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Supplementary appendix

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53 *Supplementary Table 1. Baseline demographics and characteristics by study arm in the immunology cohort*

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	4-week interval study arms				Overall
	ChAd/ChAd (N=23)	ChAd/BNT (N=22)	BNT/BNT (N=21)	BNT/ChAd (N=24)	(N=90)
Age (years)					
Mean (SD)	55.3 (4.29)	58.6 (4.59)	57.3 (5.02)	57.7 (4.73)	57.2 (4.74)
Median (range)	54.8 (50.7, 64.1)	59.2 (52.6, 68.3)	55.3 (51.0, 67.2)	56.2 (51.4, 67.0)	56.0 (50.7, 68.3)
Gender					
Female	13 (56.5%)	8 (36.4%)	10 (47.6%)	10 (41.7%)	41 (45.6%)
Male	10 (43.5%)	14 (63.6%)	11 (52.4%)	14 (58.3%)	49 (54.4%)
Ethnicity					
White	16 (69.6%)	15 (68.2%)	14 (66.7%)	18 (75.0%)	63 (70.0%)
Black			2 (9.5%)		2 (2.2%)
Asian	5 (21.7%)	4 (18.2%)	3 (14.3%)	4 (16.7%)	16 (17.8%)
Mixed	2 (8.7%)	3 (13.6%)	1 (4.8%)	2 (8.3%)	8 (8.9%)
Other			1 (4.8%)		1 (1.1%)
Comorbidities					
Cardiovascular	6 (26.1%)	6 (27.3%)	9 (42.9%)	7 (29.2%)	28 (31.1%)
Respiratory	5 (21.7%)	6 (27.3%)	4 (19.0%)	5 (20.8%)	20 (22.2%)
Diabetes	5 (21.7%)	1 (4.5%)	1 (4.8%)	1 (4.2%)	8 (8.9%)
Timing of six-month visit (days since second dose)					
Mean (SD)	156 (4)	156 (5)	153 (4)	155 (5)	155 (4)
Median (range)	154 (152, 165)	154 (145, 170)	154 (145, 164)	154 (148, 165)	154 (145, 170)

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SD: standard deviation.

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Supplementary Table 2. Immune responses between heterologous and homologous priming schedules at 28 days and 6 months post second dose in the general cohort

	ChAdOx1 nCoV-19 arms						P value for interaction [¶]
	ChAd/ChAd N=83	4-week interval ChAd/BNT N=83	GMR [§]	ChAd/ChAd N=89	12-week interval ChAd/BNT N=77	GMR [§]	
SARS-CoV-2 anti-spike IgG, ELU/ml, 28-day	1444 (1205-1732) [n=81]	12979 (11217-15018) [n=83]	9.0 (7.1,11.3)	2622 (2152-3195) [n=88]	13465 (11391-15917) [n=76]	5.2 (4.0,6.7)	0.0069
SARS-CoV-2 anti-spike IgG, ELU/ml, 6-month	334 (271-411) [n=77]	2236 (1936-2583) [n=80]	6.8 (5.3,8.7)	661 (516-847) [n=61]	2437 (1957-3035) [n=57]	3.8 (2.7,5.3)	0.0088
Pseudotyped virus neutralising antibody, NT ₅₀	74 (63-89) [n=77]	529 (450-622) [n=82]	7.2 (5.7,9.1)	188 (153-231) [n=86]	781 (646-946) [n=75]	4.2 (3.1,5.6)	0.012
Cellular response – Fresh (WT), SFC/10 ⁶ PBMCs, 28-day	48 (38-62) [n=79]	186 (148-234) [n=83]	4.0 (2.8,5.5)	35 (27-44) [n=86]	110 (83-145) [n=74]	3.2 (2.2,4.6)	0.47
Cellular response – Fresh (WT), SFC/10 ⁶ PBMCs, 6-month	32 (25-41) [n=74]	91 (73-114) [n=74]	2.9 (2.0,4.0)	17 (12-23) [n=57]	54 (41-70) [n=54]	3.2 (2.2,4.8)	0.68
BNT162b2 arms							
	BNT/BNT N=84	4-week interval BNT/ChAd N=83	GMR [§]	BNT/BNT N=87	12-week interval BNT/ChAd N=78	GMR [§]	
SARS-CoV-2 anti-spike IgG, ELU/ml, 28-day	14349 (12470-16511) [n=84]	7530 (6811-8325) [n=83]	0.52 (0.44,0.62)	19011 (16468-21947) [n=85]	10642 (8936-12673) [n=76]	0.57 (0.45,0.71)	0.36
SARS-CoV-2 anti-spike IgG, ELU/ml, 6-month	2612 (2258-3022) [n=81]	1748 (1477-2068) [n=81]	0.66 (0.53,0.82)	3560 (3009-4213) [n=62]	2012 (1595-2539) [n=54]	0.57 (0.43,0.76)	0.51
Pseudotyped virus neutralising antibody, NT ₅₀	585 (500-685) [n=83]	397 (342-460) [n=82]	0.67 (0.54,0.83)	899 (770-1051) [n=81]	645 (529-787) [n=71]	0.72 (0.56,0.92)	0.5
Cellular response – Fresh (WT), SFC/10 ⁶ PBMCs, 28-day	72 (54-95) [n=84]	98 (73-131) [n=83]	1.4 (0.93,2.1)	49 (37-64) [n=82]	37 (28-49) [n=73]	0.80 (0.54,1.2)	0.073
Cellular response – Fresh (WT), SFC/10 ⁶ PBMCs, 6-month	35 (26-47) [n=78]	52 (40-69) [n=81]	1.5 (0.97,2.2)	23 (16-32) [n=55]	21 (15-28) [n=52]	0.96 (0.60,1.6)	0.14

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61 GMR: geometric mean ratio; NT₅₀: 50% neutralisation titre; WT: wild-type; SFC: Spot-forming cells; PBMC: Peripheral blood mononuclear cells; ELU/mL: ELISA units per
62 mL; Data shown are geometric mean (95% Confidence Intervals) in the ITT population;

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§ GMRs and two-sided 95% CIs were adjusted for study site;

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¶ p values for interaction between vaccine schedule and vaccine interval were adjusted for study site, age at baseline, sex, ethnicity and paracetamol use on day 0 or day 1 post
65 vaccination.

66 *Supplementary Table 3. Immunogenicity at 28-day and 3-months post second dose between participants with and without missing data at 6-month post second dose in the*
 67 *12-week interval arms*
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	ChAdOx1 nCoV-19 arms			
	ChAd/ChAd		ChAd/BNT	
	With missing data N=20	With no missing data N=69	With missing data N=18	With no missing data N=59
SARS-CoV-2 anti-spike IgG (28-day), ELU/ml	1847 (1233-2767) [n=19]	2888 (2313-3605) [n=69]	10801 (7093-16448) [n=17]	14349 (12020-17128) [n=59]
SARS-CoV-2 anti-spike IgG (3-month), ELU/ml	1369 (777-2410) [n=16]	1417 (1116-1800) [n=69]	4007 (2441-6575) [n=17]	5365 (4443-6478) [n=59]
	BNT162b2 arms			
	BNT/BNT		BNT/ChAd	
	With missing data N=22	With no missing data N=65	With missing data N=22	With no missing data N=56
SARS-CoV-2 anti-spike IgG (28-day), ELU/ml	21869 (16491-29000) [n=20]	18210 (15420-21503) [n=65]	11800 (8333-16709) [n=21]	10230 (8354-12528) [n=55]
SARS-CoV-2 anti-spike IgG (3-month), ELU/ml	8275 (6294-10879) [n=20]	7872 (6708-9238) [n=62]	4845 (3422-6858) [n=20]	4152 (3391-5083) [n=54]

69 ELU/mL: ELISA units per mL; Data shown are geometric mean (95% Confidence Intervals) in the ITT population.
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Supplementary Table 4. Immunogenicity against Beta and Delta variants at 28 days post second dose in the general cohort with a 12-week interval

	ChAdOx1 nCoV-19 first dose arms		BNT162b2 first dose arms		p value [¶]
	ChAd/ChAd N=89	ChAd/BNT N=77	BNT/BNT N=87	BNT/ChAd N=78	
Live virus neutralising antibody, FRNT₅₀					
WT	252 (174-365) [n=51]	1410 (1053-1888) [n=45]	2392 (1985-2882) [n=47]	1273 (985-1645) [n=49]	
Beta	51 (33-79) [n=51]	264 (171-406) [n=45]	690 (543-876) [n=47]	360 (260-498) [n=49]	
<i>Beta to Victoria ratio[§]</i>	0.29 (0.22-0.39) [n=30]	0.22 (0.18-0.28) [n=41]	0.29 (0.25-0.34) [n=47]	0.29 (0.24-0.35) [n=48]	0.19
Delta	88 (59-130) [n=51]	528 (361-772) [n=45]	990 (786-1246) [n=47]	498 (370-670) [n=49]	
<i>Delta to Victoria ratio[§]</i>	0.37 (0.31-0.45) [n=40]	0.39 (0.34-0.46) [n=44]	0.41 (0.36-0.48) [n=47]	0.39 (0.33-0.47) [n=49]	0.86
Cellular response – Frozen cells, SFC/10⁶ PBMC					
WT	24 (17-34) [n=60]	64 (44-94) [n=56]	42 (32-55) [n=55]	32 (22-45) [n=52]	
Beta	26 (19-36) [n=60]	70 (51-97) [n=56]	42 (32-55) [n=56]	29 (21-41) [n=52]	
<i>Beta to WT ratio[§]</i>	1.1 (0.90-1.4) [n=60]	1.1 (0.90-1.3) [n=56]	0.99 (0.84-1.2) [n=55]	0.92 (0.79-1.1) [n=52]	0.43
Delta	26 (19-35) [n=60]	71 (52-96) [n=55]	44 (34-56) [n=55]	28 (20-40) [n=52]	
<i>Delta to WT ratio[§]</i>	1.1 (0.89-1.3) [n=60]	1.0 (0.89-1.2) [n=55]	1.0 (0.87-1.2) [n=55]	0.89 (0.75-1.1) [n=52]	0.46

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FRNT₅₀: 50% focal reduction neutralisation titre; WT: wild-type; SFC: Spot-forming cells; PBMC: Peripheral blood mononuclear cells; Data shown are geometric mean (95% Confidence Intervals) in the ITT population;

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[§] We defined the cross-protection for a strain by the ratio of the immunogenicity against that strain to wild type or Victoria strain; Data presented are geometric mean (95% CI) among participants with data above LLOD;

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[¶] The comparison of cross-protection between schedules was conducted using analysis of variance (ANOVA) to test if there is any difference of the cross-protection between four vaccine schedules.

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81 *Supplementary Table 5. Baseline demographics and characteristics by study arm for paracetamol sub-study*

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	ChAd/ChAd		ChAd/BNT		BNT/BNT		BNT/ChAd	
	Prophylactic (N=40)	Reactive (N=40)	Prophylactic (N=41)	Reactive (N=39)	Prophylactic (N=41)	Reactive (N=39)	Prophylactic (N=36)	Reactive (N=36)
Age (years)								
Mean (SD)	57.0 (4.43)	58.0 (5.54)	58.6 (4.53)	58.7 (4.42)	57.5 (4.27)	58.4 (4.67)	59.2 (5.01)	57.2 (4.47)
Median (range)	57.7 (50.3, 66.3)	57.3 (50.1, 70.0)	58.2 (51.1, 68.0)	58.7 (51.2, 72.7)	57.0 (50.1, 67.6)	57.8 (50.5, 69.8)	59.7 (50.9, 69.8)	57.1 (51.0, 68.0)
Gender								
Female	23 (57.5%)	15 (37.5%)	14 (34.1%)	18 (46.2%)	21 (51.2%)	15 (38.5%)	13 (36.1%)	12 (33.3%)
Male	17 (42.5%)	25 (62.5%)	27 (65.9%)	21 (53.8%)	20 (48.8%)	24 (61.5%)	23 (63.9%)	24 (66.7%)
Ethnicity								
White	31 (77.5%)	33 (82.5%)	31 (75.6%)	34 (87.2%)	34 (82.9%)	31 (79.5%)	31 (86.1%)	30 (83.3%)
Black	1 (2.5%)	1 (2.5%)	1 (2.4%)	-	1 (2.4%)	-	-	-
Asian	4 (10.0%)	3 (7.5%)	5 (12.2%)	3 (7.7%)	2 (4.9%)	5 (12.8%)	3 (8.3%)	3 (8.3%)
Mixed	2 (5.0%)	3 (7.5%)	3 (7.3%)	2 (5.1%)	2 (4.9%)	2 (5.1%)	-	2 (5.6%)
Other	2 (5.0%)	-	1 (2.4%)	-	2 (4.9%)	1 (2.6%)	2 (5.6%)	1 (2.8%)
Comorbidities								
Cardiovascular	8 (20.0%)	8 (20.0%)	9 (22.0%)	10 (25.6%)	7 (17.1%)	8 (20.5%)	11 (30.6%)	5 (13.9%)
Respiratory	3 (7.5%)	2 (5.0%)	5 (12.2%)	5 (12.8%)	6 (14.6%)	5 (12.8%)	3 (8.3%)	3 (8.3%)
Diabetes	1 (2.5%)	1 (2.5%)	-	1 (2.6%)	-	1 (2.6%)	1 (2.8%)	1 (2.8%)

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SD: standard deviation.

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99 *Supplementary Table 6. Paracetamol usage and impact on daily activity in paracetamol sub-study arms in days 0-7 post-second dose*
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	ChAd/ChAd		ChAd/BNT		BNT/BNT		BNT/ChAd	
	Prophylactic (N=40)	Reactive (N=40)	Prophylactic (N=41)	Reactive (N=39)	Prophylactic (N=41)	Reactive (N=39)	Prophylactic (N=36)	Reactive (N=36)
Number of participants with e-diary data*	39	40	39	39	41	38	34	36
At least one dose of paracetamol								
Day 0	37 (94.9%)	7 (17.5%)	33 (84.6%)	4 (10.3%)	40 (97.6%)	6 (15.8%)	32 (94.1%)	10 (27.8%)
Day 1	28 (71.8%)	14 (35.0%)	26 (66.7%)	18 (46.2%)	30 (73.2%)	9 (23.7%)	30 (88.2%)	22 (61.1%)
Day 2	11 (28.2%)	7 (17.5%)	11 (28.2%)	5 (12.8%)	11 (26.8%)	4 (10.5%)	8 (23.5%)	8 (22.2%)
Day 3	8 (20.5%)	4 (10.0%)	4 (10.3%)	3 (7.7%)	4 (9.8%)	2 (5.3%)	2 (5.9%)	3 (8.3%)
Day 4	4 (10.3%)	3 (7.5%)	3 (7.7%)	2 (5.1%)	4 (9.8%)	1 (2.6%)	2 (5.9%)	1 (2.8%)
Day 5	4 (10.3%)	3 (7.5%)	4 (10.3%)	3 (7.7%)	2 (4.9%)	3 (7.9%)	2 (5.9%)	1 (2.8%)
Day 6	2 (5.1%)	1 (2.5%)	3 (7.7%)	1 (2.6%)	2 (4.9%)	-	2 (5.9%)	-
Day 7	2 (5.1%)	2 (5.0%)	2 (5.1%)	-	2 (4.9%)	-	1 (2.9%)	1 (2.8%)
Any in days 0-1	38 (97.4%)	18 (45.0%)	35 (89.7%)	20 (51.3%)	40 (97.6%)	12 (31.6%)	32 (94.1%)	24 (66.7%)
Any in days 0-7	38 (97.4%)	20 (50.0%)	36 (92.3%)	21 (53.8%)	40 (97.6%)	14 (36.8%)	32 (94.1%)	26 (72.2%)
Impact on daily activity								
At least one day where daily activity was impacted	7 (17.9%)	8 (20.0%)	8 (20.5%)	7 (17.9%)	6 (14.6%)	3 (7.9%)	10 (29.4%)	13 (36.1%)
Needed more help than usual to perform daily activities, median (IQR) (days)	0 (0, 0)	0 (0, 0)	0 (0, 0)	0 (0, 0)	0 (0, 0)	0 (0, 0)	0 (0, 0)	0 (0, 0)
Not able to work as planned†, median (IQR) (days)	0 (0, 0)	0 (0, 0)	0 (0, 0)	0 (0, 0)	0 (0, 0)	0 (0, 0)	0 (0, 0)	0 (0, 1)
Sought medical attention or advice due to symptoms, median (IQR) (days)	0 (0, 0)	0 (0, 0)	0 (0, 0)	0 (0, 0)	0 (0, 0)	0 (0, 0)	0 (0, 0)	0 (0, 0)

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 102 IQR: interquartile range.
 103 *Results are based on those with completed e-diary data.
 104 †Denominator is all participants randomised to study arm including those who answered 'not applicable' to work question.

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Supplementary Table 7. Summary of adverse events in the general and immunology cohorts

	4-week interval arms				12-week interval arms				Total (N=830)
	ChAd/ChAd (N=115)	ChAd/BNT (N=114)	BNT/BNT (N=119)	BNT/ChAd (N=115)	ChAd/ChAd (N=92)	ChAd/BNT (N=90)	BNT/BNT (N=93)	BNT/ChAd (N=92)	
Number of adverse events*	127	133	158	154	99	113	98	122	1004
Number of unique participants with at least one adverse event	64 (55.6%)	68 (59.6%)	61 (51.2%)	67 (58.2%)	54 (58.7%)	55 (61.1%)	40 (43.0%)	53 (57.6%)	462 (48.1%)
Timing of AE									
Between first and second doses	53 (43.1%)	54 (42.5%)	59 (38.3%)	61 (42.4%)	52 (57.1%)	63 (57.8%)	50 (53.2%)	61 (51.7%)	453 (47.2%)
Post 1 st dose†	3 (2.4%)							4 (3.4%)	7 (0.7%)
Post 2 nd dose	71 (57.7%)	79 (62.2%)	99 (64.3%)	93 (64.6%)	47 (51.6%)	50 (45.9%)	48 (51.1%)	57 (48.3%)	544 (56.7%)
Severity									
Grade 1	57 (46.3%)	80 (63.0%)	80 (51.9%)	69 (47.9%)	57 (62.6%)	63 (57.8%)	60 (63.8%)	68 (57.6%)	534 (55.6%)
Grade 2	56 (45.5%)	41 (32.3%)	71 (46.1%)	74 (51.4%)	33 (36.3%)	42 (38.5%)	30 (31.9%)	42 (35.6%)	389 (40.5%)
Grade 3	13 (10.6%)	10 (7.9%)	6 (3.9%)	10 (6.9%)	9 (9.9%)	8 (7.3%)	7 (7.4%)	11 (9.3%)	74 (7.7%)
Grade 4	1 (0.8%)	2 (1.6%)	1 (0.6%)	1 (0.7%)			1 (1.1%)	1 (0.8%)	7 (0.7%)
Causality									
No relationship	62 (50.4%)	49 (38.6%)	58 (37.7%)	52 (36.1%)	45 (49.5%)	48 (44.0%)	50 (53.2%)	63 (53.4%)	427 (44.5%)
Unlikely	42 (34.1%)	52 (40.9%)	53 (34.4%)	64 (44.4%)	30 (33.0%)	31 (28.4%)	29 (30.9%)	32 (27.1%)	333 (34.7%)
Possible	13 (10.6%)	16 (12.6%)	38 (24.7%)	23 (16.0%)	5 (5.5%)	17 (15.6%)	11 (11.7%)	16 (13.6%)	139 (14.5%)
Probable	5 (4.1%)	9 (7.1%)	8 (5.2%)	11 (7.6%)	14 (15.4%)	14 (12.8%)	7 (7.4%)	9 (7.6%)	77 (8.0%)
Definite	5 (4.1%)	7 (5.5%)	1 (0.6%)	4 (2.8%)	5 (5.5%)	3 (2.8%)	1 (1.1%)	2 (1.7%)	28 (2.9%)

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*Denominator for percentage calculations. †Did not receive second dose.

122 **Supplementary Table 8. Non-serious adverse events of grade ≥ 3**

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ID	Study Arm	Severity	Causality	Days since first dose	Days since second dose	MedDRA Preferred Term	MedDRA System Order Class
1	ChAd/ChAd 4-week	Grade 3	Unlikely	33	5	Migraine	Vascular disorders
2	ChAd/ChAd 4-week	Grade 3	No relationship	67	39	Chest pain	General disorders and administration site conditions
3	ChAd/ChAd 4-week	Grade 3	No relationship	1		Back pain	Musculoskeletal and connective tissue disorders
4	ChAd/ChAd 4-week	Grade 3	No relationship	0		Cold type haemolytic anaemia	Immune system disorders
5	ChAd/ChAd 4-week	Grade 3	Possible	53	23	Pain in extremity	Musculoskeletal and connective tissue disorders
6	ChAd/ChAd 4-week	Grade 3	Unlikely	48	19	Headache	Nervous system disorders
7	ChAd/ChAd 4-week	Grade 3	No relationship	206	176	Post viral fatigue syndrome	Nervous system disorders
8	ChAd/ChAd 4-week	Grade 3	No relationship	32	3	Limb injury	Injury, poisoning and procedural complications
9	ChAd/ChAd 4-week	Grade 3	No relationship	182	153	Infected dermal cyst	Infections and infestations
10	ChAd/ChAd 4-week	Grade 3	No relationship	3		Environmental exposure~	Injury, poisoning and procedural complications
11	ChAd/ChAd 4-week	Grade 3	Possible	0		Fatigue	General disorders and administration site conditions
12	ChAd/ChAd 4-week	Grade 3	No relationship	55	27	Back pain	Musculoskeletal and connective tissue disorders
13	ChAd/ChAd 4-week	Grade 3	No relationship	27		Glaucoma	Eye disorders
14	ChAd/ChAd 12-week	Grade 3	No relationship	99	15	Bunion operation	Surgical and medical procedures
15	ChAd/ChAd 12-week	Grade 3	No relationship	257	173	Coronavirus infections	Infections and infestations
16	ChAd/ChAd 12-week	Grade 3	No relationship	31		Tonsillitis	Infections and infestations
17	ChAd/ChAd 12-week	Grade 3	No relationship	81		Tooth abscess	Infections and infestations
18	ChAd/ChAd 12-week	Grade 3	No relationship	62		Thyroid mass	Endocrine disorders
19	ChAd/ChAd 12-week	Grade 3	No relationship	103	19	Vertigo	Ear and labyrinth disorders
20	ChAd/ChAd 12-week	Grade 3	Unlikely	244	160	Abdominal pain	Gastrointestinal disorders
21	ChAd/ChAd 12-week	Grade 3	No relationship	93	9	Migraine	Vascular disorders
22	ChAd/BNT 4-week	Grade 3	Definite	0		Chills§	General disorders and administration site conditions
23	ChAd/BNT 4-week	Grade 3	Unlikely	92	64	Deep vein thrombosis	Vascular disorders
24	ChAd/BNT 4-week	Grade 3	Probable	1		Meniere's disease	Ear and labyrinth disorders
25	ChAd/BNT 4-week	Grade 3	No relationship	43	15	Back Pain	Musculoskeletal and connective tissue disorders

26	ChAd/BNT 4-week	Grade 3	No relationship	100	72	Basal cell carcinoma	Neoplasms benign, malignant and unspecified (incl cysts and polyps)
27	ChAd/BNT 4-week	Grade 3	Unlikely	15		Fatigue	General disorders and administration site conditions
28	ChAd/BNT 4-week	Grade 3	No relationship	56	28	Abdominal pain	Gastrointestinal disorders
29	ChAd/BNT 4-week	Grade 3	No relationship	38	10	Headache	Nervous system disorders
30	ChAd/BNT 4-week	Grade 3	No relationship	43	14	Foot fracture	Musculoskeletal and connective tissue disorders
31	ChAd/BNT 4-week	Grade 3	Unlikely	48	20	Fatigue	General disorders and administration site conditions
32	ChAd/BNT 12-week	Grade 3	No relationship	108	25	Cluster headache	Nervous system disorders
33	ChAd/BNT 12-week	Grade 3	No relationship	165	82	Radioactive iodine therapy	Surgical and medical procedures
34	ChAd/BNT 12-week	Grade 3	Unlikely	58		Periarthritis	Musculoskeletal and connective tissue disorders
35	ChAd/BNT 12-week	Grade 3	No relationship	8		Urinary tract infection	Infections and infestations
36	ChAd/BNT 12-week	Grade 3	No relationship	109	25	Respiratory tract infection	Infections and infestations
37	ChAd/BNT 12-week	Grade 3	Unlikely	136	52	Renal mass	Renal and urinary disorders
38	ChAd/BNT 12-week	Grade 3	Unlikely	101	17	Lethargy	General disorders and administration site conditions
39	ChAd/BNT 12-week	Grade 3	Possible	0		Tremor	Nervous system disorders
40	BNT/BNT 4-week	Grade 3	No relationship	166	138	Hypertension	Vascular disorders
41	BNT/BNT 4-week	Grade 3	No relationship	26		Pneumonia	Infections and infestations
42	BNT/BNT 4-week	Grade 3	Unlikely	3		Coronavirus infections	Infections and infestations
43	BNT/BNT 4-week	Grade 3	No relationship	48	20	Rotator cuff syndrome	Injury, poisoning and procedural complications
44	BNT/BNT 4-week	Grade 3	No relationship	10		Bursitis	Musculoskeletal and connective tissue disorders
45	BNT/BNT 4-week	Grade 3	No relationship	211	181	Road traffic accident	Injury, poisoning and procedural complications
46	BNT/BNT 12-week	Grade 3	Unlikely	62		Depressed mood	Psychiatric disorders
47	BNT/BNT 12-week	Grade 3	Unlikely	89	5	Diarrhoea	Gastrointestinal disorders
48	BNT/BNT 12-week	Grade 3	Unlikely	102	16	Sinusitis	Respiratory, thoracic and mediastinal disorders
49	BNT/BNT 12-week	Grade 3	Unlikely	104	20	Vertigo	Ear and labyrinth disorders
50	BNT/BNT 12-week	Grade 3	No relationship	2		Rotator cuff repair	Surgical and medical procedures
51	BNT/BNT 12-week	Grade 3	No relationship	109	23	Tooth extraction	Surgical and medical procedures
52	BNT/ChAd 4-week	Grade 3	Probable	29	1	Decreased appetite	Metabolism and nutrition disorders
53	BNT/ChAd 4-week	Grade 3	Probable	31	1	Migraine	Vascular disorders

54	BNT/ChAd 4-week	Grade 3	No relationship	44	16	Pyrexia	General disorders and administration site conditions
55	BNT/ChAd 4-week	Grade 3	Unlikely	47	19	Fatigue	General disorders and administration site conditions
56	BNT/ChAd 4-week	Grade 3	No relationship	28	0	Depressed mood	Psychiatric disorders
57	BNT/ChAd 4-week	Grade 3	No relationship	92	64	Hypersensitivity	Immune system disorders
58	BNT/ChAd 4-week	Grade 3	Probable	28	0	Arthralgia	Musculoskeletal and connective tissue disorders
59	BNT/ChAd 4-week	Grade 3	Unlikely	45	17	Headache	Nervous system disorders
60	BNT/ChAd 4-week	Grade 3	Unlikely	45	17	Viral infection*	Infections and infestations
61	BNT/ChAd 4-week	Grade 3	Possible	33	5	Back Pain	Musculoskeletal and connective tissue disorders
62	BNT/ChAd 12-week	Grade 3	No relationship	87	3	Melanocytic naevus	Skin and subcutaneous tissue disorders
63	BNT/ChAd 12-week	Grade 3	Possible	84	1	Tachycardia	Cardiac disorders
64	BNT/ChAd 12-week	Grade 3	No relationship	80		Skin injury	Injury, poisoning and procedural complications
65	BNT/ChAd 12-week	Grade 3	No relationship	91	0	Trigmeinal palsy	Nervous system disorders
66	BNT/ChAd 12-week	Grade 4	No relationship	56		Transurethral prostatectomy	Surgical and medical procedures
67	BNT/ChAd 12-week	Grade 3	Probable	85	0	Ear pain	Ear and labyrinth disorders
68	BNT/ChAd 12-week	Grade 3	Unlikely	104	19	Upper respiratory tract infection	Infections and infestations
69	BNT/ChAd 12-week	Grade 3	Probable	84	0	Sinus headache	Respiratory, thoracic and mediastinal disorders
70	BNT/ChAd 12-week	Grade 3	No relationship	40		Ligament sprain	Injury, poisoning and procedural complications
71	BNT/ChAd 12-week	Grade 3	Unlikely	14		Anaphylactoid reaction	Immune system disorders
72	BNT/ChAd 12-week	Grade 3	No relationship	99		Knee arthroplasty	Surgical and medical procedures

124

125 ~ Participant developed respiratory irritation after performing DIY

126 § Episode of rigors with fever, entered in unsolicited diary

127 * Tested for COVID-19 and negative

128 **Supplementary Table 9. Adverse events of special interest* in all study arms**

129

ID	Study arm	Severity	Causality	Serious AE	Days to onset since first dose	Days to onset since second dose	MedDRA Preferred Term	MedDRA System Order Class
1	ChAd/BNT 4-week	Grade 3	Unlikely	No	92	64	Deep vein thrombosis	Vascular disorders
2	ChAd/BNT 4-week	Grade 4	Unlikely	Yes - hospitalisation	84	56	Cardiac failure [#]	Cardiac disorders
3	BNT/ChAd 4-week	Grade 3	No relationship	No	92	64	Hypersensitivity	Immune system disorders
4	BNT/ChAd 12-week	Grade 3	No relationship	No	91	0	Trigeminal palsy	Nervous system disorders
5	BNT/ChAd 12-week	Grade 3	Unlikely	No	14		Anaphylactoid reaction	Immune system disorders

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131 * Excluding SARS-CoV-2 infection/COVID-19

132 [#]Ongoing at time of data-lock

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157 *Supplementary Table 10. Serious adverse events in all study arms*

158

ID	Study arm	Severity	Causality	Serious adverse event	Days to onset since first dose	Days to onset since second dose	MedDRA Preferred Term	MedDRA System Order Class
1	ChAd/ChAd 4-week	Grade 4	Unlikely	Hospitalisation	7		Arthritis bacterial	Infections and infestations
2	ChAd/ChAd 12-week	Grade 2	Unlikely	Hospitalisation	106	22	Orchitis	Reproductive system and breast disorders
3	ChAd/ChAd 12-week	Grade 3	No relationship	Hospitalisation	85	^	Tubo-ovarian abscess	Infections and infestations
4	ChAd/BNT 4-week	Grade 4	No relationship	An important medical event	144	116	Cellulitis	Infections and infestations
5	ChAd/BNT 4-week	Grade 4	Unlikely	Hospitalisation	84	56	Cardiac failure	Cardiac disorders
6	BNT/BNT 4-week	Grade 4	No relationship	Hospitalisation	265	236	Ankle fracture	Musculoskeletal and connective tissue disorders
7	BNT/BNT 12-week	Grade 4	No relationship	Hospitalisation	88	0	Acute kidney injury	Renal and urinary disorders
8	BNT/BNT 12-week	Grade 3	No relationship	An important medical event	197	113	Joint dislocation	Musculoskeletal and connective tissue disorders
9	BNT/ChAd 4-week	Grade 4	No relationship	Hospitalisation	109	81	Clavicle fracture	Musculoskeletal and connective tissue disorders
10	BNT/ChAd 4-week	Grade 2	No relationship	Hospitalisation	132	104	Hand fracture	Musculoskeletal and connective tissue disorders
11	BNT/ChAd 12-week	Grade 3	Possible	An important medical event	113	29	IgA nephropathy	Immune system disorders

159

160 See protocol for causality assessment guidance

161 ^Second dose at D94

162 **Supplementary Table 11. Adverse event of special interest - COVID-19 cases after prime vaccination**
 163

ID	Study arm	Severit	Causality	Days to onset [±] since first	Days to onset [±] since	Date of
1	ChAd/ChAd 4-	Grade 1	No	149	121	07/2021
2	ChAd/ChAd 4-	Grade 2	No	145	117	07/2021
3	ChAd/ChAd 4-	Grade 1	No	219	191	09/2021
4	ChAd/ChAd 4-	Grade 2	No	124	96	06/2021
5	ChAd/ChAd 4-	Grade 1	No	193	165	08/2021
6	ChAd/ChAd 4-	Grade 1	No	215	187	09/2021
7	ChAd/ChAd 12-	Grade 3	No	257	173	10/2021
8	ChAd/ChAd 12-	Grade 2	Unlikely	194	110	08/2021
9	ChAd/ChAd 12-	Grade 2	No	228	144	10/2021
10	ChAd/ChAd 12-	Grade 1	No	191	105	08/2021
11	ChAd/ChAd 12-	Grade 1	Unlikely	225	140	09/2021
12	ChAd/BNT 4-	Grade 1	No	188	160	08/2021
13	ChAd/BNT 4-	Grade 1	No	210	182	09/2021
14	ChAd/BNT 4-	Grade 1	No	149	121	07/2021
15	ChAd/BNT 4-	Grade 2	No	53 [^]		04/2021
16	ChAd/BNT 4-	Grade 2	Unlikely	139	111	07/2021
17	ChAd/BNT 12-	Grade 1	No	265	181	11/2021
18	ChAd/BNT 12-	Grade 2	Unlikely	256	172	11/2021
19	ChAd/BNT 12-	Grade 2	No	210	126	09/2021
20	ChAd/BNT 12-	Grade 2	No	247	161	10/2021
21	ChAd/BNT 12-	Grade 2	No	265	179	11/2021
22	BNT/ChAd 4-	Grade 1	No	177	149	08/2021
23	BNT/ChAd 4-	Grade 1	Unlikely	169	141	08/2021
24	BNT/ChAd 4-	Grade 2	Unlikely	196	168	08/2021
25	BNT/ChAd 4-	Grade 1	No	156	128	07/2021
26	BNT/ChAd 4-	Grade 2	No	235	207	10/2021
27	BNT/ChAd 12-	Grade 1	No	253	169	10/2021
28	BNT/ChAd 12-	Grade 1	No	196	112	08/2021
29	BNT/ChAd 12-	Grade 2	No	6		02/2021
30	BNT/BNT 4-	Grade 3	Unlikely	3		02/2021
31	BNT/BNT 4-	Grade 2	No	228	200	10/2021
32	BNT/BNT 4-	Grade 1	No	148	120	07/2021
33	BNT/BNT 4-	Grade 1	No	179	151	08/2021
34	BNT/BNT 4-	Grade 1	No	245	216	10/2021
35	BNT/BNT 4-	Grade 2	Unlikely	4		02/2021
36	BNT/BNT 4-	Grade 1	No	142	114	07/2021
37	BNT/BNT 4-	Grade 2	Unlikely	160	132	07/2021
38	BNT/BNT 12-	Grade 1	No	147	62	07/2021
39	BNT/BNT 12-	Grade 2	No	177	92	08/2021
40	BNT/BNT 12-	Grade 1	No	252	166	10/2021

164
 165 Severity grading as per protocol.

166 [^] Participant had not received second dose prior to infection, dose delayed due to travel

167 [±] Defined by first symptom meeting government testing criteria at that time (<https://www.gov.uk/get-coronavirus-test>) or by self-reported test positivity, whichever was earlier.

