

1 **Household and Community Systems for Groundwater Remediation in Bihar, India: Arsenic and**  
2 **Inorganic Contaminant Removal, Controls and Implications for Remediation Selection**

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19  
20 **Abstract**

21 The presence of arsenic (As) and other inorganic contaminants in groundwater is a key public health  
22 issue in India and many other parts of the world. Whilst a broad range of remediation technologies  
23 exist, performance can be highly variable, and appropriate selection and management of remediation  
24 approaches remains challenging. Here, we have identified and tested the performance of a range of  
25 small-scale remediation technologies (*e.g.* sand filters, multi-stage filtration and reverse osmosis (RO)-  
26 based systems;  $n = 38$ ) which have been implemented in Bihar, India. We have undertaken spot-  
27 assessments of system performance under typical operating conditions in household and non-  
28 household (*e.g.* community, hospital, hostel/hotel) settings. The removal of As and other inorganic  
29 contaminants varied widely (ranging from  $\sim 0 - 100\%$ ), with some solutes generally more challenging  
30 to remove than others. We have evaluated the relative importance of technology type (*e.g.* RO-based  
31 versus non-RO systems), implementation setting (*e.g.* household versus non-household) and source  
32 water geochemistry (particularly concentrations and ratios of As, Fe, P, Si and Ca), as potential controls  
33 on remediation effectiveness. Source water composition, particularly the ratio  $([\text{Fe}] - 1.8[\text{P}])/[\text{As}]$ , is a  
34 statistically significant control on As removal ( $p < 0.01$ ), with higher ratios associated with higher

35 removal, regardless of technology type. This ratio provides a theoretical input which could be used to  
36 identify the extent to which natural groundwater composition may be geochemically compatible with  
37 higher levels of As removal. In Bihar, we illustrate how this ratio could be used to identify spatial  
38 patterns in theoretical geochemical compatibility for As removal, and to identify where additional Fe  
39 may theoretically facilitate improved remediation. This geochemical approach could be used to  
40 inform optimal selection of groundwater remediation approaches, when considered alongside other  
41 important considerations (*e.g.* technical, managerial and socio-economic) known to impact the  
42 effective implementation and sustainability of successful groundwater remediation approaches.

43

44 **Keywords:** arsenic, inorganic contaminants, remediation, water treatment, groundwater, decision-  
45 support

46

## 47 **1. Introduction**

48 Groundwater contamination, and particularly the presence of naturally-occurring arsenic (As),  
49 amongst other inorganic pollutants, is a major public health challenge [1, 2]. In the major floodplains  
50 and deltas of South and Southeast Asia, disproportionately high populations are exposed to As  
51 especially via the consumption of As-contaminated water supplies [3-7]. Geogenic groundwater As  
52 has been widely reported in the Ganga Basin of India [8], particularly in the States of Bihar [9-17], West  
53 Bengal [18-38] and Uttar Pradesh [39-42], with impacted populations estimated to be ~ 1.2 – 4.6  
54 million, ~ 7.4 – 10.1 million and ~ 1.2 – 2.5 million, respectively [43]. A widespread public health target,  
55 including as identified as part of the United Nations' Sustainable Development Goal (SDG) 6, is to  
56 reduce As concentrations in water used for drinking to below the World Health Organization (WHO)  
57 provisional guideline value of 10  $\mu\text{g.L}^{-1}$  [6] (see also Indian drinking water standards [44]), whilst noting  
58 that detrimental health impacts may still occur at lower concentrations [45] or exposures [46], and in  
59 some cases efforts are being made to reach lower targets in drinking water [47]. Whilst there are a  
60 number of inorganic groundwater contaminants that may be of concern, we focus here primarily (but  
61 not exclusively) on As due to its priority for public health protection.

62 A number of technical strategies for As remediation exist and have been reviewed extensively  
63 elsewhere [48-56]. Additionally, the importance of holistic approaches to promote sustainability has  
64 been well-documented [57-61]. In areas which do not have access to centralized treated water  
65 supplies, especially in rural or peri-urban areas, or in parts of cities which do not have treated supplies,  
66 household or community scale interventions to reduce As concentrations in drinking supplies are  
67 sometimes applied [62]. Small scale technology-based interventions may include filtration systems

68 enhanced with sorption media such as activated alumina, granular ferric hydroxide, or hybrid anion  
69 exchange media [59, 62, 63] (particularly at community-scale) or via membrane technologies such as  
70 reverse osmosis (RO) or nanofiltration (either at household or community-scale) [64, 65]. Other  
71 strategies, such as those based on zero-valent iron (ZVI) [66], *in-situ* approaches [63] and electrolytic  
72 technologies (*e.g.* electro-coagulation, electro-chemical arsenic remediation) [58], also exist but tend  
73 to be relatively less commonly implemented [62]. Small scale, household point-of-use systems have  
74 been recommended to be most suitable for short term use (*e.g.* disaster situations) but often fail in  
75 the medium-term [67] and previously have not been recommended for long term As mitigation [68],  
76 whilst recognizing that suitable alternative options are limited in areas not served by centralized  
77 treatment systems. In Bihar, typical small-scale groundwater remediation systems are commonly  
78 either sand-based filters (sometimes in tandem with sorption media) or commercial RO systems.  
79 Piped supplies of treated surface water are also an important mitigation option in some locations in  
80 Bihar. The Department of Drinking Water & Sanitation, Ministry of Jalshakti, Government of India  
81 provides resources regarding remediation strategies including through the advice of technical  
82 committees and a knowledge hub [69, 70].

83 Source water composition (including As, P, Fe) is known to have a significant influence on the  
84 performance of various groundwater remediation technologies for As removal (particularly  
85 adsorption-based removal technologies) [71]. For example, groundwaters characterized by low  
86 natural Fe and high P, such as in Bangladesh, require an additional source of Fe to support As removal,  
87 whereas high natural Fe concentrations (such as in the Red River Basin, Vietnam) facilitate As removal  
88 [71], noting this is technology-dependent and other parameters as well (particularly pH and redox  
89 conditions) also may impact removal. In shallow, reducing aquifers typical to South/Southeast Asia  
90 [4, 72], another challenge is that relatively high concentrations of As(III) may require oxidation to As(V)  
91 in order to enhance removal efficiency in part due to the stronger sorption capacity of As(V) [71]. The  
92 presence of P, Si (typically as  $\text{H}_4\text{SiO}_4$  in dissolved form) and  $\text{HCO}_3^-$  can also significantly impact As  
93 reduction [73]. Although it is very important to consider geochemical composition during remediation  
94 selection [71], this often does not occur in practice in such settings. Further, manufacturer  
95 specifications on system performance for commercial products often refer to controlled laboratory  
96 studies which do not necessarily reflect typical operating conditions and/or real-world  
97 implementations, and many systems may not be tested using real environmental samples or  
98 representative groundwater matrices.

99 In addition to geochemical suitability, there are a number of other important aspects which impact  
100 the effectiveness or long-term sustainability of remediation interventions; this includes factors such  
101 as operation and maintenance requirements, cost, amenability to automation and broader

102 acceptability and sustainability aspects [62, 74]. In As-impacted areas of Bihar, remediation initiatives  
103 at the community scale have been implemented by the State government, academic institutions and  
104 non-governmental organizations. However, many community-scale filtration units have not been  
105 successful and have been left non-functional, particularly due to issues regarding maintenance and  
106 monitoring, improper installation, lack of acceptability (including for economic or convenience  
107 reasons), lack of clarity around responsibility, lack of awareness and socio-economic exclusion [75].  
108 The reasons behind implementation failures are often complex and depend on the specific locality.  
109 For example, in some cases maintenance issues may cause failures, whereas in other areas, even  
110 nearby, socio-economic exclusion or social conflict may be the limiting barrier. These issues can  
111 collectively create major challenges for the sustainability of such community-scale mitigation  
112 approaches. Small household scale, point-of-use remediation units are also relatively common in  
113 some areas, particularly in more affluent or urban settings. Whilst private household systems may  
114 reduce some of these barriers, issues around maintenance, cost and equitable access can still be  
115 prevalent.

116 Given the wide variety of technical and non-technical factors which can influence the implementation  
117 of remediation initiatives, it remains unclear how effective various small-scale remediation systems  
118 are in reducing concentrations of As and other (inorganic) chemical solutes in groundwater in Bihar.  
119 To our knowledge, a knowledge gap remains in evaluating the performance of a variety of remediation  
120 systems actually being implemented under typical “on-the-ground” conditions in Bihar. As such, the  
121 aim of this paper is to assess the effectiveness and dominant performance controls on a range of  
122 commonly used household and community-scale local groundwater remediation systems in Bihar,  
123 India, under typical operating conditions, and to consider the implications on remediation selection in  
124 similar settings. The objectives are to: (i) evaluate the removal of As and other inorganic solutes with  
125 commonly locally-used remediation approaches and typical operating conditions; (ii) compare the  
126 effectiveness of various remediation technologies (*e.g.* RO-based systems, sand filtration) and  
127 implementation settings/scales (*e.g.* household versus non-household); (iii) evaluate theoretical  
128 geochemical controls on system performance in comparison with actual performance data; and (iv)  
129 consider the implications on the selection and management of groundwater arsenic remediation  
130 systems in Bihar and elsewhere.

131

## 132 **2. Methods**

### 133 **2.1 Study Area & Sampling Strategy**

134 Water samples were collected in 2019 from remediation systems (n = 38) located in the State of Bihar  
135 in the Middle Gangetic Plain, India, within the following districts: Patna (n = 21); Buxar (n = 4);  
136 Aurangabad, Gaya and Katihar (n = 2 each); and East Champaran, Nawada, Munger, Rohtas, Saran and  
137 Vaishali (n = 1 each). Further samples collected from one system located in Ballia District (Uttar  
138 Pradesh) were also included in this study because of the proximity of the study site to Bihar and their  
139 co-existence in the Mid Ganga Plain. Samples from remediation systems were collected  
140 opportunistically within the framework of a larger stratified random groundwater sampling campaign  
141 systematically encompassing all districts of Bihar [17]. The wider groundwater sampling campaign  
142 involved sampling of ~ 300 tubewells distributed across Bihar [17] and the identified mitigation units  
143 reported in this current study are generally indicative of the frequency and types of mitigation systems  
144 encountered during random groundwater sampling (noting that more units were more commonly  
145 encountered in urban areas such as Patna). Upon arrival at a particular location the field team asked  
146 locally if there were any remediation systems present in the surrounding households or community.  
147 Sampling was then undertaken if remediation systems were identified and access was granted.  
148 Importantly this meant that all samples were collected under “spot check” conditions, under typical  
149 operating conditions for that particular system/setting, and where owners or overseers had no prior  
150 knowledge that sampling was to take place. In a limited number of cases in Buxar and Patna, sampling  
151 was carried out on remediation systems already known to exist by members of the research team.  
152 The higher proportion of samples collected in Patna district reflected both the higher density of  
153 groundwater sampling points in Patna as well as Patna being an urban area where household point of  
154 use water treatment systems are more prevalent.

