to human milk or formula once daily for 8 weeks (or until hospital discharge)
	Control (N = 100): maltodextrin powder placebo
Outcomes	 NEC Death Invasive infection Length of hospital stay
Notes	Setting: Turkey (5 centres: 2011 to 2014) Funding: not stated NB. This was a 4-arm RCT- other groups were probiotics (N = 100) and synbiotics (N = 100)

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Computer-generated sequence
Allocation concealment (selection bias)	Low risk	Sealed opaque envelopes
Blinding of participants and personnel (perfor- mance bias) All outcomes	Low risk	Masked (placebo-controlled)
Blinding of outcome as- sessment (detection bias) All outcomes	Low risk	Masked (placebo-controlled)
Incomplete outcome data (attrition bias) All outcomes	Low risk	Complete reporting
Selective reporting (reporting bias)	Low risk	Unlikely



Dilli 2015 (Continued)

Other bias Low risk No evidence of baseline imbalance

Hascoët 2022

Study characteristics		
Methods	RCT	
Participants	86 preterm infants (27 to 32 weeks' gestation; and birth weight < 1700 g)*	
Interventions	Prebiotics (N = 43): 2'-fucosyllactose (2'FL) and lacto-N-neotetraose (LNnT) in a 10:1 ratio (0.340 and 0.034 g/kg /day, respectively), given daily in three divided doses orally or via enteral tube from within 7 days of birth until discharge	
	Control (N = 43): glucose placebo (0.14 g/kg /day)	
Outcomes	 Time to full enteral feeds (150 mL/kg/day) Growth rates NEC Death Invasive infection Bronchopulmonary dysplasia Length of hospital stay 	
Notes	Setting: France (7 hospitals; mid to late 2010s)	
	Funding: Société des Produits Nestlé S.A. The funder was involved with interpretation of data, writing of the report, and the decision to submit it for publication.	
	*Median gestation 30 weeks	
	Further information courtesy of Prof Hascoët (chief investigator)	

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Computer-generated
Allocation concealment (selection bias)	Low risk	Computer-allocated
Blinding of participants and personnel (perfor- mance bias) All outcomes	Low risk	Masked (placebo-controlled)
Blinding of outcome assessment (detection bias) All outcomes	Low risk	Masked (placebo-controlled)
Incomplete outcome data (attrition bias) All outcomes	Low risk	Complete reporting



Hascoët 2022 (Continued)				
Selective reporting (reporting bias)	Low risk	Unlikely		
Other bias	Unclear risk	No evidence baseline imbalance.		
		Funder (Nestlé S.A.) involvement with interpretation of data, writing of report, and decision to submit it for publication.		

Modi 2010

Study characteristics			
Methods	RCT		
Participants	160 preterm infants (< 33 weeks' gestation; "appropriately grown")*		
Interventions	Prebiotics (N = 77): GOS/FOS (9:1 mixture: 0.8 g/kg/day) in preterm formula daily until hospital discharge		
	Control (N = 83): identical formula without prebiotic supplement		
	NB. Preterm formula used only to augment insufficient maternal milk volume		
Outcomes	 NEC Death Invasive infection Time to full enteral feeds (150 mL/kg/day) Length of hospital stay 		
Notes	Setting: England (13 hospitals; mid-late 2000s) Funding: Danone Research, Friedrichsdorf, Germany *Median gestation 30 weeks		

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Computer-generated
Allocation concealment (selection bias)	Low risk	Telephone-randomisation
Blinding of participants and personnel (perfor- mance bias) All outcomes	Low risk	(150 mL/kg/day)
Blinding of outcome as- sessment (detection bias) All outcomes	Low risk	Masked (identical formulas)
Incomplete outcome data (attrition bias)	Low risk	Near-complete reporting (parental consent for 6 infants withdrawn postrandomisation)



Modi	2010	(Continued)

All outcomes

Selective reporting (reporting bias)	Low risk	Unlikely	
Other bias	Low risk	No evidence baseline imbalance	

Riskin 2010

Study characteristics		
Methods	RCT	
Participants	28 preterm infants (< 35 weeks' gestation)*	
Interventions	Prebiotics (N = 15): lactulose (1 g/100 mL) in all human milk or formula daily feeds until hospital discharge	
	Control (N = 13): dextrose (1 g/100 mL)	
Outcomes	 Stool colonisation patterns (probiotic bacteria) NEC Death Invasive infection Time to full enteral feeds Length of hospital stay 	
Notes	Setting: Israel (2005 to 2006) Funding: Not stated *Mean gestation 29 weeks	

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Pharmacy-randomised
Allocation concealment (selection bias)	Low risk	Sealed non-transparent envelopes
Blinding of participants and personnel (perfor- mance bias) All outcomes	Low risk	Masked (placebo-controlled)
Blinding of outcome assessment (detection bias) All outcomes	Low risk	Masked (placebo-controlled)
Incomplete outcome data (attrition bias) All outcomes	Low risk	Complete reporting