169 Cases included in this table include both symptomatic and asymptomatic cases.

170

171 *Supplementary Table 12. Numbers of participants analysed per timepoint for A) Anti-spike IgG from first dose,*
 172 *B) Anti-spike IgG from second dose, C) T-cell ELISpot from first dose and D) T-cell ELISpot from second dose*
 173

A Arm	Timepoint from first dose							
	0	28	56	84	112	182	240	264
ChAd-ChAd-28	79	78	76	0	0	73	34	0
ChAd-BNT-28	81	82	82	0	0	79	36	0
BNT-BNT-28	82	82	82	0	0	79	29	0
BNT-ChAd-28	82	82	81	0	0	80	32	0
ChAd-ChAd-84	88	0	89	89	88	85	0	61
ChAd-BNT-84	76	0	76	77	75	76	0	57
BNT-BNT-84	87	0	87	87	85	82	0	62
BNT-ChAd-84	78	0	78	77	76	74	0	54
Total	653	324	651	330	324	628	131	234

174

B Arm	Timepoint from second dose					
	0	28	98	154	180	212
ChAd-ChAd-28	78	76	0	73	0	34
ChAd-BNT-28	82	82	0	79	0	36
BNT-BNT-28	82	82	0	79	0	29
BNT-ChAd-28	82	81	0	80	0	32
ChAd-ChAd-84	89	88	85	0	61	0
ChAd-BNT-84	77	75	76	0	57	0
BNT-BNT-84	87	85	82	0	62	0
BNT-ChAd-84	77	76	74	0	54	0
Total	654	645	317	311	234	131

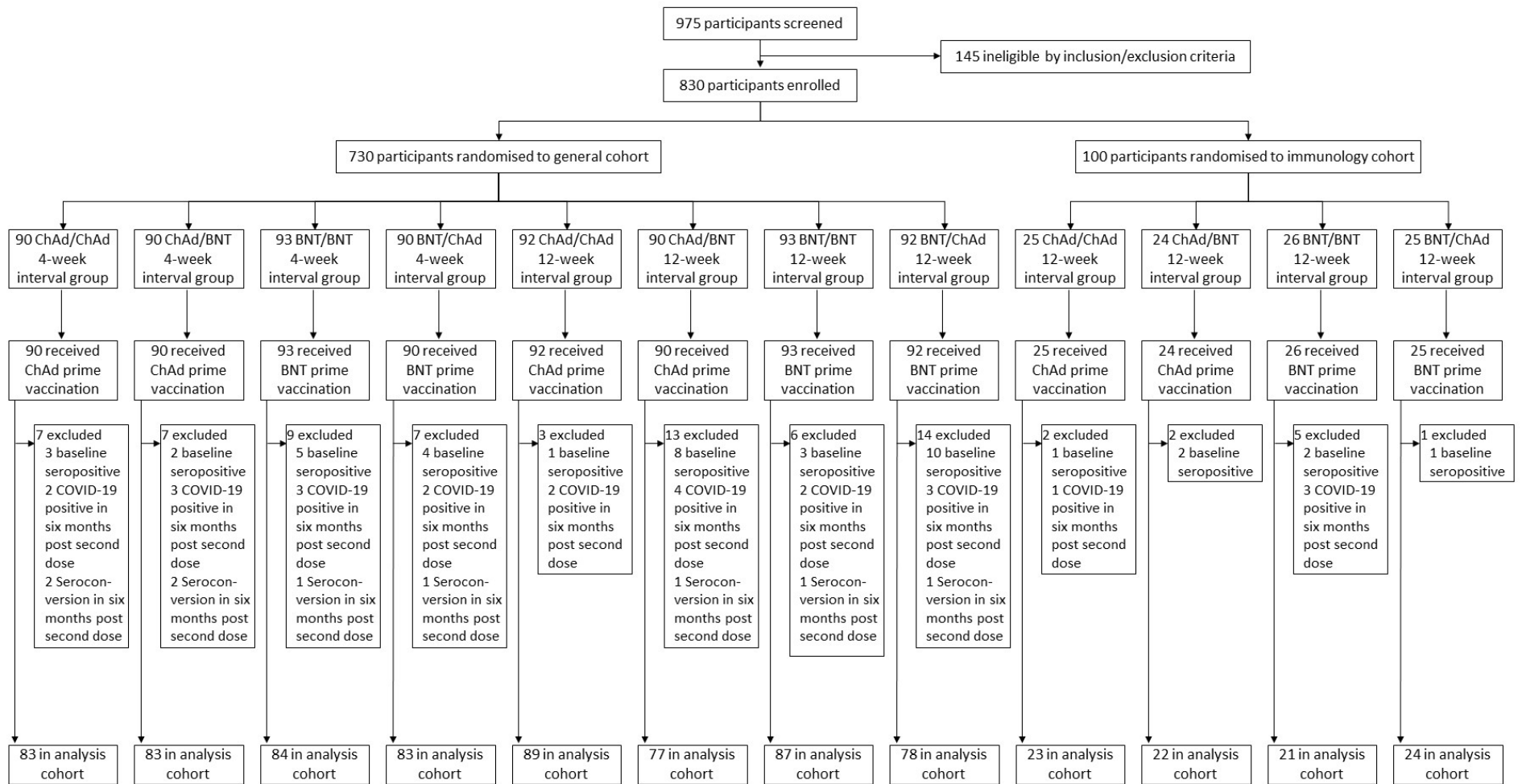
175

C Arm	Timepoint from first dose							
	0	28	56	84	112	182	240	264
ChAd-ChAd-28	79	78	74	0	0	70	31	0
ChAd-BNT-28	81	82	82	0	0	73	35	0
BNT-BNT-28	81	82	82	0	0	76	29	0
BNT-ChAd-28	82	81	82	0	0	80	27	0
ChAd-ChAd-84	88	0	88	88	86	80	0	57
ChAd-BNT-84	75	0	76	76	74	72	0	54
BNT-BNT-84	86	0	86	87	81	82	0	56
BNT-ChAd-84	77	0	76	77	73	73	0	52
Total	649	323	646	328	314	606	122	219

176

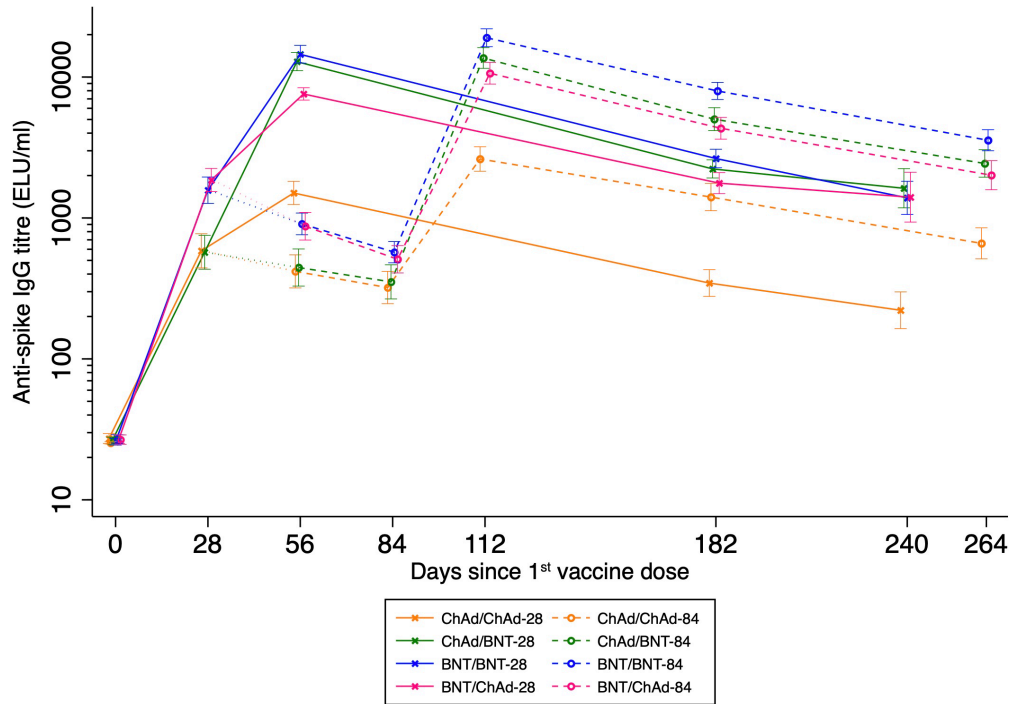
D Arm	Timepoint from second dose					
	0	28	98	154	180	212
ChAd-ChAd-28	78	74	0	70	0	31
ChAd-BNT-28	82	82	0	73	0	35
BNT-BNT-28	82	82	0	76	0	29
BNT-ChAd-28	81	82	0	80	0	27
ChAd-ChAd-84	88	86	80	0	57	0
ChAd-BNT-84	76	74	72	0	54	0
BNT-BNT-84	87	81	82	0	56	0
BNT-ChAd-84	77	73	73	0	52	0
Total	651	634	307	299	219	122

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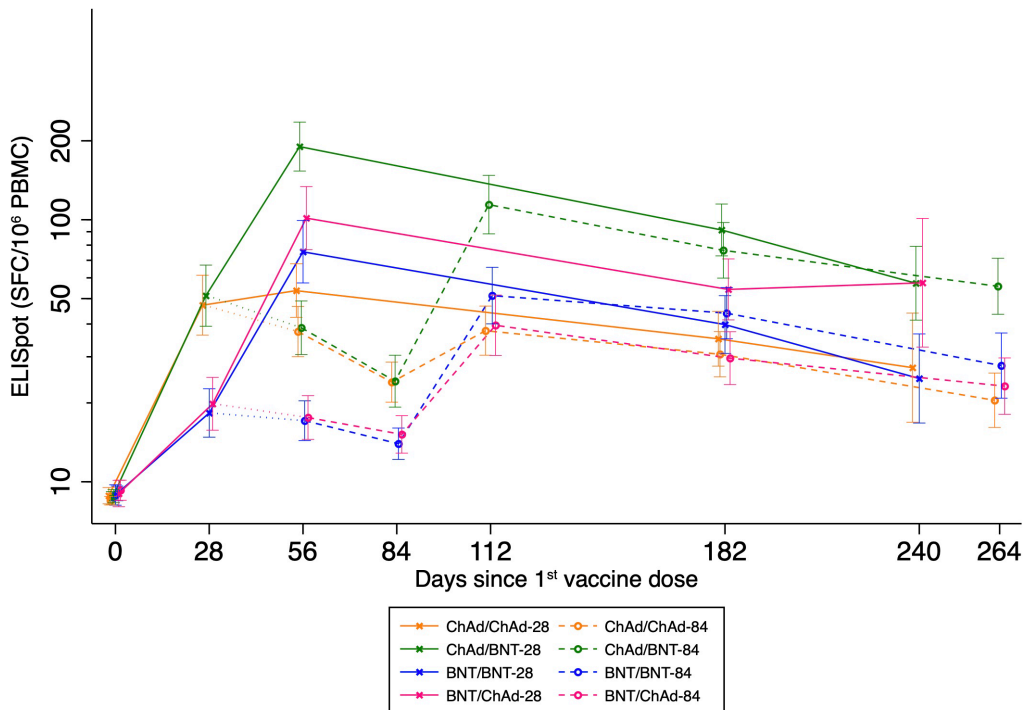


180 **Supplementary Figure 2. Kinetics of immune response over time with all schedules normalised by time of first**
 181 **dose in the seronegative general cohort A) Anti-spike IgG titre, B) T-cell ELISpot count**
 182

183 D0 refers to time of first dose; Data points are geometric mean concentrations, with whiskers showing 95%
 184 confidence intervals; Dotted lines are interpolations between Day 28 and Day 56 for the 12-week interval arms
 185 only to give a more accurate view of the kinetics, as no Day 28 sample was taken in 12-week interval arms and
 186 the Day 28 data in the 4-week interval arms were used to draw dotted lines.
 187



188 **A)**

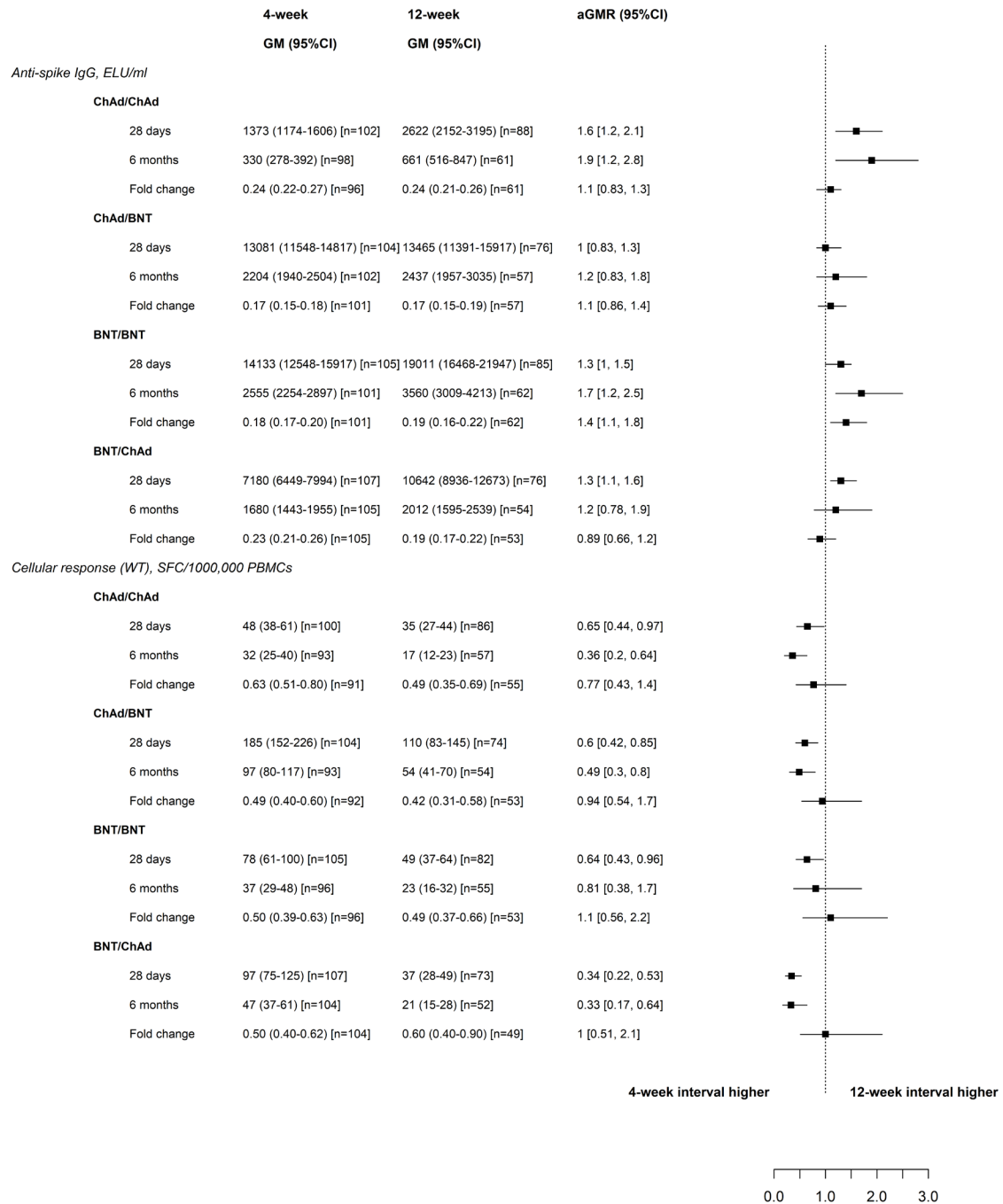


189 **B)**

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 192
 193

194 **Supplementary Figure 3. Sensitivity analyses for immune responses comparing 4-week and 12-week interval**
 195 **in the general and immunology cohorts**
 196

197 Data presented are the geometric means and 95% confidence interval; Fold changes were calculated by dividing
 198 the immune response at 6-months post-second dose by that at 28-day post-second dose; Geometric mean ratios
 199 (GMRs) between schedules with 4- and 12-week intervals were adjusted for study site and paracetamol usage in
 200 the first 24 hours post vaccination (yes/no) for the 28-day data; 6-month visit time (days) was further adjusted for
 201 the 6-month data and fold change. The dotted line refers to a GMR of one, where there is no difference between
 202 4- and 12-week interval arms.
 203



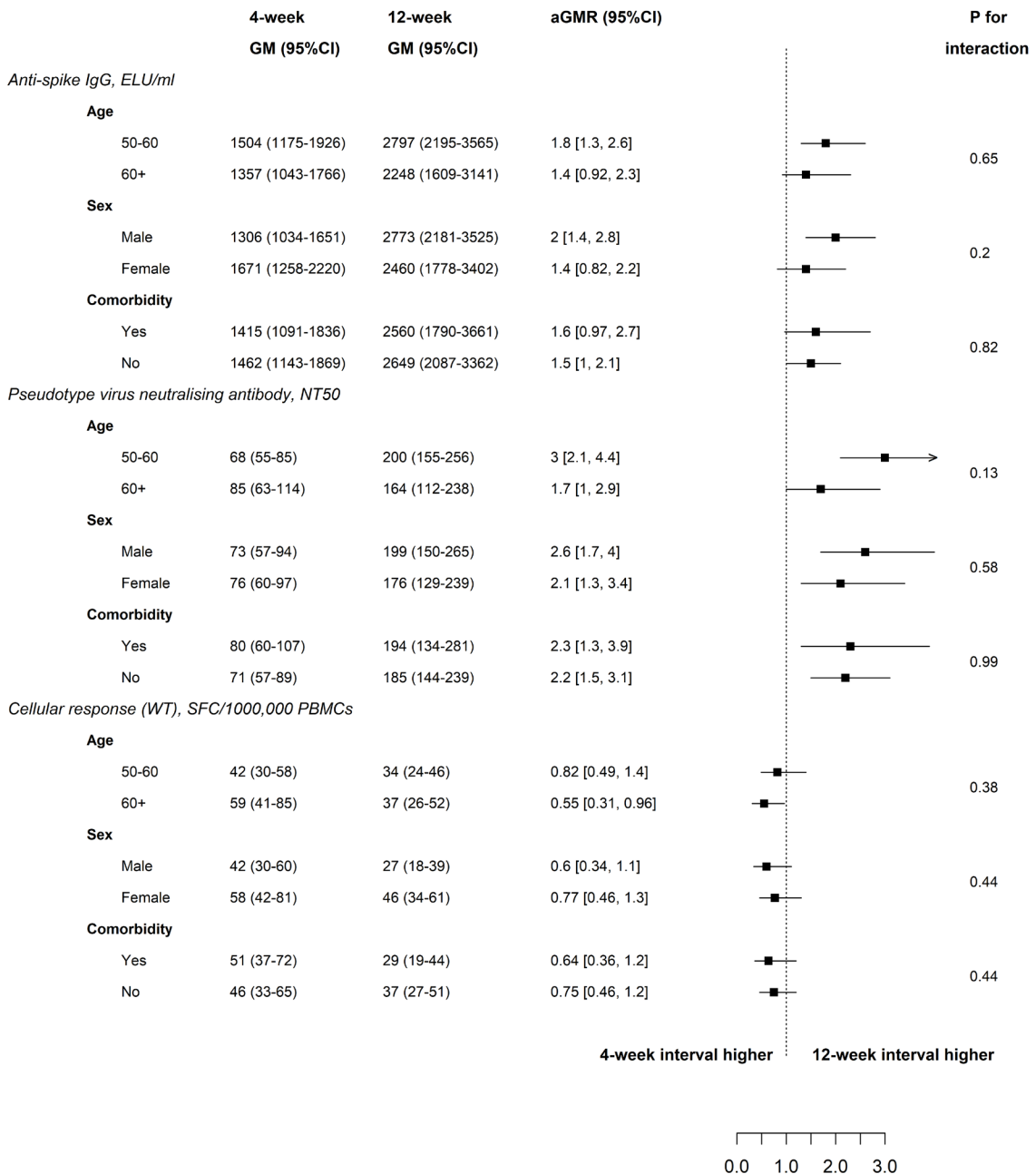
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210 **Supplementary Figure 4. Subgroup analyses for immune responses comparing 4-week and 12-week intervals**
 211 **among schedules of A) ChAd/ChAd; B) ChAd/BNT; C) BNT/BNT; D) BNT/ChAd, at 28 days post second dose**
 212 **in the general cohort**

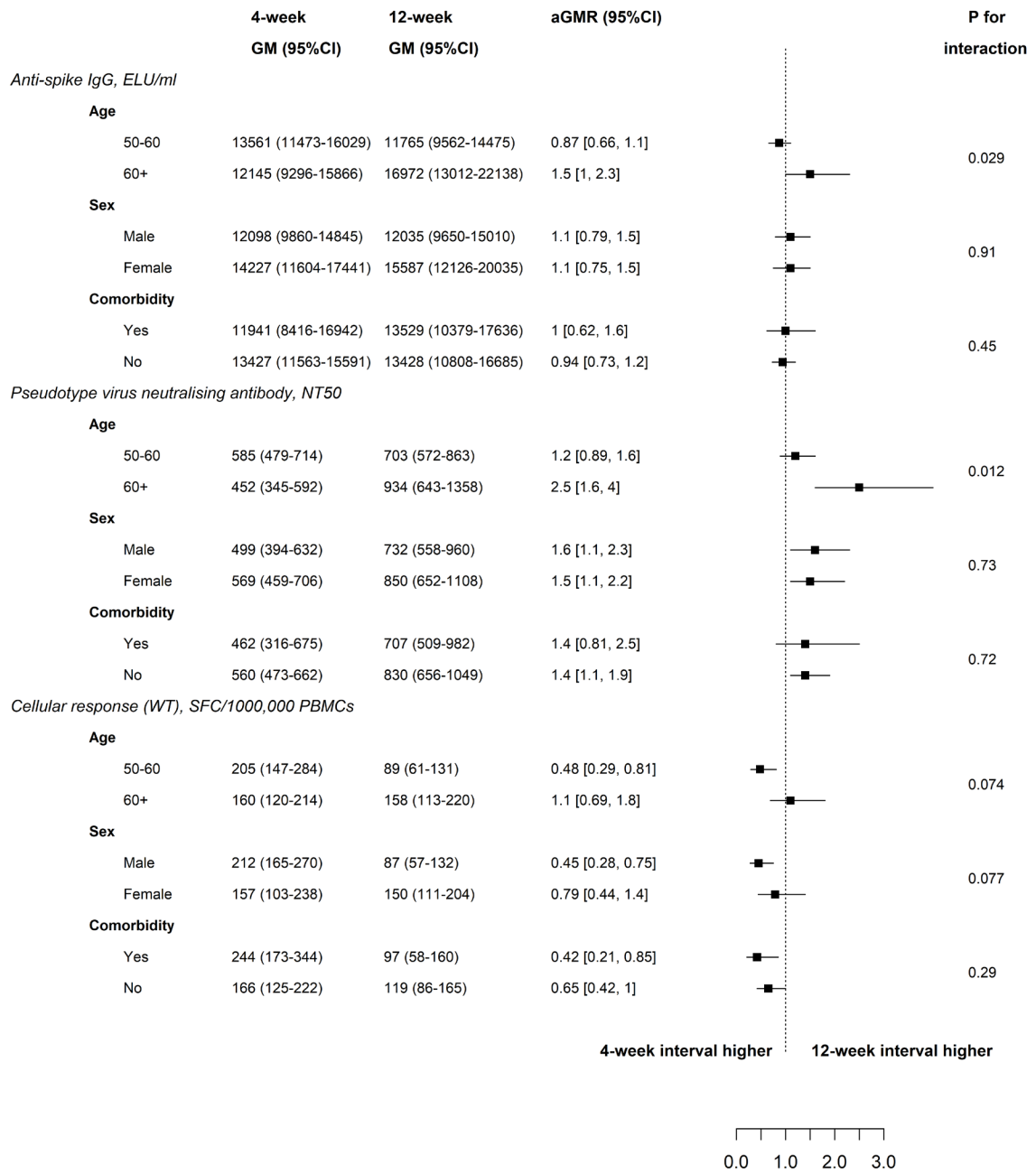
213
 214 Geometric mean ratios (GMRs) were adjusted for study site and paracetamol use on day 0 or day 1 post-
 215 vaccination; two-sided 95% CI are presented. The vertical dotted line represents a GMR of one. Comorbidity
 216 was defined as presence of any cardiovascular/respiratory disease or diabetes
 217
 218

A)

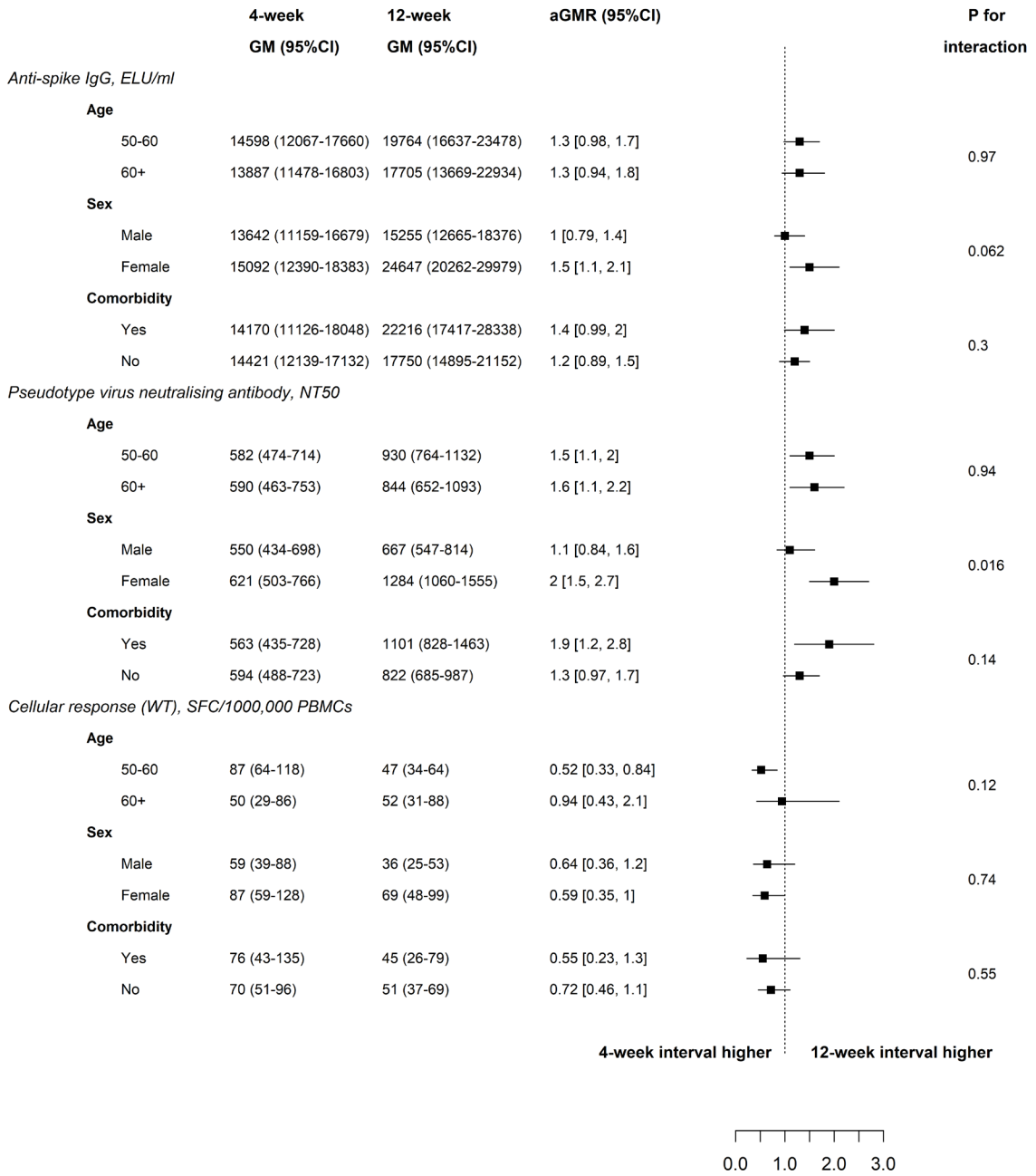
ChAd/ChAd



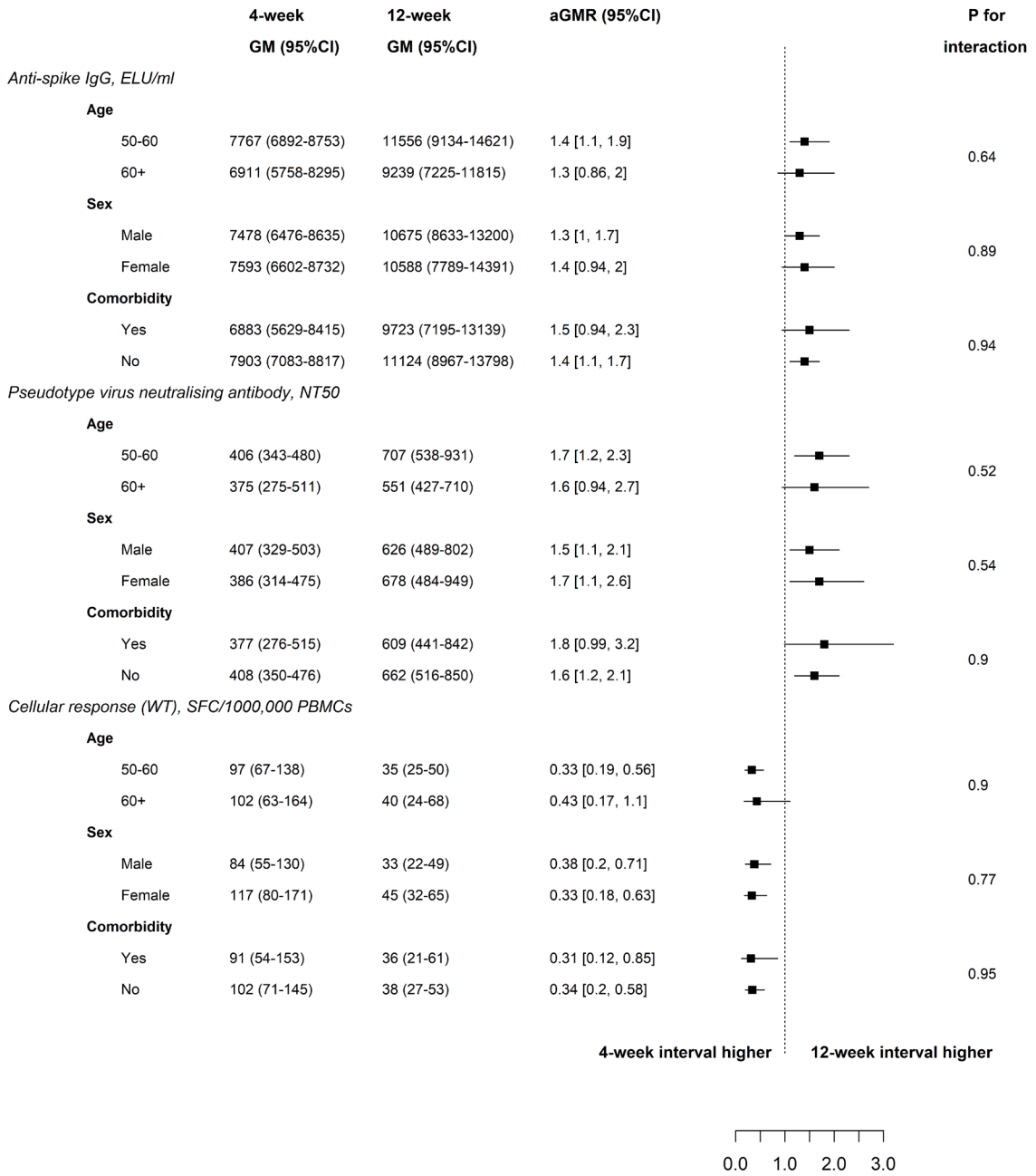
ChAd/BNT



BNT/BNT

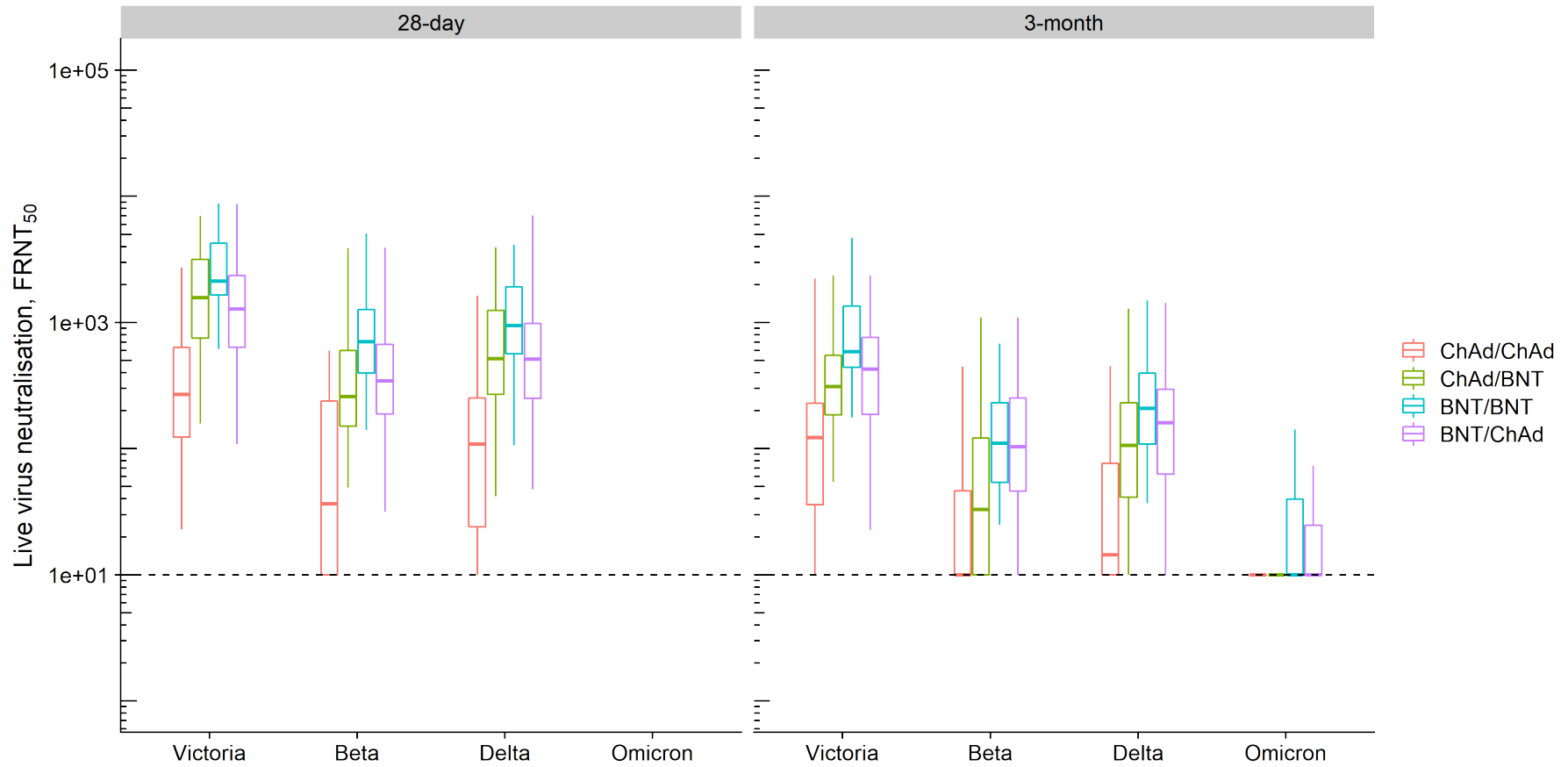


BNT/ChAd



227 **Supplementary Figure 5. Live neutralising antibodies against Victoria, Beta, Delta and Omicron variants at 28 days and 3 months post second dose in the general cohort**
228 **with a 12-week interval**
229

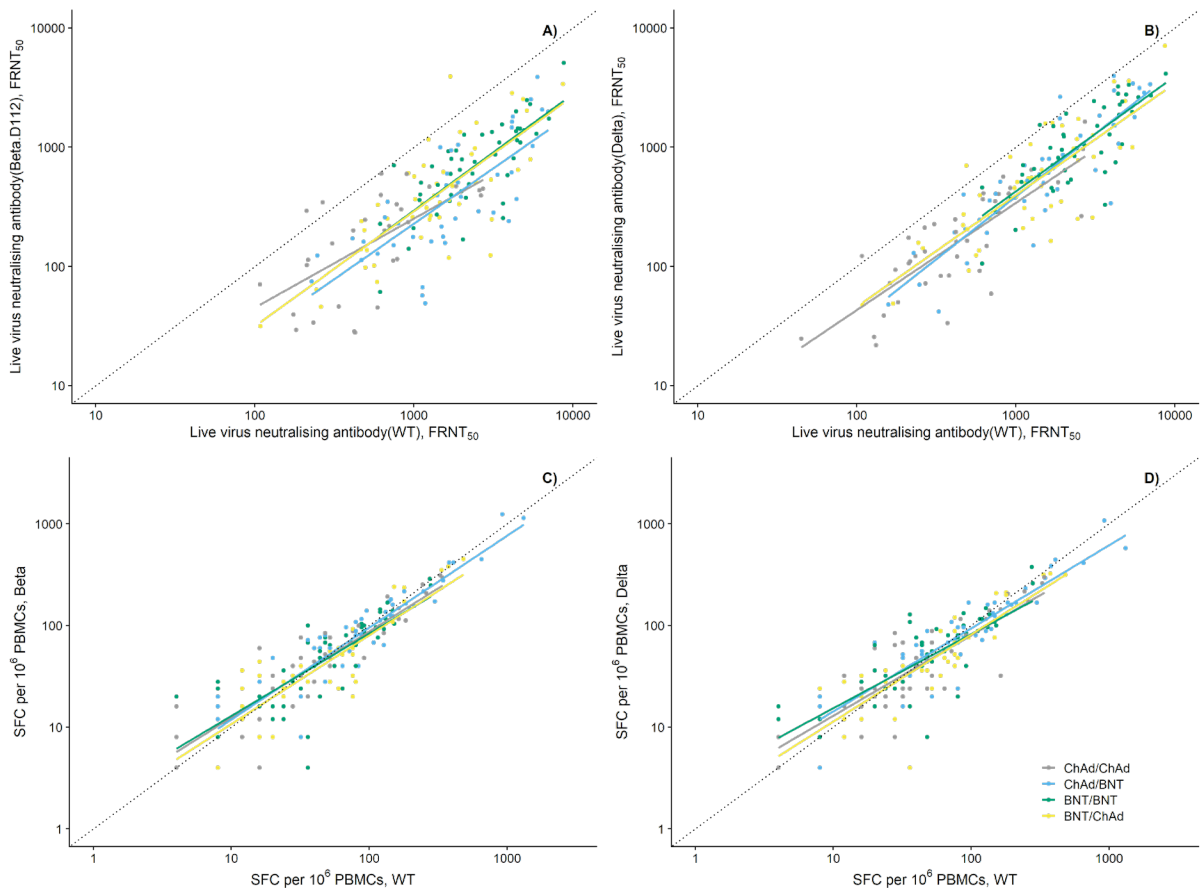
230 Dotted lines are the half value of the lower limit of detection; Boxes show median (IQR). 28-day post second-dose data not available for the Omicron variant. The same 50
231 participants were analysed at each timepoint for each variant.
232