155 In this manuscript, we use the term “remediation” as a broad term to encompass multiple potential  
156 approaches for the mitigation of one or more groundwater contaminants to minimize risks for human  
157 health. This may include the implementation of point-of-use water treatment systems (as largely  
158 reported here) as well as other options, for example switching to a less-contaminated source (which  
159 potentially may not require any water treatment technology). Although variations in terminology are  
160 used in the literature, “remediation” is commonly used in the context of geogenic arsenic mitigation  
161 and in source-pathway-receptor models reported in environmental risk assessment [1, 50, 76, 77].

162

## 163 **2.2 Remediation System Sampling & Characterization**

164 For each remediation system identified (n = 38, see **Section 3.1**), subsamples of (i) untreated  
165 groundwater sources used as the system feed/inlet and (ii) corresponding finished product/outlet  
166 water were sought to be collected. Some additional packaged water from local suppliers was also

167 sampled, as packaged water supplies can also be considered a remediation approach, although the  
168 corresponding inlet groundwater was not possible to sample. Inlet water samples were typically  
169 collected either directly from corresponding handpumps, using methods previously published [17], or  
170 from household taps connected directly to the untreated groundwater source. Outlet water samples  
171 were collected directly from system outlets or from the nearest point of access (in some cases this  
172 was from the outlet of a connected storage vessel). All samples were collected in plastic beakers  
173 which were thoroughly sample rinsed between samples.

174 Measurements of *in-situ* parameters pH, electrical conductivity (EC) and temperature were  
175 undertaken directly at the time of sampling using Hanna handheld meters. Subsamples for  
176 subsequent laboratory analysis of major and trace cations and anions were filtered (0.45 µm  
177 cellulose/polypropylene syringe filters) upon collection and stored in glass bottles. Samples for cation  
178 and trace metal(loid) analysis were acidified (2 % trace grade HNO<sub>3</sub>) after transport and arrival at the  
179 University of Manchester laboratories (Manchester Analytical Geochemistry Unit), due to HNO<sub>3</sub>  
180 transport restrictions. Further sampling details are provided elsewhere [17].

181 Further information was obtained about the remediation systems sampled and their typical usage,  
182 both through discussion with the local owner/caretaker and observationally. The following types of  
183 information were ideally sought, directly or indirectly: (i) technology type and brand, if applicable; (ii)  
184 typical maintenance undertaken and/or the nature of any existing maintenance agreements; (iii) why  
185 the system was installed/purchased; (iv) age of system; (v) location of purchase, if applicable; (vi)  
186 approximate cost of system and maintenance, if applicable; (vii) who is typically responsible for water-  
187 related decisions; and (viii) the general upkeep/cleanliness of the surrounding area. It was not always  
188 possible to collect all this information, depending highly on the person(s) present. As much  
189 information was obtained as possible and as situationally appropriate.

190

### 191 **2.3 Chemical Analysis (Laboratory)**

192 Chemical analysis of major and trace elements was undertaken at the Manchester Analytical  
193 Geochemistry Unit (MAGU). Inductively coupled plasma mass spectrometry (ICP-MS, Agilent 7500cx)  
194 was used for the analysis of As, U and Zn. Inductively coupled plasma atomic emission spectrometry  
195 (ICP-AES, Perkin-Elmer Optima 5300 dual view) was used for the analysis of Fe, P, Ca, Mg, Mn, Na, K  
196 and Si. Ion chromatography (IC, Dionex ICS5000 Dual Channel Ion Chromatograph) was used for the  
197 analysis of F<sup>-</sup>, Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup> and SO<sub>4</sub><sup>-</sup>. Further analytical method details and information on quality  
198 assurance/quality control are provided elsewhere [17].

199

## 200 2.4 Data Analysis

201 Removal ( $R_i$ , as %) was calculated as:

$$R_i = \left(1 - \frac{C_{outlet,i}}{C_{inlet,i}}\right) \cdot 100 \quad \text{Eqn 1}$$

202 where  $C_{outlet,i}$  and  $C_{inlet,i}$  are outlet and inlet concentrations, respectively, of component  $i$ . For the  
203 purpose of calculating molar ratios and removal, measured solute concentrations that were below  
204 detection limits were input as  $0.1 \mu\text{g}\cdot\text{L}^{-1}$  for As and  $0.1 \text{mg}\cdot\text{L}^{-1}$  for Fe and P (representing 10 % of lowest  
205 calibration standard and near instrumental detection limits) to enable calculations without divide-by-  
206 zero errors which are thus maximum or minimum expected values depending on the ratio calculated.  
207 The terminology “removal” and “retention” are often used interchangeably particularly in the context  
208 of membrane systems and here “removal” is used to be more broadly applicable across technology  
209 types.

210 OriginPro 2017 was used for basic statistical analysis (*e.g.* simple linear regression, Mann Whitney U  
211 test, descriptive statistics) with 95 % confidence on reported p values unless otherwise stated.  
212 Multivariate generalised linear model (GLM) was used to determine the factors potentially associated  
213 with As removal. Appropriate functions were used to generate the best fitting model predicting the  
214 outcome (*e.g.* As removal). Firstly, the contribution of the independent variable ( $[\text{Fe}] - 1.8[\text{P}]/[\text{As}]$ ) was  
215 calculated (crude model), based on results from simple linear regression. The additional geochemical  
216 factors (*e.g.* Fe, As, P, Ca, Mg, Na, Si,  $[\text{Fe}]/[\text{As}]$  and  $[\text{Fe}]/[\text{P}]$ ) and system characteristics (*e.g.* technology  
217 type and setting) were then included (adjusted) in order to assess the improvement to the overall  
218 prediction, and association of each of those factors to the best fitting model. Data was included in  
219 multivariate analysis if inlet As concentrations were above detection and removal values were positive  
220 ( $n = 20$  observations met this criteria). Akaike’s Information Criterion (AIC) values were used to select  
221 the best-fitted model. STATA 11.2 [78] was used for multivariate analysis. QGIS (version 3.12.2  
222 București) was used for mapping.

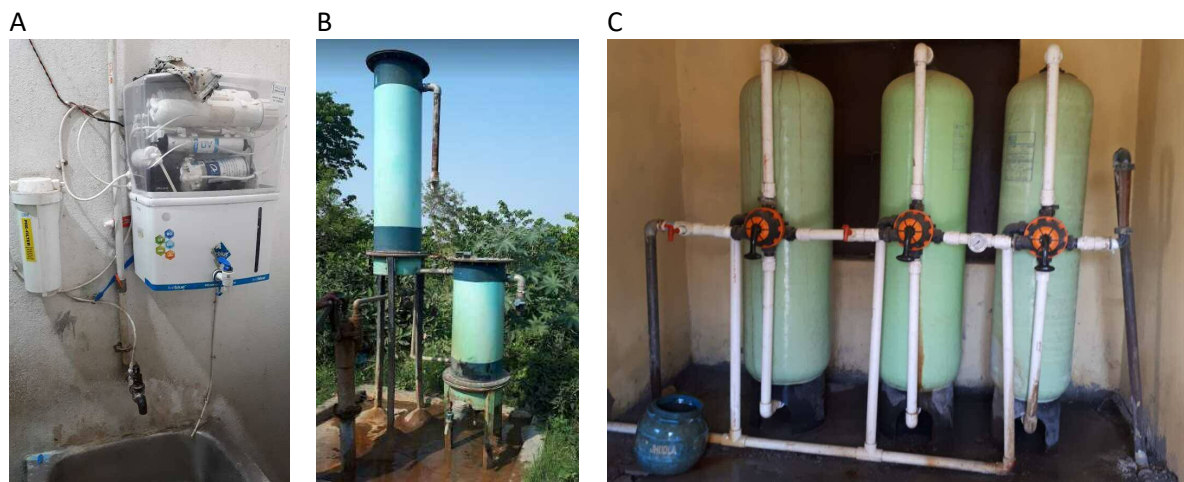
223

## 224 3. Results and Discussion

### 225 3.1 Remediation System Characterization

226 The 38 remediation systems identified and sampled were initially characterized by system  
227 type/technology, scale and setting. A selection of the range in types of remediation systems  
228 encountered are shown in **Figure 1**. Most systems were commercial RO-based units ( $n = 27$ , mostly  
229 small-scale point of use systems), followed by multi-stage filtration systems ( $n = 4$ , all community-  
230 scale) and a single-stage homemade sand filtration system made in a  $\sim 50 \text{L}$  bucket ( $n = 1$ , household

231 scale). The systems were installed and used in various settings, including in private households (n =  
232 18) and in non-household settings (n = 13) such as communities, hospitals and hotels/hostels. Some  
233 of the sampled systems were implemented specifically for arsenic removal, although many were used  
234 for general or other specific water quality concerns (including high iron leading to red/orange residue  
235 and unsatisfactory taste/smell). Many owners of household systems reported that the composition  
236 of their inlet water had never been tested (although some still had concerns about the possible  
237 presence of arsenic). There were additional samples of packaged water (n = 6) with unknown  
238 remediation system type; these were typically from small local businesses providing a private paid  
239 water delivery service (cost reported to be ~ 15 to 20 INR, or ~ 0.20 to 0.30 USD, for a ~ 15 L large  
240 plastic bottle) and it was generally not possible to sample the associated inlet water for packaged  
241 water suppliers.



**Figure 1.** Example types of small-scale remediation units in Bihar: (A) Household scale commercial reverse osmosis (RO) based unit; (B) Multi-family scale gravity fed multi-stage sand filtration unit; (C) Community-scale commercial filtration unit.

242

243 The commercial RO systems were from a range of Indian and international brands and were typically  
244 bought from Patna or shops in district headquarters in Bihar. Most owners of the household RO  
245 systems reported maintenance arrangements ranging from paid service contracts (visits ~ 1 – 6  
246 months) to occasional filter changes at the discretion of the owner (~ 6 months on average); in some  
247 cases maintenance practices were not apparent or unknown. Household RO systems were usually  
248 specified up to ~ 20 L/hour production rate using mains electricity (power consumption ~ 40 – 60 W)  
249 with a storage capacity of ~ 8 – 15 L. Details of specific RO membrane type was usually not available.  
250 Some RO systems were equipped with other remediation elements (e.g. pre-filter, UV disinfection  
251 stage) in series. The multi-stage filtration units were all community scale systems with filter media  
252 ranging from sand to proprietary commercial products. Commercial product labels on larger-scale  
253 commercial systems were all nearly worn off and unreadable, presumably due to long-term wear and



254 tear, and caretakers were often not able to provide detailed technical product information. The  
255 household-scale handmade bucket sand filtration system was built from low cost and highly local  
256 materials (*e.g.* nearby gravel and sand) by a household personally concerned with orange residue in  
257 water obtained from their household tubewell; the sand in this system was reported to be changed  
258 monthly. In many cases reject/concentrate water from RO and other systems was reported to be  
259 simply discarded in a sink/drain (for household scale systems) or behind the system facilities (for  
260 community scale systems); management of waste products from water treatment systems is an  
261 important consideration for operation & maintenance and longer term sustainability [79].  
262 Comprehensive information on all technologies used was usually not available during site visits and  
263 information was supplemented, where possible, through visits to local shops supplying various  
264 mitigation units. It is important to note that there are also likely variations between the same type of  
265 systems used in the study, so this context should only be considered indicative.