Riskin 2010 (Continued)		
Selective reporting (reporting bias)	Low risk	Unlikely
Other bias	High risk	Mean gestational age and birth weight higher in intervention (30 weeks, 1523 g) than control group (28 weeks, 1270 g)

van den Berg 2010

Study characteristics	
Methods	RCT
Participants	113 very preterm or VLBW infants
Interventions	Prebiotics (N = 55): GOS/FOS (80%) + pAOS (20%) (up to 1.5 g/kg/day) in all human milk or preterm formula feeds between days 3 and 30 after birth
	Control (N = 58): Maltodextrin (placebo)
Outcomes	 Invasive infection NEC Death Bronchopulmonary dysplasia Retinopathy of prematurity Neurodevelopment at corrected age of 2 years: Mental Development Index and Psychomotor Development Index of the Bayley Scales of Infant Development (2nd or 3rd edition) Cerebral palsy Visual impairment Auditory impairment
Notes	Setting: Netherlands (2007 to 2008)
	Funding: Danone Research, Friedrichsdorf, Germany

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Computer-generated sequence
Allocation concealment (selection bias)	Low risk	Computer-allocated
Blinding of participants and personnel (perfor- mance bias) All outcomes	Low risk	Masked (placebo-controlled)
Blinding of outcome assessment (detection bias) All outcomes	Low risk	Masked (placebo-controlled)
Incomplete outcome data (attrition bias)	Low risk	Complete reporting for in-hospital outcomes



van den Berg 2010 (Continued) All outcomes		[Neurodevelopmental outcomes at 2 years corrected available for 76% of eligible participants]				
Selective reporting (reporting bias)	Low risk	Unlikely				
Other bias	Low risk	No evidence baseline imbalance				

cfu: colony-forming units; FOS: fructo-oligosaccharides; GOS: galacto-oligosaccharides; pAOS: pectin-derived acidic oligosaccharides; NEC: necrotising enterocolitis; RCT: randomised controlled trial; VLBW: very low birth weight

Characteristics of excluded studies [ordered by study ID]

Study	Reason for exclusion
Dasopoulou 2015	Participants were late-preterm (not very preterm) infants.
Fanaro 2005	Participants were term (not very preterm) infants.
Indrio 2009	Participants were late-preterm (not very preterm) infants.
Kapiki 2007	Participants were term infants.
Luoto 2013	Participants were late-preterm (not very preterm) infants.
Mihatsch 2006	Infants commenced supplements when fully formula-fed (mean day 36 for prebiotics, and mean day 53 for maltodextrin placebo).
Neumer 2021	Participants were term infants.

DATA AND ANALYSES

Comparison 1. Prebiotics versus control

Outcome or subgroup title	No. of studies	No. of partici- pants	Statistical method	Effect size
1.1 Necrotising enterocolitis	7	686	Risk Ratio (M-H, Fixed, 95% CI)	0.97 [0.60, 1.56]
1.2 All-cause mortality	7	686	Risk Ratio (M-H, Fixed, 95% CI)	0.43 [0.20, 0.92]
1.3 Late-onset invasive infection	7	686	Risk Difference (M-H, Fixed, 95% CI)	-0.05 [-0.10, 0.01]
1.4 Bayley Scales of Infant Develop- ment Mental Development Index < 85	1	76	Risk Difference (M-H, Fixed, 95% CI)	-0.02 [-0.17, 0.12]



Outcome or subgroup title	No. of studies	No. of partici- pants	Statistical method	Effect size
1.5 Bayley Scales of Infant Develop- ment Psychomotor Development In- dex < 85	1	68	Risk Difference (M-H, Fixed, 95% CI)	-0.09 [-0.22, 0.03]
1.6 Cerebral palsy	1	76	Risk Difference (M-H, Fixed, 95% CI)	-0.03 [-0.09, 0.04]

Analysis 1.1. Comparison 1: Prebiotics versus control, Outcome 1: Necrotising enterocolitis

	Prebi	otics	Cont	trol		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% CI	M-H, Fixed, 95% CI
Armanian 2014	0	25	1	50	3.4%	0.65 [0.03 , 15.50]	
Boehm 2002	0	15	0	15		Not estimable	
Dilli 2015	12	100	18	100	60.1%	0.67 [0.34, 1.31]	
Hascoët 2022	3	43	2	43	6.7%	1.50 [0.26, 8.53]	
Modi 2010	2	73	1	81	3.2%	2.22 [0.21, 23.97]	
Riskin 2010	1	15	2	13	7.2%	0.43 [0.04, 4.25]	
van den Berg 2010	10	55	6	58	19.5%	1.76 [0.68 , 4.51]	-
Total (95% CI)		326		360	100.0%	0.97 [0.60 , 1.56]	
Total events:	28		30				Ť
Heterogeneity: Chi ² = 3	3.95, df = 5 (I	P = 0.56);	$I^2 = 0\%$				0.01 0.1 1 10 100
Test for overall effect:	Z = 0.14 (P =	0.89)					Favours prebiotics Favours control

Test for overall effect: Z = 0.14 (P = 0.89) Test for subgroup differences: Not applicable

Analysis 1.2. Comparison 1: Prebiotics versus control, Outcome 2: All-cause mortality