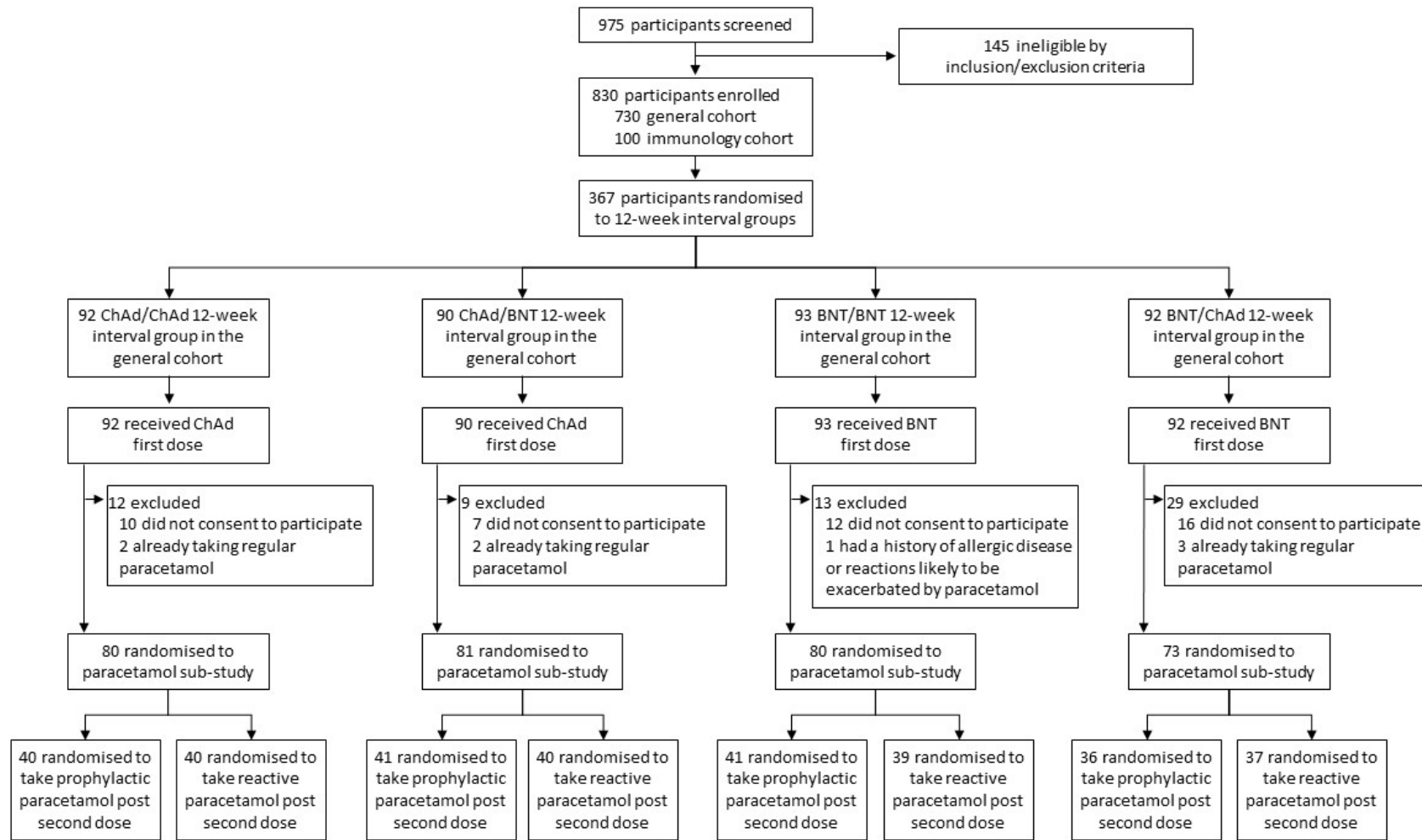
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234 **Supplementary Figure 6. Correlation between A) WT & Beta VNA, B) WT & Delta VNA, C) WT & Beta**
 235 **Cellular response, and D) WT & Delta Cellular response at 28 days post boost in the 12-week interval arms**
 236

237 *The dotted diagonal line shows the situation when the immunogenicity against a variant of concern (VOC) is the*
 238 *same as that against the WT; the solid lines are the fitted linear regression based on the data above the LLOQ in*
 239 *each schedule. When the fitted line is below the dotted line, the immunogenicity against the VOC is less than that*
 240 *against WT, i.e. the cross-protection ratio is less than one. The closer the cross-protection ratio is to one, the*
 241 *closer the solid fitted line to the dotted diagonal line. When the fitted line is parallel to the dotted diagonal line,*
 242 *the cross-protection ratio does not change with the absolute level of immunogenicity. FRNT₅₀ – 50% Focal*
 243 *reduction neutralisation titre; SFC, spot forming cells; PBMC, peripheral blood mononuclear cell; WT, wild-type*
 244



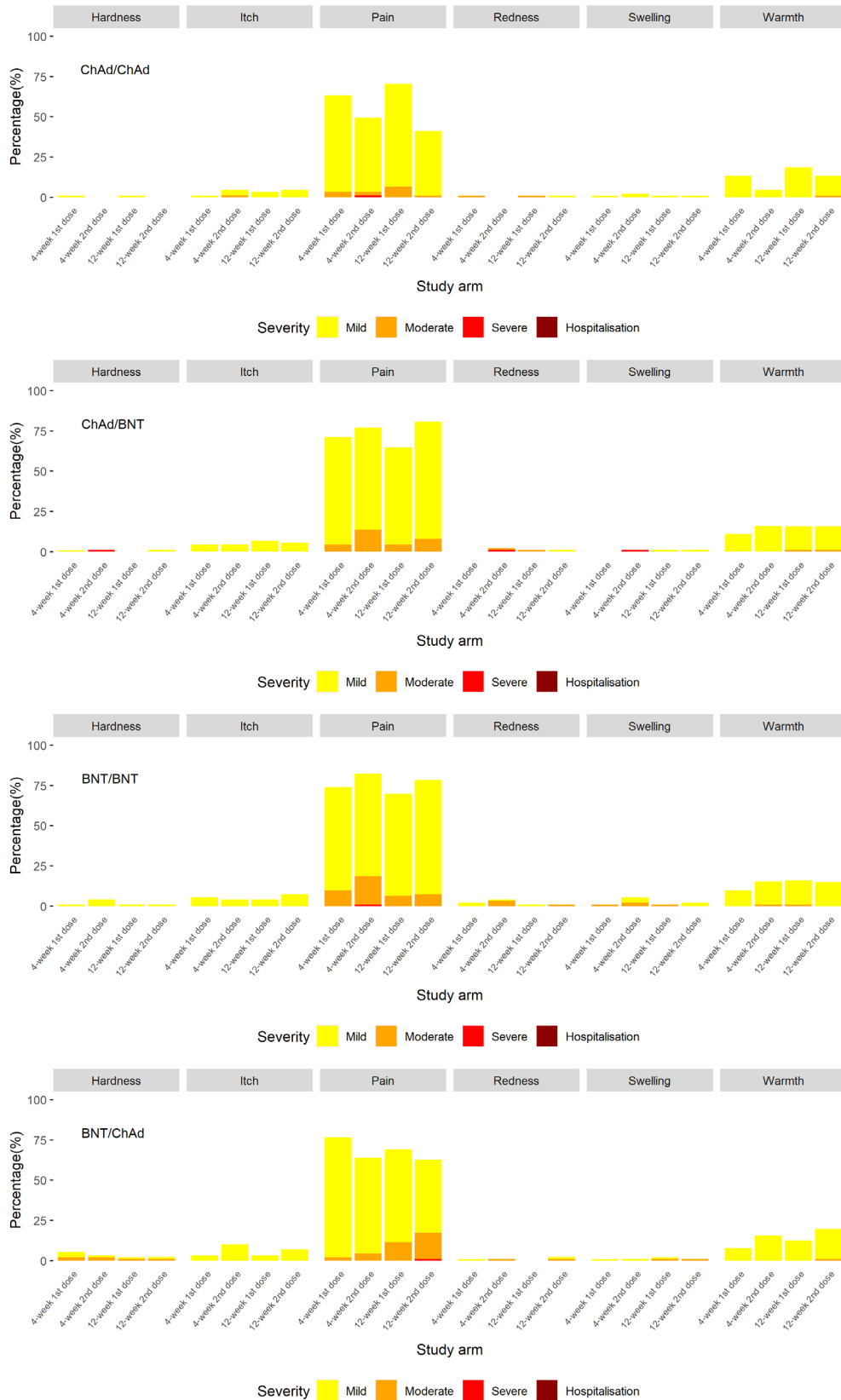
245



248 **Supplementary Figure 8. Maximum severity of solicited adverse events in the first seven days post- first dose**
 249 **and post-second dose by study arm in the general cohort. A) Local, and B) Systemic**

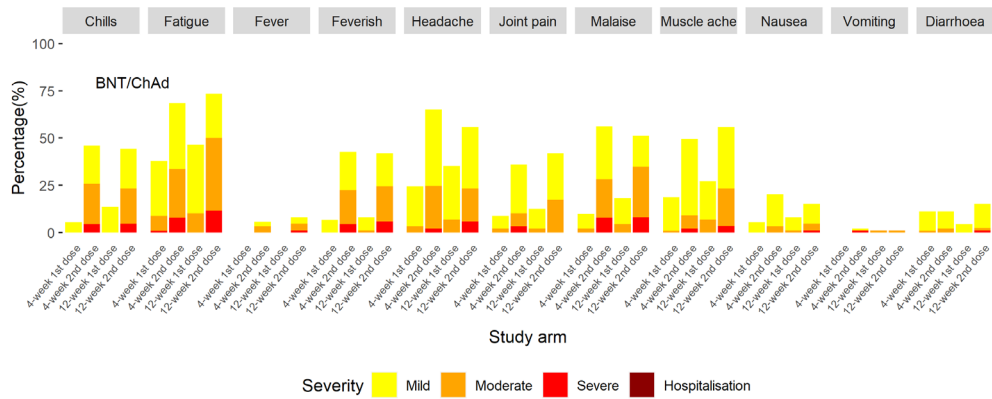
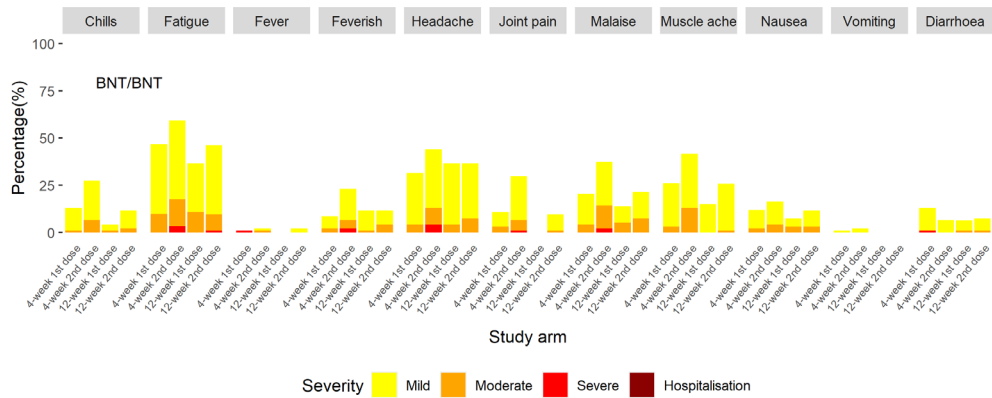
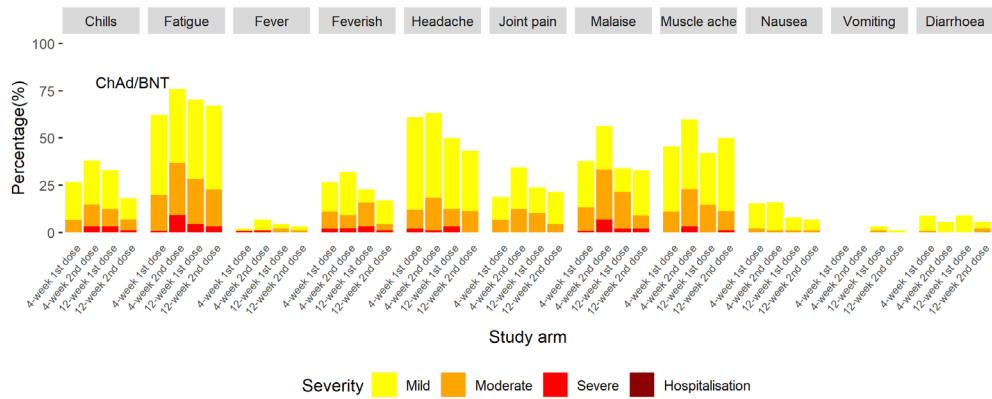
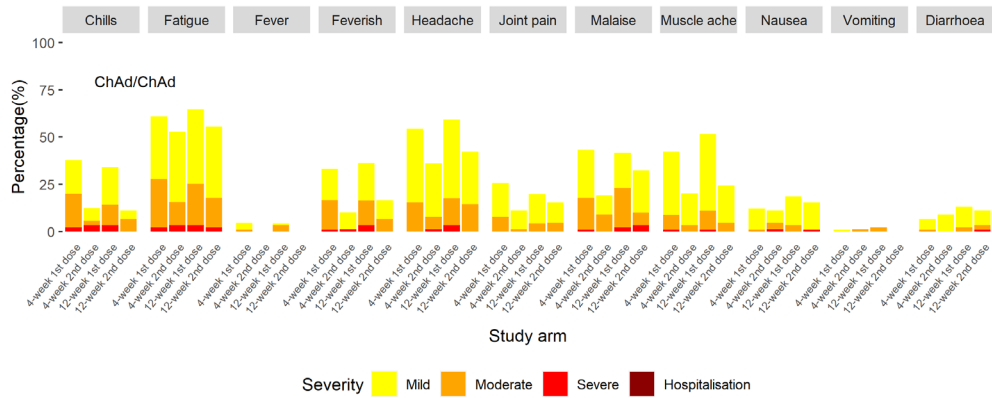
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A) Local adverse events



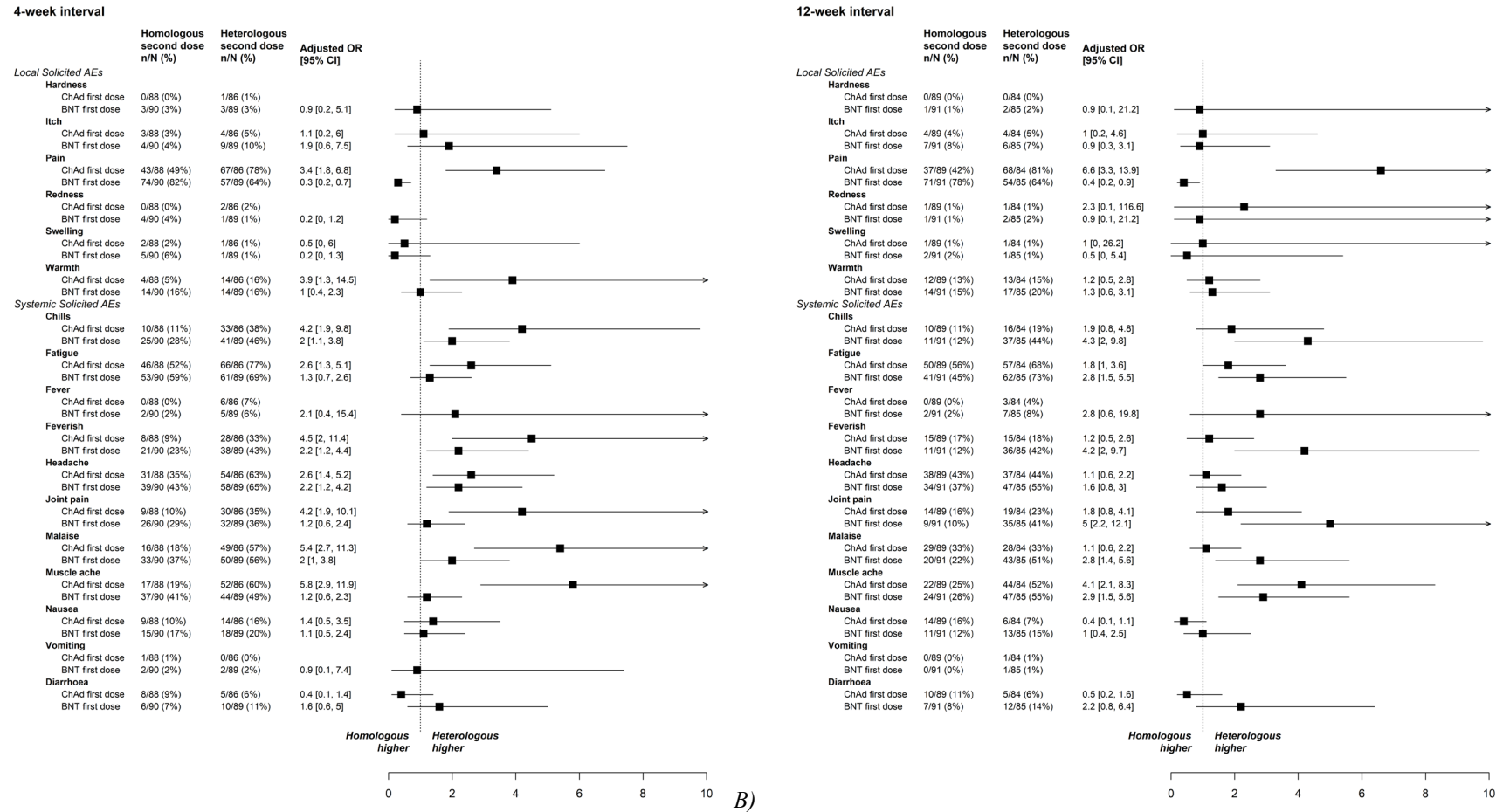
253
 254

B) Systemic adverse events



259 **Supplementary Figure 9. Forest plot of any solicited adverse events in days 0-7 post-second dose comparing heterologous to homologous schedules in the general cohort.**
 260 **A) 4-week interval, and B) 12-week interval**

261
 262 AE: adverse event; CI: confidence interval; OR: odds ratio. Models adjusted for paracetamol use in the first 24 hours post-second dose (yes/no) and paracetamol sub-study
 263 randomisation (prophylactic/reactive/non-randomised) in the 12-week interval models. Models with no adjusted odds ratio were non-estimable due to no events in that study
 264 arm. The dotted line shows the line of no difference between heterologous and homologous schedules.
 265

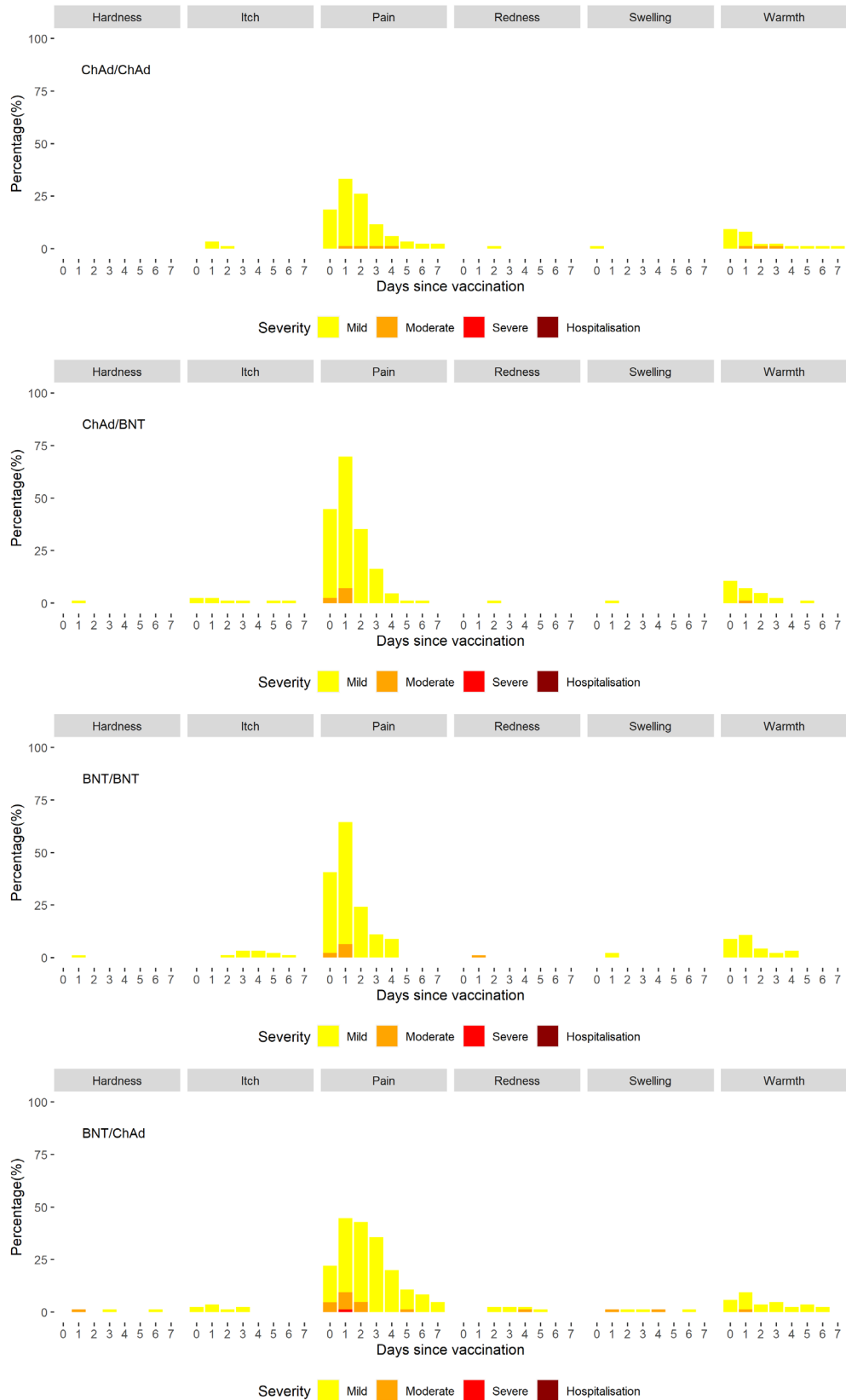


266 A)
 267

268 **Supplementary Figure 10. Solicited adverse events in days 0-7 post second dose by day and study arm in the**
 269 **12-week interval groups. A) Local adverse events, and B) Systemic adverse events**

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 271
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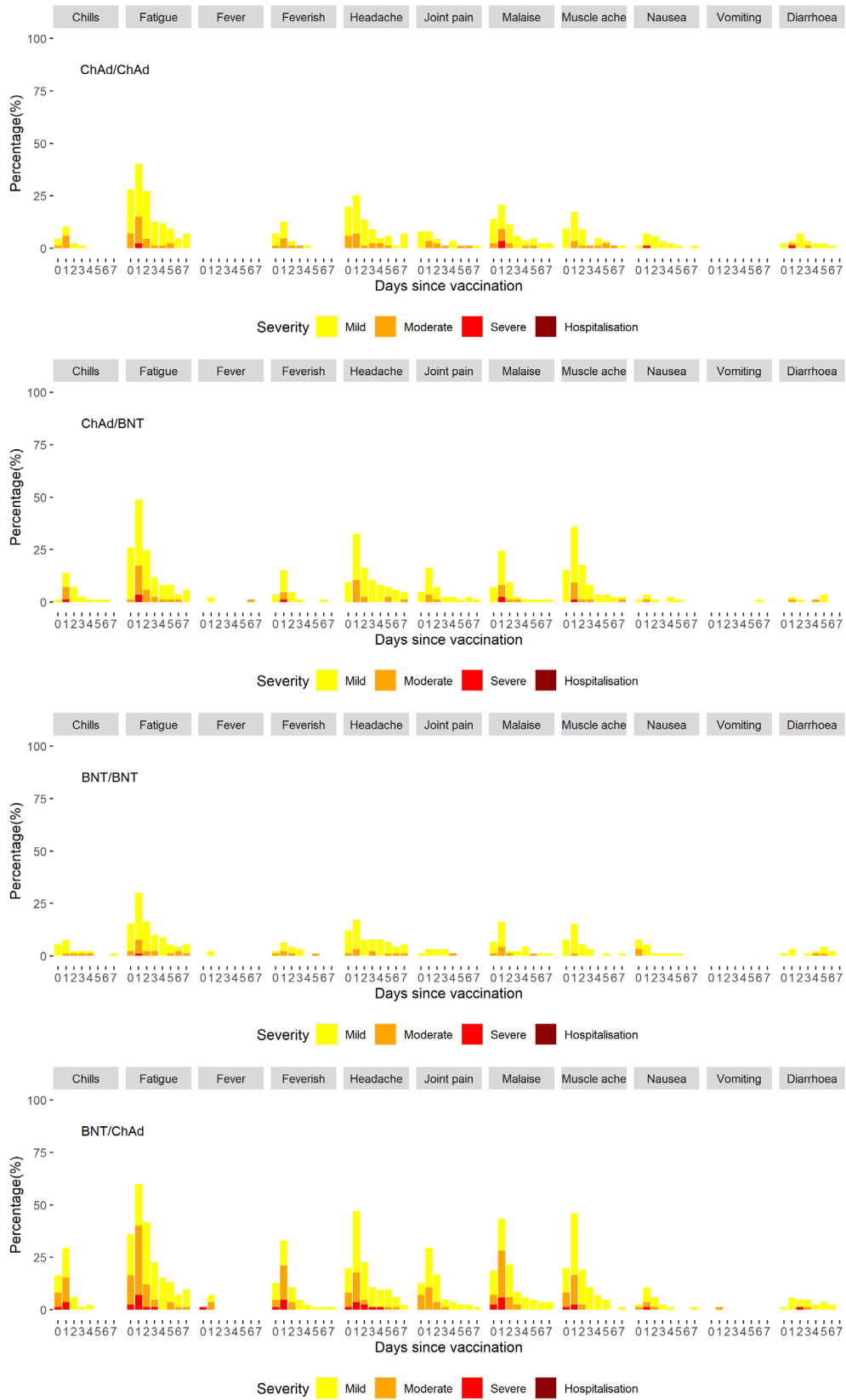
A) Local adverse events



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 274

275
276

B) Systemic adverse events



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282 **Supplementary Figure 11. Solicited adverse events at time of second dose by paracetamol sub-study arm**
 283 **compared to 4-week interval arms in the general cohort. A) local, B) systemic**
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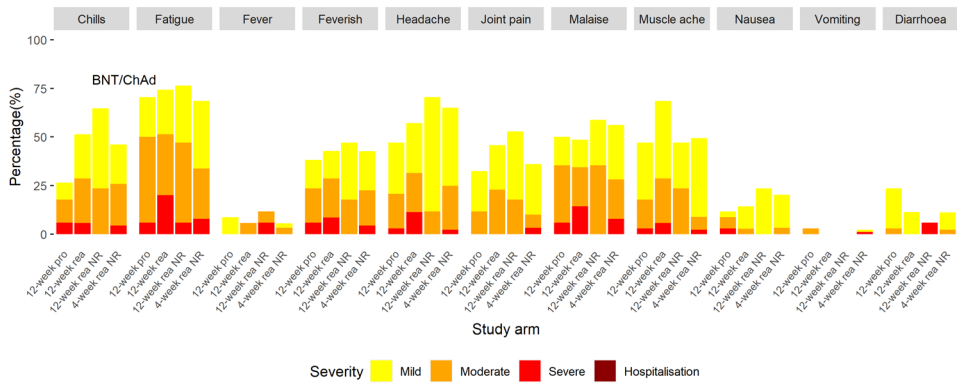
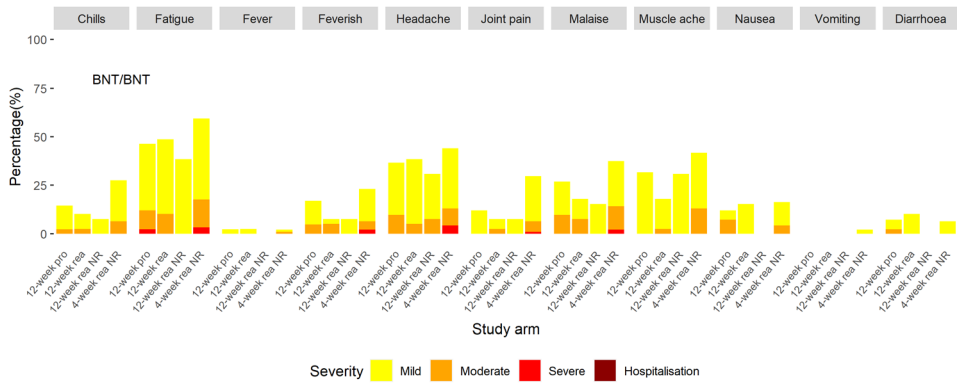
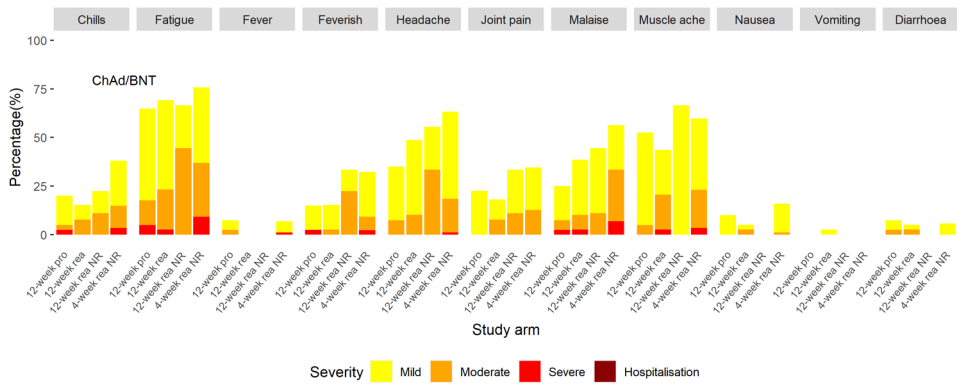
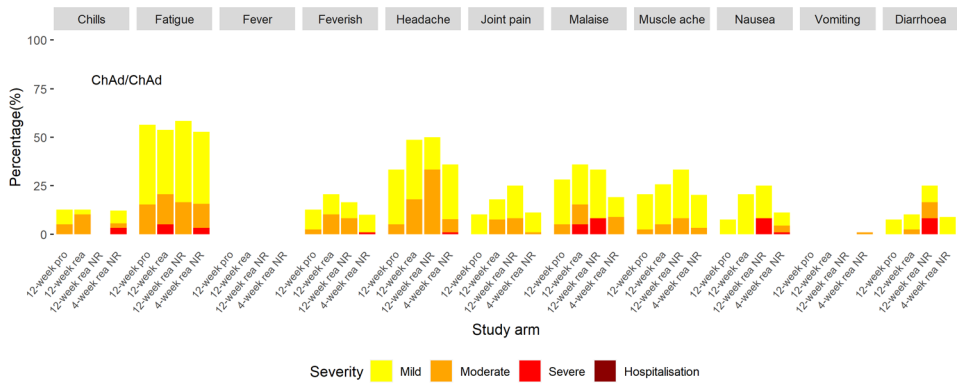
Pro: Prophylactic, Rea: Reactive, NR: Non-randomised.