266

### 267 **3.2 Characterization of Inlet Water Composition**

268 In brief, groundwater in Bihar has been previously characterized as generally circum-neutral (pH  
269 ranging from 5.7 – 8.3) and typically of the Ca-HCO<sub>3</sub><sup>-</sup> and Na-HCO<sub>3</sub><sup>-</sup> water type [17]. The geochemical  
270 composition of the subset of groundwater samples reported here and used as inlet water for  
271 remediation units was broadly similar to the wider dataset across Bihar [17] (**Table 1**). Remediation  
272 system source water ranged in pH from 6.8 – 7.9, and arsenic concentrations ranged from < 1 – 200  
273 µg.L<sup>-1</sup> (median ~ 1 µg.L<sup>-1</sup>), with ~ 20 % exceeding the WHO provisional guideline value of 10 µg.L<sup>-1</sup>.  
274 Other parameters which exceeded WHO guideline values for a sub-set of samples included NO<sub>3</sub><sup>-</sup> (~ 16  
275 % exceedance of 50 mg.L<sup>-1</sup>); Mn (~ 10 % exceedance with regard to previous WHO guideline, noting  
276 that the WHO previous guideline of 0.4 mg.L<sup>-1</sup> has been discontinued; Indian Drinking Water guidelines  
277 stipulate 0.1 mg.L<sup>-1</sup> [44] of which ~ 50 % are in exceedance); and U (~ 10 % exceedance of provisional  
278 guideline of 30 µg.L<sup>-1</sup>). In general there was a narrower distribution of concentrations of trace and  
279 major elements in the subset of remediation samples as compared with a Bihar-wide dataset [17],  
280 consistent with the smaller sample numbers and uneven distribution of sampling locations  
281 corresponding to where remediation units were present.

282 **Table 1.** Composition of groundwater sources used as inlet water for remediation units (this study; n  
283 = 31), as compared with representative groundwater samples across all districts of Bihar (n = 273) [17],  
284 and arsenic impacted groundwater from Bangladesh (B-GW) and New Hampshire (NH) as reported by  
285 other authors and used in co-precipitation tests [80]. Data shown for Bihar groundwater are reported  
286 as a range with median value in parentheses; 'n/a' indicates data not available. [Fe]/[As] molar ratios  
287 are shown for natural groundwaters and as required for As removal based on co-precipitation tests  
288 published elsewhere (\* = required to reduce As to < 50 µg.L<sup>-1</sup>; \*\* sufficient for ~ 100 % removal) [80].

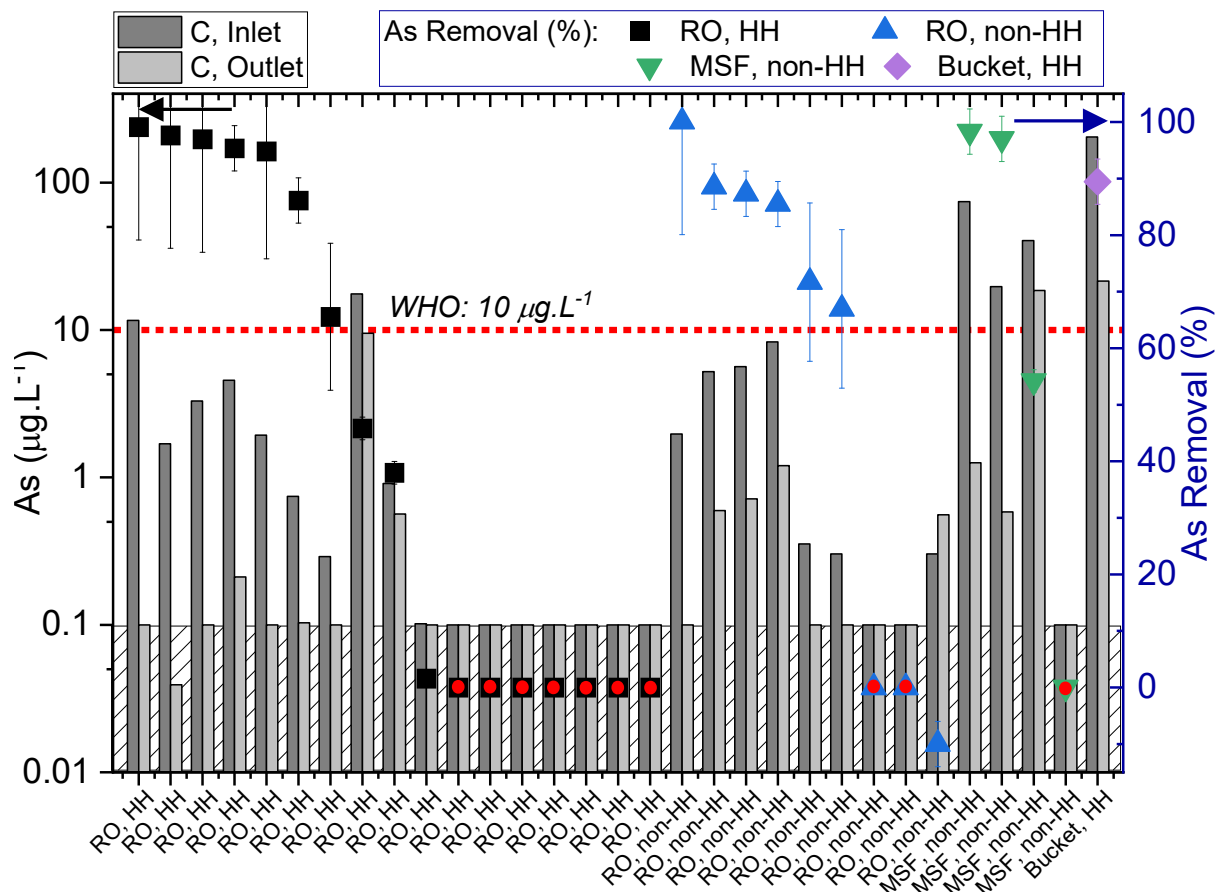
	Bihar, Remediation Units (this study)	Bihar, All [17]	B-GW [80]	NH [80]
As ( $\mu\text{g.L}^{-1}$ )	< 1 – 200 (1)	< 1 – 870 (1)	280 - 600	70
Fe ( $\text{mg.L}^{-1}$ )	< 0.1 – 10 (< 0.1)	< 0.1 – 10 (< 0.1)	4.7 – 7.7	0.7
P ( $\text{mg.L}^{-1}$ )	< 0.1 – 1.8 (0.1)	< 0.1 – 1.8 (0.1)	1.6 – 2.7	0.02
Na ( $\text{mg.L}^{-1}$ )	10 – 110 (30)	3 – 250 (30)	15 – 78	13
Ca ( $\text{mg.L}^{-1}$ )	50 – 220 (80)	10 – 240 (70)	65 – 151	16
Mg ( $\text{mg.L}^{-1}$ )	10 – 100 (20)	2 – 150 (20)	14 - 42	2.9
Si ( $\text{mg.L}^{-1}$ )	12 – 18 (16)	6 – 30 (15)	14 - 20	6.6
K ( $\text{mg.L}^{-1}$ )	1 – 50 (3)	0 – 100 (3)	n/a	n/a
Mn ( $\text{mg.L}^{-1}$ )	< 0.1 – 1 (0.1)	< 0.1 – 4 (0.1)	n/a	n/a
Zn ( $\mu\text{g.L}^{-1}$ )	3 – 1600 (20)	2 – 5400 (15)	n/a	n/a
U ( $\mu\text{g.L}^{-1}$ )	< 1 – 50 (3)	0 – 80 (2)	n/a	n/a
Cl <sup>-</sup> ( $\text{mg.L}^{-1}$ )	0.1 – 220 (4)	0.1 – 450 (10)	n/a	n/a
SO <sub>4</sub> ( $\text{mg.L}^{-1}$ )	< 0.1 – 180 (2)	< 0.1 – 230 (10)	n/a	n/a
NO <sub>3</sub> <sup>-</sup> ( $\text{mg.L}^{-1}$ )	< 0.1 – 130 (0.4)	< 0.1 – 220 (0.4)	n/a	n/a
F <sup>-</sup> ( $\text{mg.L}^{-1}$ )	< 0.1 – 0.7 (< 0.1)	< 0.1 – 1.3 (0.1)	n/a	n/a
EC ( $\mu\text{S.cm}^{-1}$ )	500 – 2000 (600)	30 – 3000 (650)	n/a	n/a
pH (–)	6.8 – 7.9 (7.3)	5.7 – 8.3 (7.2)		
Fe / As (M:M); natural	~ 0 – 330 (~ 1)	~ 0 – 6800 (~ 17)	~ 17 - 30	~ 13
Fe / As (M:M); required	--	--	~ ≥ 54 *	~ < 16 **

289

### 290 3.3 Performance of Community and Household Systems under Typical Operation

#### 291 3.3.1 Reduction of Arsenic with Community and Household Systems

292 Arsenic removal varied widely, ranging from ~ 0 % to 100 % (median 87 %), with removal usually > ~  
293 40 % for As inlet concentrations > 1  $\mu\text{g.L}^{-1}$  (**Figure 2** and **Table 2**). Most systems were reasonably  
294 effective, noting that reported removals ~ 0 % usually corresponded to inlet concentrations near  
295 detection. In most cases (with the exception of two), permeate As concentrations were well below  
296 the WHO guideline value of 10  $\mu\text{g.L}^{-1}$ , although source water As concentrations only exceeded  
297 guideline values in a limited number of cases. Importantly, the highest raw groundwater As (~ 200  
298  $\mu\text{g.L}^{-1}$ ) was encountered with the homemade bucket sand filter system – so although a low technology  
299 solution, the ~ 90 % reduction achieved under the site-specific conditions still substantially reduced  
300 As concentrations (to ~ 20  $\mu\text{g.L}^{-1}$ ), even though the final product water still exceeded WHO guideline  
301 values. The relatively high removal of the bucket sand filter is noteworthy as it demonstrates that  
302 simple, homemade solutions can be comparatively as effective as commercial products in some  
303 circumstances. For the avoidance of doubt please note that this does not imply that such simple  
304 technologies are likely to be effective under *all* circumstances. All of the packaged water sampled (n  
305 = 6) had As concentrations < 1  $\mu\text{g.L}^{-1}$ , although corresponding removal values cannot be calculated as  
306 source water composition was unknown. Controls on performance are discussed in **Section 3.4**.