	Prebi		Cont			Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% CI	M-H, Fixed, 95% CI
Armanian 2014	1	25	1	50	3.2%	2.00 [0.13 , 30.66]	
Boehm 2002	0	15	0	15		Not estimable	
Dilli 2015	2	100	12	100	57.1%	0.17 [0.04, 0.73]	
Hascoët 2022	0	43	0	43		Not estimable	_
Modi 2010	3	73	2	81	9.0%	1.66 [0.29, 9.68]	
Riskin 2010	0	15	1	13	7.6%	0.29 [0.01, 6.60]	
van den Berg 2010	2	55	5	58	23.1%	0.42 [0.09 , 2.08]	-
Total (95% CI)		326		360	100.0%	0.43 [0.20 , 0.92]	
Total events:	8		21				•
Heterogeneity: Chi ² = 5	5.15, df = 4 (I	P = 0.27);]	$I^2 = 22\%$				0.01 0.1 1 10 100
Test for overall effect: 2	Z = 2.18 (P =	0.03)				Favours prebiotics Favours control	

Test for overall effect: Z = 2.18 (P = 0.03) Test for subgroup differences: Not applicable



Analysis 1.3. Comparison 1: Prebiotics versus control, Outcome 3: Late-onset invasive infection

	Prebi	otics	Cont	rol		Risk Difference	Risk Difference
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% CI	M-H, Fixed, 95% CI
Armanian 2014	4	25	17	50	9.8%	-0.18 [-0.37 , 0.01]	ı
Boehm 2002	0	15	0	15	4.4%	0.00 [-0.12 , 0.12]	ı <u>+</u>
Dilli 2015	10	100	13	100	29.5%	-0.03 [-0.12 , 0.06]	· •
Hascoët 2022	11	43	9	43	12.7%	0.05 [-0.13 , 0.22]	ı _
Modi 2010	9	73	10	81	22.7%	-0.00 [-0.10 , 0.10]	-
Riskin 2010	2	15	4	13	4.1%	-0.17 [-0.48 , 0.13]	ı <u> </u>
van den Berg 2010	23	55	31	58	16.7%	-0.12 [-0.30 , 0.07]	· -•
Total (95% CI)		326		360	100.0%	-0.05 [-0.10 , 0.01]	
Total events:	59		84				•
Heterogeneity: Chi ² = 5	5.59, df = 6 (I	P = 0.47);	$I^2 = 0\%$				$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
Test for overall effect:	Z = 1.63 (P =	0.10)					Favours prebiotics Favours control

Test for overall effect: Z = 1.63 (P = 0.10)
Test for subgroup differences: Not applicable

Analysis 1.4. Comparison 1: Prebiotics versus control, Outcome 4: Bayley Scales of Infant Development Mental Development Index < 85

Study or Subgroup	Prebio Events	otics Total	Cont Events	trol Total	Weight	Risk Difference M-H, Fixed, 95% CI		Risk M-H, F	Differdixed, 9		
van den Berg 2010	4	37	5	39	100.0%	-0.02 [-0.17 , 0.12]]				
Total (95% CI)		37		39	100.0%	-0.02 [-0.17 , 0.12	l				
Total events:	4		5								
Heterogeneity: Not appl	icable						-100	-50	0	50	100
Test for overall effect: Z	= 0.27 (P =				prebiotics	-	Favours c				
Test for subgroup differences: Not applicable											

Analysis 1.5. Comparison 1: Prebiotics versus control, Outcome 5: Bayley Scales of Infant Development Psychomotor Development Index < 85

	Prebi	otics	Cont	trol		Risk Difference	Risk Difference	
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% C	I M-H, Fixed, 95% CI	
van den Berg 2010	1	35	4	33	100.0%	-0.09 [-0.22 , 0.0	3]	
Total (95% CI)		35		33	100.0%	-0.09 [-0.22 , 0.0	3]	
Total events:	1		4					
Heterogeneity: Not app	licable						-100 -50 0 50 100	
Test for overall effect: Z	Z = 1.46 (P =	0.14)					Favours prebiotics Favours control	
Test for subgroup differences: Not applicable								



Analysis 1.6. Comparison 1: Prebiotics versus control, Outcome 6: Cerebral palsy

Study or Subgroup	Prebiotics Events Total		Control Events Total		Weight	Risk Difference M-H, Fixed, 95% CI		Risk Difference M-H, Fixed, 95% CI			
van den Berg 2010	0	37	1	39	100.0%	-0.03 [-0.09 , 0.04]				
Total (95% CI)		37		39	100.0%	-0.03 [-0.09 , 0.04	1				
Total events:	0		1								
Heterogeneity: Not appli	icable						-100	-50	0	50	100
Test for overall effect: Z	= 0.73 (P =	0.47)					Favours	prebiotics	F	avours c	ontrol
Test for subgroup differe	nces: Not a	pplicable									

Comparison 2. Prebiotics versus control (trials at low risk of bias)