A) Local adverse events



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B) Systemic adverse events

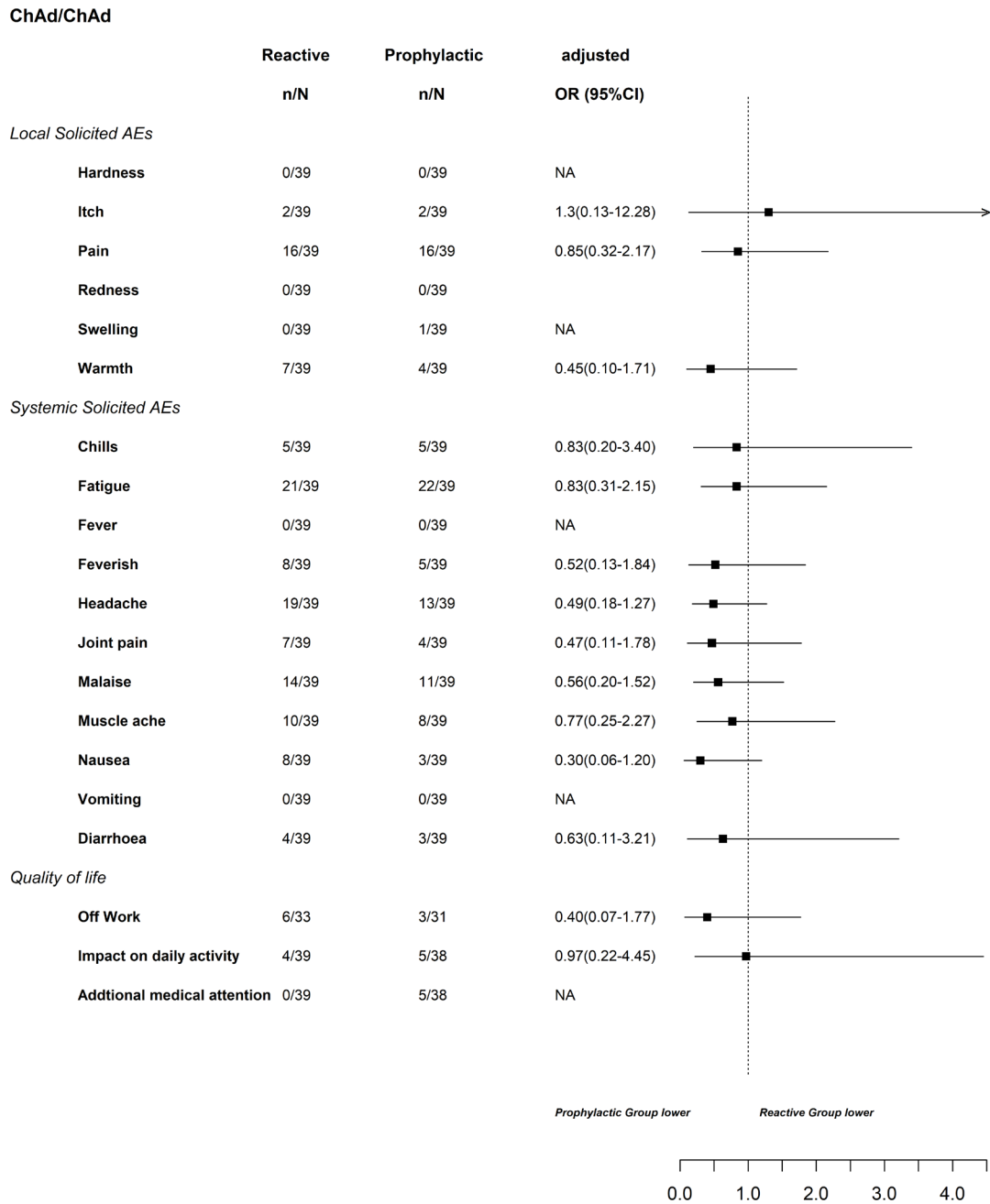


299 **Supplementary Figure 12. Forest plot of any solicited adverse events in days 0-7 post second dose comparing**
 300 **prophylactic to reactive paracetamol use in the paracetamol sub-study of 12-interval arms for A) ChAd/ChAd;**
 301 **B) ChAd/BNT; C) BNT/BNT; D) BNT/ChAd**

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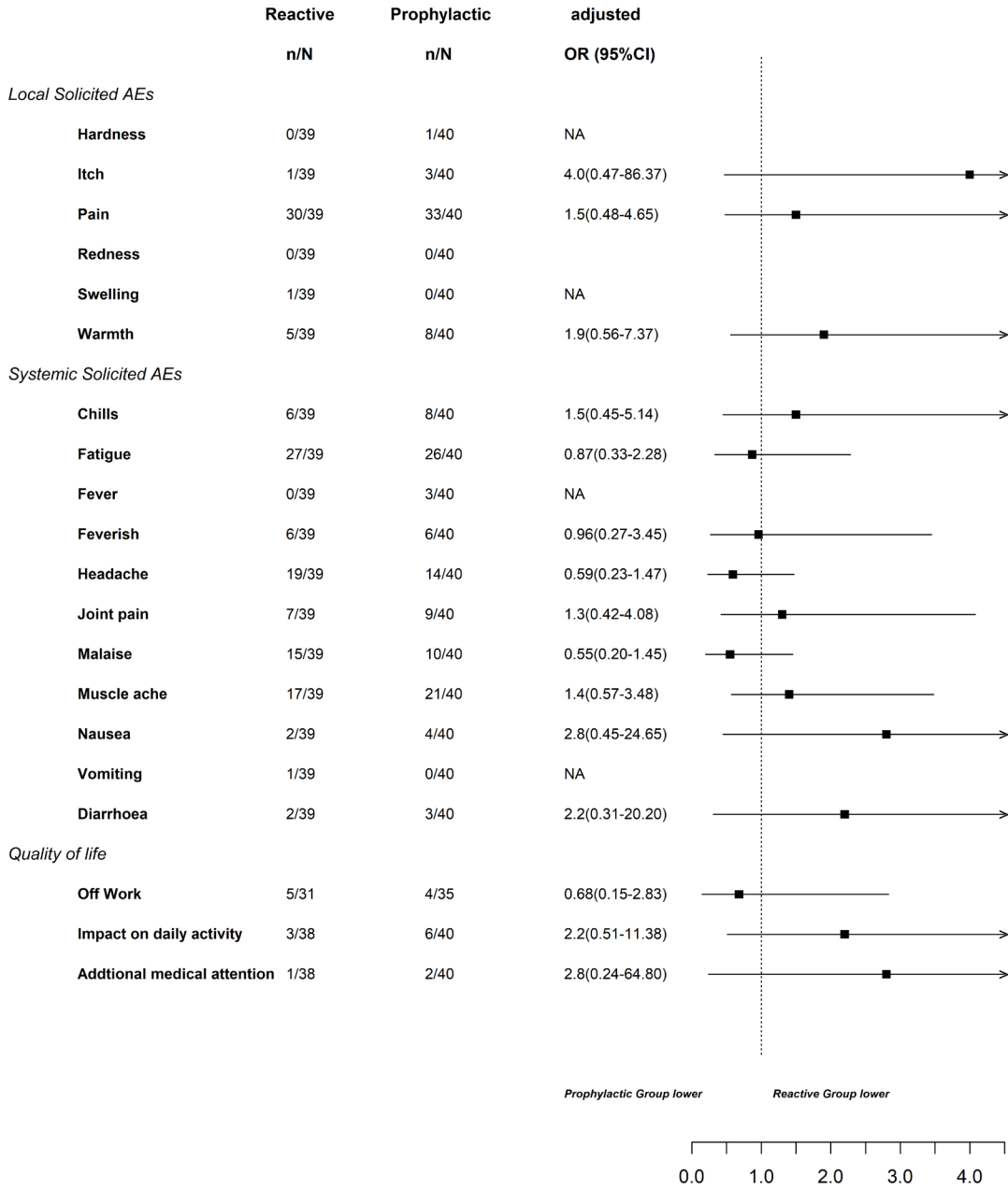
AE: adverse event; CI: confidence interval; OR: odds ratio.
 Models adjusted for vaccine schedule, age and sex. The dotted line shows the line of no difference between prophylactic and reactive groups

A)

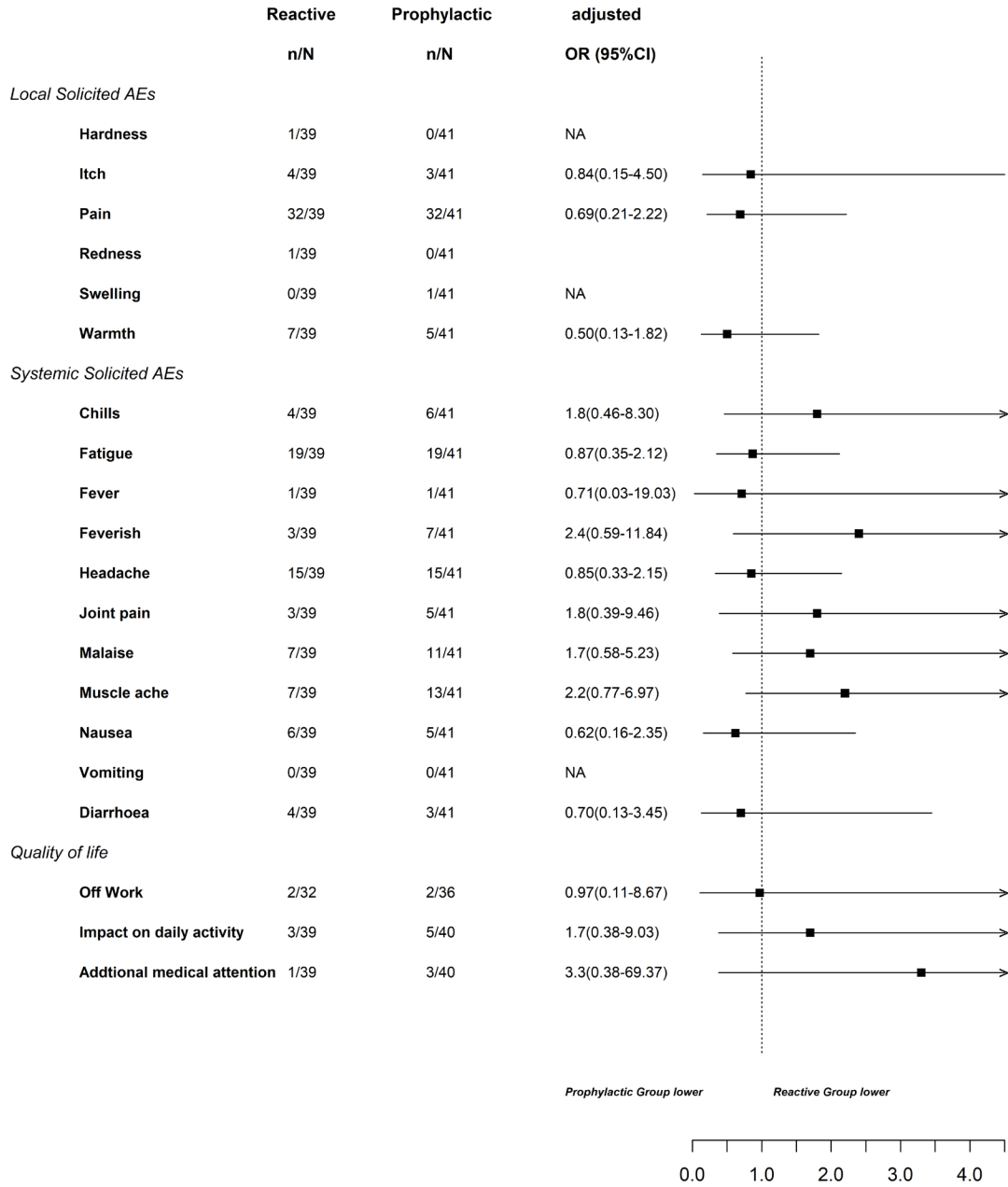


308

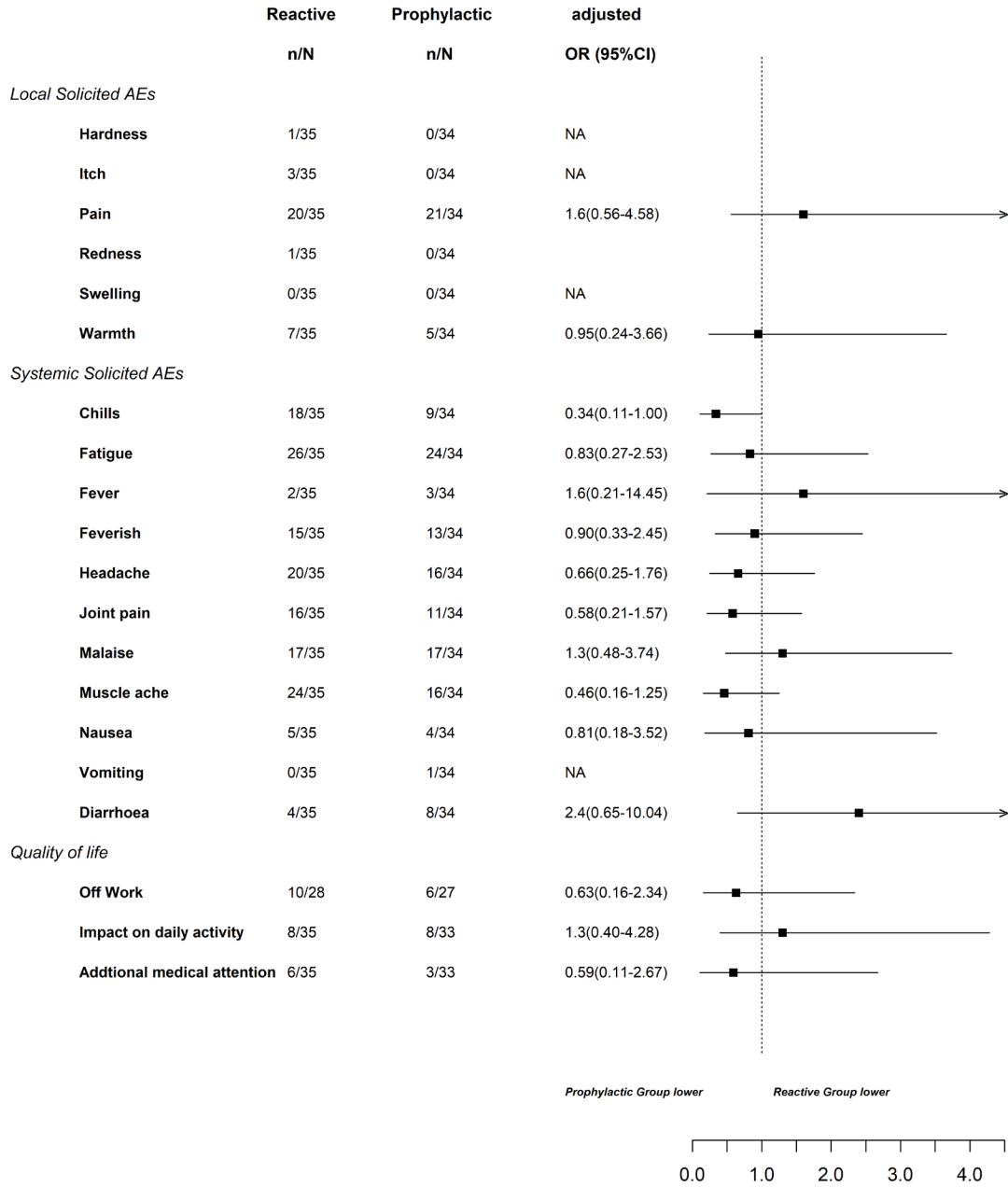
ChAd/BNT



BNT/BNT



BNT/ChAd



315 **Randomisation and Blinding**

316
317 Computer-generated randomisation lists were prepared by the study statistician. Participants were block
318 randomised (block size four) 1:1:1:1 within the immunology cohort to ChAd/ChAd, ChAd/BNT, BNT/BNT and
319 BNT/ChAd schedules (boost interval of 28 days). General Cohort participants were block randomised (block size
320 eight) 1:1:1:1:1:1:1:1 to ChAd/ChAd, ChAd/BNT, BNT/BNT and BNT/ChAd schedules at boosting intervals of
321 both 28 and 84 days. Besides the stratification by cohort, randomisation was further stratified by study site.
322 Clinical research nurses who were not involved in safety endpoint evaluation performed the randomisation using
323 REDCap™ (the electronic data capture system) and prepared and administered vaccine.
324 Participants and laboratory staff processing the immunogenicity endpoints were blinded to vaccines received, but
325 not to prime-boost interval. Participant blinding to vaccines was maintained by concealing randomisation pages,
326 preparing vaccines out of sight and applying masking tape to vaccine syringes to conceal dose volume and
327 appearance. The clinical team assessing the safety endpoints were not blinded.

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343 **Summary of correlation factors for calibration of immune assay readouts (LBA and PNA) with the WHO**
344 **International Standard (IS) for the Nexelis laboratory**

345
346 The assigned units for the WHO IS are @IU/mL@ for neutralising antibody activity and “BAU/mL” for the
347 quantitation of immunoglobulins.

348

Human SARS-CoV-2 Pre-Spike IgG ELISA

The results generated for the Human SARS-CoV-2 PreSpike IgG ELISA are reported with concentration units in “ELU/mL”. When required a correlation factor of 1/7.9815 will be applied to convert the reported results from ELU/mL to BAU/mL. For example, a sample with reported anti-PreSpike IgG antibody concentration of 7981.5 ELU/mL will have a concentration equivalent to 1000 BAU/mL.

The following formula may be used for converting concentration units from ELU/mL to BAU/mL:

$$\text{Result (BAU/mL)} = \text{Result (ELU/mL)} / 7.9815$$

Human SARS-CoV-2 Pseudoparticle Neutralisation Assay (PNA)

The results generated for the Human SARS-CoV-2 (PNA) are reported with titer units “NT50”. When required, a correlation factor of 1/1.872 will be applied to convert the reported results from NT50 titer to IU/mL. For example, a sample with reported NT50 titre of 1872 will have a concentration equivalent to 1000 IU/mL.

The following formula may be used for converting NT50 titer to IU/mL:

$$\text{Result (IU/mL)} = \text{Result (NT50 titer)} / 1.872$$

349

350 **Com-COV Study Group**

351

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352

353
354 **Statistical Analysis Plan (SAP)**
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STATISTICAL ANALYSIS PLAN

358 A SINGLE-BLIND, RANDOMISED, PHASE II UK MULTI-CENTRE STUDY TO DETERMINE REACTOGENICITY AND
359 IMMUNOGENICITY OF HETEROLOGOUS PRIME/BOOST COVID-19 VACCINE SCHEDULES

360 **Short Title:** Comparing COVID-19 Vaccine Schedule Combinations (Com-COV)

361 **Ethics Ref:** 21/SC/0022

362 **IRAS Project ID:** 291055

363 **ISRCTN:** 69254139

364 **EudraCT Number:** 2020-005085-33

365 **OVG Study Number:** OVG 2020/03

366 **Oxford Protocol Date and Version No.:** V5.0 26-Apr-2021

367 **Sponsor:** University of Oxford

368 **SAP version No:** 2.0

369 **Date:** 10-May-2021

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416 **1 Introduction**

417
418 **1.1 Description of COM-COV**
419

420 The COM-COV trial is a single-blind, randomised, phase II UK multi-centre study to determine reactogenicity
421 and immunogenicity of heterologous prime/boost COVID-19 vaccine schedules, compared with homologous
422 prime/boost schedules. The participants of this trial will be COVID-vaccine naive adults 50 years of age and above
423 and will have no or mild-moderate, well-controlled co-morbidity. The detailed inclusion and exclusion criteria
424 can be found in the protocol.

425 The study will consist of 2 cohorts, one for more detailed immunological assessment (immunology cohort, N=100,
426 25 per arm) boosted at Day 28 (randomised 1:1:1:1) and one for main immunology endpoints for participants
427 boosted at Day 28 or at Day 84 (general cohort N=720, 90 per arm) (randomised 1:1:1:1:1:1:1).
428

Cohort	Group	Arm	Prime (Day 0)	Boost (Day 28)	Boost (Day 84)	Visits		
Immunology (n=100)	A - ChAdOx1 nCoV-19 (n=50)	IA1 (n=25)	ChAdOx1 nCoV-19	ChAdOx1 nCoV-19	-	Day 0, 7, 14, 28, 35, 42, 56, 182, 364		
		IA2 (n=25)	ChAdOx1 nCoV-19	BNT162b2	-			
	B - BNT162b2 (n=50)	IB1 (n=25)	BNT162b2	BNT162b2	-			
		IB2 (n=25)	BNT162b2	ChAdOx1 nCoV-19	-			
General (n=720)	A - ChAdOx1 nCoV-19 (n=180)	GA1-28 (n=90)	ChAdOx1 nCoV-19	ChAdOx1 nCoV-19	-	Day 0, 28, 56, 182, 364		
		GA2-28 (n=90)	ChAdOx1 nCoV-19	BNT162b2	-			
		B - BNT162b2 (n=180)	GB1-28 (n=90)	BNT162b2	BNT162b2		-	
			GB2-28 (n=90)	BNT162b2	ChAdOx1 nCoV-19		-	
	A - ChAdOx1 nCoV-19 (n=180)	GA1-84 (n=90)	ChAdOx1 nCoV-19	-	ChAdOx1 nCoV-19		Day 0, 56, 84, 112, 182, 364	
		GA2-84 (n=90)	ChAdOx1 nCoV-19	-	BNT162b2			
		B - BNT162b2 (n=180)	GB1-84 (n=90)	BNT162b2	-			BNT162b2
			GB2-84 (n=90)	BNT162b2	-			ChAdOx1 nCoV-19

429
430
431 **1.2 Purpose and scope of the plan**
432

433 This document details the proposed analysis of the main paper(s) reporting results from COM-COV. The results
434 reported in these papers should follow the strategy set out here. The scope of this analysis plan does not extend
435 to include exploratory outcomes. Subsequent analyses of a more exploratory nature will not be bound by this
436 strategy, though they are expected to follow the broad principles set out here. The principles are not intended to
437 curtail exploratory analysis, nor to prohibit accepted practices, but they are intended to establish the principles
438 that will be followed, as closely as possible, when analysing and reporting the trial. This plan will be used to
439 produce the statistical analysis reports and main trial publications. The statisticians should review all the
440 publications based on this plan.
441

2 Study Methods

2.1 Sample size

The primary analysis of this study will be a non-inferiority comparison between schedules using a homologous versus heterologous boost within each group of approved COVID-19 vaccines, e.g., The group receiving ChAdOx1 nCoV-19/ BNT162b2 will be compared with the group receiving ChAdOx1 nCoV-19 / ChAdOx1 nCoV-19, whilst a separate comparison will be made between the group receiving BNT162b2 / ChAdOx1 nCoV-19 and the group receiving BNT162b2 / BNT162b2. We will combine the immunology cohort (N=100) and the general cohort boosted at D28 (N=360) in the primary analysis.

The below sample size calculation is based on the primary analysis conducted in the participants boosted at D28. The current available data from the ongoing ChAdOx1 nCoV-19 trial suggests that the Geometric mean concentration (GMC) of anti-Spike IgG measured by standardised ELISA is around 500 EU/ml at D56 (4 weeks after booster at Day 28) among participants aged 56-69 years old (n=29) with a standard deviation of 0.4.

The sample calculation is based on the following assumptions:

1. The non-inferiority margin is a 0.63 fold-difference between the GMC in the heterologous boost arm and the homologous boost arm; or a -0.2 absolute difference of GMC on the log scale (base 10).
2. The standard deviation of the GMC on the log scale (base 10) is 0.4 based on the currently available data.
3. The true difference of GMC on the log scale (base 10) is 0.

Based on the above assumptions, the study will need to recruit 86 participants, who are seronegative for SARS-CoV-2 IgG at baseline, into each arm, to achieve 90% power at the one-sided 2.5% significance level. We assume ~25% of study participants will be excluded from the primary analysis due to seropositivity for SARS-CoV-2 IgG at baseline or due to loss of follow-up. Therefore, the sample size in each arm boosted at D28 will be expanded to 115 to accommodate for this. This means that if the study has two vaccines (as is currently the case), the total sample size for participants boosted at D28 will be 460 for four arms. If we decide to add groups to the trial, as new vaccines are made available for use by the Department of Health and Social Care, the sample sizes will be adapted accordingly. The immunology cohort will be used for exploratory analyses to generate hypothesis, and thus no formal sample size calculation has been carried out for this cohort. The sample size of 25 per arm was therefore chosen based on logistical and practical constraints. This means we will have approximately 20 seronegative participants per arm for analysis.

Of note, should a correlate of protection against SARS-CoV-2 infection become apparent during the study then the sample size calculations will be re-visited to determine the power to demonstrate non-inferiority based on a margin of 10% between the above stated study arms. This may potentially result in revision of sample size. Based on the sample size anticipated for two vaccines in the study, we have summarised the study power for different proportions of protection at the one-sided significance level of 0.05 (with no adjustment for multiple testing).

Proportion of protection	Study power
0.85	58%
0.9	71%
0.95	91%

We chose the sample size of 360 (effective sample size N=270) in the general cohort who will be boosted at D84 for two reasons: 1) simplifying study management and randomisation; 2) >80% power to test non-inferiority of the heterologous schedule compared with the homologous schedule at one-sided 2.5% significance level, assuming there is no interaction between vaccine schedules and prime-boost intervals. In addition, with a combined analysis (all study population, N=820) to assess the immunogenicity at D28 post boost, the study will have increased power of >95% and the conclusion will have broader generalisability to the UK population.

2.2 Randomisation

Computer generated randomisation list will be prepared by the study statistician. Participants will be randomised 1:1:1:1 within the immunology cohort to ChAdOx1 nCoV-19 homologous, ChAdOx1 nCoV-19 heterologous, BNT162b2 homologous and BNT162b2 heterologous groups, using block randomisation. Participants will be randomised 1:1:1:1:1:1:1:1 within the general cohort to ChAdOx1 nCoV-19 homologous, ChAdOx1 nCoV-19 heterologous, BNT162b2 homologous and BNT162b2 heterologous groups at boosting intervals of 28 and 84 days, using block randomisation. Random block sizes of 8 and 16 will be used in the general cohort and a block size of 4 will be used in the immunology cohort. The randomisation will be stratified by study sites.

495 Sub-study participants will be randomised 1:1 within the general cohort boosted at 84 days, at the time of boost
496 visit, to be advised to take prophylactic paracetamol vs reactive paracetamol, using block randomisation. Random
497 block sizes of 2 or 4 will be used. The randomisation will be stratified by study site and vaccine schedule.
498

499 **2.3 Blinding and code-breaking**

500 The study will be single-blind. Staff involved in study delivery will be aware of which vaccine the participant is
501 receiving (arm allocation); the participant themselves will remain blinded to their vaccine allocation. Vaccines
502 will be prepared out of sight of the participant and the blind will be maintained by applying a masking tape over
503 the vaccine syringe. Laboratory staff will also be blinded to the vaccine schedule received.
504 If the clinical condition of a participant necessitates unblinding of the participant, this will be undertaken according
505 to a trial specific working instruction and group allocation sent to the attending physician. This will be done if
506 unblinding is thought to be relevant and likely to change clinical management.
507
508

509 **2.4 Interim analysis**

510 We will carry out an interim analysis to review the seropositivity rate at baseline after D0 immunogenicity data
511 for approximately the first 100 participants becomes available. If there is a significant deviation from our
512 assumption, we will adjust the sample size accordingly.
513 On 7th April 2021, the MHRA and JCVI updated their guidance regarding the use of ChAdOx1 nCoV-19 in the
514 under-30 age group in the UK, along with the change of guidance in a few other countries worldwide. There is an
515 increased urgency to release the safety data in heterologous schedules. To facilitate the future vaccination strategy
516 worldwide, the study team decided to conduct an interim analysis on the reactogenicity data in the participants
517 boosted at 4 weeks. The analysis will be carried out once the data is cleaned and the SAP is signed off. There will
518 be no stopping rule for this interim analysis and the analysis will not affect the continuation of the trial.
519 The primary analysis will be carried out when the primary endpoint of D56 anti-spike IgG data become available.
520

521 **2.5 Objectives and Outcome Measures**
522

Objectives	Outcome Measures	Time point(s)	Comparison(s)
Primary			
1. To determine whether the immune response in COVID seronegative participants to immunisation with heterologous prime/boost COVID-19 vaccines regimens (boosted at D28) is non-inferior to that observed following immunisation with approved homologous prime-boost regimens (boosted at D28).	Anti-spike immunoglobulins	Day 56	Primary: Non-inferiority Secondary: Superiority
Secondary			
2. To assess safety of heterologous prime-boost COVID-19 vaccines	Serious adverse events and adverse events of special interest	Throughout the study	Primary: Descriptive Secondary: Superiority
3. To determine whether the immune response in COVID seronegative participants to immunisation with heterologous prime/boost COVID-19 vaccines regimens across all dosing intervals is non-inferior to that observed following immunisation with approved homologous prime-boost regimens	Immunogenicity: Anti-spike immunoglobulins	4 weeks post boost (D56 for 28 day boost cohort, D112 for the 84 day boost cohort)	Primary: Non-inferiority Secondary: Superiority
	Anti-spike immunoglobulins	D0, 7, 14, 28, 35, 84, 112, 182, 364	Primary: Descriptive Secondary: Superiority
	Neutralising antibodies against SARS-CoV-2	D0, 14, 28, 56, 84, 112, 182, 364	Primary: Descriptive Secondary: Superiority/ Non-inferiority
	Anti-nucleocapsid immunoglobulins	D0, 14, 28, 56, 84, 112, 182, 364	Primary: Descriptive Secondary: Superiority
4. Further characterisation of immunogenicity of heterologous & homologous prime/boost schedules*	Pseudo neutralising antibodies	D0, 14, 28, 56, 84, 112, 182, 364	Primary: Descriptive Secondary: Superiority
	Cellular immune responses by ELISpot	D0, 14, 28, 42, 56, 84, 112, 182, 364	Primary: Descriptive Secondary: Superiority
	Cellular immune responses by ICS (Th1/Th2)	D0, 14, 42	Primary: Descriptive Secondary: Superiority

**D7, 14, 35 and 42 analysis only for immunology cohort (n=100)
D28 analysis only for the immunology (n=100) and general cohorts boosted at 28 days (n=360)
D84 analysis only for the general cohorts boosted at 84 days (n=360)
D112 analysis only for the immunology (n=100) and general cohorts boosted at 84 days (n=360)

	Solicited local reactions	7 days after each immunisation	Primary: Descriptive Secondary: Superiority
	Solicited systemic reactions	7 days after each immunisation	Primary: Descriptive Secondary: Superiority
5. Reactogenicity and safety of heterologous & homologous prime/boost schedules of COVID-19 vaccines	Unsolicited reactions	28 days after each immunisation	Primary: Descriptive
	Medically attended adverse reactions	Up to 3 months post booster	Primary: Descriptive
	Changes from baseline in laboratory safety measures	D0, 28, 35, 56 , 84, 112**	Primary: Descriptive Secondary: Superiority
**D35 safety bloods only for immunology cohort (n=100) D28 safety bloods only for the immunology (n=100) and general cohorts boosted at 28 days (n=360) D84, 112 safety bloods only for the general cohorts boosted at 84 days (n=360)			
			Primary: Descriptive
6. Evaluation of immunogenicity, safety and reactogenicity of COVID-19 vaccines in participants seropositive for SARS-CoV-2 IgG at baseline	Immunogenicity, reactogenicity and safety endpoints as outlined above	Time points as outlined above	
	Anti-spike & anti-nucleocapsid immunoglobulins, neutralising and pseudo-neutralising antibodies, cellular immune response by ICS and ELISpot		Primary: Descriptive
7. To characterise COVID-19 infections experienced following administration of vaccination and the immune response to those infections	Genome sequencing of SARS-CoV-2 viruses isolated from infected participants	From prime dose, and within 1 week of a participant being found to be SARS-CoV-2 positive by external testing	

524 **3 Analysis – General considerations**
525

526 The primary outcome analysis will be carried out once all primary outcome data become available. Histograms
527 and boxplots will be used to check the distribution and for possible outliers for continuous variables. Outliers will
528 be examined closely to confirm the validity of the data. Mathematical transformations (\log_{10}) will be applied,
529 where appropriate, in order to render a normal distribution. Censored data are expected for immunogenicity
530 endpoints as these assays normally have a lower limit of detection. Data below the lower limit of detection will
531 be imputed by a value half the lower limit of detection, prior to log transformation. Continuous variables that
532 follow an approximately normal distribution will be summarised using means, standard deviations and range
533 values, and number of missing values. Skewed continuous variables will be summarised using medians/geometric
534 mean (where appropriate), inter-quartile ranges and range values, and number of missing values.
535 Categorical/binary variables will be summarised using frequencies and percentages.

536 Baseline characteristics will be summarised for each arm to describe the study population, stratified by the
537 immunology and general cohort. No formal statistical comparisons of baseline characteristics between randomised
538 groups will be conducted. Participant throughput from screening, enrolment, through randomisation, vaccination,
539 follow up and analysis will be presented in a CONSORT flow diagram(1). This will contain the numbers of
540 participants randomly assigned to each group, receiving prime and boost vaccination, completing the study and
541 analysed for the primary outcome. It will also include a breakdown of reasons for withdrawal and their relative
542 time points.

543 For the primary and secondary analyses on non-inferiority comparisons (comparing ChAdOx1 nCoV-19
544 heterologous arm with ChAdOx1 nCoV-19 homologous arm, and comparing BNT162b2 heterologous arm with
545 BNT162b2 homologous arm), the statistical tests will be 1-sided and a p-value less than 0.025 will be considered
546 significant. The significance level for all the other secondary analyses will be 2-sided 0.05, unless specified
547 otherwise in the analysis section below.
548
549

550 **4 Definition of study population**

551

552 The populations for analyses are defined in **Table 1**.

553

554 **TABLE 1** Populations for analysis

555

Population	Description
All participants	All participants screened for the trial, to be used for reporting CONSORT diagram
Safety analysis population	All randomised participants who received at least 1 dose of study vaccine, including both seronegative and seropositive populations at baseline. Participants who withdraw consent will be included up to the date of their study termination. Vaccination error will be accounted for in this analysis set by assigning them to the group of schedule they actually received. Besides the schedules listed in section 1.1, there will potentially be another two additional groups for safety reporting for participants who received only one dose of study vaccine. This analysis population will be used for safety analyses.
Seronegative non-inferiority population (per-protocol) analysis	All randomised participants meeting the below criteria: 1. Seronegative at baseline (defined as cutoff index <1.0 by the Roche Elecsys anti-Sars-CoV-2 assay at D0); 2. With no confirmed SARS-CoV-2 infection within 14 days (inclusive) post prime vaccination; 3. Received the two doses of study vaccines as randomised; 4. With endpoint data available; 5. No protocol deviation on timing of vaccination or on timing of blood sample for endpoints.
Seronegative superiority population (modified ITT) analysis	All randomised participants meeting the below criteria: 1. Seronegative at baseline (defined as cutoff index <1.0 by the Roche Elecsys anti-Sars-CoV-2 assay at D0); 2. With no confirmed SARS-CoV-2 infection within 14 days (inclusive) post prime vaccination; 3. Randomised; 4. With endpoint data available; The participants will be analysed according to their randomisation irrespective of the vaccine schedules they have received, according to the intent-to-treat principle.
Seropositive superiority population (modified ITT) analysis	All randomised participants meeting the below criteria: 1. Seropositive at baseline (defined as cutoff index <1.0 by the Roche Elecsys anti-Sars-CoV-2 assay at D0); 2. Randomised; 3. With endpoint data available; The participants will be analysed according to their randomisation irrespective of the vaccine schedules they have received, according to the intent-to-treat principle.
C19P population analysis	The participants who were confirmed COVID-19 positive outside this trial (self-reported) and whose date of infection >14 days post prime vaccination.

556

5 Primary outcome - Anti-spike immunoglobulins at D28 post boost (4 weeks boost group)

5.1 Population for analysis

The analysis population for primary outcome will be participants who were randomised to boost at 4 weeks (including both immunology and general cohorts) among the “*seronegative non-inferiority analysis population (per-protocol)*” in table 1.

5.2 Statistical analysis

The primary analyses for the primary outcome are the non-inferiority comparisons between ChAdOx1 nCOV-19 heterologous arm and ChAdOx1 nCOV-19 homologous arms, and between BNT162b2 heterologous arm and BNT162b2 homologous arms. The GMC of each arm will be calculated as the antilogarithm of Σ (log₁₀ transformed titre)/n, i.e., as the antilogarithm transformation of the mean of the log₁₀ transformed titre, where n is the number of participants in that arm. The 95% CI will be calculated as the anti-logarithm transformation of the upper and lower limits for a two-sided CI for the mean of the log₁₀ transformed titres.

Data reported as lower than the detection threshold will be imputed with a value equal to half of the threshold before the transformation.

The Geometric Mean Ratio (GMR) will be calculated as antilogarithm of the difference between the mean of the log₁₀ transformed titre in the heterologous arm and that in the homologous arms (as the reference), after adjusting the study site and cohort (immunology/general) as design variables in the linear regression model. The GMR of the heterologous arm to the homologous arm will be reported separately for the participants who have been primed with ChAdOx1 nCOV-19 and the participants who have been primed with BNT162b2. The one-sided 97.5% confidence interval of the adjusted GMR will be calculated as the antilogarithm transformation of the upper and lower 97.5% CI limits of the adjusted difference of the log₁₀ transformed means. We will claim the heterologous boost arm is non-inferior to the homologous boost arm if the lower CI of the GMR lies above 0.63, i.e. the lower CI of the difference for the log₁₀ transformed means lies above -0.2.

As a secondary analysis, we will calculate the two-sided 95% CI for the GMR in the 4 weeks boost group of the “*Seronegative superiority analysis population (modified ITT)*” defined in **table 1**. The design variables of study site and cohort will be adjusted in the linear regression model to estimate the GMR. We will claim the heterologous boost arm is superior to the homologous boost arm if the lower limit of the two-sided 95% CI lies above 1, or claim the homologous boost arm is superior to the heterologous boost arm if the upper limit of the two-sided 95% CI lies below 1.

5.3 Pooled sensitivity analysis

Further pooled sensitivity analyses among all participants in **5.1** will be conducted to calculate the GMR and its corresponding one-sided 97.5% CI. We will first test the interaction between schedules (heterologous/homologous) and prime vaccines (ChAdOx1 nCOV-19/ BNT162b2) using multiple regression. The dependent variable will be the log₁₀ transformed titre and the independent variables in the normal errors regression model include age at randomisation, sex, study site, ethnicity, cohort, schedule (heterologous/homologous), prime vaccine (ChAdOx1 nCOV-19/ BNT162b2), and the interaction term between schedules and prime vaccines. If no statistically significant interaction (at significance level of two-sided 0.01) is observed, we will report the pooled GMR as the antilogarithm of the coefficient of the schedule variable in the above model after removing the interaction term.

5.4 Subgroup analyses

Subgroup analyses for the primary outcome will be conducted using the model in **5.3** after removing the subgroup variables, where needed. The adjusted GMR and two-sided 95% CI will be presented for each subgroup. If there is no significant interaction in **5.3**, the subgroups analyses will be done in all participants in **5.1**, including:

- Age (50-59, and 60+)
- Sex (Male and Female)
- Comorbidity (With and without comorbidities at baseline, including cardiovascular diseases, respiratory diseases, and diabetes)

5.5 Missing data

There will no missing data (by definition) on outcome in the “*seronegative non-inferiority analysis population (per-protocol)*” and the “*Seronegative superiority analysis population (modified ITT)*”. For covariates in the

617 sensitivity analyses and subgroup analyses, missing data will not be imputed and a complete-case analysis will be
618 informed.
619

620 **6 Secondary Outcomes – Safety**

621
622 This section covers outcome 2, outcome 5, and the safety part of outcome 6. The definitions of safety outcomes
623 and the corresponding severity deflections can be found in the trial protocol (section 13 Safety Reporting).
624

625 **6.1 Populations for analysis**

626
627 The population for analysis will follow the “*Safety analysis population*” in **Table 1**. For outcome 6, the analysis
628 population will be the seropositive participants at baseline in the “*Safety analysis population*”.
629

630 **6.2 Statistical analysis**

631
632 All the safety endpoints will be summarised by the actually received vaccine schedules. Solicited AEs (Day 0 –
633 Day 7) will be reported separately after prime vaccine and after boost vaccine. The primary analysis of safety
634 outcomes will be descriptive and the frequency and proportion will be reported. For solicited AEs, the analysis
635 will be carried out on each day after vaccination. The maximum severity of each solicited AEs across Day 0 –
636 Day 7 post vaccination will also be derived for each participant, and the frequency and proportion of the maximum
637 severity across 7 days will be summarised by vaccine schedules.

638 The SAEs, AEs (including unsolicited AEs, medically attended AEs), and AESIs will be coded by MedDRA and
639 the frequency will be reported at the Preferred Term level. The proportion and the exact 95% CI will be reported
640 by vaccine schedules for participants with at least one SAE, with at least one AE, and with at least one AESI,
641 respectively.