**Figure 2.** Concentrations of arsenic in inlet (dark grey) and outlet (light grey) water (left axis, as  $\mu\text{g.L}^{-1}$  in log-scale) and arsenic removal (right axis, as %) for all remediation systems sampled under “typical” operation where paired inlet-outlet samples were available ( $n = 31$ ). Symbol shape/color indicates system type: grey square = reverse osmosis (RO)-based technology in a household (HH) setting; blue up-facing triangle = RO technology in a non-HH setting (*e.g.* community, hospital, hostel/hotel); purple diamond = homemade bucket filtration system (non-RO) in HH setting; green down-facing triangle = multi-stage filtration system (non-RO) in a non-HH setting. The grey diagonally dashed box indicates concentrations below approximate instrumental detection (estimated to be  $\sim 0.1 \mu\text{g.L}^{-1}$ , noting in some cases indicative peaks were identified below this limit); concentrations not detected are shown at a conservative estimate of the maximum value. Estimated errors on removal were conservatively estimated on the basis of estimated uncertainties of 20 % and 3 % for analytical uncertainties for As measurements  $< 1 \mu\text{g.L}^{-1}$  and  $> 1 \mu\text{g.L}^{-1}$ , respectively, and propagated for the removal calculation. Propagated removal errors are therefore relatively high when permeate concentrations are low. A red dot in some symbols indicates where apparent removal is calculated to be 0 % due to concentrations in both inlet and outlet being below detection (this also means that apparently calculated propagated errors for these sample sets are 0 %); these datapoints are included to visualize the full dataset although over-interpretation of these particular datapoints should be avoided.

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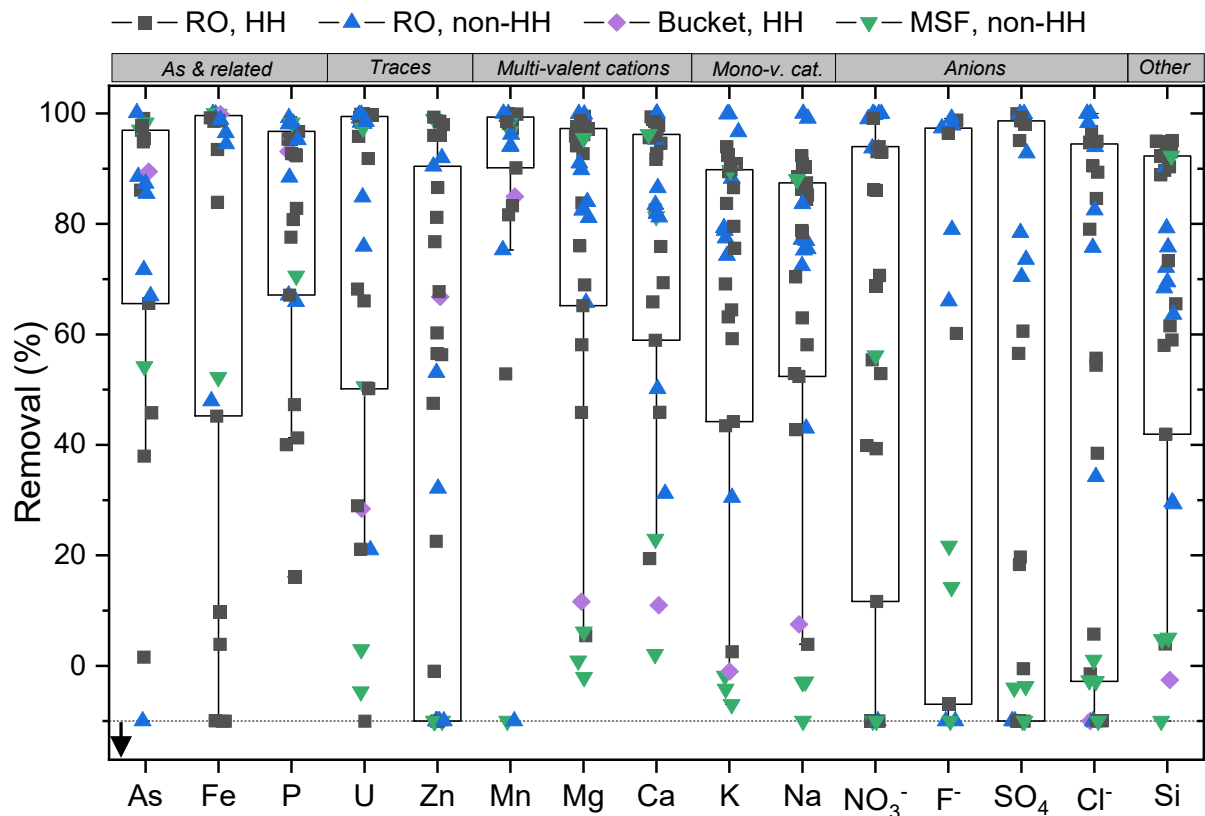
309 **Table 2.** Removal (R) values (%) reported as “Range (Median)” for (i) all paired samples (n = 31; note difference in calculation basis marked by § or †), by technology:  
 310 (ii) reverse osmosis (RO; n = 26) and (iii) non-RO (n = 5), comprising of multistage filtration (n = 4) and simple sand filtration (n = 1), and by system setting: (iv)  
 311 household (HH; n = 18) and (v) non-HH (e.g. community, hospital, hostel/hotel setting; n = 13). Negative removals indicate that concentrations in outlet are  
 312 reported to be higher than the inlet; in some cases this is when concentrations are very near detection and errors are large. Removals less than -10 % have been  
 313 input as -10 % as a maximum value in the limited cases where this was applicable. The columns RO versus non-RO and HH versus non-HH show asymptotic p-  
 314 values reported within the 95 % confidence level as determined by the Mann-Whitney non-parametric statistical test; these determine if the distributions of  
 315 removals between technology type and setting type, respectively, are significantly different (if p < 0.05 the distributions are statistically different and marked in  
 316 bold). § Statistics calculated based on the parameter-specific exclusion of below detection values in inlet concentrations (n = 21, 23, 27, 29 and 14 for As, Fe, P,  
 317 NO<sub>3</sub><sup>-</sup> and F<sup>-</sup>, respectively, where not all inlet water had detectable levels present); † Statistics calculated on the basis of full dataset of paired samples (n = 31)  
 318 where all inlet samples had detectable concentrations.

Parameter	R (%), All	R (%), RO	R (%), non-RO	R (%), HH	R (%), non-HH	p, RO vs non-RO	p, HH vs non-HH
<i>Arsenic and Directly Related</i>							
As §	< -10 – 100 (87)	< -10 – 100 (86)	0 – 98 (89)	0 – 99 (89)	< -10 – 100 (86)	> 0.05 (0.39)	> 0.05 (1)
Fe §	< -10 – 100 (97)	< -10 – 100 (94)	52 – 100 (100)	< -10 – 100 (84)	48 – 100 (99)	> 0.05 (0.07)	<b>&lt; 0.05 (0.03)</b>
P §	16 – 99 (93)	16 – 99 (92)	71 – 98 (93)	16 – 98 (88)	65 – 99 (95)	> 0.05 (0.56)	> 0.05 (0.10)
<i>Other Trace Inorganics</i>							
U †	< -10 – 100 (97)	< -10 – 100 (98)	-5 – 97 (28)	< -10 – 100 (97)	-5 – 100 (97)	<b>&lt; 0.05 (0.02)</b>	> 0.05 (0.51)
Zn †	< -10 – 99 (56)	< -10 – 99 (56)	< -10 – 99 (< -10)	< -10 – 99 (67)	< -10 – 99 (< -10)	> 0.05 (0.44)	<b>&lt; 0.05 (0.02)</b>
<i>Multi-valent Cations</i>							
Mn †	< -10 – 100 (99)	< -10 – 100 (99)	< -10 – 99 (97)	53 – 100 (99)	< -10 – 100 (97)	> 0.05 (0.28)	> 0.05 (0.73)
Mg †	-2 – 100 (90)	6 – 100 (92)	-2 – 96 (6)	6 – 100 (93)	-2 – 100 (84)	<b>&lt; 0.05 (0.01)</b>	> 0.05 (0.56)
Ca †	2 – 100 (84)	19 – 100 (89)	2 – 96 (23)	11 – 99 (92)	2 – 100 (82)	> 0.05 (0.06)	> 0.05 (0.62)
<i>Mono-valent Cations</i>							
K †	-7 – 100 (79)	3 – 100 (79)	-7 – 90 (-2)	-1 – 94 (78)	-7 – 100 (79)	<b>&lt; 0.05 (0.01)</b>	> 0.05 (0.98)
Na †	< -10 – 100 (77)	4 – 100 (78)	< -10 – 88 (-3)	4 – 92 (79)	< -10 – 100 (97)	<b>&lt; 0.05 (0.02)</b>	> 0.05 (0.59)
<i>Anions</i>							
NO <sub>3</sub> <sup>-</sup> §	< -10 – 100 (71)	< -10 – 100 (86)	< -10 – 56 (< -10)	< -10 – 99 (55)	< -10 – 100 (99)	> 0.05 (0.09)	> 0.05 (0.05)
F <sup>-</sup> §	< -10 – 99 (63)	< -10 – 99 (79)	< -10 – 22 (14)	-7 – 99 (78)	< -10 – 99 (44)	> 0.05 (0.16)	> 0.05 (0.62)
SO <sub>4</sub> <sup>-</sup> †	< -10 – 100 (59)	< -10 – 100 (72)	< -10 – -4 (-7)	< -10 – 100 (57)	< -10 – 100 (71)	> 0.05 (0.06)	> 0.05 (0.90)
Cl <sup>-</sup> †	< -10 – 100 (56)	< -10 – 100 (81)	< -10 – 1 (-3)	< -10 – 97 (67)	< -10 – 100 (34)	<b>&lt; 0.05 (0.02)</b>	> 0.05 (0.82)
<i>Other</i>							
Si †	< 10 – 95 (72)	4 – 95 (75)	< -10 – 92 (5)	-3 – 95 (89)	< -10 – 92 (68)	<b>&lt; 0.05 (0.02)</b>	> 0.05 (0.07)

320 **3.3.2 Reduction of Other Inorganic Solutes with Community and Household Systems**

321 In addition to As, the reduction of other inorganic solutes with the various remediation systems was  
322 also evaluated (**Figure 3** and **Table 2**). The overall selectivity sequence, based solely on the median  
323 removal of all paired samples (excluding those where inlet concentrations were below detection) in  
324 decreasing order, follows: Mn (99 %) > Fe (97 %) ≈ U (97 %) > P (93 %) > Mg (90 %) > As (87 %) > Ca (84  
325 %) > K (79 %) > Na (77 %) > Si (72 %) > NO<sub>3</sub><sup>-</sup> (71 %) > F<sup>-</sup> (63 %) > Cl<sup>-</sup> (56 %) ≈ Zn (56 %) > SO<sub>4</sub> (56 %).  
326 When the distributions of removals (rather than median values) are considered, three broad groupings  
327 where a significant difference (at the 0.05 level) in distributions were observed: Mn, Fe, U > P, Mg, As,  
328 Ca > K, Na, Si, NO<sub>3</sub><sup>-</sup>, F<sup>-</sup>, Cl<sup>-</sup>, Zn and SO<sub>4</sub>. Due to the wide distributions in removal observed, these  
329 groupings, rather than median removal, values are more likely to be indicative of general selectivity  
330 trends. This indicates which contaminants were generally easier (*e.g.* Mn, Fe, U) and more challenging  
331 (*e.g.* K, Na, Si, NO<sub>3</sub><sup>-</sup>, F<sup>-</sup>, Cl<sup>-</sup>, Zn and SO<sub>4</sub>) to remove in the studied systems, noting there remains a wide  
332 range of variability in the removal of all of these parameters across the remediation systems sampled.  
333 The relatively high median removals for Mn and U (which exceeded guideline values in some cases)  
334 suggests that many of the remediation systems were reasonably effective for these higher priority  
335 contaminants as well as As. Similarly, some of these systems also removed F<sup>-</sup> to some extent, although  
336 the lower removals observed for F<sup>-</sup> suggest the systems were not optimally designed for this pollutant  
337 (whilst noting that inlet concentrations were below guideline values). Concentrations of Mn, U and  
338 NO<sub>3</sub> (parameters which exceeded guideline values in some of the inlet waters sampled) in outlet  
339 waters were always less than the corresponding WHO guideline values for the systems sampled  
340 (noting Mn exceeded the Indian Drinking Water Standard [44] in one outlet sample).