Outcome or subgroup title	No. of studies	No. of partici- pants	Statistical method	Effect size
2.1 Necrotising enterocolitis	3	467	Risk Ratio (M-H, Fixed, 95% CI)	0.98 [0.58, 1.65]
2.2 All cause mortality	3	467	Risk Ratio (M-H, Fixed, 95% CI)	0.38 [0.17, 0.89]
2.3 Late-onset invasive infection	3	467	Risk Difference (M-H, Fixed, 95% CI)	-0.04 [-0.11, 0.03]
2.4 Bayley Scales of Infant Develop- ment Mental Development Index < 85	1		Risk Ratio (M-H, Fixed, 95% CI)	Totals not selected
2.5 Bayley Scales of Infant Develop- ment Psychomotor Development In- dex < 85	1		Risk Ratio (M-H, Fixed, 95% CI)	Totals not selected
2.6 Cerebral palsy	1		Risk Ratio (M-H, Fixed, 95% CI)	Totals not selected

Analysis 2.1. Comparison 2: Prebiotics versus control (trials at low risk of bias), Outcome 1: Necrotising enterocolitis

	Prebi	Prebiotics		Control		Risk Ratio	Risk Ratio			
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% CI	M-H, Fixed, 95% CI			
Dilli 2015	12	100	18	100	72.6%	0.67 [0.34 , 1.31]	l			
Modi 2010	2	73	1	81	3.8%	2.22 [0.21 , 23.97]	1			
van den Berg 2010	10	55	6	58	23.6%	1.76 [0.68 , 4.51]	l •			
Total (95% CI)		228		239	100.0%	0.98 [0.58 , 1.65]	ı •			
Total events:	24		25				Ţ			
Heterogeneity: Chi ² = 3	3.18, df = 2 (I	P = 0.20);	$I^2 = 37\%$				0.01 0.1 1 10 100			
Test for overall effect:	Z = 0.06 (P =	0.95)					Favours prebiotics Favours control			
Test for subgroup diffe	rences: Not a	pplicable								



Analysis 2.2. Comparison 2: Prebiotics versus control (trials at low risk of bias), Outcome 2: All cause mortality

	Prebiotics		Control			Risk Ratio	Risk Ratio				
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% CI	M-H, Fixed, 95% CI				
Dilli 2015	2	100	12	100	64.0%	0.17 [0.04 , 0.73]				
Modi 2010	3	73	2	81	10.1%	1.66 [0.29, 9.68]]				
van den Berg 2010	2	55	5	58	25.9%	0.42 [0.09 , 2.08]				
Total (95% CI)		228		239	100.0%	0.38 [0.17, 0.89					
Total events:	7		19								
Heterogeneity: Chi ² = 3.91, df = 2 (P = 0.14); I^2 = 49%							0.01 0.1 1 10 100				
Test for overall effect: 2	Z = 2.23 (P =	0.03)					Favours prebiotics Favours control				

Test for overall effect: Z = 2.23 (P = 0.03)
Test for subgroup differences: Not applicable

Analysis 2.3. Comparison 2: Prebiotics versus control (trials at low risk of bias), Outcome 3: Late-onset invasive infection

	Prebi	Prebiotics		Control		Risk Difference	Risk Difference
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% CI	M-H, Fixed, 95% CI
Dilli 2015	10	100	13	100	42.9%	-0.03 [-0.12 , 0.06] 📥
Modi 2010	9	73	10	81	32.9%	-0.00 [-0.10 , 0.10]
van den Berg 2010	23	55	31	58	24.2%	-0.12 [-0.30 , 0.07	1 -
Total (95% CI)		228		239	100.0%	-0.04 [-0.11 , 0.03	
Total events:	42		54				Y
Heterogeneity: Chi ² = 1.30, df = 2 (P = 0.52); $I^2 = 0\%$							$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
Test for overall effect: 2	Z = 1.19 (P =	0.23)					Favours prebiotics Favours control

Test for subgroup differences: Not applicable

Analysis 2.4. Comparison 2: Prebiotics versus control (trials at low risk of bias), Outcome 4: Bayley Scales of Infant Development Mental Development Index < 85

Prebiotics		Cont	rol	Risk Ratio	Risk Ratio	
Study or Subgroup	Events	Total	Events	Total	M-H, Fixed, 95% CI	M-H, Fixed, 95% CI
van den Berg 2010	4	37	5	39	0.84 [0.25 , 2.90	1
						0.01 0.1 1 10 100 Favours prebiotics Favours control



Analysis 2.5. Comparison 2: Prebiotics versus control (trials at low risk of bias), Outcome 5: Bayley Scales of Infant Development Psychomotor Development Index < 85

	Prebi	Prebiotics		rol	Risk Ratio		Risk		
Study or Subgroup	Events	Total	Events	Total	M-H, Fixed, 95% CI		M-H, Fixed, 95%		
van den Berg 2010	1	35	4	33	0.24 [0.03 , 2.00]	-	+		
]	⊢ 0.01 Favours	0.1 prebiotics	1 10 Favours	100 control

Analysis 2.6. Comparison 2: Prebiotics versus control (trials at low risk of bias), Outcome 6: Cerebral palsy

	Prebiotics		Control		Risk Ratio		Risk Ratio			
Study or Subgroup	Events	Total	Events	Total	M-H, Fixed, 95% CI	M-	H, Fixed	d, 95% CI		
van den Berg 2010	0	37	1	39	0.35 [0.01 , 8.35]	-			
						0.01 0.1 Favours prebio	1 otics	10 Favours o	100 control	