642 Fisher's exact test will be used to compare the difference in proportions of safety outcomes between heterologous
643 arm and homologous arm as secondary analyses. For each solicited AE, we will compare the proportions of
644 participants with grade 3/4 AEs across 7 days post vaccination. The comparison will be done separately for
645 participants primed with ChAdOx1 nCoV-19 and BNT162b2.
646

647 **6.3 Missing data**

648
649 It is expected that there will be missing on the self-reported diary data. The completeness of diary data will be
650 described by vaccine schedules, and there will be no missing data imputation for diary data. The maximum
651 severity will be derived based on all the available data across 7 days. We will exclude participants in the 7-day
652 solicited AEs analysis if they failed to report any diary data at all in the 7 days post vaccination (for prime dose
653 and boost dose, respectively).
654

655 **7 Secondary Outcomes – Anti-spike immunoglobulins at D28 post boost (4 weeks boost group and 12 656 weeks boost group)**

657 **7.1 Populations for analysis**

658
659 The primary analysis population will be the “*seronegative non-inferiority analysis population (per-protocol)*” in
660 table 1, including participants boosted at both 4 weeks and 12 weeks.
661
662

663 **7.2 Statistical analysis**

664
665 We will conduct the analyses following **section 5**. In the pooled sensitivity analysis (**section 5.3**), an additional
666 independent variable of boost group (4 weeks /12 weeks) will be added into the model. The interaction between
667 schedule and boost group will be further tested with significance level of two-sided 0.01. A further subgroup will
668 be conducted in participants boosted at 12 weeks.
669

670 **8 Secondary Outcomes – Further immunogenicity outcomes**

671
672 This section covers outcome 4, the immunogenicity part of outcome 6, and outcome 7.
673

674 **8.1 Population for analysis**

675

676 The analysis population will be the “*Seronegative superiority analysis population (modified ITT)*” for outcome
677 4, “*Seropositive superiority analysis population (modified ITT)*” for outcome 6, and “*C19P analysis population*”
678 for outcome 7.

679

680 **8.2 Statistical analysis**

681

682 The summary of immunogenicity outcomes will be presented by the randomised arms for outcome 4 and outcome
683 6. For outcome 7, the summary for the whole analysis population will be presented.

684 The primary analysis will be descriptive. Data transformation will follow **section 3**. The GMCs with 95% CI will
685 be presented for each arm at each time point. The GMR with 95% CIs between heterologous arm and homologous
686 arm will be calculated separately among participants primed with ChAdOx1 nCoV-19 and BNT162b2 (follows
687 section 5.2). The proportion of participants who have a post-vaccine seroconversion (\geq 4-fold rise in titres from
688 D0 value to 28 days post each dose) as measured by anti-spike immunoglobulins or neutralising antibodies will
689 also be provided by randomised arms. As a high proportion of participants under lower detection threshold is
690 expected at D0, especially in seronegative participants, the proportion of participants with data above the threshold
691 will also be generated for each arm at each time point.

692 The comparisons of GMC between the heterologous and homologous arms at different time points will be carried
693 out using linear regression model adjusting for study site and cohort as secondary analyses. In cases where a
694 normal distribution cannot be rendered, comparisons will be made using the Mann-Whitney U Test. The
695 significance level is detailed in **section 3**. As the study is not powered to detect any difference for secondary
696 outcomes, any significant result should be interpreted cautiously, owing to the large number of comparisons within
697 this trial and increased chance of Type I error by multiple testing.

698 For the endpoint of neutralising antibodies against SARS-CoV-2 at D28 post boost, we will carry out a non-
699 inferiority comparison following 5.1 and 5.2.

700

701 **AMENDMENT HISTORY**
702

Amendment No.	SAP Version No.	Date issued	Author(s) of changes	Details of Changes made
	1.0	20 th 2021	Apr XL/NA/MS	Initial version
1	2.0		XL	Change the definition of D0 seronegativity from using anti-S to using anti-N; Adding cohort as an additional variable to adjust in the model.

703
704

705 **Reference**

- 706 1. Schulz KF, Altman DG, Moher D. CONSORT 2010 Statement: Updated guidelines for reporting parallel
707 group randomised trials. BMJ [Internet]. 2010 Mar 27 [cited 2021 Apr 13];340(7748):698–702. Available
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Protocol



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Study Title: A single-blind, randomised, phase II UK multi-centre study to determine reactogenicity and immunogenicity of heterologous prime/boost COVID-19 vaccine schedules

Short Title: Comparing COVID-19 Vaccine Schedule Combinations (Com-COV)

Ethics Ref: 21/SC/0022

IRAS Project ID: 291055

ISRCTN: 69254139

EudraCT Number: 2020-005085-33

OVG Study Number: OVG 2020/03

Date and Version No: V9.0 07-Sep-2021

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Trial Title: A single-blind, randomised, phase II UK multi-centre study to determine reactogenicity and immunogenicity of heterologous prime/boost COVID-19 vaccine schedules

EudraCT Number: 2020-005085-33

Protocol Date and Version No: V9.0 20-Aug-2021

Protocol signature page

The undersigned has read and understood the trial protocol detailed above and agrees to conduct the trial in compliance with the protocol.

775

Principal Investigator	Signature	Site name or ID number	Date
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Committees	<p>Data Safety Monitoring Board David Lewis (Chair) Judith Breuer, Virologist, University College London Stephen Evans, Statistician, London School Hygiene & Tropical Medicine Krishnan Bhaskaran, Statistician, London School Hygiene & Tropical Medicine Ian Feavers, Senior Research Assistant, University of Oxford Paul Moss, Professor of Haematology, University of Birmingham Hanna Nohynek, Physician, Deputy Head of the Unit for Infectious Disease Control, Finland Mark Toshner, University Lecturer, University of Cambridge</p> <p>Trial Steering Group Mary Ramsay, Public Health England Robert Read, University of Southampton Paul Turner, Imperial College London Claire Cameron, Public Health Scotland</p> <p>Study Management Group</p> <table data-bbox="288 896 1085 1077"> <tr> <td>M Snape</td> <td>M Ramasamy</td> </tr> <tr> <td>P Heath</td> <td>B Hallis</td> </tr> <tr> <td>S Faust</td> <td>V Libri</td> </tr> <tr> <td>A Finn</td> <td>A Collins</td> </tr> <tr> <td>C Green</td> <td>D Turner</td> </tr> <tr> <td>R Lazarus</td> <td></td> </tr> </table>	M Snape	M Ramasamy	P Heath	B Hallis	S Faust	V Libri	A Finn	A Collins	C Green	D Turner	R Lazarus	
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S Faust	V Libri												
A Finn	A Collins												
C Green	D Turner												
R Lazarus													

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3 CONFLICT OF INTEREST DECLARATION

1229 The ChAdOx1 nCoV-19 vaccine was developed as a partnership between the University of Oxford, who are
1230 sponsoring and coordinating this study, and AstraZeneca. The University of Oxford and AstraZeneca have
1231 committed to making the vaccine available on a ‘not for profit’ basis for the duration of the current pandemic.
1232 Both parties could potentially profit from this vaccine in the future.
1233 M Snape is an investigator on the COV001 and COV002 studies evaluating ChAdOx1 nCoV-19, these studies
1234 are funded by NIHR and receive logistical support from AstraZeneca. M Snape is currently, or has recently been,
1235 an investigator on studies funded +/- sponsored by vaccine manufacturers including Pfizer, GlaxoSmithKline,
1236 Janssen, MCM vaccines, Novavax and Medimmune. He receives no personal financial benefit for this work.

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4 LAY SUMMARY

1239 On the 2nd of December 2020 the MHRA granted emergency authorisation for a vaccine against COVID-19,
1240 ‘COVID-19 mRNA Vaccine BNT162b2’, the European Medicines Agency then granted conditional authorisation
1241 on 21st December 2020. This was followed by emergency authorisation of the Oxford/AstraZeneca ChAdOx1
1242 nCoV-19 vaccine on the 29th of December 2020 by the UK MHRA. The MHRA then similarly granted
1243 emergency authorisation for the mRNA COVID-19 Vaccine Moderna on 8th January 2021. The adjuvanted protein
1244 COVID-19 vaccine from Novavax, NVX-CoV2373, is under rolling review of the MHRA at the time of writing.
1245 All of these vaccines were originally developed for use as homologous two-dose regimens. There are likely to be
1246 significant logistical challenges immunising large portions of the population. There would be significant
1247 advantages to having flexible immunisation programmes whereby the second vaccine dose is not necessarily the
1248 same as the first dose. Accordingly, this study will determine the safety as well as the immune responses to a
1249 variety of combinations of prime/boost schedules for candidate COVID-19 vaccines that are potentially to be
1250 deployed in the UK. The vaccines to be studied in this protocol will primarily be determined by those made
1251 available to the Department of Health and Social Care (DHSC) for population use.

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5 SYNOPSIS

Trial Title	A single-blind, randomised, phase II UK multi-centre study to determine reactogenicity and immunogenicity of heterologous prime/boost COVID-19 vaccine schedules
Internal ref. no. (or short title)	Comparing COVID-19 Vaccine Schedule Combinations (Com-COV)
Trial registration	EudraCT 2020-005085-33 ISRCTN: 69254139
Sponsor	University of Oxford Clinical Trials and Research Governance Joint Research Office Boundary Brook House Churchill Drive Headington Oxford OX3 7GB United Kingdom
Funder	National Institute for Health Research & UK Vaccine Task Force
Clinical Phase	Phase II
Trial Design	Single-blind, randomised prime-boost vaccine administration study
Trial Participants	Adults aged 50 years and above
Sample Size	A total of 820 participants, consisting of an Immunology cohort receiving their booster vaccine dose after 28 days (n=100) and a General cohort (n=720). Half of the general cohort participants (n=360) will receive their booster vaccine after 28 days, and half will receive their booster vaccine after 84 days. Within the immunology cohort participants will be randomised 1:1:1:1 to the following arms receiving their booster vaccine dose after 28 days: <ul style="list-style-type: none"> Prime ChAdOx1 nCoV-19, Boost ChAdOx1 nCoV-19

	<ul style="list-style-type: none"> • Prime ChAdOx1 nCOV-19, Boost BNT162b2 • Prime BNT162b2, Boost BNT162b2 • Prime BNT162b2, Boost ChAdOx1 nCOV-19 <p>Within the general cohort participants will be randomised 1:1:1:1:1:1:1 to the following arms:</p> <ul style="list-style-type: none"> • Prime ChAdOx1 nCOV-19, Boost ChAdOx1 nCOV-19 28 day boost • Prime ChAdOx1 nCOV-19, Boost BNT162b2 28 day boost • Prime BNT162b2, Boost BNT162b2 28 day boost • Prime BNT162b2, Boost ChAdOx1 nCOV-19 28 day boost • Prime ChAdOx1 nCOV-19, Boost ChAdOx1 nCOV-19 84 day boost* • Prime ChAdOx1 nCOV-19, Boost BNT162b2 84 day boost* • Prime BNT162b2, Boost BNT162b2 84 day boost* • Prime BNT162b2, Boost ChAdOx1 nCOV-19 84 day boost* <p>There will therefore be a sum total of 205 participants receiving each different permutation of vaccine, 25 of whom will be in the Immunology cohort with booster vaccine dose after 28 days, 90 in the General Cohort with booster vaccine dose after 28 days and 90 in the General Cohort with booster vaccine dose after 84 days.</p> <p>*Participants in each arm (N=90) of the General cohort boosted at 84 days who consent to the optional sub-study (evaluating impact of prophylactic paracetamol), will have a further randomisation and subdivision into those advised to take up to 4 doses of prophylactic paracetamol over the initial 24 hours following boost vaccination (prophylactic paracetamol, N = 45) and those advised to take paracetamol only in response to symptoms (reactive paracetamol, N = 45).</p>		
Planned Trial Period	8-12 months per participant (following on from the first vaccination) Total trial period 1 year, 9 months		
	Objectives	Outcome Measures	Timepoint(s)
Primary	To determine whether the immune response in COVID seronegative participants to immunisation with heterologous prime/boost COVID-19 vaccines regimens (boosted at D28) is non-inferior to that observed following immunisation with approved homologous prime-boost regimens (boosted at D28).	Immunogenicity: Anti-spike immunoglobulins	Day 56
Secondary	To determine whether the immune response in COVID seronegative participants to immunisation with heterologous prime/boost COVID-19 vaccines regimens across all dosing intervals is non-inferior to that observed following immunisation with approved homologous prime-boost regimens	Immunogenicity: Anti-spike immunoglobulins	4 weeks post boost (D56 for 28 day boost cohort, D112 for the 84 day boost cohort)
	To assess safety of heterologous prime-boost COVID-19 vaccines	Serious adverse events Adverse events of special interest	Throughout the study
	Further characterisation of immunogenicity of heterologous & homologous prime/boost schedules*	Anti-spike immunoglobulins	D0, 7, 14, 28, 35, 84, 112, 182, 364
		Neutralising antibodies against SARS-CoV-2	D0, 14, 28, 56, 84, 112, 182, 364
		Anti-nucleocapsid immunoglobulins	D0, 28, 56, 84, 182, 364
Pseudo neutralising antibodies	D0, 14, 28, 56, 84, 112, 182, 364		

		Cellular immune responses by ELISpot	D0, 14, 28, 42, 56, 84, 112, 182, 364
		Cellular immune responses by ICS (Th1/Th2)	D0, 14, 42
	<p>*D7, 14, 35 and 42 analysis only for immunology cohort (n=100) D28 analysis only for the immunology (n=100) and general cohorts boosted at 28 days (n=360) D84 analysis only for the general cohorts boosted at 84 days (n=360) D112 analysis for the general cohorts boosted at 84 days (n=360) and the immunology cohort (n=100)</p>		
	Reactogenicity and safety of heterologous & homologous prime/boost schedules of COVID-19 vaccines	Solicited local reactions	7 days after each immunisation
		Solicited systemic reactions	7 days after each immunisation
		Unsolicited reactions	28 days after each immunisation
		Medically attended adverse events	Up to 3 months post booster dose
		Changes from baseline in laboratory safety measures	D0, 28, 35, 56, 84, 112**
	<p>**D35 safety bloods only for immunology cohort (n=100) D28 safety bloods only for the immunology (n=100) and general cohorts boosted at 28 days (n=360) D84, 112 safety bloods only for the general cohorts boosted at 84 days (n=360)</p>		
	Evaluation of immunogenicity, safety & reactogenicity of COVID-19 vaccines in participants sero-positive for SARS-CoV-2 IgG at baseline	Immunogenicity, safety & reactogenicity endpoints as outlined above	Timepoints as outlined above
Exploratory	To characterise COVID-19 infections experienced following administration of vaccination and the immune response to those infections	Anti-spike & anti-nucleocapsid immunoglobulins, neutralising and pseudo-neutralising antibodies, cellular immune response by ICS and ELISpot Genome sequencing of SARS-CoV-2 viruses isolated from infected participants	From prime dose, and within 1 week of a participant being found to be SARS-CoV-2 positive by external testing
	To characterise and compare the mucosal immune response to immunisation with homologous and heterologous COVID-19 vaccines in the immunology cohort and from 100 participants in the general cohort who are boosted at 84 days using both nasal fluid samples (collected via SAM-strip) and saliva samples	IgA & IgG ELISA and exploratory immunological assays	D0, 7, 14, 28, 35, 42, 56, 84, 112, 182, 364 (Saliva sampling only from D28)
	To further characterise the blood antibody response in the immunology cohort and from 100 participants in the general cohort who are boosted at 84 days	Functional antibody assays	D0, 7, 14, 28, 35, 42, 56, 84, 112, 182, 364
	(Sub-study) To characterise the effect of advising participants to take prophylactic paracetamol on: reactogenicity, daily function and immunogenicity in the	Solicited local and systemic reactions, including questions regarding function, immunology assays	7 days after boost immunisation for reactions and function questions. All immunology assays outlined previously

	General 84 cohort at the time of their boost											
Intervention(s) • IMP(s)	<table border="1"> <thead> <tr> <th>Vaccine</th> <th>Dose</th> <th>Route of administration</th> </tr> </thead> <tbody> <tr> <td>AstraZeneca COVID-19 vaccine AZD1222 (ChAdOx1 nCoV-19)</td> <td>5x10¹⁰vp (0.5ml)</td> <td>Intramuscular</td> </tr> <tr> <td>Pfizer BioNTech (BNT162b2)</td> <td>30 µg (0.3ml)</td> <td>Intramuscular</td> </tr> </tbody> </table>			Vaccine	Dose	Route of administration	AstraZeneca COVID-19 vaccine AZD1222 (ChAdOx1 nCoV-19)	5x10 ¹⁰ vp (0.5ml)	Intramuscular	Pfizer BioNTech (BNT162b2)	30 µg (0.3ml)	Intramuscular
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	AstraZeneca COVID-19 vaccine AZD1222 (ChAdOx1 nCoV-19)	5x10 ¹⁰ vp (0.5ml)	Intramuscular									
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6 ABBREVIATIONS

ADE	Antibody Dependant Enhancement
AE	Adverse event
AESI	Adverse Event of Special Interest
Anti-N IgG	Anti-nucleocapsid Immunoglobulin G
Anti-S IgG	Anti-spike Immunoglobulin G
AR	Adverse reaction
C19P	COVID-19 Pathway
CCVTM	Centre for Clinical Vaccinology and Tropical Medicine, Oxford
ChAdOx1	Chimpanzee adenovirus 1
ChAdOx1 nCoV-19	Oxford/AstraZeneca COVID-19 vaccine
CI	Chief Investigator
CRF	Case Report Form

CT	Clinical Trials
CTA	Clinical Trials Authorisation
CTRG	Clinical Trials and Research Governance
DSMB	Data Safety Monitoring Board
DSUR	Development Safety Update Report
EDC	Electronic Data Capture
ELISPOT	Enzyme-linked Immunospot
FBC	Full blood count
GCP	Good Clinical Practice
GMT	Geometric Mean Titre
GP	General Practitioner
HIV	Human Immunodeficiency virus
HRA	Health Research Authority
IB	Investigators Brochure
ICS	Intracellular Cytokine Staining
ICF	Informed Consent Form
IM	Intramuscular
IMP	Investigational Medicinal Product
IV	Intravenous
JCVI	Joint Committee on Vaccines and Immunisation
MHRA	Medicines and Healthcare products Regulatory Agency
mRNA	Messenger ribo-nucleic-acid
NHS	National Health Service
NIHR	National Institute for Health Research
NISEC	National Immunisation Schedule Evaluation Consortium
Novavax, NVX-CoV2373	Novavax COVID-19 vaccine
PBMC	Peripheral blood mononuclear cell
PCR	Polymerase chain reaction
Pfizer BNT162b2	Pfizer COVID-19 vaccine
qPCR	Quantitative polymerase chain reaction
RES	Research Ethics Service
PB	Post-booster
PI	Principal Investigator
PIS	Participant/ Patient Information Sheet

REC	Research Ethics Committee
RSI	Reference Safety Information
SAE	Serious Adverse Event
SAM-strips	Synthetic absorbable matrix strips
SAR	Serious Adverse Reaction
SMPC	Summary of Medicinal Product Characteristics
SOP	Standard Operating Procedure
SUSAR	Suspected Unexpected Serious Adverse Reactions
TMF	Trial Master File
TSG	Trials Safety Group
µg	Microgram
Vp	Viral particle
VTF	Vaccine Task Force
WHO	World Health Organisation

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7 BACKGROUND AND RATIONALE

1324 In December 2019, a cluster of patients with pneumonia of unknown cause was linked to a seafood wholesale
1325 market in Wuhan, China and were later confirmed to be infected with a novel coronavirus, known as 2019-nCoV
1326 (Zhu et al., 2020). The virus was subsequently renamed to SARS-CoV-2 because it is similar to the coronavirus
1327 responsible for severe acute respiratory syndrome (SARS-CoV), a lineage B betacoronavirus. SARS-CoV-2
1328 shares more than 79% of its sequence with SARS-CoV, and 50% with the coronavirus responsible for Middle
1329 East respiratory syndrome (MERS-CoV), a member of the lineage C betacoronavirus (Lu et al., 2020). COVID-
1330 19 is the infectious disease caused by SARS-CoV-2. By January 2020 there was increasing evidence of human to
1331 human transmission as the number of cases rapidly began to increase in China. Despite unprecedented
1332 containment measures adopted by the Chinese government, SARS-CoV-2 rapidly spread across the world. The
1333 WHO declared the COVID-19 outbreak a public health emergency of international concern on 30th January 2020.
1334 Globally, as of 25th February 2021, there have been 112,209,815 confirmed cases of COVID-19, including
1335 2,490,776 deaths, reported to the WHO.

1336 Coronaviruses (CoVs) are spherical, enveloped, large positive-sense single-stranded RNA genomes. One-fourth
1337 of their genome is responsible for coding structural proteins, such as the spike (S) glycoprotein, envelope (E),
1338 membrane (M) and nucleocapsid (N) proteins. E, M, and N are mainly responsible for virion assembly whilst the
1339 S protein is involved in receptor binding, mediating virus entry into host cells during CoVs infection via different
1340 receptors (Li, 2016). SARS-CoV-2 belongs to the phylogenetic lineage B of the genus Betacoronavirus and it
1341 recognises the angiotensin-converting enzyme 2 (ACE-2) as the entry receptor (Zhou et al., 2020). It is the seventh
1342 CoV known to cause human infections and the third known to cause severe disease after SARS-CoV and MERS-
1343 CoV.

1344 Many social measures have been undertaken in countries across the world in order to limit the spread of the
1345 virus(UK Government Department of Health & Social Care, 2020). These have included social distancing,
1346 lockdown and mask-wearing. Currently there is no definitive treatment for COVID-19. Dexamethasone has been
1347 shown to improve mortality in those with confirmed disease and an Oxygen requirement (The RECOVERY

1348 Collaborative Group, 2020). Remdesivir, a direct anti-viral, has also been shown to reduce duration of symptoms
 1349 in those who have only mild disease (Beigel et al., 2020).
 1350 Many countries have already experienced ‘second, third waves’ of infection. On the 2nd December 2020 the
 1351 MHRA granted emergency authorisation for a vaccine against COVID-19, ‘COVID-19 mRNA Vaccine
 1352 BNT162b2’ (Medicines and Healthcare products Regulatory Agency, 2020), the European Medicines Agency
 1353 then granted conditional authorisation on 21st December 2020. This was followed by emergency authorisation of
 1354 the Oxford/AstraZeneca ChAdOx1 nCoV-19 vaccine on the 29th of December 2020 by the UK MHRA. The
 1355 MHRA then similarly granted emergency authorisation for the mRNA COVID-19 Vaccine Moderna on 8th
 1356 January 2021. The adjuvanted protein COVID-19 vaccine from Novavax, NVX-CoV2373, is under rolling review
 1357 of the MHRA at the time of writing. All of these vaccines were developed for use as homologous two-dose
 1358 regimens. Further vaccines using different platforms are expected to be approved for use against COVID-19
 1359 during 2021. All of these are expected to be approved as two dose, homologous prime/boost schedules.
 1360 Given the anticipated programmatic challenges of immunising large proportions of the population, there would
 1361 be advantages to having flexible immunisation programmes where the second dose is not necessarily the same as
 1362 the first dose, i.e. a permissive approach to using heterologous prime/boost schedules. Accordingly, this study
 1363 will determine the reactogenicity and immunogenicity of unapproved heterologous prime/boost schedules for
 1364 candidate COVID-19 vaccines that are potentially to be deployed in the UK, for which safety and clinical efficacy
 1365 data are not known. The vaccines to be studied in this protocol will primarily be determined by those made
 1366 available to the Department of Health & Social Care (DHSC) for population use.
 1367 Furthermore, given the UK introduction of COVID-19 vaccines has utilised an extended (up to 12 week) interval
 1368 between the first and second dose of vaccine, this study will evaluate combinations of vaccines with a 12 week,
 1369 as well as 4 week, dosing interval.
 1370 As further vaccines get their licensure in the UK, they can be added to the trial, increasing the number of prime-
 1371 boost vaccine permutations. The population to be studied will be adults 50 years and over; including those with
 1372 comorbidities classified as mild/moderate/well controlled. The reason for this is that this will most likely include
 1373 the target population for vaccination, as these are the population who are most at risk of severe disease.

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1383 **Table 1. Investigational medicinal product(s), summary of relevant studies**

Country	Trial	Phase	Trials registration	Vaccine	Route	Dose	Age cohorts (years)	Number of participants
ChAdOx1 nCoV-19								
UK	COV001	Phase 1/2 efficacy, safety & immunogenicity	EudraCT 2020-001072-15	ChAdOx1 nCoV-19	IM	5x10 ¹⁰ vp	18-55	1077
UK	COV002	Phase 2/3	EudraCT 2020-001228-32	ChAdOx1 nCoV-19	IM	2- 5x10 ¹⁰ vp	18-64 >65	10,200
Brazil	COV003	Phase 3	NCT04536051	ChAdOx1 nCoV-19	IM	5x10 ¹⁰ vp	>18	10,300
South Africa	COV005	Adaptive Phase 1/2	NCT04444674		IM	5x10 ¹⁰ vp	18-65	2,130
BNT162b2								
Germany	BioNTech	Phase I/II, 2-Part, Dose-Escalation Trial	EudraCT 2020-001038-36	BNT162a 1 BNT162b 1 BNT162b 2 BNT162c 2	IM	10 µg 30 µg 100 µg (phase 1) 10 µg, 20 µg and 30 µg (phase 2)	18-55 56-85	486 132

Argentina Brazil, Germany South Africa Turkey United States	BioNTech & Pfizer	A phase 1/2/3, observer-blind, dose-finding study	EudraCT 2020-002641- 42	BNT162b 2	IM	30ug	12-17 18-64 >65	2500 31000 10498
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7.1 Potential benefits

1386 Participants in this study receiving an approved, homologous, prime boost schedule of a COVID-19 vaccine
1387 should have a lower risk of COVID-19 disease than unimmunised individuals. Although the heterologous
1388 prime/boost schedules have not been tested or approved as yet, the UK ‘Green Book’ guide to immunisation notes
1389 that, ‘as both the vaccines are based on the spike protein, it is likely the second dose will help to boost the response
1390 to the first dose’, therefore it is expected that those in the heterologous group will receive some protection (Public
1391 Health England, 2020). Participants may benefit from early receipt of an approved vaccine, should their age/risk
1392 group not be eligible for routine vaccination before the start of the trial.

1393 It is hoped that the information gained from this study will contribute to the development of a safe, effective and
1394 versatile vaccine programme against COVID-19.

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7.2 Potential risks

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7.2.1 Associated with phlebotomy

1398 Localised bruising and discomfort can occur at the site of venepuncture. Infrequently fainting may occur. These
1399 will not be documented as AEs if they occur. The total volume of blood drawn over a 12 month period will be up
1400 to 271-528ml (+ up to 57-77ml per COVID-19 visit if required, and/or up to 7ml per additional set of safety
1401 bloods) (blood volumes may vary slightly for participants at different investigator sites due to use of different
1402 volume vacutainers, following local Trust SOPs). This should not compromise these otherwise healthy volunteers,
1403 as these volumes are within the limits of 470mL every 3 – 4 months for blood donations to the National Blood
1404 Transfusion Service. Participants will be asked to refrain from blood donation for the duration of their involvement
1405 in the trial.

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7.2.2 Associated with saliva sampling

1408 Participants may find the saliva collection process unsavoury as it involves drooling and spitting.

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7.2.3 Associated with nasal fluid sampling

1411 Localised discomfort can occur in the nostril. Infrequently, this can result in a small amount of epistaxis, which
1412 can be controlled with pressure to the affected area.

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7.2.4 Allergic reactions

1415 Allergic reactions from mild to severe may occur in response to any constituent of a medicinal product’s
1416 preparation. Anaphylaxis is extremely rare (about 1 in 1,000,000 vaccine doses) but can occur in response to any
1417 vaccine or medication (Public Health England, 2013).

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7.2.5 Behaviour change

1420 Participants might feel they can modify their COVID-19 risk behaviours on the assumption that they are protected
1421 once vaccinated. Participants will be extensively counselled that they should continue to follow all up to date
1422 government advice in relation to COVID-19 precautions during the trial.

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7.2.6 Specific risk from vaccines

1425 Please refer to Section 13.8 for full details.

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7.2.7 Increased reactogenicity from heterologous prime/boost immunisation schedules

1428 An interim analysis of participants in this study receiving immunisations at 4 week intervals suggests that
1429 immunisation with heterologous schedules of ChAdOx1-nCoV-19 and BNT162b2 may result in more frequent
1430 solicited systemic reactions such as fatigue, chills, feverishness and malaise than the homologous schedules for
1431 these vaccines. Participants in the day 84 interval groups will be advised of this before receiving their boost
1432 vaccine, and given the option of participating in a randomisation sub-study to evaluate the impact of prophylactic
1433 paracetamol on the reactogenicity of the heterologous vaccine schedules.

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1435 **7.2.8 Antibody Dependant Enhancement and Immunopathology**

1436 Safety concerns around the use of some viral antigens as a vaccine antigen have been raised following historical
1437 and limited reports of immunopathology and antibody dependant enhancement (ADE) reported in vitro and post
1438 SARS-CoV challenge in mice, ferrets and non-human primates immunised with whole SARS-CoV inactivated or
1439 full-length S protein based vaccines, including a study using Modified Vaccinia Ankara as a vector (Liu et al.,
1440 2019; Tseng et al., 2012; Weingartl et al., 2004). To date, there has been one report of lung immunopathology
1441 following MERS-CoV challenge in mice immunised with an inactivated MERS-CoV candidate vaccine (Agrawal
1442 et al., 2016). However, in preclinical studies of ChAdOx1 immunisation and MERS-CoV challenge, no ADE was
1443 observed in hDPP4 transgenic mice, dromedary camels or non-human primates (Alharbi et al., 2019; Munster et
1444 al., 2017).

1445 The COVID-19 vaccines to be used in this study will have proven effectiveness, and recipients will have been
1446 monitored for any suggestion of ADE. The possibility of ADE have also been evaluated in pre-clinical studies.
1447 Nevertheless, this risk will not have been assessed for heterologous prime/boost schedules. Participants will be
1448 made aware of this theoretical risk.
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1450 **7.2.9 Emerging Thrombosis with Thrombocytopenia Association with vaccination**

1451 The MHRA and JCVI issued updated guidance regarding the use of ChAdOx1 nCoV-19 on 7th April 2021,
1452 following a review of extremely rare reports of cerebral venous sinus thrombosis (and thrombosis of other major
1453 veins) with concurrent thrombocytopenia that have occurred after vaccination in the national rollout programme.
1454 This recommends that currently, in the UK setting, alternative vaccinations against COVID-19 should be
1455 preferentially offered to individuals aged 29 and under.

1456 All participants in this study will be provided with up-to-date information from regulators on this finding via the
1457 participant information sheet. They will also be provided with other relevant documentation from regulators
1458 and/or public health authorities related to this association and possible risks of vaccination that is also being
1459 provided in vaccination centres. Participants who will potentially receive the ChAdOx1 nCoV-19 will be given
1460 public health documents specific to this vaccine. Participants will be advised to be aware of possible signs and
1461 symptoms of blood clots and to have a low threshold to contact trial teams if experiencing these or other
1462 symptoms.
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1464 **7.2.10 Unwanted media attention**

1465 Trial participants can be subjected to unwanted attention from the media. They will therefore be provided with
1466 access to a document outlining some suggested media guidance.
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1471 **8 OBJECTIVES AND OUTCOME MEASURES**

Objectives	Outcome Measures	Timepoint(s)
Primary		
To determine whether the immune response in COVID seronegative participants to immunisation with heterologous prime/boost COVID-19 vaccines regimens (boosted at D28) is non-inferior to that observed following immunisation with approved homologous prime-boost regimens (boosted at D28).	Anti-spike immunoglobulins	Day 56
Secondary		
To assess safety of heterologous prime-boost COVID-19 vaccines	Serious adverse events and adverse events of special interest	Throughout the study
To determine whether the immune response in COVID seronegative participants to immunisation with heterologous prime/boost COVID-19	Immunogenicity: Anti-spike immunoglobulins	4 weeks post boost (D56 for 28 day boost cohort, D112 for the 84 day boost cohort)

vaccines regimens across all dosing intervals is non-inferior to that observed following immunisation with approved homologous prime-boost regimens		
Further characterisation of immunogenicity of heterologous & homologous prime/boost schedules*	Anti-spike immunoglobulins	D0, 7, 14, 28, 35, 84, 112, 182, 364
	Neutralising antibodies against SARS-CoV-2	D0, 14, 28, 56, 84, 112, 182, 364
	Anti-nucleocapsid immunoglobulins	D0, 14, 28, 56, 84, 182, 364
	Pseudo neutralising antibodies	D0, 14, 28, 56, 84, 112, 182, 364
	Cellular immune responses by ELISpot	D0, 14, 28, 42, 56, 84, 112, 182, 364
	Cellular immune responses by ICS (Th1/Th2)	D0, 14, 42
**D7, 14, 35 and 42 analysis only for immunology cohort (n=100) D28 analysis only for the immunology (n=100) and general cohorts boosted at 28 days (n=360) D84 analysis only for the general cohorts boosted at 84 days (n=360) D112 analysis only for the immunology (n=100) and general cohorts boosted at 84 days (n=360)		
Reactogenicity and safety of heterologous & homologous prime/boost schedules of COVID-19 vaccines	Solicited local reactions	7 days after each immunisation
	Solicited systemic reactions	7 days after each immunisation
	Unsolicited reactions	28 days after each immunisation
	Medically attended adverse reactions	Up to 3 months post booster
	Changes from baseline in laboratory safety measures	D0, 28, 35, 56, 84, 112**
**D35 safety bloods only for immunology cohort (n=100) D28 safety bloods only for the immunology (n=100) and general cohorts boosted at 28 days (n=360) D84, 112 safety bloods only for the general cohorts boosted at 84 days (n=360)		
Evaluation of immunogenicity, safety and reactogenicity of COVID-19 vaccines in participants sero-positive for SARS-CoV-2 IgG at baseline	Immunogenicity, reactogenicity and safety endpoints as outlined above	Timepoints as outlined above

To characterise COVID-19 infections experienced following administration of vaccination and the immune response to those infections	Anti-spike & anti-nucleocapsid immunoglobulins, neutralising and pseudo-neutralising antibodies, cellular immune response by ICS and ELISpot Genome sequencing of SARS-CoV-2 viruses isolated from infected participants	From prime dose, and within 1 week of a participant being found to be SARS-CoV-2 positive by external testing
Exploratory		
To characterise COVID-19 infections experienced following completion of immunisation schedule and the immune response to those infections	Anti-spike and anti-nucleocapsid immunoglobulins, neutralising and pseudo-neutralising antibodies, cellular immune response by ICS and ELISpot Genome sequencing of SARS-CoV-2 viruses isolated from infected participants	From post-boost and within 1 week of a participant being found to be SARS-CoV-2 positive by external testing.
To characterise and compare the mucosal immune response to immunisation with homologous and heterologous COVID-19 vaccines in the immunology cohort and from 100 participants in the general cohort who are boosted at 84 days, using both nasal fluid (collected via SAM-strips) as well as saliva samples	IgA & IgG ELISA and exploratory immunological assays	D0, 7, 14, 28, 35, 42, 56, 84, 112, 182, 364 (Saliva samples only from D28)
To further characterise the blood antibody response in the immunology cohort and from 100 participants in the general cohort who are boosted at 84 days	Functional antibody assays	D0, 7, 14, 28, 35, 42, 56, 84, 112, 182, 364
(Sub-study) To characterise the effect of advising participants to take prophylactic paracetamol on: reactogenicity, daily function and immunogenicity in the General 84 cohort at the time of their boost	Solicited local and systemic reactions, including questions regarding function, immunology assays	7 days after boost immunisation for reactions and function questions. All immunology assays outlined previously

1472 **9 TRIAL DESIGN**1473 A single-blind, randomised, phase II UK multi-centre study to determine reactogenicity and immunogenicity of
1474 heterologous prime/boost COVID-19 vaccine schedules.