341



**Figure 3.** Box plot of the removal (%) of various chemical components including arsenic and related (As, Fe, P) and in decreasing order of median value per category as categorized by trace elements (U, Zn), multi-valent cations (Mn, Mg, Ca), mono-valent cations (K, Na), anions ( $\text{NO}_3^-$ ,  $\text{F}^-$ ,  $\text{SO}_4$ ,  $\text{Cl}^-$ ) and other (Si). Negative removals indicate that concentrations in outlet were reported to be higher than the inlet; in some cases this is when concentrations were near detection and errors are large. Removals less than -10 % were input as -10 % as a maximum value in the limited cases where this was applicable (indicated by down arrow at -10 %). Bold line in box indicates median value; whiskers represent the 10–90 % distribution. Symbol shape/color indicates system type: grey square = reverse osmosis (RO)-based technology in a household (HH) setting; blue up-facing triangle = RO technology in a non-HH setting (*e.g.* community, hospital, hostel/hotel); purple diamond = homemade bucket filtration system (non-RO) in HH setting; green down-facing triangle = multi-stage filtration system (non-RO) in a non-HH setting. Data excluded if inlet concentrations are below detection.

342

### 343 3.3.3 Ion selectivity in RO systems

344 When only membrane-based RO systems are considered, general selectivity trends based on  
 345 significance of differences in removal distributions again showed three broad groupings: Mn, U, Fe >  
 346 P, Mg, Ca, As,  $\text{NO}_3^-$ ,  $\text{Cl}^-$ ,  $\text{F}^-$ , K, Na, Si,  $\text{SO}_4$  > Zn. The selectivity sequence based solely on median removal  
 347 values was: Mn (99 %) > U (98 %) > Fe (94 %) > P (92 %)  $\approx$  Mg (92 %) > Ca (89 %) > As (86 %)  $\approx$   $\text{NO}_3^-$  (86  
 348 %) >  $\text{Cl}^-$  (81 %) >  $\text{F}^-$  (79 %)  $\approx$  K (79 %) > Na (78 %) > Si (75 %) >  $\text{SO}_4$  (72 %) > Zn (56 %), noting that, as  
 349 above, this should not be over-interpreted given the broad distributions encountered. The relatively  
 350 high removal of multivalent ions (*e.g.* Mn, Mg, Ca) as compared to monovalent ions (*e.g.*  $\text{NO}_3^-$ ,  $\text{Cl}^-$ ,  $\text{F}^-$ ,  
 351 K, Na) was generally consistent with charge exclusion mechanisms well-demonstrated in membrane

352 literature [81]. Whilst pH has been demonstrated to substantially impact the removal of some solutes  
353 in RO [81, 82], the variation of natural pH in the source waters in this study was relatively small (range  
354 6.8 – 7.9) and thus pH is not expected to be a dominant control on removal or selectivity in this case.  
355 High variability in the removal of As specifically using membrane technology has been reported in  
356 other settings ranging from near negligible to near complete depending on membrane type, operating  
357 conditions and groundwater composition [83-88]. More detailed mechanistic evaluation of ion  
358 selectivity trends in the RO-based systems is difficult given the variability in source water chemistry,  
359 membrane type and operating conditions encountered in this study, although this would be an  
360 interesting topic for future work. Systematic investigations of a range of available RO-based systems  
361 under a range of typical groundwater matrices that may be encountered would be a future  
362 recommendation for more detailed understanding of dominant removal mechanisms and matrix  
363 influences.

364

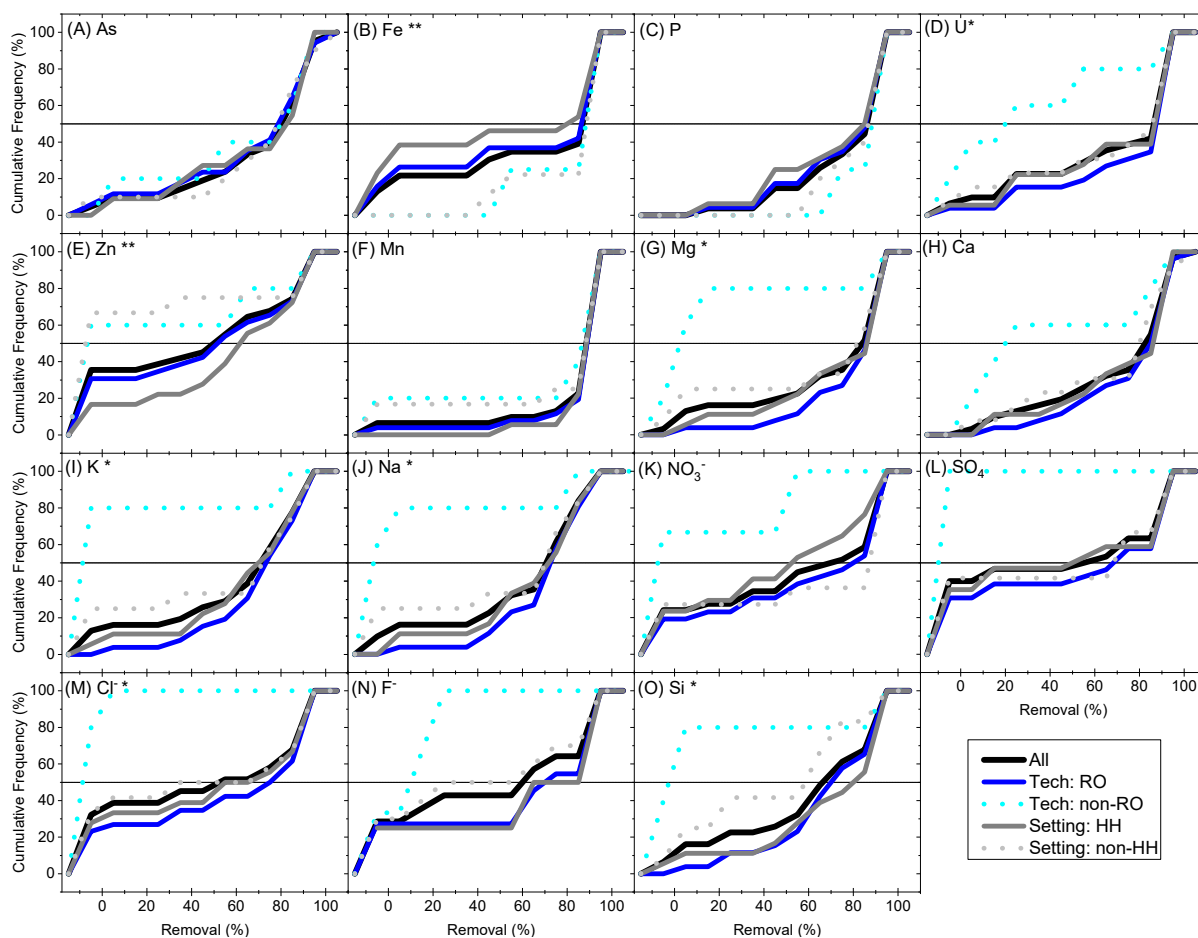
### 365 **3.4 Controls of the Effectiveness of Community and Household Remediation Systems**

#### 366 **3.4.1 Technology Type and System Setting**

367 The statistical significance of differences in the distributions of removal values observed for the  
368 various parameters was considered with respect to groupings according to technology type and  
369 system setting (**Figure 4**). Interestingly, there was no significant difference (at the 95 % confidence  
370 level) in the removal distributions for RO- versus non-RO technology for As, as well as Fe, P, Zn, Mn,  
371 Ca, NO<sub>3</sub><sup>-</sup>, F<sup>-</sup>, and SO<sub>4</sub> (**Table 2**). The implication is that, at least for these parameters, and under these  
372 site-specific conditions, the RO-based systems were comparatively effective as (and as variable as) the  
373 non-RO systems. Given the similarities in removal and the range of variability across system type, this  
374 data suggests that the remediation technology itself was likely not the dominant control on  
375 performance for As and the other parameters with similar trends, suggesting that the technologies  
376 implemented were reasonably well-suited for the contaminants and groundwater conditions  
377 observed in our study. Relatively high degrees of removal have been reported for some of these  
378 parameters in other non-RO systems and under different conditions, for example ~ 95 % removal of  
379 nitrate has been reported by other authors in a slow sand filter due to biological denitrification [89],  
380 and calcium removal ranging from ~ 20 – 95 % has been reported using flocculation and sand filtration  
381 which is highly dependent on water composition [90].

382

383



**Figure 4.** Cumulative frequency distribution of removal (bin size 10 % plotted at bin center) for parameters (A) As; (B) Fe; (C) P; (D) U; (E) Zn; (F) Mn; (G) Mg; (H) Ca; (I) K; (J) Na; (K)  $\text{NO}_3^-$ ; (L)  $\text{SO}_4$ ; (M)  $\text{Cl}^-$ ; (N)  $\text{F}^-$ ; and (O) Si. Line type represents grouping: black solid line is all data; blue solid line is reverse osmosis (RO)-based technology ( $n = 26$ ); cyan dashed line is non-RO technology ( $n = 5$ ); grey solid line is household (HH) setting ( $n = 18$ ); light grey dashed line is non-household setting (e.g. community, hospital, hostel/hotel) ( $n = 13$ ). \* indicates that the distributions of removals from RO versus non-RO technologies were significantly different; \*\* indicates that the distributions of removals from HH versus non-HH settings were significantly different; both are reported within the 95 % confidence level as determined by the Mann-Whitney non-parametric statistical test. Negative removals less than -10 % have been input as -10 % as a maximum value in statistical analysis in the limited cases where this was applicable. Removal data excluded if inlet concentrations are below detection.