APPENDICES

Appendix 1. Search strategies

Electronic databases

Search date: 5 July 2022

Cochrane Register of Controlled Trials (CENTRAL)

#1 [mh Probiotics]

#2 (probiotic*):ti,ab,kw

#3 [mh Bifidobacterium]

#4 (bifidobacterium*):ti,ab,kw

#5 [mh Lactobacillus]

#6 (lactobacill*):ti,ab,kw

#7 ([mh ^Saccharomyces] or [mh ^"Saccharomyces boulardii"] or [mh ^"Saccharomyces cerevisiae"])

#8 [mh ^"Saccharomyces boulardii"]

#9 (Saccharomyces):ti,ab,kw

#10 #1 OR #2 OR #3 OR #4 OR #5 OR #6 OR #7 OR #8 OR #9

#11 [mh Prebiotics]

#12 (prebiotic*):ti,ab,kw

#13 [mh Oligosaccharides]

#14 (oligosaccharide*):ti,ab,kw



#15 [mh Inulin]

#16 (inulin*):ti,ab,kw

#17 ((fructooligosaccharide* or fructo NEXT oligosaccharide* or FOS or FOSs or galacto NEXT oligosaccharide* or galactooligosaccharide*)):ti,ab,kw

#18 [mh Lactoferrin]

#19 (lactoferrin*):ti,ab,kw

#20 [mh Lactulose] 439

#21 (lactulose*):ti,ab,kw

#22 #11 OR #12 OR #13 OR #14 OR #15 OR #16 OR #17 OR #18 OR #19 or #20 or #21

#23 [mh Synbiotics]

#24 (synbiotic*):ti,ab,kw

#25 (((probiotic* and prebiotic*) NEAR/4 combin*)):ti,ab,kw

#26 #23 OR #24 OR #25

#27 #10 OR #22 OR #26

#28 [mh "Infant, Newborn"]

#29 [mh "Premature Birth"]

#30 neonat*:ti,ab,kw

#31 neo NEXT nat*:ti,ab,kw

#32 newborn or new NEXT born* or newly NEXT born*:ti,ab,kw

#33 preterm or preterms or pre NEXT term or pre NEXT terms:ti,ab,kw

#34 preemie* or premie or premies:ti,ab,kw

#35 prematur* NEAR/3 (birth* or born or deliver*):ti,ab,kw

#36 low NEAR/3 (birthweight* or birth NEXT weight*):ti,ab,kw

#37 lbw or vlbw or elbw:ti,ab,kw

#38 infan* or baby or babies:ti,ab,kw

#39 #28 or #29 or #30 or #31 or #32 or #33 or #34 or #35 or #36 or #37 or #38

#40 #27 AND #39 in Trials

CINAHL via EBSCO

S35 S31 AND S34

S34 S32 OR S33

S33 TX ((neonat* or neo nat*)) OR TX ((newborn* or new born* or newly born*)) OR TX ((preterm or preterms or pre term or pre terms)) OR TX ((preemie\$ or premie or premies)) OR TX ((prematur* N3 (birth* or born or deliver*))) OR TX ((low N3 (birthweight* or birth weight*))) OR TX ((low or vlbw or elbw)) OR TX ((baby or babies))

S32 (MH "Infant, Newborn+")

S31 S22 AND S30

S30 S28 not S29



S29 (MH animals+ OR MH (animal studies) OR TI (animal model*)) NOT MH (human) 194,413

S28 S23 OR S24 OR S25 OR S26 OR S27

S27 AB (cluster W3 RCT)

S26 MH placebos OR PT randomized controlled trial OR AB control W5 group OR MH crossover design OR MH comparative studies

S25 MH sample size AND AB ((assigned OR allocated OR control))

S24 TI ((randomised OR randomized)) OR AB random* OR TI trial

S23 MH Randomized Controlled Trials OR MH double-blind studies OR MH single-blind studies OR MH random assignment OR MH pretest-posttest design OR MH cluster sample

S22 S9 OR S18 OR S21

S21 S19 OR S20

S20 TI ((probiotic* and prebiotic*) N4 combin*) OR AB ((probiotic* and prebiotic*) N4 combin*)

S19 TI Synbiotic* OR AB Synbiotic*

S18 S10 OR S11 OR S12 OR S13 OR S14 OR S15 OR S16 OR S17

S17 TI Lactoferrin OR AB Lactoferrin

S16 TI fructooligosaccharide* OR AB fructooligosaccharide* OR TI fructo-oligosaccharide* OR AB fructo-oligosaccharide* OR TI galactooligosaccharide* OR AB galacto-oligosaccharide* OR AB galacto-oligosaccharide*

S15 TI Inulin OR AB Inulin

S14 TI lactulose* OR AB lactulose*

S13 TI Oligosaccharides OR AB Oligosaccharides

S12 MH "Oligosaccharides"

S11 TI Prebiotic* OR AB Prebiotic*

S10 MH "Prebiotics"

S9 S1 OR S2 OR S3 OR S4 OR S5 OR S6 OR S7 OR S8

S8 TI Saccharomyces OR AB Saccharomyces

S7 MH "Saccharomyces"

S6 TI lactobacillus OR AB lactobacillus

S5 (MH "Lactobacillus") OR (MH "Lactobacillus Acidophilus")

S4 TI bifidobacterium* OR AB bifidobacterium*

S3 MH "Bifidobacterium"

S2 TI probiotic* OR AB probiotic*

S1 MH "Probiotics"

Embase via Ovid <1974 to 1 July 2022>

1 Probiotic Agent/

2 probiotic\$.ti,ab,kw.