1475

1476 **9.1 Setting**

1477 Multicentre study conducted through academic and NHS clinical trials sites.

1478

1479 **9.2 Trial duration**1480 Total duration of each participant will be 8-12 months from the administration of the first vaccine dose. The total
1481 trial period will be approximately 1 year, 9 months

1482

1483 **9.3 Study groups**1484 The study will initially consist of 2 cohorts, one for more detailed immunological assessment (immunology cohort,
1485 n=100, 25 per arm) boosted at Day 28 (randomised 1:1:1:1), one for main immunology endpoints for participants
1486 boosted at Day 28 and at Day 84 (general cohort n=720, 90 per arm) (randomised 1:1:1:1:1:1:1)

1487 The study will be single-blind.

1488

Cohort	Group	Arm	Prime (Day 0)	Boost (Day 28)	Boost (Day 84)	Visits
Immunology (n=100)	A - ChAdOx1 nCOV-19	IA1 (n=25)	ChAdOx1 nCOV-19	ChAdOx1 nCOV-19	-	Day 0, 7, 14,

	(n=50)	IA2 (n=25)	ChAdOx1 nCOV-19	BNT162b2	-	28, 35, 42, 56, 182, 364
	B - BNT162b2 (n=50)	IB1 (n=25)	BNT162b2	BNT162b2	-	
		IB2 (n=25)	BNT162b2	ChAdOx1 nCOV-19	-	
General (n=720)	A - ChAdOx1 nCOV-19 (n=180)	GA1-28 (n=90)	ChAdOx1 nCOV-19	ChAdOx1 nCOV-19	-	Day 0, 28, 56, 182, 364
		GA2-28 (n=90)	ChAdOx1 nCOV-19	BNT162b2	-	
	B - BNT162b2 (n=180)	GB1-28 (n=90)	BNT162b2	BNT162b2	-	
		GB2-28 (n=90)	BNT162b2	ChAdOx1 nCOV-19	-	
	A - ChAdOx1 nCOV-19 (n=180)	GA1-84 (n=90)	ChAdOx1 nCOV-19	-	ChAdOx1 nCOV-19	Day 0, 56, 84, 112, 182, 364
		GA2-84 (n=90)	ChAdOx1 nCOV-19	-	BNT162b2	
	B - BNT162b2 (n=180)	GB1-84 (n=90)	BNT162b2	-	BNT162b2	
		GB2-84 (n=90)	BNT162b2	-	ChAdOx1 nCOV-19	

1489

1490 The initial randomisation will be stratified by the study cohorts, i.e. immunology cohort and general cohort, and
1491 by study sites:

1492 Immunology cohort (boosted 28 days) will have visits: 0, 7, 14, 28, 35, 42, 56, 112 (optional), 182, 364

1493 General cohort (boosted 28 days) will have visits: 0, 28, 56, 182, 364

1494 General cohort (boosted 84 days) will have visits: 0, 56, 84, 112, 182, 364

1495 The study will be single-blind, i.e. while staff involved in study delivery will be aware of what vaccine schedule
1496 the participant is receiving, the participant themselves will remain blinded to their vaccine schedule (they will be
1497 informed their timing for boost). This blind will be maintained by applying a masking tape over the vaccine
1498 syringe. Laboratory staff will also be blinded to the vaccine schedule received.

1499 Participants who acquire new infection with SARS-CoV-2 will have an additional study visit for clinical
1500 assessment, to take blood tests for immunological assessment and to take a sample for isolation of virus. They
1501 may also have nasal fluid and saliva samples taken.

1502 Of note is that the interval between the BNT162b2 vaccines will be 28 days or 84 days. This is consistent with
1503 this vaccine's Summary of Product Characteristics, which specifies that the interval be 'at least 21 days'. For the
1504 shorter interval, the 28 day interval (rather than 21 day) has been chosen to ensure that participants remain blinded
1505 to the vaccines received, given the minimum interval for the ChAdOx1 nCoV-19 vaccine is 28 days.

1506 On 10th February 2021 the WHO issued revised recommendations that the AstraZeneca/Oxford ChAdOx1-nCoV-
1507 19 vaccine be given at an 8-12 week boost interval in light of evidence that suggests longer prime-boost intervals
1508 may provide superior efficacy. However, the 4 week interval schedule for the homologous AstraZeneca/Oxford
1509 ChAdOx1-nCoV-19 vaccine is still an approved schedule and will continue to be used in this trial to maintain the
1510 scientific integrity of the study.

1511 There will be a subsequent optional second unblinded randomisation of participants in the General cohort boosted
1512 at 84 days to be advised to take paracetamol routinely (prophylactically) for up to 4 doses in the first 24 hours
1513 following boost dose of vaccination and taken reactively afterwards vs advice to take paracetamol reactively only.

1514 Details of self-medication will be self-reported by e-diary

1515

Cohort	Group	Arm	Prime (Day 0)	Boost (Day 84)	Paracetamol	Visits
General cohort boosted at Day 84 (n=360)	A - ChAdOx1 nCOV-19 (n=180)	GA1-84 (n=45)	ChAdOx1 nCOV-19	ChAdOx1 nCOV-19	Prophylactic	Day 0, 56, 84, 112, 182, 364
		GA1-84 (n=45)	ChAdOx1 nCOV-19	ChAdOx1 nCOV-19	Reactive	
		GA2-84 (n=45)	ChAdOx1 nCOV-19	BNT162b2	Prophylactic	
		GA2-84 (n=45)	ChAdOx1 nCOV-19	BNT162b2	Reactive	
	B - BNT162b2 (n=180)	GB1-84 (n=45)	BNT162b2	BNT162b2	Prophylactic	
		GB1-84 (n=45)	BNT162b2	BNT162b2	Reactive	

		GB2-84 (n=45)	BNT162b2	ChAdOx1 nCOV-19	Prophylactic
		GB2-84 (n=45)	BNT162b2	ChAdOx1 nCOV-19	Reactive

1516

1517 APPENDIX A: SCHEDULE OF PROCEDURES for full details of visit schedule.

1518

1519 **10 PARTICIPANT IDENTIFICATION**1520 **10.1 Trial Participants**

1521 Adult volunteers aged at least 50 years. Comorbidities of clinical definition mild/moderate/well-controlled will
 1522 be permitted. Individuals of all ethnicities will be recruited, with recruitment of those identifying as Black, Asian
 1523 and Minority Ethnic particularly encouraged.

1524

1525 **10.2 Inclusion Criteria**

- 1526 • Participant is willing and able to give written informed consent for participation in the trial
- 1527 • Male or Female, aged 50 years or above and in good health as determined by a trial clinician Participants
 1528 may have well controlled or mild-moderate comorbidity
- 1529 • Female participants of childbearing potential must be willing to ensure that they or their partner use
 1530 effective contraception from 1 month prior to first immunisation continuously until 3 months after boost
 1531 immunisation. See Section 13.14 for definition of child bearing potential
- 1532 • In the Investigator's opinion, is able and willing to comply with all trial requirements
- 1533 • Willing to allow their General Practitioner and consultant, if appropriate, to be notified of participation
 1534 in the trial
- 1535 • Willing to allow investigators to discuss the volunteer's medical history with their General Practitioner
 1536 and access all medical records when relevant to study procedures
- 1537 • Agreement to refrain from blood donation during the course of the study

1538

1539 **10.3 Exclusion Criteria**

- 1540 • The participant may not enter the trial if ANY of the following apply:
- 1541 • Receipt of any vaccine (licensed or investigational) other than the study intervention within 30 days
 1542 before and after each study vaccination (one week for licensed seasonal influenza vaccine or
 1543 pneumococcal vaccine)
- 1544 • Prior or planned receipt of an investigational or licensed vaccine or product likely to impact on
 1545 interpretation of the trial data (e.g. Adenovirus vectored vaccines, any coronavirus vaccines)
- 1546 • Administration of immunoglobulins and/or any blood products within the three months preceding the
 1547 planned administration of the vaccines
- 1548 • Any confirmed or suspected immunosuppressive or immunodeficient state; asplenia; recurrent severe
 1549 infections and use of immunosuppressant medication within the past 6 months, except topical steroids or
 1550 short-term oral steroids (course lasting ≤ 14 days)
- 1551 • History of allergic disease or reactions likely to be exacerbated by any component of study vaccines (e.g.
 1552 hypersensitivity to the active substance or any of the SmPC-listed ingredients of the Pfizer vaccine)
- 1553 • Any history of anaphylaxis
- 1554 • Pregnancy, lactation or willingness/intention to become pregnant within 3 months post boost vaccine
- 1555 • Current diagnosis of or treatment for cancer (except basal cell carcinoma of the skin and cervical
 1556 carcinoma in situ)
- 1557 • Bleeding disorder (e.g. factor deficiency, coagulopathy or platelet disorder), or prior history of significant
 1558 bleeding or bruising following IM injections or venepuncture
- 1559 • Continuous use of anticoagulants, such as coumarins and related anticoagulants (i.e. warfarin) or novel
 1560 oral anticoagulants (i.e. apixaban, rivaroxaban, dabigatran and edoxaban)
- 1561 • Suspected or known current alcohol or drug dependency
- 1562 • Any other significant disease, disorder or finding which may significantly increase the risk to the
 1563 volunteer because of participation in the study, affect the ability of the volunteer to participate in the
 1564 study or impair interpretation of the study data
- 1565 • Severe and/or uncontrolled cardiovascular disease, respiratory disease, gastrointestinal disease, liver
 1566 disease, renal disease, endocrine disorder and neurological illness (mild/moderate well controlled
 1567 comorbidities are allowed)
- 1568 • History of active or previous auto-immune neurological disorders (e.g. multiple sclerosis, Guillain-Barre
 1569 syndrome, transverse myelitis). Bell's palsy will not be an exclusion criterion

- 1570 • History of laboratory confirmed COVID-19 prior to enrolment (history of SARS-CoV-2 detection by
- 1571 PCR or antibody to SARS-CoV-2)
- 1572 • Significant renal or hepatic impairment
- 1573 • Scheduled elective surgery during the trial
- 1574 • Participant with life expectancy of less than 6 months
- 1575 • Participants who have participated in another research trial involving an investigational product in the
- 1576 past 12 weeks
- 1577 • Insufficient level of English language to undertake all study requirements in opinion of the Investigators
- 1578

1579 **10.3.1 Sub-study (Paracetamol) Exclusion criteria**

- 1580 • History of allergic disease or reactions likely to be exacerbated by paracetamol
- 1581 • Already taking regular paracetamol for another reason
- 1582

1583 **10.3.2 Temporary exclusion criteria**

1584 If at Visit 1 Screening & Vaccination the volunteer has any of the following, they will not be enrolled that day.

- 1585 • Acute respiratory illness (moderate or severe illness with or without fever)
- 1586 • Fever (oral temperature greater than 37.8°C)

1587 They may be considered for enrolment later in the trial; if they recover in sufficient time.

1588 **11 TRIAL PROCEDURES**

1590 See APPENDIX A: SCHEDULE OF PROCEDURES for details

1591 **11.1 Recruitment**

1592 **11.1.1 Identification of volunteers**

1593 Volunteers will be recruited by methods that may include use of an advertisement +/- registration form formally

1594 approved by the ethics committee(s) and distributed or posted by means such as:

- 1596 • In public places, including buses and trains, with the agreement of the owner / proprietor
- 1597 • In newspapers or other literature for circulation
- 1598 • On radio via announcements
- 1599 • On a website or social media site operated by our group or with the agreement of the owner or operator
- 1600 (including on-line recruitment through our website)
- 1601 • By e-mail distribution to a group or list only with the express agreement of the network administrator or
- 1602 with equivalent authorisation
- 1603 • By email distribution to individuals who have already given consent to be contacted for any clinical trial
- 1604 at the Oxford Vaccine Centre and at trial sites
- 1605 • Direct mail-out: This will involve obtaining names and addresses of adults via the most recent Electoral
- 1606 Roll. The contact details of individuals who have indicated that they do not wish to receive postal mail-
- 1607 shots would be removed prior to the investigators being given this information. The company providing
- 1608 this service is registered under the General Data Protection Regulation 2016/679. Investigators would
- 1609 not be given dates of birth or ages of individuals but the list supplied would only contain names of those
- 1610 aged ≥ 50 years (as per the inclusion criteria)
- 1611 • Direct mail-out using National Health Service databases: These include the National Health Applications
- 1612 and Infrastructure Services (NHAIS) via a NHAIS data extract or equivalent. Initial contact to potential
- 1613 participants will not be made by the study team. Instead, study invitation material will be sent out on our
- 1614 behalf by an external company, CFH Docmail Ltd, in order to preserve the confidentiality of potential
- 1615 participants. CFH Docmail Ltd is accredited as having exceeded standards under the NHS Digital Data
- 1616 Security and Protection Toolkit (ODS ID – 8HN70)
- 1617 • Oxford Vaccine Centre databases and study site databases: We may contact individuals from databases
- 1618 of groups within the CCVTM (including the Oxford Vaccine Centre database) and other study sites of
- 1619 previous trial participants who have expressed an interest in receiving information about all future studies
- 1620 for which they may be eligible
- 1621 • Using local GP practices or Trusts as Participant Identification Centres (PICs)
- 1622 • The NIHR COVID-19 vaccine volunteer database
- 1623

11.2 Screening and Eligibility Assessment

11.2.1 Initial screening

Once participants express an interest in joining the trial, they will be directed to a 2 stage online screening process. The first stage will assess for obvious exclusion criteria. If they pass this stage they will be asked to indicate their electronic consent to cover:

1. Reporting their medical history (stage 2)
2. Telephone screening visits to review their medical history (if required). Requirement to be determined by review of responses to Part 2 of online questionnaire)
3. Permission to contact the participant's GP for further clarification of past medical history, should this be clinically indicated

Participants without a past medical history or drug history that requires further review may be invited directly to enrolment/vaccination visits.

11.2.2 Telephone screening visit(s)

Participants for whom further clarification of eligibility is required, may be invited for telephone screening visit(s), which would then be completed by member(s) of the clinical team, based on the assessment of the part 2 responses. This will be recorded in a screening CRF. This will reduce the amount of time participants have with the clinical team during their screening procedures, should they progress to Visit 1.

We may also contact the subject's general practitioner with the permission of the volunteer. GPs will be notified at the time of enrolment (vaccination) that the subject is taking part in the study.

The interval between the last screening process (whether on-line or by telephone screening) and V1 may be up to a maximum of 120 days. Volunteers will be asked to contact the study team in the interim if there are significant changes to their health status during this time

11.2.3 Screening during Visit 1

The final eligibility assessment and D0 vaccination visit will be combined into Visit 1 (V1). See Section 11.6.

11.3 Informed Consent

The participant will personally sign and date the latest approved version of the Informed Consent form. A written version and verbal explanation of the Study Information leaflet and Informed Consent will be presented to the participant of the participant detailing:

- The exact nature of the study
- What it will involve for the participant
- The implications and constraints of the protocol
- The known side effects and any risks involved in taking part
- The sample handling protocol – participants will be informed that anonymised samples taken during the study may be shared with study collaborators
- That individual results will not be shared with participants, with the exception of their enrolment COVID-19 antibody test. This would be done at the end of the study, if requested by the participant

The Study Information leaflet will be made available to the participant for an appropriate amount of time (where possible this will be a minimum of 24 hours) prior to consent being obtained. A video presentation of the Study Information leaflet may be screened to an audience, or made available for them to access it remotely. However, participants will have the opportunity to individually question an appropriately trained and delegated researcher before signing consent.

The following general principles will be emphasised:

- Participation in the study is entirely voluntary
- Refusal to participate involves no penalty or loss of medical benefits
- The participant may withdraw from the study at any time
- The participant is free to ask questions at any time to allow him or her to understand the purpose of the study and the procedures involved
- That participant will not be sure whether they have received an approved COVID-19 vaccine schedule. This may have implications for any travel or other activities that may require individuals to be considered 'fully immunised'. Currently the 'Green Book' immunisation guidelines indicate that receipt of two 'spike protein' based vaccines (even if different vaccines) would mean no further vaccines doses are required. This potential downside to study participation will be minimised by expedited analysis of blood samples for the primary endpoint to conduct the non-inferiority analysis, as well as expedited secondary analyses to include participants boosted at 84 days.

Participants, like the general population, will not be exempt from following the contemporaneous government COVID-19 guidance to minimise viral transmission

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- Samples taken as part of the study may be sent outside of the UK and Europe to laboratories in collaboration with the University of Oxford. These will be de-identified. Volunteers will be asked if they consent to indefinite storage of any leftover samples for use in other ethically approved research, this will be optional

1687 The participant will be allowed as much time as they wish to consider the information, and the opportunity to question the Investigator, their GP or other independent parties to decide whether they will participate in the study. Written informed consent will then be obtained by means of the participant dated signature, and dated signature of the person who presented and obtained the informed consent. The person who obtained the consent must be suitably qualified and experienced, and have been authorised to do so by the Chief/Principal Investigator and listed on the delegation log. A copy of the signed informed consent will be given to the participant. The original signed form will be retained at the research study site, in the CRF.

1694 Updated information that require participants to be re-consented will be sent to participants and written re-consent requested at the earliest scheduled visit. If the earliest visit to occur is in the COVID-19 Pathway (C-19P), the participant may re-consent using an electronic signature for infection control purposes. Where appropriate, and when re-consenting in person is not possible (e.g. participants in self-isolation), participants may be contacted over the phone and an appropriately trained and delegated researcher will obtain re-consent. In this instance the participant will sign the form (electronic or paper) and a copy will be signed by the researcher. The dates of signature may be different, and a copy containing both signatures will be provided to the participant at the next scheduled visit.

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11.4 Randomisation

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11.4.1 Randomisation to vaccine schedules

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Computer generated randomisation list will be prepared by the study statistician. Participants will be randomised 1:1:1:1 within the immunology cohort to ChAdOx1 nCoV-19 homologous, ChAdOx1 nCoV-19 heterologous, BNT162b2 homologous and BNT162b2 heterologous groups, using block randomisation. Participants will be randomised 1:1:1:1:1:1:1:1 within the general cohort to ChAdOx1 nCoV-19 homologous, ChAdOx1 nCoV-19 heterologous, BNT162b2 homologous and BNT162b2 heterologous groups at boosting intervals of 28 and 84 days, using block randomisation. A block size of 8 will be used in the general cohort and a block size of 4 will be used in the immunology cohort. The randomisation will be stratified by the study sites.

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11.4.2 Randomisation to paracetamol use

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A computer generated randomisation list will be prepared by the study statistician. Sub-study participants will be randomised 1:1 within the general cohort boosted at 84 days, at the time of boost visit, to be advised to take prophylactic paracetamol vs reactive paracetamol, using block randomisation. Random block sizes of 2 or 4 will be used. The randomisation will be stratified by study site and vaccine schedule

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11.5 Blinding and code-breaking

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The study will be single-blind. Staff involved in study delivery will be aware of which vaccine the participant is receiving (arm allocation); the participant themselves will remain blinded to their vaccine allocation. Vaccines will be prepared out of sight of the participant and the blind will be maintained by applying a masking tape over the vaccine syringe. Laboratory staff will also be blinded to the vaccine schedule received. The sub-study on the impact of prophylactic paracetamol use will be open-label.

If the clinical condition of a participant necessitates unblinding of the participant, this will be undertaken according to a trial specific working instruction and group allocation sent to the attending physician. This will be done if unblinding is thought to be relevant and likely to change clinical management.

In order not to disadvantage participants in a rapidly changing landscape of rules affecting national and international travel as well as event attendance, we will make every effort to liaise with appropriate parties to ensure participants' vaccination status is recorded in the most suitable manner. Should there still be the potential for disadvantage to participants that can be mitigated by unblinding then, after discussion with the Trial Steering Committee, a mass unblinding of all participants may be initiated to occur not sooner than after the last participant belonging to the day 84 boost cohort, and who is boosted within window, is 28 days post second vaccination. This will still allow reporting of adverse events within the 28-day post immunisation reporting window to occur without participant's knowledge of which vaccines they had received, thus protecting integrity of these data. Laboratory staff will remain blinded to vaccines received.

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11.6 Visits

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The study visits and procedures will be undertaken by one of the clinical trials team. The procedures to be included in each visit are documented in the schedule of attendances (see APPENDIX A: SCHEDULE OF PROCEDURES). Each visit is assigned a time-point and a window period, within which the visit will be

1742 conducted. If a participant cannot attend a visit, where possible, this will be re-arranged to an in-person visit within
1743 the time window. A telephone visit may be conducted instead of the in-person visit to ascertain as much relevant
1744 information as possible if the participant is unable to attend a visit in person because of quarantine or self-isolation
1745 restrictions and the participant will be out of window if the visit is postponed.
1746

11.6.1 Visit 1 (D0): Final eligibility check, Enrolment and Vaccination visit

11.6.1.1 Informed consent

1747 The participant will have informed consent taken as described in Section 11.3, before proceeding to the final
1748 eligibility check Component of V1. A video presentation of the aims of the study and all tests to be carried out
1749 may be screened to an audience or accessed remotely before informed consent is taken. Individually, each
1750 volunteer will have the opportunity to question an appropriately trained and delegated researcher before signing
1751 the consent.
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11.6.1.2 Final Eligibility Check V1

1755 During the final eligibility check component of Visit 1 (V1):

1756 If written consent is obtained, the procedures indicated in the schedule of attendances will be undertaken
1757 including:
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- 1759 • Confirmation of medical history
- 1760 • Physical examination (if required)
- 1761 • Height and weight
- 1762 • Blood tests including:
 - 1763 ○ COVID-19 immunogenicity bloods
 - 1764 ○ Baseline bloods for safety monitoring (routine haematology & biochemistry tests)
- 1765 • Nasal fluid sample
- 1766 • Observations (temperature, heart rate, respiratory rate, blood pressure and oxygen saturation)
- 1767 • Urine pregnancy test in females of childbearing potential

1768 The eligibility of the volunteer will be reviewed by a suitable member of the clinical team. Decisions to exclude
1769 the volunteer from enrolling in the trial or to withdraw a volunteer from the trial will be at the discretion of the
1770 Investigator. Note that the blood tests results from this visit will not ordinarily be available at the time the decision
1771 to proceed to immunisation with these approved vaccines is made. Instead, these blood tests will act as a baseline
1772 assessment for any subsequent derangements of laboratory measures. Abnormal clinical findings from blood tests
1773 at screening will be assessed by a medically qualified study member. Where available, these may be compared to
1774 blood test results taken prior to the trial as part of the participant's normal medical care, to ascertain if the
1775 derangement is an acute abnormality or is a chronic change. Abnormal blood tests following screening will be
1776 assessed according to site-specific laboratory adverse event grading tables. Any abnormal test result deemed
1777 clinically significant may be repeated to ensure it is not a single occurrence. If an abnormal finding is deemed to
1778 be clinically significant, the volunteer will be informed and appropriate medical care arranged with the permission
1779 of the volunteer.

1780 As per Section 10.3.1 "Temporary exclusion criteria": If a volunteer has an acute respiratory illness (moderate or
1781 severe illness with/without fever) or a fever (oral temperature > 37.8°C) at Visit 1 Screening, the volunteer will
1782 not be enrolled that day, but may be considered for enrolment if they recover in sufficient time.
1783

11.6.1.3 Vaccination at V1

1784 Volunteers will be considered enrolled to the trial at the point of consent. All vaccines will be administered
1785 intramuscularly according to specific SOPs. The participant will stay in the trial site for observation for at least
1786 15 minutes, in case of immediate adverse events. Photographs of vaccination sites may be taken, if required (with
1787 the participants' written, informed consent) and will not include the participants' face. Photographs will be
1788 identified by date, trial code and subject's unique identifier. Participants will be given a COVID-19 vaccination
1789 record card (the same as that used in the national vaccination program). This will not record the type or batch
1790 number of vaccine(s) received but will state "COVID-19 vaccine", "Com-COV Trial" and the date.
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11.6.1.4 Diary cards

1793 Participants will be given an oral thermometer, tape measure and diary card (electronic, but for those who are
1794 unable to use electronic diary cards, a paper version will be made available), with instructions on use. All
1795 participants will be given the emergency 24 hour telephone number to contact the on-call study physician if
1796 needed. Participants will be instructed on how to self-assess the severity of these AEs. There will also be space
1797 on the diary card to self-document unsolicited AEs, and whether medication was taken to relieve the symptoms.
1798 There will also be a separate e-diary to log any medically attended AEs up until 3 months post booster dose (any
1799 medical conditions for which a doctor/dentist is seen outside of routine, planned follow-up), and any serious
1800

1801 medical illnesses or hospital visits may have occurred over the entire course of the study. Participants will be
 1802 asked to report on solicited AEs for 7 days (and longer if symptoms persist at day 7, until resolution or stabilisation
 1803 of symptoms) and unsolicited AEs for 28 days. Diary cards will collect information on the timing and severity of
 1804 the following solicited AEs:

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 1806

Table 2. Solicited AEs collected on post-vaccination diary cards

Local solicited AEs	Pain, Tenderness, Redness, Warmth, Itch, Swelling, Induration
Systemic solicited AEs	Fever, Feverishness, Chills, Joint pains, Muscle pains, Fatigue, Headache, Malaise, Nausea, Vomiting, Diarrhoea

1807

1808 Post-vaccination (7 and 28 day) diary cards will be reviewed by a clinician daily, and participants may be
 1809 telephoned to discuss further, should there be any clinical concerns.

1810 Participants will also be instructed on the use of the Medically Attended Diary Card. They will be asked to record
 1811 the following healthcare encounters up until 3 months post booster dose:

1812

- GP visits that were not planned or routine
- Attendances at A&E
- Unplanned outpatient visits to hospital e.g. attending an “Ambulatory Care” unit
- Non-routine dental visits (i.e. dental emergency)

1813

1814

1815

1816 In addition for the General cohort boosted at 84 days, the booster diary will contain questions surrounding daily
 1817 function and independence.

1818 This information will be reviewed routinely only at follow up visits. The diary card will contain an instruction to
 1819 contact the trial team by telephone should any encounter be a hospitalisation, or if they have concerns about their
 1820 health.

1821 Participants entering the COVID-19 pathway will also be asked to complete a diary, see section 11.6.5 below.

1822

11.6.2 Booster Vaccination

1823 Prior to starting the booster phase of the study, any newly available and relevant safety data will be reviewed from
 1824 animal studies or clinical trials of coronavirus vaccines included in this study being tested elsewhere, and
 1825 discussed with the DSMB and/or MHRA as necessary. While there will be no planned safety pause, a review
 1826 of reactogenicity data will be conducted after the initial 50 - 60 participants have received a booster dose at the
 1827 28 day post prime time-point only (approximately half of which will be in the heterologous prime/boost groups).
 1828 This will assess reactogenicity in the first 48 hours after immunisation. Should significant safety concerns arise at
 1829 this point the DSMB will be consulted.

1830 For the General cohort boosted at 84 days only, a further optional randomisation will occur to randomise
 1831 participants to prophylactic or reactive paracetamol sub-arms.

1832 Participants consenting to this sub-study will be verbally advised by a member of the clinical team performing
 1833 this study visit to either:

1834

- ‘Take paracetamol as soon as possible after immunisation, and take 3 further doses at 4 to 6 hourly intervals’ (prophylactic paracetamol arm)
- ‘Take paracetamol only if you feel unwell’ (reactive paracetamol arm)

1835

1836

1837 Participants will be advised that the paracetamol dosing should be as indicated in the instructions for this over the
 1838 counter medication and that they should not exceed the maximum stated dose.

1839 For participants not consenting to the sub-study, they will be advised that taking paracetamol may be beneficial
 1840 for symptom relief.

1841

1842

11.6.3 Subsequent visits

1843 Follow-up visits will take place as per the schedule of attendances described in APPENDIX A: SCHEDULE OF
 1844 PROCEDURES. Participants will be assessed for local and systemic adverse events, interim history, review of
 1845 diary cards (paper or electronic) and blood, nasal fluid and (optional) saliva tests at these time points as detailed
 1846 in the schedule of attendances. Blood will also be taken for immunology purposes. Observations and physical
 1847 exam will be performed as and when clinically indicated.

1848 If participants experience adverse events (laboratory or clinical), which the investigator (physician), CI and/or
 1849 DSMB chair determine necessary for further close observation, the participant may be admitted to an NHS hospital
 1850 for observation and further medical management under the care of the Consultant on call.

1851

1852

11.6.4 Timing of study visit around national COVID-19 immunisation program '3rd dose' boosts

1853 Participation in this study should not unreasonably delay a participant’s receipt of any additional COVID-19
 1854 vaccine boost doses offered to them through the national immunisation program. This applies only to doses that
 1855 are being offered as explicit ‘third dose’ boosts, in a recommended NHS programme. The V7 (last study visits)

1856

1857 may be completed at any point in the visit window if it is to facilitate data collection prior to participant receipt
 1858 of non-study boosts, with a goal for this visit to be completed at the latest date possible prior to the booster dose.
 1859 Should participants not be offered non-study boosts, the V7 (last study visit) should be completed as close to the
 1860 end of the visit window, as is feasible.

1861

1862 **11.6.5 Participants under quarantine**

1863 Given the evolving epidemiological situation both globally and in the UK, should a participant be unable to attend
 1864 any of their scheduled or unscheduled visits, a telephone consultation will be arranged in order to obtain core
 1865 study data where possible. Participants should not attend for in-person visits if they are in their period of self-
 1866 isolation/quarantine – the exception to this is the COVID-19 Pathway.

1867

1868 **11.6.6 Participants with confirmed SARS-CoV-2 infection (COVID-19 Pathway)**

1869 Participants will be counselled at enrolment that should they receive a positive SARS-CoV-2 test (e.g. an antigen
 1870 detection or nucleic acid amplification test, for example, via test and trace or occupational health services) they
 1871 should contact the trial team on receipt of the positive result. Participants will be reminded of this with a weekly
 1872 text/email message (participant choice), which will commence after the first vaccine dose.

1873 This COVID-19 (C19) pathway will apply to participants tested via symptomatic and asymptomatic pathways.

1874 Once the participant has conveyed their result to the study team, confirmatory documentation will be sought from
 1875 the participant (such as a forwarded result email or a picture of a lateral flow assay result). If the participant
 1876 cannot provide this, but the study team are confident that an appropriate test was used from verbal description,
 1877 they may proceed without documentation. An appointment will be arranged to review the participant at the
 1878 relevant study site. At this visit blood samples for safety (FBC, Biochemistry, CRP and others if deemed clinically
 1879 relevant) and immunology (PBMCs and serum for cellular and humoral immune responses will be taken. Nasal
 1880 fluid +/- salivary samples for mucosal immune response will be taken from participants who undergo these at
 1881 their routine visits i.e. those in the immunology cohort and the subset of 100 participants from the general cohort
 1882 boosted at 84 days. A nasopharyngeal swab for storage and subsequent viral isolation will be taken from all
 1883 participants attending the C19P visit. Vital signs and other clinical data will be recorded. Participants will also be
 1884 provided with a symptom diary, which they will fill in both solicited and unsolicited symptoms for at least 7 days
 1885 and until symptom resolution (excepting persistent cough and anosmia/dysgeusia as these are recognised to be
 1886 able to continue for extended periods). Additional visits on this pathway may be arranged at the clinical discretion
 1887 of the investigator.

1888 Participants will only be invited to a C19P visit if they have access to private transport and would not require
 1889 assistance to attend the visit. Participants may not attend the visit using public transport or taxis.

1890 The window for performing this visit is within 7 days of a positive test result.

1891 Participants should be screened for severity of disease on contacting the trial team with their positive result and
 1892 referred to NHS care as appropriate.

1893

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1900 **Table 3. Remote risk stratification of COVID-19 infection**

Severity of illness	Features	Advice and action
Mild	Completing full sentences	Paracetamol for fever
	No SOB (Grade 0)	Can use NSAIDs according to NHS recommendations (advise lowest dose and shortest duration possible)
	No chest tightness (Grade 0)	Regular fluids
	Able to do ADLS (Grade 0-1)	Self-isolate as per current government guidelines
	RR 12-20	Safety net re worsening symptoms: - Trial doctor for advice in hours (999 in an emergency) - 111 out of hours (non-emergent)
	No other red flags/concerning features from history	Paracetamol for fever
Moderate A	Completing full sentences	Can use NSAIDs according to NHS recommendations (advise lowest dose and shortest duration possible)

	Able to do ADLs but lethargic (Grade 1-2)	Regular fluids
	Mild chest tightness (Grade 1)	Self-isolate as per current government guidelines
	Mild SOB on exertion only (Grade 1)	Safety net re worsening symptoms: - Trial doctor for advice in hours (999 in an emergency) 111 out of hours (non-emergent)
	RR 12-20 (if can be observed)	
	Any symptoms from other systems considered to be moderate and not requiring medical review	
	No other red flag features from history	
Moderate B	Completing full sentences	For medical review - Trial doctor to arrange medical review with a non-trial medical practitioner e.g. GP or hospital doctor (in-hours) - Trial doctor to signpost to NHS services (out-of hours)
	Able to do ADLs but lethargic (Grade 1-2)	Safety net – 999 if worsening beyond current symptoms
	Mild chest tightness (Grade 1-2)	Inform senior on-call clinician
	Mild SOB on exertion only (Grade 1)	
	RR 20-24 (if can be observed)	
	Any symptoms from other systems considered to be moderate and requiring medical review	
Severe	Any one of:	Urgent medical review
	Inability to complete full sentences	Advise participant to call 999
	Unable to do any ADLs/get out of bed (Grade 3)	Inform senior on-call clinician
	RR >25 if can be observed	
	Any other clinical concerns for severe disease	
Of note, this is not an all-encompassing guide and individual clinical judgement by reviewing clinician should always be taken into account. Should the reviewing clinician have any concerns regardless of risk stratification then they can contact the appropriate senior clinician for further advice.		

1901

1902

11.6.7 Admission of participants to hospital with COVID-19 infection

1903 With the participant's consent, the study team will request access to medical notes or submit a data collection
1904 form for completion by attending clinical staff on any COVID-19 episodes resulting in hospitalisation. Any data
1905 which are relevant to assessing for disease enhancement will be collected. These are likely to include, but not
1906 limited to, information on ICU admissions, clinical parameters such as oxygen saturation, respiratory rates and
1907 vital signs, need for oxygen therapy, need for ventilatory support, imaging and blood tests results, amongst others.

1908

1909

11.7 Sample Handling

1911 Please refer to APPENDIX D BLOOD SAMPLING for schedule of frequency and volume of blood sampling.

1912

11.7.1 Sample handling for trial purposes

11.7.1.1 Immunology blood tests

1915 Immunogenicity will be assessed by a variety of immunological assays. This will include antibodies to SARS-
1916 CoV-Spike and non-Spike antigens by ELISA, ex vivo ELISpot assays for interferon gamma and flow cytometry
1917 assays, neutralising and other functional antibody assays. Other exploratory immunological assays including
1918 cytokine analysis and other antibody assays, DNA analysis of genetic polymorphisms potentially relevant to
1919 vaccine immunogenicity and gene expression studies amongst others may be performed at the discretion of the
1920 Investigators.

1921 Collaboration with other specialist laboratories in the UK, Europe and outside of Europe for further exploratory
1922 tests may occur. This would involve the transfer of serum, plasma, PBMC and/or other study samples to these
1923 laboratories, but these would remain anonymised. The analyses and which laboratories carry these out will be
1924 specified in the laboratory analysis plan.

1925 Subjects will be informed that there may be leftover samples of their blood (after all testing for this study is
1926 completed), and that such samples may be stored indefinitely for possible future research (exploratory
1927 immunology), including genotypic testing of genetic polymorphisms potentially relevant to vaccine
1928 immunogenicity. Subjects will be able to decide if they will permit such future use of any leftover samples. With
1929 the participants' informed consent, any leftover cells and serum/plasma will be frozen indefinitely for future
1930 analysis of COVID-19 and other coronaviruses related diseases or vaccine-related responses. If a subject elects
1931 not to permit this, all of that participants' leftover samples will be discarded at the end of the trial.
1932 Samples that are to be stored for future research will be transferred to the OVC Biobank (REC 16/SC/0141).
1933

11.7.1.2 Nasal fluid & saliva samples

1934
1935 An exploratory analysis of mucosal immunity will be conducted using nasal fluid and saliva collected at each visit
1936 in the immunology cohort (n=100) and in a convenience sample of approximately 100 participants boosted at 84
1937 days, in the general cohort, using SAM-strips (synthetic absorptive matrix). Saliva samples will be optional and
1938 only be taken from D28 onwards. All participants who have been allocated to groups who will have SAM-strip
1939 +/- saliva sampling at their routine visits, will also have SAM-strips +/- saliva taken at the C19P visit if they attend
1940 this visit. Analysis will be conducted initially with IgA and IgG ELISAs, with further exploratory immunology
1941 assays conducted based on results – more detail will be included in the laboratory analysis plan. The same
1942 statements regarding collaboration, storage and use of samples as for blood in Section 11.7.1.1 apply here.
1943

11.7.1.3 Nasopharyngeal swabs

1944
1945 Participants seen in the C-19 pathway will have nasopharyngeal swabs taken (instructions on performing sampling
1946 in CSP). These swabs will be tested for presence of the SARS-Cov-2 virus centrally. This analysis is for research
1947 purposes, and will not be conducted in 'real-time', so will not be used to inform the requirements for participant
1948 self-isolation etc. Swabs, and/or samples obtained from them, will be stored for potential further analysis (e.g.
1949 whole genome sequencing of identified SARS-CoV-2).
1950

11.7.2 Sample handling for standard of care

1951
1952 **Urinary pregnancy testing** for female participants of child bearing potential only, urine will be tested for beta-
1953 human chorionic gonadotrophin (β -HCG) at screening and again immediately prior to booster vaccination. This
1954 will be a point of care test and no sample will be stored.
1955

11.7.2.1 Safety monitoring blood tests

1956
1957 These will be processed at agreed NHS Trust laboratories, and destroyed in accordance with standard NHS
1958 processes. They will include:

- 1959 • **Haematology** – Full Blood Count
- 1960 • **Biochemistry** – Sodium, Potassium, Urea, Creatinine, Albumin, Liver Function Tests (ALT, ALP,
1961 Bilirubin) and if relevant C-reactive protein (CRP)
1962

11.8 Early Discontinuation/Withdrawal of Participants

1963
1964 In accordance with the principles of the current revision of the Declaration of Helsinki and any other applicable
1965 regulations, a participant has the right to withdraw from the study at any time and for any reason, and is not obliged
1966 to give his or her reasons for doing so. The Investigator may withdraw the participant at any time in the interests
1967 of the participants' health and well-being. In addition, the participant may withdraw/be withdrawn for any of the
1968 following reasons:

- 1969 • Administrative decision by the Investigator
- 1970 • Ineligibility (either arising during the study or retrospectively, having been overlooked at screening).
- 1971 • Significant protocol deviation
- 1972 • Participant non-compliance with study requirements
- 1973 • An AE, which requires discontinuation of the study involvement or results in inability to continue to
1974 comply with study procedures

1975 The reason for withdrawal will be recorded in the CRF. If withdrawal is due to an AE, appropriate follow-up visits
1976 or medical care will be arranged, with the agreement of the volunteer, until the AE has resolved, stabilised or a
1977 non-trial related causality has been assigned. The DSMB or DSMB chair may recommend withdrawal of
1978 participants.