384

385 However, even though there was not a significant difference in the distributions between RO- and  
 386 non-RO based technology for the reduction of As (as well as Fe, P, Zn, Mn, Ca,  $\text{NO}_3^-$ ,  $\text{F}^-$ , and  $\text{SO}_4$ ), this  
 387 was not necessarily the case for all parameters, especially those which are more challenging to  
 388 remove. In particular, the distributions of removal for U, Mg, K, Na,  $\text{Cl}^-$  and Si were observed to be  
 389 dependent on technology type. Notably, better performance of RO-based technologies was observed  
 390 for each of these elements, with distributions trending towards generally higher removals achieved in  
 391 RO rather than non-RO systems. This suggests whilst technology type does not appear to be a major



392 control on the effectiveness of As removal based on the systems spot-evaluated in this study, this  
393 could be a more important consideration for the removal of particular types of other contaminants  
394 depending on remediation priorities. A detailed mechanistic comparison of the removal of specific  
395 ions (e.g.  $Mg^{2+}$  versus  $Ca^{2+}$ ) is beyond the scope of this study given the numerous co-variants inherent  
396 in this study and due to the experimental or computational requirements for a systematic mechanistic  
397 investigation, noting that ion mobility and interactions are also associated with relative dehydration  
398 energies [91] which has been shown to impact ion transport in modelled nanopores [92]. We  
399 emphasize that the observations made in this study, particularly the similar performance between RO-  
400 and non-RO based systems under the site-specific conditions, may not be applicable to all  
401 groundwater types (especially those where concentrations exceed or greatly exceed drinking water  
402 standards). Matrix-specific investigations should be conducted to help inform optimal remediation  
403 selection for particular conditions.

404 Further, there does not appear to be a systematic difference across parameters with regard to the  
405 type of setting (e.g. household versus non-household) where the remediation unit is installed (**Table**  
406 **2**). This suggests that the dominant control on system performance was also likely not something that  
407 was specific to those types of settings (e.g. the type of person that may be responsible for system care  
408 would likely be different in a household as compared to a community or business setting), whilst  
409 noting management/maintenance arrangements are highly variable. Further, this indicates that one  
410 type of installation setting was not necessarily inherently more susceptible to remediation successes  
411 (or failures), and that both household and non-household systems can, and do, both perform  
412 effectively under the right conditions. A statistically significant difference in distributions of removal  
413 was only observed for two parameters only when HH vs non-HH settings were compared: Fe (generally  
414 higher removal in non-HH systems) and Zn (generally higher removal in HH systems). It is likely that  
415 these observations relate to confounding factors rather than systematic trends given that they were  
416 not observed across parameters.

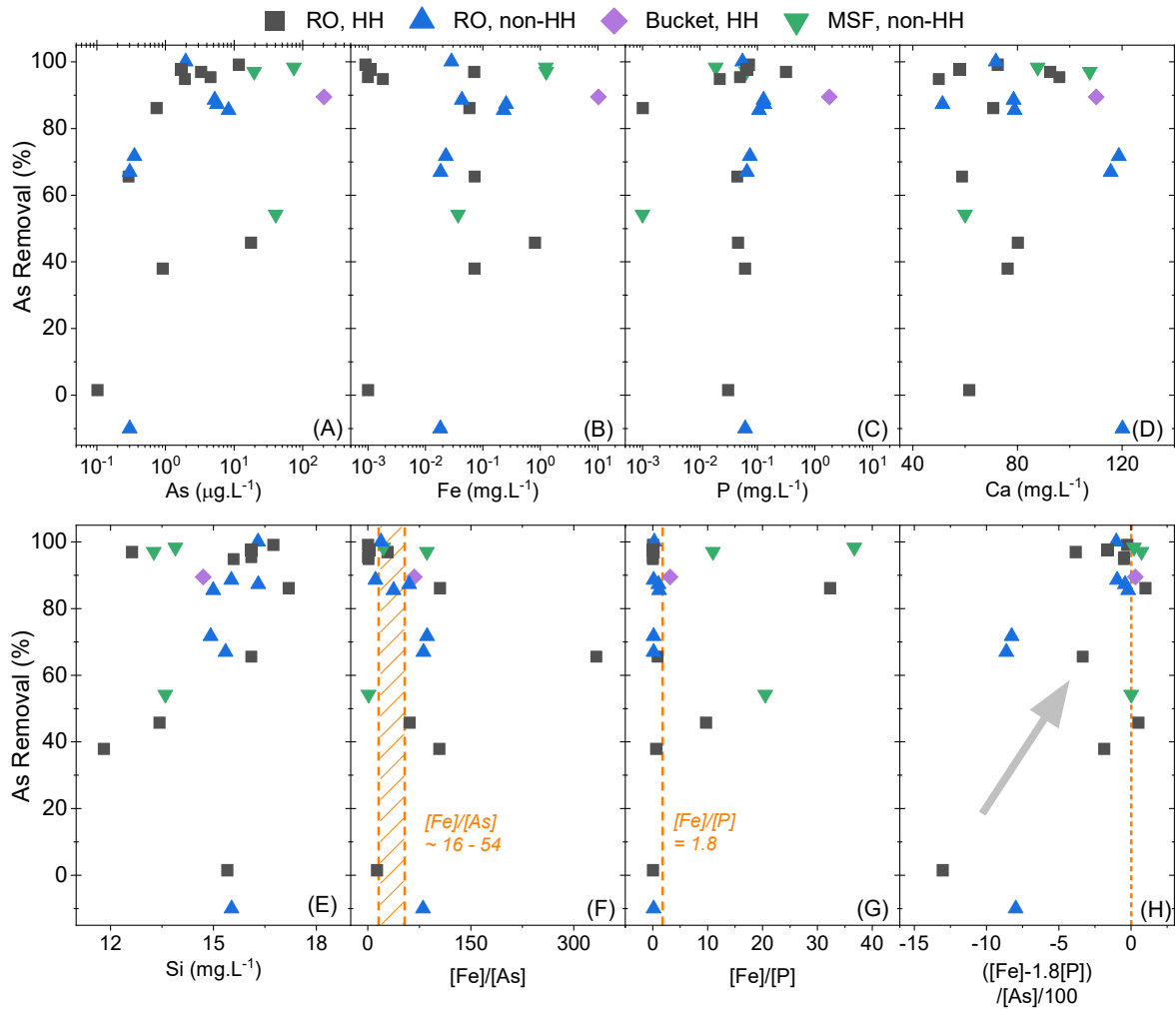
417 There was no apparent trend observed in co-variance of technology type and setting type; for example  
418 both RO-based and non-RO systems were found in both HH and non-HH settings alike. A detailed  
419 analysis of potential co-variance may be limited, in part, by the relatively small sample numbers and  
420 particularly the relatively small number of non-RO systems encountered.

421

### 422 **3.4.2 Geochemical Controls of Arsenic Remediation System Performance**

423 The influence of inlet water composition, particularly concentrations of As, Fe, P, Ca and Si, were  
424 considered (**Figure 5**) as these parameters have been observed to impact the performance of various  
425 As remediation technologies [71, 80, 93]. Here, no systematic relationship was observed between As  
426 removal and source water concentrations of As ( $p = 0.46$ , **Figure 5A**), Fe ( $p = 0.54$ , **Figure 5B**) nor P ( $p$   
427  $= 0.51$ , **Figure 5C**), suggesting that geochemical controls were more complex than simply the inlet  
428 concentration of these parameters. Notwithstanding, the highest concentrations of As, Fe and P were  
429 all clearly observed with the bucket system which may, in part, be related to the effective performance  
430 of even the simplest technology. In previous studies the wide variability of As removal using a  
431 household co-precipitation and filtration system was attributed in part to variations in  $\text{PO}_4$  and silicate  
432 concentrations [80], both of which are associated with decreased As removal due to increased  
433 competition for Fe-(oxy)hydroxide sorption sites [93]. Theoretically, higher concentrations of silicates  
434 and carbonates negatively impact As removal due to competitive sorption, whereas Ca positively  
435 impacts As removal due to increased  $\text{PO}_4$  and Fe sorption and precipitation [71]. Competitive sorption  
436 is still useful to consider in the context of RO systems as interactions between dissolved solutes in  
437 water and/or between dissolved solutes and charged membrane surfaces can impact both the steric  
438 hindrance and charge mechanisms known to impact ion transport in membrane systems (which is  
439 dependent on water composition, membrane material and operating conditions) [81, 94].

440 Here there was no statistically significant relationship observed between As removal and Ca ( $p = 0.58$ ,  
441 **Figure 5D**) nor Si ( $p = 0.42$ , **Figure 5E**) although this is perhaps unsurprising given the confounding  
442 geochemical and operational variables in the real-world systems sampled. Although some broad  
443 general relationships may be hinted within the dataset, particularly within specific groups (for example  
444 trending towards a positive relationship between As removal and Si for the RO-HH subset) these are  
445 not statistically significant. The potential impacts of Si and Ca are difficult to systematically quantify  
446 in this study, particularly due to the limited range of groundwater matrices encountered.



**Figure 5.** Arsenic removal (%) versus inlet water characteristics (A) As, (B) Fe, (C) P, (D) Ca, (E) Si, (F) the molar ratio  $[\text{Fe}]/[\text{As}]$ , (G) the molar ratio  $[\text{Fe}]/[\text{P}]$ , and (H) the molar ratio of  $([\text{Fe}] - 1.8[\text{P}])/[\text{As}]/100$  for various remediation systems sampled under “typical” operation. The orange dashed box on (F) represents  $[\text{Fe}]/[\text{As}]$  ranging from  $\sim 16 - 54$  for comparison to approximate  $[\text{Fe}]/[\text{As}]$  ratios previously reported in other studies/settings to be sufficient for As removal under certain geochemical conditions [71, 80]. The dashed line on (G) represents  $[\text{Fe}]/[\text{P}] \sim 1.8$ , on the basis that a molar ratio of  $\sim 1.5$  to  $2.0$   $[\text{Fe}]/[\text{P}]$  is required for optimal removal of  $\text{PO}_4$  at neutral pH [71]. The ratios shown on (H) represent the remaining  $[\text{Fe}]/[\text{As}]$  available after  $\text{PO}_4$  removal on that same basis [71] (the dotted line here is at 0 and thus indicates if this ratio is positive or negative). The grey arrow on (H) indicates the statistical significance of a positive trend between As removal and  $([\text{Fe}] - 1.8[\text{P}])/[\text{As}]$  ( $t$  value = 3.8, degrees of freedom = 19,  $p < 0.01$ ). Removal data is shown at -10% as a maximum value in the single case where negative removal was calculated (this is likely due to high errors near instrumental detection limits); data excluded if inlet As concentrations are below detection. Note that inlet As, Fe and P are shown on a log-scale. Symbol shape/color indicates system type: grey square = reverse osmosis (RO)-based technology in a household (HH) setting; blue up-facing triangle = RO technology in a non-HH setting (e.g. community, hospital, hostel/hotel); purple diamond = homemade bucket filtration system (non-RO) in HH setting; green down-facing triangle = multi-stage filtration system (non-RO) in a non-HH setting.