3 exp bifidobacterium/

4 bifidobacterium\$.ti,ab,kw.



- 5 exp lactobacillus/
- 6 lactobacill\$.ti,ab,kw.
- 7 Saccharomyces/ or Saccharomyces boulardii/ or Saccharomyces cerevisiae/
- 8 Saccharomyces\$.ti,ab,kw.
- 9 or/1-8
- 10 Prebiotic Agent/
- 11 prebiotic\$.ti,ab,kw.
- 12 exp Oligosaccharide/
- 13 oligosaccharide\$.ti,ab,kw.
- 14 Galactose oligosaccharide/
- 15 (galacto-oligosaccharide\$ or galactooligosaccharide\$).ti,ab,kw.
- 16 Fructose Oligosaccharide/
- 17 (fructooligosaccharide\$ or fructo-oligosaccharide\$ or FOS or FOSs).ti,ab,kw.
- 18 Lactulose/
- 19 lactulose\$.ti,ab,kw.
- 20 Inulin/
- 21 inulin\$.ti,ab,kw.
- 22 Lactoferrin/
- 23 lactoferrin\$.ti,ab,kw.
- 24 or/10-23
- 25 Synbiotic Agent/
- 26 synbiotic\$.ti,ab,kw.
- 27 ((probiotic\$ and prebiotic\$) adj4 combin\$).ti,ab,kw.
- 28 25 or 26 or 27
- 29 9 or 24 or 28
- 30 Newborn/
- 31 Prematurity/
- 32 (neonat\$ or neo nat\$).ti,ab.
- 33 (newborn\$ or new born\$ or newly born\$).ti,ab.
- 34 (preterm or preterms or pre term or pre terms).ti,ab.
- 35 (preemie\$ or premie or premies).ti,ab.
- 36 (prematur\$ adj3 (birth\$ or born or deliver\$)).ti,ab.
- 37 (low adj3 (birthweight\$ or birth weight\$)).ti,ab.
- 38 (lbw or vlbw or elbw).ti,ab.
- 39 infan\$.ti,ab.



- 40 (baby or babies).ti,ab. 41 or/30-40 42 Randomized controlled trial/ 43 Controlled clinical study/ 44 Random\$.ti,ab. 45 randomization/ 46 intermethod comparison/ 47 placebo.ti,ab. 48 (compare or compared or comparison).ti. 49 ((evaluated or evaluate or evaluating or assessed or assess) and (compare or compared or comparing or comparison)).ab. 50 (open adj label).ti,ab. 51 ((double or single or doubly or singly) adj (blind or blinded or blindly)).ti,ab. 52 double blind procedure/ 53 parallel group\$1.ti,ab. 54 (crossover or cross over).ti,ab. 55 ((assign\$ or match or matched or allocation) adj5 (alternate or group\$1 or intervention\$1 or patient\$1 or subject\$1 or participant \$1)).ti,ab. 56 (assigned or allocated).ti,ab. 57 (controlled adj7 (study or design or trial)).ti,ab. 58 (volunteer or volunteers).ti,ab. 59 human experiment/ 60 trial.ti. 61 or/42-60 62 (random\$ adj sampl\$ adj7 ("cross section\$" or questionnaire\$1 or survey\$ or database\$1)).ti,ab. not (comparative study/ or controlled study/ or randomi?ed controlled.ti,ab. or randomly assigned.ti,ab.) 63 Cross-sectional study/ not (randomized controlled trial/ or controlled clinical study/ or controlled study/ or randomi?ed controlled.ti, ab. or control group\$1.ti,ab.) 64 (((case adj control\$) and random\$) not randomi?ed controlled).ti,ab. 65 (Systematic review not (trial or study)).ti. 66 (nonrandom\$ not random\$).ti,ab. 67 "Random field\$".ti,ab.
- 68 (random cluster adj3 sampl\$).ti,ab.
- 69 (review.ab. and review.pt.) not trial.ti.
- 70 "we searched".ab. and (review.ti. or review.pt.)
- 71 "update review".ab.
- 72 (databases adj4 searched).ab.



73 (rat or rats or mouse or mice or swine or porcine or murine or sheep or lambs or pigs or piglets or rabbit or rabbits or cat or cats or dog or dogs or cattle or bovine or monkey or monkeys or trout or marmoset\$1).ti. and animal experiment/

74 Animal experiment/ not (human experiment/ or human/)

75 or/62-74

76 61 not 75

77 29 and 41 and 76

Maternity & Infant Care Database (MIDIRS) via OVID <1971 to 14 June 2022>

1 probiotic\$.ti,ab,de.

2 bifidobacterium\$.ti,ab,de.

3 lactobacill\$.ti,ab,de.

4 Saccharomyces\$.ti,ab,de.

5 or/1-4

6 prebiotic\$.ti,ab,de.