1979 Participants may choose to withdraw from the trial if they are offered vaccination as part of the national vaccine
1980 roll out programme. If the participant chooses to withdraw after receipt of 2 vaccine doses, they will not be
1981 unblinded prior to any planned mass unblinding of all trial participants as this will not change clinical action for
1982 them (The Green Book states that two doses of any licensed vaccine would not require further booster doses, even

1983 if they are heterologous). If the participant withdraws after receipt of 1 vaccine dose, but prior to booster dose,
1984 then they may be unblinded at the point of vaccine offer from the national programme.
1985 If a participant withdraws from the study, storage of samples will continue unless the participant specifically
1986 requests otherwise. Any data collected before their withdrawal will still be used in the analysis for safety and trial
1987 integrity; if the participant requests this could be de-identified following the end of the study.
1988 In cases of subject withdrawal, long-term safety data collection, including some procedures such as safety bloods,
1989 may continue as appropriate if subjects have received one or more vaccine doses, unless they decline any further
1990 follow-up.
1991

11.8.1 Contraindications to receipt of second (booster) dose of vaccine

1992 The following AEs associated with any vaccine, identified on or before the day of vaccination constitute absolute
1993 contraindications to further administration of a study vaccine to the participant in question. If any of these events
1994 occur during the study, the subject will not be eligible to receive a booster dose and will be followed up by the
1995 clinical team or their GP until resolution or stabilisation of the event:

- 1997 • Anaphylactic reaction following administration of vaccine
- 1998 • Pregnancy
- 1999 • Any AE that in the opinion of the Investigator may affect the safety of the participant or the interpretation
2000 of the study results

2001 Participants who develop COVID-19 symptoms and have a positive SARS-CoV-2 nucleic acid amplification test
2002 or antigen test after the first vaccination can only receive a booster dose after a minimum 4 weeks interval from
2003 their first positive test, provided their symptoms have significantly improved. The decision to proceed with booster
2004 vaccinations in those cases will be at clinical discretion of the investigators. For participants who are asymptomatic
2005 and have a positive SARS-CoV-2 test, also a minimum of 4 weeks from first test positivity will be required before
2006 boosting provided they remain asymptomatic.
2007

11.9 Definition of End of Trial

2008 The end of the trial is the date of the last assay conducted on the last sample collected.
2009
2010

12 TRIAL INTERVENTIONS

12.1 Investigational Medicinal Product(s) (IMP) Description

2012 The marketing authorisation status of the vaccines included here is that the ChadOx1-nCoV-19 vaccine is
2013 approved for use under a temporary authorisation of the supply of an unlicensed vaccine; regulation 174 of the
2014 Human Medicines Regulations 2012. The BNT162b2 vaccine received a conditional marketing authorisation from
2015 the European Medicines Agency on the 21st December 2020.

2016 There will not be IMP labelling for this trial, products will be used as supplied by manufacturer (as for national
2017 supply) and blinding performed as per section 11.5.
2018
2019

12.1.1.1 Vaccine A – AstraZeneca COVID-19 vaccine (ChAdOx1 nCoV-19)

2020 ChAdOx1 nCoV-19 is a recombinant replication-defective chimpanzee adenovirus expressing the SARS-CoV-2
2021 spike (S) surface glycoprotein with a leading tissue plasminogen activator (TPA) signal sequence. S is a type I,
2022 trimeric, transmembrane protein located at the surface of the viral envelope, giving rise to spike shaped protrusions
2023 from the virion. The S proteins subunits are responsible for cellular receptor ACE-2 binding via the receptor-
2024 binding domain and fusion of virus and cell membranes, thereby mediating the entry of SARS-CoV-2 into the
2025 target cells. The S protein has an essential role in virus entry and determines tissue and cell tropism, as well as
2026 host range.
2027

2028 ChAdOx1 nCoV-19 expresses a codon-optimised coding sequence for Spike protein from the SARS-CoV-2
2029 genome sequence accession MN908947. ChAd is a non-enveloped virus, and the glycoprotein antigen is not
2030 present in the vector, but is only expressed once the genetic code within the vector enters the target cells. The
2031 vector genes are also modified to render the virus replication incompetent, and to enhance immunogenicity
2032 (Garafalo et al, 2020). Once the vector is in the nucleus, mRNA encoding the spike protein is produced that then
2033 enters the cytoplasm. This then leads to translation of the target protein which act as an intracellular antigen
2034

12.1.1.2 Dosage, scheduling and packaging

2035 The dose of AstraZeneca COVID-19 vaccine is 0.5ml. The vaccine should be administered intramuscularly. For
2036 homologous groups receiving this vaccine, the schedule will be two doses, a minimum of 28 days apart, in
2037 heterologous groups only a single dose is given. The AstraZeneca vaccine is supplied in packs of 10 vials. Each
2038 vial contains 8 or 10 doses of vaccine, and is a colourless to slightly yellow, clear to slightly opaque liquid. Each
2039 dose is prepared by withdrawing 0.5 mL from a vial in a sterile 1 mL or equivalent syringe.
2040
2041

2042 **12.1.2 VACCINE B – Pfizer BioNTech (BNT162b2)**

2043 BNT162b2 is a lipid nanoparticle-formulated, nucleoside-modified mRNA vaccine that encodes trimerised
2044 SARS-CoV-2 spike glycoprotein. BNT162b2 encodes the SARS-CoV-2 full-length spike, modified by two
2045 proline mutations to lock it in the prefusion conformation and more closely mimic the intact virus with which the
2046 elicited virus-neutralizing antibodies must interact. mRNA vaccines use the pathogen’s genetic code as the
2047 vaccine; this then exploits the host cells to translate the code and then make the target spike protein. The protein
2048 then acts as an intracellular antigen to stimulate the immune response. The mRNA is then degraded within days.
2049 The vaccine RNA is formulated in lipid nanoparticles (LNPs) for more efficient delivery into cells after
2050 intramuscular injection.

2051

2052 **12.1.2.1 Dosage, scheduling and packaging**

2053 The dose of Pfizer BioNTech COVID-19 vaccine is 30µg contained in 0.3ml of the diluted vaccine. For
2054 homologous groups receiving this vaccine, the schedule will be two doses, a minimum of 28 days apart, in
2055 heterologous groups only a single dose is given. Each pack of the Pfizer BioNTech vaccine contains 195 vials
2056 with 5 doses per vial (975 doses per pack). It is supplied with 0.9% sodium chloride diluent for injection plastic
2057 ampoules.

2058

2059 **12.1.3 Blinding of IMPs**

2060 See Section 11.5 for detail.

2061

2062 **12.1.4 Storage of IMP**

2063 Vaccines will be stored in accordance with manufacturers’ recommendations.

2064 All movements of the study vaccines will be documented in accordance with existing standard operating
2065 procedure (SOP). Vaccine accountability, storage, shipment and handling will be in accordance with relevant
2066 SOPs and forms. To allow for participants to receive the vaccine in a short time period, additional clinic locations
2067 may be used. In this instance vaccines will be transported in accordance with local SOP’s and approvals as
2068 required.

2069

2070 **12.1.4.1 Vaccine A – AstraZeneca COVID-19 vaccine (ChAdOx1 nCOV-19)**

2071 The AstraZeneca vaccine should be stored at +2°C to +8°C and has a shelf life of 6 months. Further packing down
2072 (splitting of packs) of lots to aid deployment can occur at 2-8 °C within its shelf life. Handling may occur for up
2073 to 2 hours at temperatures less than 25° C, prior to puncture. The vaccine does not contain any preservative. After
2074 first opening the vial, it should be used within 6 hours when stored at room temperature (2-25° C). After this time,
2075 the vial must be discarded.

2076

2077 **12.1.4.2 Vaccine B - Pfizer BioNTech (BNT162b2)**

2078 - Frozen unopened vial

2079 The Pfizer BioNTech vaccine should be stored at -90°C to -60°C and has shelf life of 6 months. Unopened vials
2080 may be stored and transported at -25°C to -15°C for a single period of up to 2 weeks and can be returned to -90°C
2081 to -60°C.

2082 - Thawed unopened vial

2083 Once thawed, the vaccine may be stored for 1 month at 2-8°C. Within the 1-month shelf-life at 2 °C to 8 °C, up
2084 to 12 hours may be used for transportation. Prior to use, the unopened vial can be stored for up to 2 hours at
2085 temperatures up to 30 °C. Once thawed, the vaccine should not be re-frozen

2086 - Handling of temperature excursions once removed from the freezer

2087 Stability data indicate that the unopened vial is stable for up to: * 24 hours when stored at temperatures from -3
2088 °C to 2 °C * a total of 4 hours when stored at temperatures from 8 °C to 30 °C; this includes the 2 hours at up to
2089 30 °C detailed above. This information is intended to guide healthcare professionals only in case of temporary
2090 temperature excursion.

2091 - Transfers if frozen vials stored at ultra-low temperature (<-60°C)

2092 Closed-lid vial trays containing 195 vials removed from ultra-low temperature frozen storage (< -60 °C) may be
2093 at temperatures up to 25 °C for up to 5 minutes.

2094 Open-lid vial trays, or vial trays containing less than 195 vials, removed from ultra-low temperature frozen storage
2095 (< 60°C) may be at temperatures up to 25 °C for up to 3 minutes.

2096 After vial trays are returned to frozen storage following temperature exposure up to 25 °C, they must remain in
2097 frozen storage for at least 2 hours before they can be removed again.

2098 - Transfers of frozen vials stored at -25°C to -15°C

2099 Closed-lid vial trays containing 195 vials removed from frozen storage (-25°C to -15°C) may be at temperatures
2100 up to 25°C for up to 3 minutes.

2101 Open-lid vial trays, or vial trays containing less than 195 vials, removed from frozen storage (-25°C to -15°C)
 2102 may be at temperatures up to 25°C for up to 1 minute.
 2103 Once a vial is removed from the vial tray, it should be thawed for use.

2104 - Diluted medicinal product

2105 Chemical and physical in-use stability, including during transportation, has been demonstrated for 6 hours at 2 °C
 2106 to 30 °C after dilution in sodium chloride 9 mg/mL (0.9%) solution for injection. From a microbiological point of
 2107 view, unless the method of dilution precludes the risk of microbial contamination, the product should be used
 2108 immediately. If not used immediately, in-use storage times and conditions are the responsibility of the user.

2109 - Special precautions for storage

2110 Store in a freezer at -90 °C to -60 °C. Store in the original package in order to protect from light. During storage,
 2111 minimise exposure to room light, and avoid exposure to direct sunlight and ultraviolet light. Thawed vials can be
 2112 handled in room light conditions
 2113

2114 **12.1.5 Compliance with Trial Treatment**

2115 All vaccinations will be administered by the research team and recorded in the CRF. The study medication will
 2116 be at no time in the possession of the participant and compliance will not, therefore, be an issue.
 2117

2118 **12.1.6 Accountability of the Trial Treatment**

2119 Accountability of the IMPs will be conducted in accordance with the relevant SOPs.
 2120

2121 **12.1.7 Concomitant Medication**

2122 As set out by the exclusion criteria, volunteers may not enter the study if they have received: any vaccine other
 2123 than the licensed seasonal influenza vaccine or pneumococcal vaccine in the 30 days prior to enrolment or there
 2124 is planned receipt of any other vaccine within 30 days of each vaccination, any investigational product within 30
 2125 days prior to enrolment or if receipt is planned during the study period, or if there is any use of immunosuppressant
 2126 medication within 6 months prior to enrolment or if receipt is planned at any time during the study period (except
 2127 topical steroids and short course of low dose steroids < 14 day). Concomitant medications taken at enrolment will
 2128 be recorded, as will new medications taken within the 28 days after each immunisation. Subsequently only new
 2129 medications taken in response to a medically attended adverse event up until 3 months post boost will be recorded.
 2130

2131 **12.1.8 Post-trial Treatment**

2132 If any heterologous boost regimen is not found to be non-inferior participants who received this regimen will be
 2133 advised of this. Decisions regarding the need for a booster dose, the nature of the booster dose and mode of
 2134 delivery (e.g. NHS vs study site) will be made in consultation with the DSMB and study management group.
 2135

2136 **12.2 Other Treatments (non-IMPS)**

2137 Participants will be advised that they may take paracetamol prophylactically after vaccine administration. This
 2138 will be from the participants own supplies rather than supplied by the study team. Participants receiving a boost
 2139 dose at a day 84 interval will have the option of undergoing randomisation to be advised to take paracetamol
 2140 ‘prophylactically’ versus ‘reactively’. Participants will be asked to obtain their own paracetamol supplies, it will
 2141 not be issued by the study team.
 2142

2143 **12.3 Other Interventions**

2144 There are no additional investigations other than those specified in this protocol.
 2145

2146 **13 SAFETY REPORTING**

2147 **13.1 Safety reporting window**

2148 Safety reporting for the trial will commence once the first participant is consented; and will end when the last
 2149 participants has completed their last study visit SAEs and Adverse Events of Special Interest (AESI)s.

2150 For individual participants the reporting period begins when they are consented, in person, at the V1 visit , and
 2151 ends once they have completed the last study visit (V7) for SAE’s and AESI’s.

2152 All adverse events (AEs) that result in a participants’ withdrawal from the study will be followed up until a
 2153 satisfactory resolution occurs, or until a non-study related causality is assigned (if the participant consents to this).
 2154

2155 **13.2 Adverse Event Definitions**

Adverse Event (AE)	Any untoward medical occurrence in a participant to whom a medicinal product has been administered, including occurrences which are not necessarily caused by or related to that product.
---------------------------	---

Adverse Reaction (AR)	An untoward and unintended response in a participant to an investigational medicinal product which is related to any dose administered to that participant. The phrase "response to an investigational medicinal product" means that a causal relationship between a trial medication and an AE is at least a reasonable possibility, i.e. the relationship cannot be ruled out. All cases judged by either the reporting medically qualified professional or the Sponsor as having a reasonable suspected causal relationship to the trial medication qualify as adverse reactions.
Adverse Events of Special Interest (AESI)	Adverse events identified as being of particular relevance to the IMP's. These will also reported as an SAE, if meeting SAE criteria (e.g. hospitalisation)
Serious Adverse Event (SAE)	A serious adverse event is any untoward medical occurrence that: <ul style="list-style-type: none"> • Results in death • Is life-threatening • Requires inpatient hospitalisation or prolongation of existing hospitalisation • Results in persistent or significant disability/incapacity • Consists of a congenital anomaly or birth defect* Other 'important medical events' may also be considered a serious adverse event when, based upon appropriate medical judgement, the event may jeopardise the participant and may require medical or surgical intervention to prevent one of the outcomes listed above. NOTE: The term "life-threatening" in the definition of "serious" refers to an event in which the participant was at risk of death at the time of the event; it does not refer to an event which hypothetically might have caused death if it were more severe.
Serious Adverse Reaction (SAR)	An adverse event that is both serious and, in the opinion of the reporting Investigator, believed with reasonable probability to be due to one of the trial treatments, based on the information provided.
Suspected Unexpected Serious Adverse Reaction (SUSAR)	A serious adverse reaction, the nature and severity of which is not consistent with the Reference Safety Information for the medicinal product in question set out: <ul style="list-style-type: none"> • In the case of a product with a marketing authorisation, in the approved summary of product characteristics (SmPC) for that product • In the case of any other investigational medicinal product, in the approved investigator's brochure (IB) relating to the trial in question

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NB: to avoid confusion or misunderstanding of the difference between the terms "serious" and "severe", the following note of clarification is provided: "Severe" is often used to describe intensity of a specific event, which may be of relatively minor medical significance. "Seriousness" is the regulatory definition supplied above.

13.3 Assessment results outside of normal parameters as AEs and SAEs

13.3.1 Clinical

Abnormal clinical findings from medical history or examination will be assessed as to their clinical significance throughout the trial. If an abnormal finding is deemed to be clinically significant, the participant will be informed and appropriate medical care arranged with the permission of the participant as per Section 11.6.

13.3.2 Laboratory

Abnormal clinical findings from safety blood tests will be assessed by a medically qualified study member. Laboratory AEs will be assessed using specific toxicity grading scales adapted from the FDA Toxicity Grading Scale for Healthy Adult and Adolescent Volunteers Enrolled in Preventive Vaccine Clinical Trials (APPENDIX C: Toxicity grading scale for lab AEs)

Any abnormal test result deemed clinically significant may be repeated to ensure it is not a single occurrence, if deemed appropriate to do so in the medical opinion of the investigator.

If a repeated test remains clinically significant, the participant will be informed and appropriate medical care arranged as appropriate and with the permission of the volunteer.

2180 **13.4 Assessment of severity**

2181 The severity of clinical and laboratory adverse events will be assessed according to scales based on FDA toxicity
 2182 grading scales for healthy adult volunteers enrolled in preventive vaccine clinical trials, listed in the Clinical Study
 2183 Plan and in Table 4-6 below.

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2185 **Table 4. Severity grading for local adverse events**

Adverse Event	Grade	Intensity
Pain at injection site	1	Pain that is easily tolerated
	2	Pain that interferes with daily activity
	3	Pain that prevents daily activity
	4	A&E visit or hospitalization
Tenderness	1	Mild discomfort to touch
	2	Discomfort with movement
	3	Significant discomfort at rest
	4	A&E visit or hospitalization
Erythema at injection site*	1	2.5 - 5 cm
	2	5.1 - 10 cm
	3	>10 cm
	4	Necrosis or exfoliative dermatitis
Induration/Swelling at injection site	1	2.5 – 5 cm and does not interfere with activity
	2	5.1 - 10 cm or interferes with activity
	3	>10 cm or prevents daily activity
	4	Necrosis
*erythema \leq2.5cm is an expected consequence of skin puncture and will therefore not be considered an adverse event		

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Table 5. Severity grading criteria for physical observations

Vital Signs	Grade 1 (mild)	Grade 2 (moderate)	Grade 3 (severe)	Grade 4 Potentially Life threatening
Fever (Oral - °C)	38.0 - 38.4	38.5 – 38.9	39.0 - 40	> 40
Tachycardia (bpm)*	101 - 115	116 – 130	>130	A&E visit or hospitalisation for arrhythmia
Bradycardia (bpm)**	50 – 54	45 – 49	<45	A&E visit or hospitalisation for arrhythmia
Systolic hypertension (mmHg)	141 - 150	151 – 155	\geq 155	A&E visit or hospitalization for malignant hypertension
Diastolic hypertension (mmHg)	91 - 95	96 – 100	>100	A&E visit or hospitalization for malignant hypertension

Systolic hypotension (mmHg)***	85 - 89	80 – 84	<80	A&E visit or hospitalization for hypotensive shock
Respiratory Rate (breaths per minute)	17 - 20	21-25	>25	Intubation
*Taken after ≥10 minutes at rest **When resting heart rate is between 60 – 100 beats per minute. Use clinical judgement when characterising bradycardia among some healthy subject populations, for example, conditioned athletes. ***Only if symptomatic (e.g. dizzy/ light-headed)				

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2203**Table 6. Severity grading for local and systemic AEs**

GRADE 0	None
GRADE 1	Mild: Transient or mild discomfort (< 48 hours); No interference with activity; No medical intervention/therapy required
GRADE 2	Moderate: Mild to moderate limitation in activity – some assistance may be needed; no or minimal medical intervention/therapy required
GRADE 3	Severe: Marked limitation in activity, some assistance usually required; medical intervention/therapy required.
GRADE 4	Potentially Life-threatening; Requires assessment in A&E or hospitalisation

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2231**13.5 Assessment of Causality**

For every AE, an assessment of the relationship of the event to the administration of the vaccine will be undertaken by the CI-delegated clinician. An interpretation of the causal relationship of the intervention to the AE in question will be made, based on the type of event; the relationship of the event to the time of vaccine administration; and the known biology of the vaccine therapy. Alternative causes of the AE, such as the natural history of pre-existing medical conditions, concomitant therapy, other risk factors and the temporal relationship of the event to vaccination will be considered and investigated. Causality assessment will take place during planned safety reviews, interim analyses (including if the study is paused by the DSMB due to safety concerns) and at the final safety analysis, except for SAEs, which should be assigned by the reporting investigator, immediately, as described in SOP OVC005 Safety Reporting for CTIMPs. Causality assessment will be recorded on the eCRF.

Table 7. Guidelines for assessing the relationship of vaccine administration to an AE

0	No relationship	No temporal relationship to study product and Alternate aetiology (clinical state, environmental or other interventions); and Does not follow known pattern of response to study product
1	Unlikely	Unlikely temporal relationship to study product <i>and</i> Alternate aetiology likely (clinical state, environmental or other interventions) <i>and</i> Does not follow known typical or plausible pattern of response to study product.
2	Possible	Reasonable temporal relationship to study product; <i>or</i> Event not readily produced by clinical state, environmental or other interventions; <i>or</i> Similar pattern of response to that seen with other vaccines
3	Probable	Reasonable temporal relationship to study product; <i>and</i> Event not readily produced by clinical state, environment, or other interventions <i>or</i> Known pattern of response seen with other vaccines

4	Definite	Reasonable temporal relationship to study product; <i>and</i> Event not readily produced by clinical state, environment, or other interventions; <i>and</i> Known pattern of response seen with other vaccines
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13.6 Procedures for Reporting Adverse Events

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13.6.1 Solicited AEs

2235 Participants will be asked to record local and systemic AE's for 7 days (and longer if symptoms persist at day

2236 seven, until resolution or stabilisation) following vaccination in the electronic diary (solicited AEs).

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13.6.2 Unsolicited AEs

2239 All local and systemic AEs occurring in the 28 days following each vaccination observed by the Investigator or

2240 reported by the participant, whether or not attributed to study medication, will be recorded in electronic diaries or

2241 study database. All AEs that result in a participants' withdrawal from the study will be followed up until a

2242 satisfactory resolution occurs, or until a non-study related causality is assigned (if the participant consents to this)

2243 as per Section 11.8.

2244 SAEs and AESIs will be actively solicited at each study visit throughout the entire trial period.

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13.6.3 Medically attended AEs

2247 A medically attended AE, is defined as any adverse event for which the participant seeks medical attention either

2248 at hospital or from primary care. This explicitly excludes seeking medical attention solely for a SARS-CoV2 test.

2249 Participants will be asked to record any medically attended AEs on their diary cards. Medically attended AEs

2250 occurring up to 3 months post boost, will be directly solicited and reviewed at each study visit.

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13.7 Reporting Procedures for Serious Adverse Events

2253 In order to comply with current regulations on SAE reporting to regulatory authorities, the event will be

2254 documented accurately and notification deadlines respected. SAEs will be reported to members of the study team

2255 immediately the Investigators become aware of their occurrence, as described in the clinical study plan. Copies

2256 of all reports will be forwarded for review to the Chief Investigator (as the Sponsor's representative) within 24

2257 hours of the Investigator being aware of the suspected SAE. The DSMB will be notified of SAEs that are deemed

2258 possibly, probably or definitely related to study interventions; the chair of DSMB will be notified immediately

2259 (within 24 hours) of the sponsor being aware of their occurrence. SAE/AESIs will not normally be reported

2260 immediately to the ethical committee(s) unless there is a clinically important increase in occurrence rate, an

2261 unexpected outcome, or a new event that is likely to affect safety of trial participants, at the discretion of the Chief

2262 Investigator and/or DSMB. In addition to the expedited reporting above, the Investigator shall include all

2263 SAE/AESIs in the annual Development Safety Update Report (DSUR) report.

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2265 **Grade 4 laboratory AEs** should be reported as SAEs and under the category of outcome of an important medical

2266 event.

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2268 **Cases falling under the Hy's Law should be reported as SAEs.** A Hy's Law Case is defined by FDA Guidance

2269 for Industry "Drug-Induced Liver Injury: Premarketing Clinical Evaluation" (2009). Any study participant with

2270 an increase in Aspartate Aminotransferase (AST) or **Alanine Aminotransferase (ALT) \geq 3x Upper Limit of**2271 **Normal (ULN) together with Total Bilirubin \geq 2xULN, where no other reason can be found to explain the**2272 **combination of these abnormal results**, e.g., elevated serum alkaline phosphatase (ALP) indicating cholestasis,

2273 viral hepatitis A, B or C, another drug capable of causing the observed injury, amongst others.

2274 In participants who have received at least one dose of the ChAdOx1-nCoV-19 vaccine, SAE's will be reported to

2275 AstraZeneca according to the conditions and timelines outlined in the contemporaneous version of the

2276 'Pharmacovigilance Agreement by and between AstraZeneca UK Limited and Oxford University Innovation

2277 Limited for ChAdOx1 nCoV-19/AZD1222'.

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13.7.1 Events exempt from immediate reporting as SAEs

2280 Hospitalisation for a pre-existing condition, including elective procedures planned prior to study entry, which has

2281 not worsened, does not constitute a serious adverse event. A&E attendances should not routinely be reported as

2282 SAEs unless they meet the SAE definition described above.

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13.8 Expectedness

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13.8.1 SAEs

2286 With the exception of the SAEs described below there are no expected serious adverse events in either homologous

2287 or heterologous study arms. All other SARs will therefore be reported as SUSARs.

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13.8.1.1 AstraZeneca COVID-19 vaccine (ChAdOx1 nCoV-19)

Anaphylaxis and capillary leak syndrome following immunisation is reported in the ChAdOx1 nCoV-19 Summary of Product Characteristics as expected adverse events of unknown frequency. Thrombosis with thrombocytopenia syndrome is a very rare expected adverse event.

13.8.1.2 Pfizer BioNTech (BNT162b2)

Anaphylaxis following immunisation is reported in the BNT162b2 Summary of Product Characteristics as an expected adverse event of unknown frequency. Accordingly, anaphylaxis within 24 hours of receipt of BNT162b2 as a prime dose, or as a boost in a homologous prime/boost schedule, will be considered an expected SAR to this vaccine. Acute peripheral facial nerve palsy and lymphadenopathy are described as rare and uncommon (respectively) adverse events following BNT162b2; should these be observed in participants receiving BNT162b2 and no other vaccine, and if they met the criteria for an SAE, these would be considered an expected SAE. If experienced in participants receiving BNT162b2 and another COVID-19 vaccine then they should be classified as 'unexpected'. Myocarditis and pericarditis are adverse events of unknown frequency.

13.8.2 Foreseeable adverse reactions

The foreseeable ARs following vaccination are as follows:

13.8.2.1 AstraZeneca COVID-19 vaccine (ChAdOx1 nCoV-19)

Local reactions

The following local reactions at the injection site are common and expected:

- tenderness, pain, warmth, redness, itching, swelling or bruising where the injection is given a lump at the injection site

Systemic reactions

Common and expected mild to moderate systemic reactions are:

- Fatigue
- Headache
- Myalgia
- Arthralgia
- Nausea or vomiting
- Malaise
- Chills
- Feverishness
- Fever >38°
- Coryza (sore throat, runny nose)
- Diarrhoea*
- Influenza-like illness*
- Pain in extremity*

Uncommon and expected mild to moderate systemic reactions

- Abdominal pain
- Feeling dizzy
- Decreased appetite
- Enlarged lymph nodes
- Excessive sweating, itchy skin or rash
- Somnolence*

Very rare systemic reactions

- Thrombosis with thrombocytopenia syndrome*

Systemic reactions of unknown frequency

- Thrombocytopenia*
- Capillary leak syndrome*
- Angioedema*
- Anaphylaxis*
- Hypersensitivity*

These are expected to be less common after the second dose.

Laboratory events

Transient neutropenia from baseline is common and expected.

2346 **13.8.2.2 Pfizer BioNTech (BNT162b2)**

2347 (Taken from SPC)

2348 *Very common*

- 2349 • Headache
- 2350 • Arthralgia
- 2351 • Myalgia
- 2352 • Injection site pain/swelling
- 2353 • Fatigue
- 2354 • Chills
- 2355 • Pyrexia
- 2356 • Diarrhoea*

2357 *Common*

- 2358 • Nausea
- 2359 • Vomiting*
- 2360 • Injection site redness

2361 *Uncommon*

- 2362 • Lymphadenopathy
- 2363 • Insomnia
- 2364 • Pain in extremity
- 2365 • Malaise
- 2366 • Injection site pruritis

2367 *Rare*

- 2368 • Acute peripheral facial paralysis

2369 *Not known*

- 2370 • Anaphylaxis, hypersensitivity
- 2371 • Myocarditis, pericarditis*
- 2372 • Excessive swelling of vaccinated limb*
- 2373 • Facial swelling*

2374 * These adverse events were added at the time of SA11 when the investigator's brochure/SmPC for both
 2375 BNT162b2 and ChAdOx1 nCoV-19 were updated. If any of these adverse events were reported as severe, prior
 2376 to the date of approval of this amendment, they would be classified as SUSARs. Once approval has been given,
 2377 any future events will be classified as SAEs.

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2379 **13.9 Adverse events of special interest (AESI)**

2380 The following adverse events are considered adverse events of special interest.

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Table 8. AESIs

Immunologic	Anaphylaxis	
Neurological	Isolated anosmia/ageusia*	Meningoencephalitis
	Guillain-Barre Syndrome	Peripheral facial nerve palsy
	Acute disseminated encephalomyelitis (ADEM)	Generalised convulsion
	Aseptic meningitis	Myelitis
Haematological	Thrombosis**	Coagulation disorder (includes thrombosis, thromboembolism, internal/external bleed and stroke)
	Stroke	
	Thrombocytopenia***	
	Eosinophilia****	

Cardiac	Acute cardiovascular injury (includes myocarditis, pericarditis, arrhythmias, heart failure, infarction)	
Dermatological	Chilblain-like lesions Single organ cutaneous vasculitis	Erythema multiforme Alopecia
Gastrointestinal	Acute liver injury †††	
Respiratory	ARDS††	
Renal	Acute kidney injury	
Other	COVID-19 disease†	SARS-CoV2 positivity on a validated test
<p>*In the absence of COVID-19 ** Excluding superficial thrombophlebitis (including line-associated) *** G3 or above **** This will be used as a marker of skewed Th2 responses and will be routinely monitored in participants attending the COVID-19 Pathway and follow-up visits. Only G2 and above. † In particular, any occurrence of suspected vaccine associated enhanced disease (VAED) as defined by most recent Brighton Collaboration Case Definition (REF) †† In the absence of an infective aetiology (including COVID-19) ††† As defined in Hy's Law (see Cases falling under the Hy's Law should be reported as SAEs. A Hy's Law Case is defined by FDA Guidance for Industry "Drug-Induced Liver Injury: Premarketing Clinical Evaluation" (2009). Any study participant with an increase in Aspartate Aminotransferase (AST) or Alanine Aminotransferase (ALT) ≥ 3x Upper Limit of Normal (ULN) together with Total Bilirubin ≥ 2xULN, where no other reason can be found to explain the combination of these abnormal results, e.g., elevated serum alkaline phosphatase (ALP) indicating cholestasis, viral hepatitis A, B or C, another drug capable of causing the observed injury, amongst others.)</p>		

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AESIs should be collected and recorded in the AE reporting form in RedCap throughout the duration of this study. These should also be reported as SAEs if they fulfil the definition criteria for SAEs. All AESI's not already reported as SAEs should be included in the reports to the DSMB.

Disease enhancement following vaccination

Severe COVID-19 disease will be defined as hospitalisation, with further grading of severity according to the WHO ordinal scale (June 2020) (Marshall et al., 2020). Cases of COVID-19 disease will be examined for the possibility of vaccine associated enhanced disease (VAED). This will be evaluated on the basis of the most recent recommendations of the Brighton Collaboration. (Collaboration, 2020) Detailed clinical parameters will be collected from medical records and aligned with agreed definitions, as they emerge. Samples will be collected for evaluation of immunological evidence of VAED. Investigations will be defined by the laboratory analysis plan.

13.10 SUSAR Reporting

All SUSARs will be reported by the sponsor delegate to the relevant Competent Authority and to the REC and other parties as applicable. For fatal and life-threatening SUSARs, this will be done no later than 7 calendar days after the Sponsor or delegate is first aware of the reaction. Any additional relevant information will be reported within 8 calendar days of the initial report. All other SUSARs will be reported within 15 calendar days.

Principal Investigators will be informed of all SUSARs for the relevant IMP for all studies with the same Sponsor, whether or not the event occurred in the current trial.

13.11 Development Safety Update Reports

A Development Safety Update Report (DSUR) will be prepared annually for each vaccine, within 60 days of the anniversary of:

- The date of conditional marketing approval from the European Medicines Agency for BNT162b2
- The date of the MHRA's first authorisation for the University of Oxford to conduct a clinical trial for ChAdOx1-nCoV19.

The DSURs will be submitted to the Competent Authority, Ethics Committee, HRA (where required), Host NHS Trust and Sponsor.

As per Pharmacovigilance Plan, AZ and Novavax will be responsible respectively for generating DSUR reports for COM-COV studies. Sponsor will be responsible for generating DSUR reports for all other vaccines.

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2422 **13.12 Interim reviews**

2423 The safety profile will be assessed on an on-going basis by the Investigators. The CI and relevant Investigators
2424 (as per the trial delegation log) will also review safety issues and SAEs as they arise. A review of reactogenicity
2425 data will occur after the first 50-60 participants have been boosted, as per section 11.6.2.

2426 The DSMB will evaluate safety data every 4-8 weeks and/or as required and will review safety data accumulated
2427 when the study is fully recruited. The DSMB may also be consulted should safety concerns arise at any point.
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2429 **13.13 Safety Holding Rules**

2430 There will be no formal pausing rules given the vaccines used in this study will be approved for use in the general
2431 public, and the Immunisation 'Green Book' is permissive of the administration of heterologous prime/boost
2432 schedules in the general community. Reactogenicity data will be reviewed after the first 50-60 participants have
2433 received a booster dose.

2434 The study can be put on hold upon advice of the DSMB, Chief Investigator, Study Sponsor, regulatory authority,
2435 Ethical Committee(s), for any single event or combination of multiple events which, in their professional opinion,
2436 jeopardise the safety of the participants or the reliability of the data.
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2438 **13.14 Contraception and pregnancy**

2439 **13.14.1 Contraception**

2440 Female participants of childbearing potential are required to use an effective form of contraception from one
2441 month before prime until three months after boost immunisation. A woman of childbearing potential is defined as
2442 a pre-menopausal female who is capable of becoming pregnant. Menopause can be diagnosed in a woman aged
2443 over 50 after one year of amenorrhoea (this applies only if the woman is not using hormonal contraception).

2444 Acceptable forms of contraception for volunteers of female sex include:

- 2445 • Established use of oral, injected or implanted hormonal methods of contraception
- 2446 • Placement of an intrauterine device (IUD) or intrauterine system (IUS)
- 2447 • Total hysterectomy
- 2448 • Bilateral Tubal Occlusion
- 2449 • Barrier methods of contraception (condom or occlusive cap with spermicide)
- 2450 • Male sterilisation, if the vasectomised partner is the sole partner for the subject
- 2451 • True abstinence, when this is in line with the preferred and usual lifestyle of the subject (Periodic
2452 abstinence and withdrawal are not acceptable methods of contraception)
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2454 **13.14.2 Pregnancy**

2455 Should a participant become pregnant during the trial, no further study IMP will be administered. They will be
2456 followed up for clinical safety assessment with their ongoing consent and in addition will be followed until
2457 pregnancy outcome is determined. We would not routinely perform venepuncture in a pregnant participant unless
2458 there is clinical need.
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2460 **14 STATISTICS**

2461 **14.1 Sample size**

2462 The primary analysis of this study will be a non-inferiority comparison between schedules using a homologous
2463 versus heterologous boost within each group of approved COVID-19 vaccines, e.g., Group ChAdOx1 nCoV-19/
2464 BNT162b2 will be compared with group ChAdOx1 nCoV-19 / ChAdOx1 nCoV-19 and group BNT162b2 /
2465 ChAdOx1 nCoV-19 will be compared with group BNT162b2/ BNT162b2, separately. We will combine the
2466 immunology cohort (N=100) and the general cohort boosted at D28 (N=360) in the primary analysis. The analysis
2467 will be repeated in the general cohort boosted at D84 (N=360), and all the study population in the secondary
2468 analysis (N=820).