447

448

449 The source water ratios of  $[Fe]/[As]$  and  $[Fe]/[P]$  have been reported to be more important  
450 geochemical determinants for As removal, rather than absolute values of individual concentrations,  
451 in other settings [71]. For example, high natural Fe concentrations in the Red River Basin (Vietnam)  
452 were shown to facilitate As removal, whereas Bangladeshi groundwaters characterized by low Fe and  
453 high  $PO_4$  required additional Fe to support As removal [71]. It is known that high As concentrations,  
454 particularly As(III), combined with low Fe and high P and Si are particularly challenging, especially  
455 given that As(III) sorbs relatively weakly to precipitating Fe-(oxy)hydroxides as compared to As(V)  
456 which is oxidized and more strongly sorbing [71]. Whilst the impacts of this may be technology-  
457 dependent (for example in RO systems solute-solute interactions may lead to changing steric and  
458 charge interactions which impact retention mechanisms and/or may lead to membrane fouling), the  
459 underpinning principles of geochemical interactions remain similar. For example, in RO systems, Fe-  
460 As interactions in relative high Fe waters could plausibly improve As retention due to increased steric  
461 hindrance arising from larger apparent solute sizes, whereas in sand filtration Fe-As interactions and  
462 surface sorption on the sand itself may enhance As removal.

463 Based on co-precipitation tests using exemplar groundwaters from Bangladesh (representing a  
464 comparatively high As, Fe, P groundwater) and New Hampshire, USA (representing a mid-As, lower Fe  
465 and lower P groundwater) (**Table 1**), a previous study estimated that a molar ratio of  $> \sim 54 [Fe]/[As]$   
466 (or  $\sim 40$  on a mass basis) was required to achieve reduction of As to  $< 50 \mu g.L^{-1}$  in the high As  
467 Bangladeshi groundwaters, whereas a molar ratio of  $< 16 [Fe]/[As]$  was sufficient to achieve  $\sim 100 \%$   
468 removal of As in the New Hampshire groundwater using a household co-precipitation and filtration  
469 system [80]. The high  $[Fe]/[As]$  ratios required for As removal in Bangladesh [80] were attributed to  
470 the high concentrations of  $PO_4$  and silicates, leading to competition for sorption sites and thus the  
471 requirement for higher levels of Fe to support As removal. Given that the feedwater composition of  
472 the Bihar set presented here falls generally between these two exemplar groundwaters (with closer  
473 similarity to the Bangladeshi water), it might be reasonable to expect that  $[Fe]/[As]$  molar ratio broadly  
474 between the range of  $\sim 16$  to  $54$  might plausibly be a similar range for effective As removal in Bihar  
475 (noting this would be dependent on local geochemical conditions and remediation targets).  
476 Importantly, there is likely to be continuum of  $[Fe]/[As]$  ratios, and values reported elsewhere should  
477 not necessarily be considered to be a target range in Bihar; rather this provides general comparison  
478 and site-specific investigation would be necessary to confirm what  $[Fe]/[As]$  ratios were sufficient to  
479 meet As removal targets in local conditions. Although there was not an overall trend observed of  
480 higher As removal with increasing  $[Fe]/[As]$  ( $p = 0.40$ , **Figure 5F**) nor  $[Fe]/[P]$  ( $p = 0.56$ , **Figure 5G**), it  
481 can be observed that many of the systems with high levels of removal indeed corresponded to source  
482 water with  $[Fe]/[As]$  within, or exceeding, the range of  $\sim 16 - 54$  (**Figure 5F**). Particularly within MSF

483 systems, higher levels of As removal appeared to be associated with higher [Fe]/[P] (**Figure 5G**)  
484 although this is not clear across the whole dataset.

485 Interestingly, however, there was a clear and statistically significant relationship between As removal  
486 and source water ( $([Fe] - 1.8[P])/[As]$ ) ( $t$  value = 3.8, degrees of freedom = 19,  $p < 0.01$ ; **Figure 5H**), with  
487 the highest removals systematically associated with higher values of this coupled Fe-P-As relationship.  
488 This can be explained theoretically because  $PO_4$  strongly binds to Fe-(oxy)hydroxides, resulting in  
489 minimal As removal if free  $PO_4$  is present [71]. Thus, only the Fe remaining after the removal of  $PO_4$   
490 is available for As removal [71]. A molar ratio of  $\sim 1.5$  to 2 [Fe]/[P] is required for  $PO_4$  removal at  
491 neutral pH, so Fe remains available for As removal only in excess of this [Fe]/[P] ratio [71]. The molar  
492 ratio of  $\sim 1.8$  [Fe]/[P] is used here on the simple basis of a molecular weight conversion for the optimal  
493 removal of  $PO_4^{3-}$  by precipitation with  $FeCl_3$ , although the chemical reactions between Fe and P in  
494 aqueous solutions are complex, dependent on source water chemistry and can involve numerous  
495 complexes and precipitates [95]. Other studies have also reported that [Fe]/[P] ratios  $\sim 2$  were  
496 necessary for sufficient P removal using membrane bioreactor systems, whilst noting negative impacts  
497 such as severe membrane fouling have been reported at higher [Fe]/[P] ratios  $\sim 4$  [96]. The  
498 consistency of observed trends in As removal with this theoretical Fe-P-As relationship is noteworthy  
499 and suggests that this was a key control (both theoretically and practically) on the performance of As  
500 remediation systems in Bihar. Whilst increased  $([Fe] - 1.8[P])/[As]$  was associated with higher As  
501 removal, the value of this ratio in most cases is still negative, suggesting that the presence of P may  
502 inhibit effective As removal. This means that theoretically As removal may be further enhanced with  
503 the addition of additional Fe in cases where this might be necessary. Notably, the source water used  
504 for the homemade bucket filter had both  $[Fe]/[As] > 54$  and a positive value of  $([Fe] - 1.8[P])/[As]$ ,  
505 indicating the natural water conditions in this case were geochemically favorable for As removal,  
506 achieved even with a very simple technological intervention. Thus, source water composition, and  
507 particularly  $([Fe] - 1.8[P])/[As]$ , was a key control on the relatively high levels of As removal observed,  
508 regardless of technology type implemented. Although beyond the scope of this current study,  
509 potential long term operational concerns (*e.g.* membrane fouling at high [Fe]/[P] ratios [96]) should  
510 be systematically considered and could impact optimal technology selection.

511 Whilst the trends in As removal were broadly consistent with what might be expected theoretically  
512 from source water chemistry, and particularly  $([Fe] - 1.8[P])/[As]$  (consistent with molar ratios of Fe  
513 required for  $PO_4$  removal [71]), there remained high variability in system performance. This suggests  
514 that whilst the source water composition was a very important control on remediation performance,  
515 the geochemical basis alone was not necessarily sufficient to predict overall effectiveness of all system  
516 types in practice, which was likely attributed to numerous other factors impacting system

517 performance in real-world implementations. Importantly, as well, the geochemical controls discussed  
518 here are specific to As; geochemical controls impacting the removal of other target contaminants for  
519 remediation may be different and parameter-specific.

### 520 **3.4.3 Multivariate Analysis of Arsenic Removal**

521 Multivariate analysis to explain As removal as the independent variable with all available parameters  
522 ( $[\text{Fe}] - 1.8[\text{P}]/[\text{As}]$ , Fe, As, P, Ca, Mg, Na, Si,  $[\text{Fe}]/[\text{As}]$ ,  $[\text{Fe}]/[\text{P}]$ , technology type and setting) suggests  
523 that  $[\text{Fe}] - 1.8[\text{P}]/[\text{As}]$  is the only significant predictor variable ( $p < 0.05$ ) in the best fit model. The  
524 best fit model predicts that a one unit increase in  $[\text{Fe}] - 1.8[\text{P}]/[\text{As}]$  leads to an increase in As removal  
525 of 0.24 % (confidence interval 0.03 % to 0.46 %). Although none of the other explanatory variables  
526 individually are significant in the best fit model, their cumulative impact is important as a model based  
527 on  $[\text{Fe}] - 1.8[\text{P}]/[\text{As}]$  alone becomes insignificant, likely influenced by the complexity of the systems  
528 and relatively low sample numbers. In the case that only the subset of data for RO-HH systems is  
529 considered, the  $[\text{Fe}] - 1.8[\text{P}]/[\text{As}]$  ratio is significant both with and without the inclusion of Si,  
530 indicating that  $[\text{Fe}] - 1.8[\text{P}]/[\text{As}]$  is still the most important control within a comparison of relatively  
531 similar system types.

532

### 533 **3.5 Other Factors Impacting Performance**

534 As has been well-documented in the literature, the importance of social, socio-economic, behavioral  
535 and regulatory factors [60, 61, 97, 98] can heavily influence remediation uptake and usage in South  
536 and Southeast Asian settings including in Bihar [74, 75], Vietnam [57] and Bangladesh [99, 100]. Our  
537 site visits revealed substantial variability in how the remediation systems were maintained and  
538 managed even at individual household level, which is very difficult to account for in a survey intended  
539 to evaluate spot checks of system performance under normal operating conditions. Our site visits also  
540 revealed a very wide range of attitudes towards water quality and remediation, and complex social  
541 interactions between and within households with influence on water-related behaviours at a local  
542 level.

543 Although the purpose of this study was not to systematically evaluate socio-economic and/or  
544 managerial factors, we acknowledge that ultimately these factors may be as important, or in some  
545 cases perhaps even more important, than technical and geochemical aspects in terms of overall  
546 sustainability. Indeed, the observation that the data presented in this study cannot be fully explained  
547 by geochemical aspects alone suggests that there are confounding factors which can and do influence

548 remediation performance. Analysis of stakeholder networks and local attitudes towards water quality  
549 and water remediation in Bihar is the subject of ongoing work by co-authors.

550 From the perspective of cost, and for additional context in the case of Bihar, untreated groundwater  
551 is usually provided free of cost through handpumps (either private or governmental; noting private  
552 handpumps would incur installation costs); whereas it was locally reported that most packaged and  
553 treated water was sold for ~ 15 to 20 INR (~ 0.20 to 0.30 USD), for a ~ 15 L large plastic bottle, and  
554 household-scale RO units were available at local shops at prices that were observed to range from ~  
555 8,000 to 25,000 INR (~ 110 to 340 USD). Although comprehensive economic information was not  
556 available for all systems studied, the relative magnitude of costs indicates that affordability and  
557 willingness-to-pay is likely an important practical factor on remediation selection on the individual  
558 level from the end-user perspective. In the case that untreated groundwater is not of adequate quality  
559 in a particular location, the most affordable option may be identifying suitable alternative supplies  
560 (including an alternative source of treated water). However, in all cases, site-specific testing and/or  
561 information should be evaluated to inform optimal local remediation recommendations.