7 oligosaccharide\$.ti,ab,de.

8 inulin\$.ti,ab,de.

9 (fructooligosaccharide\$ or fructo-oligosaccharide\$ or FOS or FOSs).ti,ab,de.

10 (galactooligosaccharide\$ or galacto-oligosaccharide\$).ti,ab,de.

11 lactoferrin\$.ti,ab,de.

12 lactulose\$.ti,ab,de.

13 or/6-12

14 synbiotic\$.ti,ab,de.

15 ((probiotic\$ and prebiotic\$) adj4 combin\$).ti,ab,de.

16 14 or 15

175 or 13 or 16

18 (neonat\$ or neo nat\$).ti,ab.

19 (newborn\$ or new born\$ or newly born\$).ti,ab.

20 (preterm or preterms or pre term or pre terms).ti,ab.

21 (preemie\$ or premie or premies).ti,ab.

22 (prematur\$ adj3 (birth\$ or born or deliver\$)).ti,ab.

 $23 \ (low\ adj3\ (birthweight\$\ or\ birth\ weight\$)).ti,ab.$

24 (lbw or vlbw or elbw).ti,ab.

25 infan\$.ti,ab.

26 (baby or babies).ti,ab.

27 or/18-26

28 17 and 27



29 limit 28 to randomised controlled trial

Ovid MEDLINE(R) ALL <1946 to 1 July 2022>

- 1 Probiotics/
- 2 probiotic\$.ti,ab,kw.
- 3 exp bifidobacterium/
- 4 bifidobacterium\$.ti,ab,kw.
- 5 exp lactobacillus/
- 6 lactobacill\$.ti,ab,kw.
- 7 Saccharomyces/ or Saccharomyces boulardii/ or Saccharomyces cerevisiae/
- 8 Saccharomyces\$.ti,ab,kw.
- 9 or/1-8
- 10 Prebiotics/
- 11 prebiotic\$.ti,ab,kw.
- 12 Oligosaccharides/
- 13 oligosaccharide\$.ti,ab,kw.
- 14 (galactooligosaccharides or galacto-oligosaccharides).ti,ab,kw.
- 15 (fructooligosaccharide\$ or fructo-oligosaccharide\$ or FOS or FOSs).ti,ab,kw.
- 16 Lactulose/
- 17 lactulose\$.ti,ab,kw.
- 18 Inulin/
- 19 inulin\$.ti,ab,kw.
- 20 Lactoferrin/
- 21 lactoferrin\$.ti,ab,kw.
- 22 or/10-21
- 23 Synbiotics/
- 24 synbiotic\$.ti,ab,kw.
- 25 ((probiotic\$ and prebiotic\$) adj4 combin\$).ti,ab,kw. (374)
- 26 or/23-25
- 27 9 or 22 or 26
- 28 exp Infant, Newborn/
- 29 Premature Birth/
- 30 (neonat\$ or neo nat\$).ti,ab.
- 31 (newborn\$ or new born\$ or newly born\$).ti,ab.
- 32 (preterm or preterms or pre term or pre terms).ti,ab.
- 33 (preemie\$ or premie or premies).ti,ab.



- 34 (prematur\$ adj3 (birth\$ or born or deliver\$)).ti,ab.
- 35 (low adj3 (birthweight\$ or birth weight\$)).ti,ab.
- 36 (lbw or vlbw or elbw).ti,ab.
- 37 infan\$.ti,ab.
- 38 (baby or babies).ti,ab.
- 39 or/28-38
- 40 randomized controlled trial.pt.
- 41 controlled clinical trial.pt.
- 42 randomized.ab.
- 43 placebo.ab.
- 44 drug therapy.fs.
- 45 randomly.ab.
- 46 trial.ab.
- 47 groups.ab.
- 48 or/40-47
- 49 exp animals/ not humans.sh.
- 50 48 not 49
- 51 27 and 39 and 50

Trials registers

Search date: 5 July 2022

WHO ICTRP via trialsearch.who.int/

Search 1 of 2:

Condition: (infant* OR baby OR babies OR premature or neonate* OR new born OR preterm OR low birth weight OR low birthweight OR LBW OR VLBW or ELBW) AND Intervention: (probiotic* OR bifidobacterium OR lactobacillus OR saccharomyces OR prebiotic* OR oligosaccharide* OR galactooligosaccharide* OR galacto-oligosaccharide*)

Recruitment Status: ALL

Search 2 of 2:

Condition: (infant* OR baby OR babies OR premature or neonate* OR new born OR preterm OR low birth weight OR low birthweight OR LBW OR VLBW or ELBW) AND Intervention: (fructooligosaccharide* OR fructo-oligosaccharide* OR FOS OR lactulose OR inulin OR lactoferrin OR synbiotics)

Recruitment Status: ALL

Clinical Trials.gov via clinicaltrials.gov/

Search 1 of 2

Other terms: (infant OR baby OR premature OR neonate OR "new born" OR preterm OR "low birth weight" OR LBW OR VLBW OR ELBW) AND (probiotics OR bifidobacterium OR lactobacillus OR saccharomyces OR prebiotics OR oligosaccharides OR galactooligosaccharides)

Search 2 of 2:

Other terms: (infant OR baby OR premature OR neonate OR "new born" OR preterm OR "low birth weight" OR LBW OR VLBW OR ELBW) AND (fructooligosaccharide OR fos OR lactulose OR



inulin OR lactoferrin OR synbiotics)

Appendix 2. Risk of bias tool

Sequence generation (checking for possible selection bias). Was the allocation sequence adequately generated?