2469 The below sample size calculation is based on the primary analysis conducted in the participants boosted at D28.
2470 The current available data from the ongoing ChAdOx1 nCoV-19 trial suggests the GMC of anti-spike IgG
2471 measured by standardised ELISA is around 500 EU/ml at D56 (4 weeks after booster at Day 28) among
2472 participants aged 56-69 years old (n=29) with a standard deviation of 0.4.

2473 The sample calculation is based on the following assumptions:

- 2474 1. The non-inferiority margin is 0.63 fold-difference between the GMC in the heterologous boost arm and
2475 that in the homologous boost arm or -0.2 absolute difference of GMC on log scale (base 10).
- 2476 2. The standard deviation of GMC on log scale (base 10) is 0.4 based on the current available data.
- 2477 3. The true difference of GMC on log scale (base 10) is 0.

2478 Based on the above assumptions, the study will need to recruit 86 participants who are seronegative for SARS-
2479 CoV-2 IgG at baseline in each arm to achieve 90% of power at one-sided 2.5% significance level. We assume
2480 ~25% of study participants will be excluded from the primary analysis due to seropositive for SARS-CoV-2 IgG

2481 at baseline or loss of follow-up. Therefore, the sample size in each arm boosted at D28 will be expanded to 115.
 2482 This means that if the study has two vaccines the total sample size for participants boosted at D28 will be 460 for
 2483 four arms. If we decide to add groups as new vaccines are made available for use by the Department of Health
 2484 and Social Sciences, the sample sizes will be adapted accordingly. The immunogenicity cohort will be used for
 2485 exploratory analyses to generate hypothesis, and thus no formal sample size calculation was carried out for this
 2486 cohort. The sample size of 25 per arm was therefore chosen based on practical constraints. This means we will
 2487 have around 20 seronegative participants in each arm for analysis.
 2488 Of note, should a correlate of protection against SARS-CoV-2 infection become apparent during the study then
 2489 the sample size calculations will be re-visited to determine the power to demonstrate non-inferiority based on a
 2490 margin of 10% between the above study arms, and potentially revised on this basis. Based on the sample size
 2491 anticipated for two vaccines in the study, we summarised the study power for different proportion of protection
 2492 at one-sided significant level 0.05 (with no adjustment for multiple testing).
 2493

Proportion of protection	Study power
0.85	58%
0.9	71%
0.95	91%

2494 We chose the sample size of 360 (effective sample size N=270) in the general cohort who will be boosted at D84
 2495 for two reasons: 1) simplifying the study management and randomisation; 2) >80% power to test non-inferiority
 2496 of the heterologous schedule compared with the homologous schedule at one-sided 2.5% significance level,
 2497 assuming there is no interaction between vaccine schedules and prime-boost intervals. In addition, with a
 2498 combined analysis (all study population, N=820) to assess the immunogenicity at D28 post boost, the study will
 2499 have >95% power and the conclusion will have a broader generalisability to the UK population.
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2502 14.2 Description of Statistical Methods

2503 The primary endpoint is anti-spike IgG measured by standardised ELISA at Day 56. The geometric mean
 2504 concentrations (GMC) of anti-spike IgG will be compared between heterologous boost arms and homologous
 2505 boost arms under the hypothesis:

2506 H0: $\text{GMC}_{\text{heterologous}} / \text{GMC}_{\text{homologous}} \leq 0.63$ or $\log_{10} \text{GMC}_{\text{heterologous}} - \log_{10} \text{GMC}_{\text{homologous}} \leq -0.2$;

2507 H1: $\text{GMC}_{\text{heterologous}} / \text{GMC}_{\text{homologous}} > 0.63$ or $\log_{10} \text{GMC}_{\text{heterologous}} - \log_{10} \text{GMC}_{\text{homologous}} > -0.2$.

2508 The anti-spike IgG titre will be transformed using logarithmic transformations (base 10) to render a normal
 2509 distribution. We will test the above hypothesis using linear regression models on $\log_{10}\text{GMC}$ adjusting for
 2510 randomisation design variables, and the pre-specified prognostic factors, if any. The adjusted mean difference of
 2511 $\log_{10}\text{GMC}$ will be presented with the one-sided 97.5% confidence interval (CI). We will claim heterologous boost
 2512 arm is non-inferior to homologous boost arm if the lower CI lies above -0.2.

2513 The primary analysis will be conducted on a per-protocol basis among participants who are seronegative at
 2514 baseline and whose primary endpoint at D28 post boost is available, as the intent to treat analysis no longer
 2515 produces the most conservative estimation in non-inferiority trials. A modified intent to treat analysis will also
 2516 be conducted as a sensitivity analysis. The primary analysis will be carried out when the primary endpoint of D56
 2517 anti-spike IgG data become available.

2518 The secondary analysis on D28 post boost anti-spike IgG in the participants boosted at D84 (D112) will follow
 2519 the primary analysis, and will be carried out when the D112 data become available. We will also combine the
 2520 participants boosted at D28 and D84 as a secondary analysis to compare the D28 post boost anti-spike IgG
 2521 between heterologous and homologous schedules.

2522 A fully detailed statistical analysis plan (SAP) will be prepared and will be signed off by the Chief Investigator
 2523 prior to conducting any data analyses.
 2524

2525 14.3 Interim analysis

2526 We will carry out an interim analysis to review the seropositive rate at baseline after the D0 immunogenicity data
 2527 for the first 100 participants becomes available. If there is a significant deviation from our assumption, we will
 2528 adjust the sample size accordingly.

2529 On 7th April 2021, the MHRA and JCVI updated their guidance regarding the use of ChAdOx1 nCoV-19 in the
 2530 under-30 age group in the UK, along with the change of guidance in a few other countries worldwide. There is an
 2531 increased urgency to release the reactogenicity data in heterologous schedules. To facilitate the future vaccination
 2532 strategy worldwide, the study team, in consultation with the Trial Steering Committee, decided to conduct an
 2533 interim analysis on the reactogenicity data in the participants boosted at 4 weeks. The analysis will be carried out

2534 once the data is cleaned and the Study Analysis Plan is signed off. This will be no stopping rule for this interim
2535 analysis and the analysis will not affect the continuation of the trial.
2536

2537 **14.4 Missing data**

2538 The level and pattern of the missing data in the baseline variables will be reported. The potential causes of any
2539 missing data will be investigated and documented as far as possible. Any missing data will be dealt with, if needed,
2540 using methods appropriate to the conjectured missing mechanism and level of missing.

2541 **15 DATA MANAGEMENT**

2542 The Chief Investigator will be responsible for all data that accrues from the study.
2543
2544

2545 **15.1 Access to Data & Data Protection**

2546 Direct access will be granted to authorised representatives from the Sponsor, host institution and the regulatory
2547 authorities to permit trial-related monitoring, audits and inspections. The study protocol, documentation, data and
2548 all other information generated will be held in strict confidence. No information concerning the study or the data
2549 will be released to any unauthorised third party, without prior written approval of the sponsor.
2550

2551 **15.2 Data Recording**

2552 All study data including participant diary will be recorded directly into an EDC system (REDCap) or onto a paper
2553 source document for later entry into EDC if direct entry is not available. This includes safety, laboratory and
2554 outcome data. Any additional information that needs recording, but is not relevant for the eCRF (e.g signed
2555 consent forms) will be recorded on separate paper source documents. All documents will be stored safely and
2556 securely in confidential conditions. The EDC online data is stored on University of Oxford servers.

2557 All participant reported adverse event data (both solicited & unsolicited) will be entered onto electronic diary
2558 cards (e-diaries) for a maximum of 28 days following administration of the IMP. The eDiary provides a full audit
2559 trial of edits and will be reviewed at time-points as indicated in the schedule of events. Any adverse event
2560 continuing beyond the period of the diary will be copied into the eCRF as required for safety review.

2561 The participants will be identified by a unique trial specific number and code in any database. The name and any
2562 other identifying detail will NOT be included in any trial data electronic file, with the exception of the electronic
2563 diaries, for which consent will be obtained to store the participant email address for quality control purposes. Only
2564 site research staff and sponsor data managers have access to view the email address.

2565 The EDC system (CRF data) uses a relational database (MySQL/ PostgreSQL) via a secure web interface with
2566 data checks applied during data entry to ensure data quality. The database includes a complete suite of features
2567 which are compliant with GCP, EU and UK regulations and Sponsor security policies, including a full audit trail,
2568 user-based privileges, and integration with the institutional LDAP server. The MySQL and PostgreSQL database
2569 and the webserver will both be housed on secure servers maintained by the University of Oxford IT personnel.
2570 The servers are in a physically secure location in Europe. Backups will be stored in accordance with the IT
2571 department schedule of daily, weekly, and monthly retained for one month, three months, and six months,
2572 respectively. The IT servers provide a stable, secure, well-maintained, and high capacity data storage environment.
2573 REDCap is a widely-used, powerful, reliable, well-supported system. Access to the study's database will be
2574 restricted to members of the study team by username and password.
2575
2576
2577
2578

2579 **15.3 Record keeping**

2580 The Investigators will maintain appropriate medical and research records for this trial, in compliance with GCP
2581 and regulatory and institutional requirements for the protection of confidentiality of volunteers. The Chief
2582 Investigator, co-Investigators and clinical research nurses will have access to records. The Investigators will
2583 permit authorised representatives of the Sponsor(s) and Host institution, as well as ethical and regulatory agencies
2584 to examine (and when required by applicable law, to copy) clinical records for the purposes of quality assurance
2585 reviews, audits and evaluation of the study safety and progress.

2586 Identifiable information such as contact details will be stored for a minimum of 5 years from the end of the study.
2587 This includes storage of consent forms. Storage of these data will be reviewed every 5 years and files will be
2588 confidentially destroyed if storage is no longer required. Considerations at the time of this review will include the
2589 value of retaining this information for participant safety (e.g. to inform participants of unexpected safety signals
2590 emerging from post-licensing surveillance), as a resource for the participants (e.g. if they wish to check which
2591 vaccines they have received in the study) and any regulatory requirements. Financial information will be stored
2592 for 7 years. De-identified research data maybe be stored indefinitely. If volunteers consent to be contacted for
2593 future research, a record of this consent will be recorded, retained and stored securely and separately from the

2594 research data. If volunteers consent to have their samples stored and used in future research, information about
2595 their consent form will be retained and stored securely as per Biobanking procedures and SOP.
2596

2597 **15.4 Source Data and Case Report Forms (CRFs)**

2598 All protocol-required information will be collected in CRFs designed by the Investigator. All source documents
2599 will be filed in the participant file. Source documents are original documents, data, and records from which the
2600 participant CRF data are obtained. For this study, these will include, but are not limited to, volunteer consent form,
2601 blood results, GP response letters, laboratory records, diaries, medical records and correspondence. In the majority
2602 of cases, CRF entries will be considered source data as the CRF is the site of the original recording (i.e. there is
2603 no other written or electronic record of data). In this study this will include, but is not limited to medical history,
2604 medication records, vital signs, physical examination records, urine assessments, safety blood results, adverse
2605 event data and details of vaccinations. All source data and participant files will be stored securely.

2606 To prevent withdrawal of a participant due to relocation, if there is a nearby participating site and with the consent
2607 of the participant, copies of relevant participant research records (such as ICF, paper source documents) will be
2608 transferred to the local site using secure email addresses such as nhs.net or by password protected sheets. The
2609 electronic research data stored on REDCap will also be transferred to the new site. The original records will be
2610 retained by the recruiting site.
2611

2612 **15.5 Data Quality**

2613 Data collection tools will undergo appropriate validation to ensure that data are collected accurately and
2614 completely. Datasets provided for analysis will be subject to quality control processes to ensure analysed data is
2615 a true reflection of the source data.

2616 Trial data will be managed in compliance with local data management SOPs. If additional, study specific processes
2617 are required, an approved Data Management Plan will be implemented
2618

2619 **15.6 Data Sharing**

2620 For participants who are also registered on NHS Digital's 'Sign up to be contacted for coronavirus vaccine studies'
2621 service, we will share the minimum amount of information necessary with NHS Digital in order to allow them to
2622 update their database so that participants are not contacted about further trials, as participants are permitted only
2623 to be in one vaccine study at a time.

2624 Personally identifiable information will be shared with Public Health England regarding SARS-CoV2 PCR test
2625 results depending on the most up to date legal requirement to report on Notifiable Diseases at the time.
2626

2627 **16 QUALITY ASSURANCE PROCEDURES**

2628 **16.1 Risk assessment**

2629 The trial will be conducted in accordance with the current approved protocol, GCP, relevant regulations and
2630 standard operating procedures. A risk assessment and monitoring plan will be prepared before the study opens
2631 and will be reviewed as necessary over the course of the trial to reflect significant changes to the protocol or
2632 outcomes of monitoring activities.
2633

2634 **16.2 Monitoring**

2635 Monitoring will be performed according to Good Clinical Practice (GCP) guidelines by an external monitor.
2636 Following written SOPs, the monitors will verify that the clinical trial is conducted and data are generated,
2637 documented and reported in compliance with the protocol, GCP and the applicable regulatory requirements. The
2638 investigator sites will provide direct access to all trial related source data/documents and reports for the purpose
2639 of monitoring and auditing by the Sponsor or the Host institution and inspection by local and regulatory authorities
2640

2641 **16.3 Trial committees**

2642 **16.3.1 Trial Steering Committee**

2643 A Trial Steering Committee will be formed to oversee the study, and advise the Study Management Committee
2644 on key issues of study conduct, including, but not limited to, study pauses due to safety concerns on the advice of
2645 the DSMB.
2646

2647 **16.3.2 Safety Monitoring Committee**

2648 A Data Safety Monitoring Board (DSMB) will be convened. The DSMB will evaluate frequency of events, safety
2649 and efficacy data as specified in the DSMB charter. The DSMB will make recommendations concerning the
2650 conduct, continuation or modification of the study for safety reasons to the Trial Steering Committee.

2651 The DSMB will review SAEs or AESIs deemed possibly, probably or definitively related to study interventions.
2652 The DSMB will be notified within 24 hours of the Investigators' being aware of their occurrence. The DSMB can
2653 recommend placing the study on hold if deemed necessary following a study intervention-related SAE.
2654

2655 **16.3.3 Study Management Committee**

2656 Consists of the site Investigators and the Laboratory lead for Public Health England.
2657

2658 **17 PROTOCOL DEVIATIONS**

2659 A trial related deviation is a departure from the ethically approved trial protocol or other trial document or process
2660 (e.g. consent process or IMP administration) or from Good Clinical Practice (GCP) or any applicable regulatory
2661 requirements. Deviations from the protocol will be documented in a protocol deviation form according to SOP
2662 OVC027 and filed in the trial master file.

2663 These will be managed as per SOP OVC027.
2664

2665 **18 SERIOUS BREACHES**

2666 The Medicines for Human Use (Clinical Trials) Regulations contain a requirement for the notification of "serious
2667 breaches" to the MHRA within 7 days of the Sponsor becoming aware of the breach.

2668 A serious breach is defined as "A breach of GCP or the trial protocol which is likely to affect to a significant
2669 degree –

2670 (a) the safety or physical or mental integrity of the subjects of the trial; or

2671 (b) the scientific value of the trial".

2672 In the event that a serious breach is suspected the Sponsor must be contacted within 1 working day. In
2673 collaboration with the CI the serious breach will be reviewed by the Sponsor and, if appropriate, the Sponsor will
2674 report it to the REC committee, Regulatory authority and the relevant NHS host organisation within seven calendar
2675 days.
2676

2677 **19 ETHICAL AND REGULATORY CONSIDERATIONS**

2678 **19.1 Declaration of Helsinki**

2679 The Investigator will ensure that this trial is conducted in accordance with the principles of the Declaration of
2680 Helsinki.
2681

2682 **19.2 Guidelines for Good Clinical Practice**

2683 The Investigator will ensure that this trial is conducted in accordance with relevant regulations and with Good
2684 Clinical Practice.
2685

2686 **19.3 Approvals**

2687 Following Sponsor approval the protocol, informed consent form, participant information sheet and any proposed
2688 advertising material will be submitted to an appropriate Research Ethics Committee (REC), HRA (where
2689 required), regulatory authorities (MHRA in the UK), and host institution(s) for written approval. No amendments
2690 to this protocol will be made without consultation with, and agreement of, the Sponsor.

2691 The Investigator is responsible for ensuring that changes to an approved trial, during the period for which
2692 regulatory and ethical committee(s) approval has already been given, are not initiated without regulatory and
2693 ethical committee(s)' review and approval except to eliminate apparent immediate hazards to the subject (i.e. as
2694 an Urgent Safety Measure).
2695

2696 **19.4 Other Ethical Considerations**

2697 Study team members are not eligible for participation in the study. Family members of the study team are not
2698 barred from inclusion in the trial.

2699 Participants eligible for routine SARS-CoV-2 immunisation as per national guidelines will not be excluded from
2700 participation in the trial; but will be counselled specifically on the risks of receiving an unapproved schedule. In
2701 particular, the risks of reduced efficacy and unforeseen safety concerns will be discussed.
2702

2703 **19.5 Reporting**

2704 The CI shall submit once a year throughout the clinical trial, or on request, an Annual Progress Report to the REC,
2705 HRA (where required), host organisation, funder (where required) and Sponsor. In addition, an End of Trial
2706 notification and final report will be submitted to the MHRA, the REC, host organisation and Sponsor.
2707

2708 **19.6 Transparency in Research**

2709 Prior to the recruitment of the first participant, the trial will have been registered on a publicly accessible database.
2710 Results will be uploaded to the European Clinical Trial (EudraCT) Database within 12 months of the end of trial
2711 declaration by the CI or their delegate. Where the trial has been registered on multiple public platforms, the trial
2712 information will be kept up to date during the trial, and the CI or their delegate will upload results to all those
2713 public registries within 12 months of the end of the trial declaration.
2714

2715 **19.7 Participant Confidentiality**

2716 The study will comply with the General Data Protection Regulation (GDPR) and Data Protection Act 2018, which
2717 require data to be de-identified as soon as it is practical to do so. The processing of personal data of participants
2718 will be minimised by making use of a unique participant study number only on all study documents and any
2719 electronic database(s), with the exception of informed consent forms, participant ID log and electronic diaries. All
2720 documents will be stored securely and only accessible by study staff and authorised personnel. The study staff
2721 will safeguard the privacy of participants' personal data. A separate confidential file containing identifiable
2722 information will be stored in a secured location in accordance with the current data protection legislation.
2723 Photographs of vaccination sites if required (with the participants' written, informed consent), will not include the
2724 participants' face and will be identified by the date, trial code and subject's unique identifier. Once developed,
2725 photographs will be stored as confidential records, as above. This material may be shown to other professional
2726 staff, used for educational purposes, or included in a scientific publication.
2727

2728 **19.8 Expenses and Benefits**

2729 Volunteers will be compensated for their time, the inconvenience of having blood tests and procedures, and their
2730 travel expenses. The total amount compensated will depend on the exact number of visits, and whether any repeat
2731 or additional visits are necessary. For all trial visits compensation will be calculated according to the following:

- 2732 • Travel expenses: £15 per visit
- 2733 • Inconvenience of blood tests: £10 per blood donation
- 2734 • Time required for visit: £20 per visit
- 2735

2736 **20 FINANCE AND INSURANCE**

2737 **20.1 Funding**

2738 The study is funded by the UK Government through the National Institute for Health Research (NIHR).
2739

2740 **20.2 Insurance**

2741 The University has a specialist insurance policy in place which would operate in the event of any participant
2742 suffering harm as a result of their involvement in the research (Newline Underwriting Management Ltd, at Lloyd's
2743 of London). NHS indemnity operates in respect of the clinical treatment that is provided.
2744

2745 **20.3 Contractual arrangements**

2746 Appropriate contractual arrangements will be put in place with all third parties.
2747

2748 **21 PUBLICATION POLICY**

2749 The Investigators will be involved in reviewing drafts of the manuscripts, abstracts, press releases and any other
2750 publications arising from the study. Data from the study may also be used as part of a thesis for a PhD or MD.
2751

2752 **22 DEVELOPMENT OF A NEW PRODUCT/ PROCESS OR THE GENERATION OF**
2753 **INTELLECTUAL PROPERTY**

2754 Ownership of IP generated by employees of the University vests in the University. The University will ensure
2755 appropriate arrangements are in place as regards any new IP arising from the trial.
2756

2757 **23 ARCHIVING**

2758 Study data may be stored electronically on a secure server, and paper notes will be kept in a key-locked filing
2759 cabinet at the site. All essential documents will be retained for a minimum of 5 years after the study has finished
2760 with 5 yearly reviews. The need to store study data for longer in relation to licensing of the vaccine will be subject
2761 to ongoing review. For effective vaccines that may be licensed, we may store research data securely at the site at
2762 least 15 years after the end of the study, subject to adjustments in clinical trials regulations. Where relevant
2763 participants' bank details will be stored for 7 years in line with the site financial policy. De-identified research
2764 data maybe be stored indefinitely, but with 5 yearly review.

2765 General archiving procedures will be conducted in compliance to SOP OVC020 Archiving.
2766

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25 APPENDIX A: SCHEDULE OF PROCEDURES**General cohort boosted at 28 days**

	Screening	V1	V2	V3	V6	V7	(VPP) Only if enter C19P
Study timeline		D0	D28	D56	D182	D364	(D0-D364)
Study window		Within 120 days of screening	Day 28–35 post V1	Day 25–32 post V2	Day 142-166 post V2	Day 224-379 post V1	Within 7 days of positive test
Informed consent	X*	X					
Safety bloods		X	X	X			X
Medical history	X						
Interim medical history		X	X	X	X	X	X
Physical examination (as required)		(X)	(X)	(X)	(X)	(X)	X
Urine test (Pregnancy) (if required)		X	X				
COVID-19 vaccination		X	X				
COVID-19 immunogenicity bloods		X	X	X	X	X	X
SARS-Cov-2 viral swab							X
Diary card review			X	X			X
SAE/AESI/Medically attended AE check			X	X	X	X	X

*Online Consent. Restricted to taking a limited medical history online, contacting the GP for further medical record if required and telephone screening visit(s)

General cohort boosted at 84 days

	Screening	V1	V3	V4	V5	V6	V7	(VPP) Only if enter C19P
Study timeline		D0	D56	D84	D112	D182	D364	(D0-D364)
Study window		Within 120 days of screening	Day 53–60 post V1	Day 84-91 post V1	Day 25–32 post V4	Day 86-110 post V4	Day 224-379 post V1	Within 7 days of positive test
Informed consent	X*	X		X**				
Safety bloods		X		X	X			X
Medical history	X							
Interim medical history		X	X	X	X	X	X	X
Physical examination (as required)		(X)	(X)	(X)	(X)	(X)	(X)	X
Urine test (Pregnancy) (if required)		X		X				
COVID-19 vaccination		X		X				
COVID-19 immunogenicity bloods		X	X	X	X	X	X	X
Prophylactic paracetamol vs reactive paracetamol post-boost				X				
SAM-strip***		X	X	X	X	X	X	X
Saliva***			X	X	X	X	X	X
SARS-Cov-2 viral swab								X
Diary card review			X	X				X
SAE/AESI/Medically attended AE check			X	X	X	X	X	X

*Online Consent. Restricted to taking a limited medical history online, contacting the GP for further medical record if required and telephone screening visit(s)

** Optional consent for randomisation to prophylactic vs reactive paracetamol sub-study

***Only from participants recruited at nominated sites

Immunology cohort boosted at 28 days

	Screening	V1	V1A	V1B	V2	V2A	V2B	V3	V5	V6	V7	(VPP) Only if enter C19P
Study timeline		D0	D7	D14	D28	D35	D42	D56	D112 (optional)	D182	D364	(D0-D364)
Study window		Within 120 days of screen	Day 5- 9 post V1	Days 12–16 post V1	Day 28–35 post V1	Day 5–9 post V2	Days 12–16 post V2	Day 25–32 post V2	Day 78–91 post V2	Day 142-166 post V2	Day 224-379 post V1	Within 7 days of positive test
Informed consent	X*	X										
Safety bloods		X			X	X		X				X
Medical history	X											
Interim medical history		X	X	X	X	X	X	X	X	X	X	X
Physical examination (as required)		(X)	(X)	(X)	(X)	(X)	(X)	(X)	(X)	(X)	(X)	X
Urine test (pregnancy) (if required)		(X)			(X)							
COVID-19 vaccination		X			X							
COVID-19 immunogenicity bloods		X	X	X	X	X	X	X	X	X	X	X
SAM-strip		X	X	X	X	X	X	X	X	X	X	X
Saliva					X	X	X	X	X	X	X	X
SARS-CoV-2 viral swab												X
Diary card review			X	X	X	X	X	X				X
SAE/AESI/Medically attended AE check			X	X	X	X	X	X	X	X	X	X

*Online Consent. Restricted to taking a limited medical history online, contacting the GP for further medical record if required and telephone screening visit(s)

26 APPENDIX B: AMENDMENT HISTORY

Amendment No.	Protocol Version No.	Date issued	Author(s) of changes	Details of Changes made
1	2.0	28 Jan 2021	R. Shaw/M. Snape/ A.Stuart	<p>Section 5 (synopsis) and 8</p> <p>Addition of day 14 for humoral immunity endpoints</p> <p>Removal of day 14 for anti-nucleocapsid IgG</p> <p>Section 10.3</p> <p>Exclusion criteria modified to remove reference to angioedema, and carrying of adrenaline pen, and to add:</p> <ul style="list-style-type: none"> ‘hypersensitivity to the active substance or any of the SmPC-listed ingredients of the Pfizer vaccine)’ <p>Section 13.1</p> <p>Safety reporting period modified to commence from time of consent, rather than enrolment</p> <p>Section 11.7.1.3 Amended to state that swabs taken for SARS-CoV-2 testing at the C19P visit will be processed centrally, and not at local sites.</p> <p>Appendix D</p> <p>Changes to allocation of blood for serology (3 aliquots rather than 2 aliquots) and cellular immunology (2 aliquots rather than 3 aliquots) in the general cohorts</p> <p>Removal of ICS for C19 pathway in general cohorts</p> <p>Addition of humoral immunity endpoints for Day 14 bloods in immunology cohort</p> <p>Removal of D14 anti-nucleocapsid IgG</p>
2	2.1	10-Feb-2021	R.Shaw	<p>Modification of section 7.2.1 and tables in section 28 Appendix D: Blood sampling, to change blood volumes to ‘up to’. Safety blood volumes changed to allow variation between sites’ local laboratory SOPs.</p> <p>Tables in section 25 Appendix A: Schedule of Procedures amended as SAE checks occur at each visit but had been omitted from two.</p>
3	3.0	09-Mar-2021	R.Shaw	<p>Addition of saliva samples</p> <p>Addition of optional D112 visit to immunology cohort</p> <p>Update of background information of COVID and COVID vaccines</p> <p>Update of WHO advice surrounding vaccine scheduling</p> <p>Addition of CRP gradings</p> <p>Typographical errors and clarity including blood sample tables</p>
4	3.1	29-Mar-2021	R.Shaw	<p>Removal of laboratory names from appendix D to allow flexibility due to lab capacity limits.</p>

5	4.0	14-Apr-2021	A.Stuart X.Liu E.Plested	Removal and replacement of Elizabeth Williamson on the DSMB membership list. Replaced with Krishnan Bhaskaran. E. Williamson stepped down due to a conflict of interest. Adding the reactogenicity interim analysis. Correction of an error in the randomisation section. 6.2.8 Potential Risks - updated with emerging Thrombosis with Thrombocytopenia Association with vaccination
6	5.0	26-APR-2021		Addition of randomisation to prophylactic versus reactive paracetamol for the boost dose in the 84 day interval groups. Addition of impact on daily living questions to diary card for participants in the day 84 interval groups Removal of sample tube numbers and colour from appendix D. This will be documented in the Lab Analysis Plan rather than the study protocol. The overall volumes remain unchanged.
7	6.0	19-May-2021	X.Liu, A. Stuart, R. Shaw, N. Singh	Update of the statistics section to align with the statistical analysis plan, typographical error in D182 window of Appendix A (General 84). Removal of Anti-nucleocapsid from D112 visits (due to current low incidence of COVID in the general population).
8	7.0	08/06/2021	R.Shaw A.Stuart	Addition of mass unblinding option in section 11.5 and, clarification of wording on unblinding in 11. 8.
10	8.0	29/07/2021	A.Stuart R.Shaw	Addition of section 11.6.4 – explanation of trial management of participant visits should third dose boosts be offered through the national immunisation programme Appendix A – change to visit window for V7 5 – Synopsis – total study duration changed to 8-12 months per participant 13.1 – change to individual participant SAE and AESI reporting time window to last study visit rather than 12 months Updating of AZ storage 12.141 sections as well as Safety information (section 13) and DSUR section 13.11 in light of update from Investigator's brochure to Summary of Product Characteristics equivalent documentation for regulation 174 licensed medicinal product
11	9.0	07/09/2021	R. Shaw	Sections 12.1.4.2 and 13.8.1.2 updated as per updated Pfizer SmPC (11-Aug-2021) and AstraZeneca SmPC (19-Jul-2021)

				Section 13.11 updated to clarify DSUR reporting
				Sections 13.8.2.1. and 13.8.2.2 – adverse events for AZ and Pfizer vaccines updated as per updated SmPCs

27 APPENDIX C: Toxicity grading scale for lab AEs

		Units	Lab range	Grade 1	Grade 2	Grade 3	Grade 4
Haematology							
Haemoglobin Absolute	Male	g/l	130-170	115-125	100-114	85-99	<85
Haemoglobin Absolute	Female	g/l	120-150	105-113	90-104	80-89	<80
Haemoglobin change from baseline			n/a	10-15	16-20	21-50	>50
White Blood Cells	Elevated	x 10 ⁹ /L	11.00	11.50-15.00	15.01-20.00	20.01-25.00	>25.00
White Blood Cells	Low	x 10 ⁹ /L	4.00	2.50-3.50	1.50-2.49	1.00-1.49	<1.00
Platelets	Low	x 10 ⁹ /L	150-400	125-140	100-124	25-99	<25
Neutrophils	Low	x 10 ⁹ /L	2.00-7.00	1.50-1.99	1.00-1.49	0.50-0.99	<0.50
Lymphocytes	Low	x 10 ⁹ /L	1.00-4.00	0.75-0.99	0.50-0.74	0.25-0.49	<0.25
Eosinophils	Elevated	x 10 ⁹ /L	0.02-0.50	0.65-1.50	1.51-5.00	>5.00	Hypereosinophilia
Biochemistry							
Sodium	Elevated	mmol/L	145	146-147	148-149	150-155	>155
Sodium	Low	mmol/L	135	132-134	130-131	125-129	<125
Potassium	Elevated	mmol/L	5.0	5.1-5.2	5.3-5.4	5.5-6.5	>6.5
Potassium	Low	mmol/L	3.5	3.2-3.3	3.1	2.5-3.0	<2.5
Urea	Elevated	mmol/L	2.5-7.4	8.2-9.3	9.4-11.0	>11.0	Requires dialysis
Creatinine	Elevated	□mol/L	49-104	1.1-1.5xULN 114-156	>1.5-3.0xULN 157-312	>3.0xULN >312	Requires dialysis
Bilirubin	Elevated Normal LFTs	□mol/L	0-21	1.1-1.5xULN 23-32	>1.5-2xULN 33-42	>2-3xULN 43-63	>3xULN >63
Bilirubin	Elevated Abnormal LFTs	□mol/L	0-21	1.1-1.25xULN 23-26	>1.25-1.5xULN 27-32	>1.5-1.75xULN 33-37	>1.75xULN >37
ALT	Elevated	IU/L	10-45	1.1-2.5xULN 49-112	>2.5-5xULN 113-225	>5-10xULN 226-450	>10xULN >450
ALP (Alkaline phosphatase)	Elevated	IU/L	30-130	1.1-2xULN 143-260	>2-3xULN 261-390	>3-10xULN 391-1300	>10xULN >1300
Albumin	Low	g/L	32-50	28-31	25-27	<25	-
CRP	Elevated	mg/L	0-10	11-30	31-100	101-200	>200

Normal ranges may vary between sites and gradings may be adapted between sites

1 **28 APPENDIX D BLOOD SAMPLING**2 **General Cohort – 28 day boost**

	V1	V2	V3	V6	V7	(VPP) Only if enter C19P
Study timeline	D0	D28	D56	D182	D364	(D0-D364)
Safety bloods	1 x FBC (up to 2ml) 1 x Biochem (up to 5ml)	1 x FBC (up to 2ml) 1 x Biochem (up to 5ml)	1 x FBC (up to 2ml) 1 x Biochem (up to 5ml)			1 x FBC (up to 2ml) 1 x biochem (up to 5ml)
COVID-19 vaccination	X	X				
Primary endpoint			Anti-spike IgG			
Secondary endpoints	Anti-spike IgG Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs ELIspot	Anti-spike IgG Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs ELIspot	Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs ELIspot	Anti-spike IgG Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs ELIspot	Anti-spike IgG Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs ELIspot	Anti-spike IgG Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs ELIspot
Total volume per visit	Up to 57ml	Up to 57ml	Up to 57ml	Up to 50ml	Up to 50ml	Up to 57ml
Total volume by end of study	At any point in the study, a repeat of safety bloods may be recommended at the clinical discretion of the investigator. This will result in up to an extra 7ml per repeat blood sample.				Up to 271ml	+ Up to 57ml per C-19 pathway attended

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16 **General Cohort – 84 day boost**

	V1	V3	V4	V5	V6	V7	(VPP) Only if enter C19P
Study timeline	D0	D56	D84	D112	D182	D364	(D0-D364)
Safety bloods	1xFBC (up to 2ml) 1xBiochem (up to 5ml)		1xFBC (up to 2ml) 1xBiochem (up to 5ml)	1xFBC (up to 2ml) 1xBiochem (up to 5ml)			1xFBC (up to 2ml) 1xBiochem (up to 5ml)
COVID-19 vaccination	X		X				
Secondary endpoints	Anti-spike IgG Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs ELIspot	Anti-spike IgG Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs ELIspot	Anti-spike IgG Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs ELIspot	Anti-spike IgG Neutralising Abs Pseudo-neut Abs ELIspot	Anti-spike IgG Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs ELIspot	Anti-spike IgG Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs ELIspot	Anti-spike IgG Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs ELIspot
Total volume per visit	Up to 57ml	Up to 50ml	Up to 57ml	Up to 57ml	Up to 50ml	Up to 50ml	Up to 57ml
Total volume by end of study	At any point in the study, a repeat of safety bloods may be recommended at the clinical discretion of the investigator. This will result in up to an extra 7ml per repeat blood sample.					Up to 321ml	+ Up to 57ml per C-19 pathway attended

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33 Immunology Cohort (28 day boost)

	V1	V1A	V1B	V2	V2A	V2B	V3	V5	V6	V7	(VPP) Only if C19P
Study timeline	D0	D7	D14	D28	D35	D42	D56	D112 (optional)	D182	D364	(D0-D364)
Safety bloods	X			X	X		X				X
COVID-19 vaccination	X			X							
Primary endpoint							Anti-spike IgG				
Secondary endpoints	Anti-spike IgG Neutralising Ab Anti-N IgG Pseudo-neut Ab ELISpot ICS	Anti-spike IgG	Anti-spike IgG Neutralising Ab Pseudo-neut Ab ELISpot ICS	Anti-spike IgG Neutralising Ab Anti-N IgG Pseudo-neut Ab ELISpot	Anti-spike IgG	Serum ELISpot ICS	Neutralising Ab Anti-N IgG Pseudo-neut Ab ELISpot	Anti-spike IgG Neutralising Ab Pseudo-neut Ab ELISpot	Anti-spike IgG Neutralising Ab Anti-N IgG Pseudo-neut Ab ELISpot	Anti-spike IgG Neutralising Ab Anti-N IgG Pseudo-neut Ab ELISpot	Anti-spike IgG Neutralising Ab Anti-N IgG Pseudo-neut Ab ELISpot ICS
Total volume per visit	Up to 77ml	Up to 20ml	Up to 70ml	Up to 57ml	Up to 27ml	Up to 70ml	Up to 57ml	Up to 50ml	Up to 50ml	Up to 50ml	Up to 77ml
Total volume (study end)	At any point in the study, a repeat of safety bloods may be recommended at the clinical discretion of the investigator. This will result in up to an extra 7ml per repeat blood sample.								Up to 528ml		+ Up to 77ml per C-19P attended

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