562

### 563 **3.6 Implications for Remediation Selection**

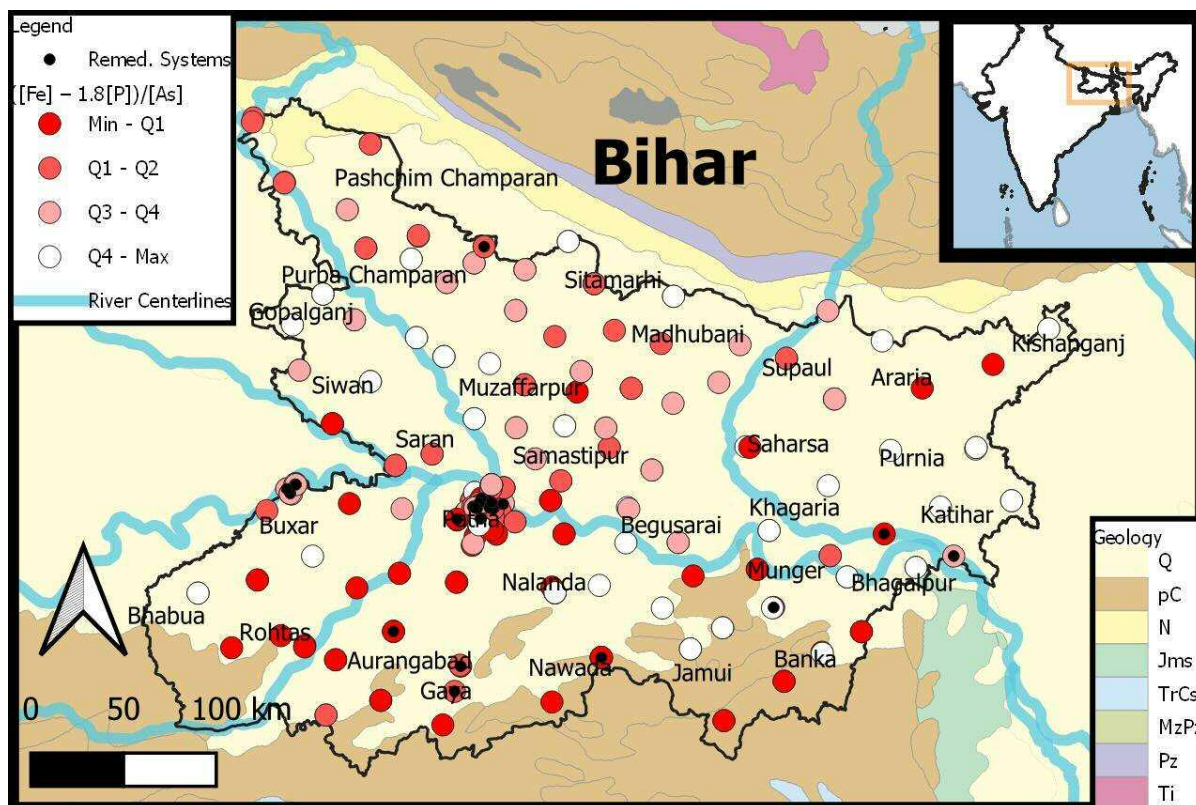
564 Given the evidence showing that source water chemistry, and specifically  $([\text{Fe}] - 1.8[\text{P}])/[\text{As}]$ , was  
565 strongly related to the performance of remediation systems for As removal in Bihar, this Fe-P-As  
566 relationship could be used as a decision-support input to help inform remediation selection. Using  
567 data from groundwater characterization (*e.g.* Fe, P and As concentrations), the Fe-P-As relationship  
568 could be calculated to theoretically determine, for example: (i) areas where natural groundwater  
569 conditions are more or less likely to be geochemically compatible for As removal; and/or (ii) areas  
570 where additional Fe inputs might help improve remediation performance. Importantly, however, this  
571 would not account for all factors which can impact remediation performance (*e.g.* complex  
572 groundwater matrices, technical properties of remediation systems, operation/maintenance, socio-  
573 economic factors), nor would it necessarily be applicable to other solutes which may also be targeted  
574 for remediation. Notwithstanding these limitations, however,  $([\text{Fe}] - 1.8[\text{P}])/[\text{As}]$  is valuable as a key  
575 theoretical input to assist selection of appropriate As remediation approaches.

576 The molar ratio of  $([\text{Fe}] - 1.8[\text{P}])/[\text{As}]$  has been calculated for groundwater across Bihar (**Figure 6**)  
577 based on geochemical data published elsewhere [17]. This indicates that values of  $([\text{Fe}] - 1.8[\text{P}])/[\text{As}]$   
578 are generally lowest in areas south of the Ganges (Ganga) River, and thus natural geochemical  
579 conditions in these areas are likely to be less favorable for As removal by the technologies studied

580 here. In these cases, in particular, additional Fe might help to facilitate As remediation. In areas to  
581 the north of the Ganga, and particularly between the Gandak and Koshi Tributaries, natural conditions  
582 are generally more favorable for As removal. There are several groupings of points, for example near  
583 the lower stretches of the Gandak and in the eastern part of Bihar where the  $([Fe] - 1.8[P])/[As]$  ratios  
584 are very high and thus highly favorable for As removal. Whilst this spatial distribution is useful in  
585 identifying broad spatial patterns (with implications on the selection of appropriate remediation  
586 approaches), importantly, these patterns are heterogeneous and would need to be verified on a site-  
587 specific basis.

588





**Figure 6.** Map of Bihar with values of calculated values of the molar ratio of  $([Fe] - 1.8[P])/[As]/100$  based on geochemical data from representative sampling across Bihar published elsewhere [17] and the sites where remediation systems were sampled (this study). Colored dots represent calculated values of  $([Fe] - 1.8[P])/[As]/100$  for Min - Q1 ( $\sim -180$  to  $-3.7$ ), Q1 - Q2 ( $\sim -3.7$  to  $0.0$ ); Q2 - Q3 ( $0.0$  to  $1.9$ ); and Q3 - Q4 ( $1.9$  to  $580$ ). Higher values of this ratio are associated with conditions that are more geochemically favorable for As removal. In general the lower values and quartiles suggest that lower efficiency of As removal may be encountered in these areas and that the addition of Fe may make conditions more geochemically favorable for higher As removal efficiency; in contrast higher efficiency of As removal might be expected in areas with higher values and quartiles of  $([Fe] - 1.8[P])/[As]$ . Importantly site-specific verification of local conditions is necessary and highly recommended. Underpinning geology [101] with Q = Quaternary; pC = Precambrian; N = Neogene; Jms = Jurassic metamorphic & sedimentary; TrCs = Lower Triassic to Upper Carboniferous; MzPz = Mesozoic and Paleozoic; Pz = Paleozoic; Ti = Tertiary igneous dark grey = other. Boundaries and exaggerated river centrelines are from Natural Earth (<https://www.natureearthdata.com/>).

590

591 A similar approach could be considered for other As-impacted areas particularly where there is the  
 592 availability of spatially distributed data of groundwater As, Fe and P. This theoretical input is not  
 593 necessarily indicative that As remediation technologies will perform well, but simply a tool to identify  
 594 areas where the geochemical conditions are generally favorable for As removal. Ultimately, to gain a  
 595 well-rounded perspective on the likelihood of remediation success in a particular area, this

596 geochemical indicator would need to be considered holistically alongside other selection factors  
597 including remediation priorities and targets, technology availability, technical considerations,  
598 operation, maintenance and management, socio-economic conditions and cost effectiveness [57, 74].

599

#### 600 4. Conclusions

601 We have evaluated the performance of household and community scale systems for groundwater  
602 remediation (and particularly As removal) in Bihar, India via spot-assessments under typical operating  
603 conditions. A wide range of remediation systems were identified ranging from simple homemade  
604 bucket sand filters to multi-stage commercial filtration systems to RO-based systems at various scales.  
605 The performance of remediation systems under these conditions varies widely, with removal of As  
606 and other inorganic contaminants ranging from ~ 0 to 100 %. The removal of As varied widely, with  
607 high removal achieved (usually to below the WHO provisional guideline value whilst noting that most  
608 inlet water was also below 10  $\mu\text{g}\cdot\text{L}^{-1}$ ) using a variety of technologies. Generally, removals of Mn, Fe,  
609 U, P, Mg, As and Ca were relatively high (*e.g.* median value > 80 %) whereas more challenging solutes  
610 included K, Na, Si,  $\text{NO}_3^-$ , F<sup>-</sup>, Cl<sup>-</sup>, Zn and  $\text{SO}_4$  (*e.g.* median values < 80 %). A comparison between RO and  
611 non-RO based technologies indicated that the remediation technology itself was likely not the  
612 dominant control on the removal of many inorganic solutes including As, Fe, P, Zn, Mn, Ca,  $\text{NO}_3^-$ , F<sup>-</sup>  
613 and  $\text{SO}_4$ , for appropriately selected technologies and the groundwater conditions observed in this  
614 study. Importantly in the context of As, this shows that even relatively simple technologies can achieve  
615 high levels of As removal in certain circumstances such as the conditions studied. RO systems,  
616 however, did achieve generally higher degrees of removal for some solutes (U, Mg, K, Na, Cl<sup>-</sup> and Si)  
617 indicating that technology type was a more important factor for these particular solutes. The type of  
618 implementation setting (*e.g.* household or non-household) did not appear to significantly impact  
619 performance in most cases. Source water composition, and particularly the ratio of  $([\text{Fe}] - 1.8[\text{P}]) / [\text{As}]$ ,  
620 was a significant control on As removal, with a statistically significant relationship between higher  
621 levels of As removal in groundwaters with higher values of  $([\text{Fe}] - 1.8[\text{P}]) / [\text{As}]$ , consistent with  
622 theoretical As-Fe-P interactions. The ratio of  $([\text{Fe}] - 1.8[\text{P}]) / [\text{As}]$  thus provides an important theoretical  
623 input relevant for remediation selection, as it provides information regarding the degree to which  
624 groundwater composition may be geochemically compatible with high levels of As removal (regardless  
625 of type of remediation technology). As illustrated for Bihar, this could be used to identify spatial  
626 patterns in geochemical compatibility and to identify where, for example additional Fe may be needed  
627 to facilitate higher levels of As removal. This geochemical approach could be used as a decision-  
628 support tool, alongside other important considerations (*e.g.* technical, operation/maintenance,

629 managerial and socio-economic), to help inform optimal selection of groundwater remediation  
630 approaches in Bihar and more widely.

631

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647 methodology; funding acquisition; writing – original draft. **NK:** investigation; methodology; writing -  
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653

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