For each included study, we categorised the method used to generate the allocation sequence as:

- low risk (any truly random process, e.g. random number table; computer random number generator);
- · high risk (any non-random process, e.g. odd or even date of birth; hospital or clinic record number); or
- · unclear risk.

Allocation concealment (checking for possible selection bias). Was allocation adequately concealed?

For each included study, we categorised the method used to conceal the allocation sequence as:

- low risk (e.g. telephone or central randomisation; consecutively numbered sealed opaque envelopes);
- high risk (open random allocation; unsealed or non-opaque envelopes, alternation; date of birth); or
- · unclear risk.

Blinding of participants and personnel (checking for possible performance bias). Was knowledge of the allocated intervention adequately prevented during the study?

For each included study, we categorised the methods used to blind study participants and personnel from knowledge of which intervention a participant received. Blinding was assessed separately for different outcomes or class of outcomes. We categorised the methods as:

- low risk, high risk or unclear risk for participants; and
- · low risk, high risk or unclear risk for personnel.

Blinding of outcome assessment (checking for possible detection bias). Was knowledge of the allocated intervention adequately prevented at the time of outcome assessment?

For each included study, we categorised the methods used to blind outcome assessment. Blinding was assessed separately for different outcomes or class of outcomes. We categorised the methods as:

- low risk for outcome assessors;
- high risk for outcome assessors; or
- unclear risk for outcome assessors.

Incomplete outcome data (checking for possible attrition bias through withdrawals, dropouts, protocol deviations). Were incomplete outcome data adequately addressed?

For each included study and for each outcome, we described the completeness of data including attrition and exclusions from the analysis. We noted whether attrition and exclusions were reported, the numbers included in the analysis at each stage (compared with the total randomised participants), reasons for attrition or exclusion where reported, and whether missing data were balanced across groups or were related to outcomes. Where sufficient information was reported or supplied by the trial authors, we re-included missing data in the analyses. We categorised the methods as:

- low risk (< 20% missing data);
- high risk (≥ 20% missing data); or
- unclear risk.

Selective reporting bias. Are reports of the study free of suggestion of selective outcome reporting?

For each included study, we described how we investigated the possibility of selective outcome reporting bias and what we found. For studies in which study protocols were published in advance, we planned to compare prespecified outcomes versus outcomes eventually reported in the published results. If the study protocol was not published in advance, we planned to contact study authors to gain access to the study protocol. We assessed the methods as:

- low risk (where it is clear that all of the study's prespecified outcomes and all expected outcomes of interest to the review have been reported):
- high risk (where not all the study's prespecified outcomes have been reported; one or more reported primary outcomes were not
 prespecified outcomes of interest and are reported incompletely and so cannot be used; study fails to include results of a key outcome
 that would have been expected to have been reported); or
- unclear risk.



Other sources of bias. Was the study apparently free of other problems that could put it at a high risk of bias?

For each included study, we described any important concerns we had about other possible sources of bias (for example, whether there was a potential source of bias related to the specific study design or whether the trial was stopped early due to some data-dependent process). We assessed whether each study was free of other problems that could put it at risk of bias as:

- · low risk;
- · high risk;
- · unclear risk.

If needed, we planned to explore the impact of the level of bias through undertaking sensitivity analyses.

HISTORY

Protocol first published: Issue 8, 2021

CONTRIBUTIONS OF AUTHORS

WM, SS, SJO, PTH contributed to the development of the protocol.

WM, SS, SJO screened the search results.

WM, SS, SJO performed risk-of-bias and GRADE assessments.

WM, SS, SJO undertook data extraction and analysis.

WM, SS, SJO, PTH contributed to the development of the final review.

WM, SS, SJO, PTH approved the final version to be published, and all authors agreed to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

DECLARATIONS OF INTEREST

SS: none known.

SJO: no relevant interests; works as a health professional at Bradford Teaching Hospitals.

PTH: no relevant interests; works as a health professional at St Georges Hospital.

WM: no relevant interests; Co-ordinating Editor of Cochrane Neonatal but was not involved in the editorial process or decision-making for this review.

SOURCES OF SUPPORT

Internal sources

· Centre for Reviews and Dissemination, University of York, UK

Host institution

External sources

· Vermont Oxford Network, USA

Cochrane Neonatal Reviews are produced with support from Vermont Oxford Network, a worldwide collaboration of health professionals dedicated to providing evidence-based care of the highest quality for newborn infants and their families.

DIFFERENCES BETWEEN PROTOCOL AND REVIEW

The protocol specified the population of interest as very preterm and VLBW infants in order to enhance applicability to those infants at high risk of developing NEC and associated complications. Some included trials included infants born up to 35 weeks' gestation. We included these trials provided most participants were very preterm and VLBW infants.