

# **Vaccination uptake amongst older adults from minority ethnic backgrounds: a systematic review**

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## **Abbreviations**

COVID-19: Coronavirus Disease 2019

# 1 **Abstract**

## 2 **Background**

3 Older adults from minority ethnic backgrounds are at increased risk of contracting COVID-19,  
4 developing severe infection and increased risk of mortality. Whilst an age-based vaccination approach  
5 prioritising older groups is being implemented worldwide, vaccine hesitancy is high amongst minority  
6 ethnic groups.

## 7 8 **Methods and Findings**

9  
10 We conducted a systematic review and convergent synthesis to systematically examine perceptions  
11 of vaccinations amongst older adults from minority ethnic backgrounds. We included studies that  
12 reported on perceptions, beliefs and attitudes towards vaccinations in older adults aged over 65 years  
13 from a minority ethnic background. Vaccinations in investigation or development, studies focused on  
14 specific medical conditions, studies where ethnic background or age group was unidentifiable,  
15 systematic reviews, editorials and conference abstracts were excluded.

16 MEDLINE, Embase, Virtual Health Library, Web of Science, Cochrane Library, MedRXIV and  
17 PROSPERO databases from inception to 15 July 2021. Risk of bias for studies was assessed using  
18 the Mixed Methods Appraisal Tool (MMAT). The quality of evidence of collective outcomes were  
19 estimated using the Grading of Recommendations Assessment, Development, and Evaluation-  
20 “Confidence in the Evidence from Reviews of Qualitative research” (GRADE-CERQual) framework.. A  
21 total of 28 eligible studies conducted between 1997-2020 were included in the final analysis 17  
22 quantitative surveys; 8 focus groups or interviews; 2 mixed-methods studies; and 1 case-control  
23 study). The majority were U.S. studies in English or Spanish, except for 5 studies set in Hong Kong, 1  
24 in Japan, 1 in Brazil and 1 multi-centre study (including China, Indonesia, Turkey, South Korea,  
25 Greece, UK, Brazil and Nigeria). In total, 28,262 individuals with an estimated mean age of 69.8 years  
26 and 63.2% female participants were included. We summarised the common concepts and themes  
27 across studies and populations using a convergent synthesis analysis. Thirteen themes categorised  
28 as barriers or facilitators were identified and grouped into structural factors: (1) healthcare provider  
29 and systems related, (2) patient-related and (3) policy and operational level, and were analysed by

30 minority ethnic group. The main limitation of the study was the predominance of studies from the U.S.  
31 and East Asia.

## 32 **Conclusions**

33 In this systematic review, we found factors influencing vaccination uptake involve provider and  
34 healthcare system, patient-related and governance level factors that are specific to the older ethnic  
35 minority community being served. The evidence included in this review is supported by high or  
36 moderate certainty and can be translated to practice and policy. A tailored, multi-level approach  
37 combining increased education, access and culturally competent discussions with trusted healthcare  
38 professionals to address health beliefs, can maximise the potential impact of widespread vaccination  
39 policies.

## 40 **Author summary**

### 41 **Why was this study done?**

- 42 • Older adults from minority ethnic backgrounds are at increased risk of contracting COVID-19,  
43 developing severe infection and at increased risk of mortality.
- 44 • Vaccine hesitancy is high amongst individuals from minority ethnic backgrounds, yet no  
45 studies have systematically reviewed the factors influencing vaccination uptake amongst  
46 older adults from minority ethnic backgrounds who are high priority on current COVID-19  
47 vaccination schedules.

### 48 **What did the researchers do and find?**

- 49 • We conducted a systematic review identifying studies examining attitudes towards  
50 vaccination uptake amongst older adults (≥65 years) from minority ethnic backgrounds
- 51 • We identified and summarised 13 themes, categorised as barriers and facilitators of  
52 vaccination uptake amongst older adults from minority ethnic backgrounds that are related to  
53 healthcare provider and healthcare system, patient, policy and operational level factors.

### 54 **What do these findings mean?**

- 55 • The factors influencing vaccination uptake amongst older adults from minority ethnic  
56 backgrounds identified in this review will help healthcare providers, clinicians and policy  
57 makers tailor vaccination delivery to ensure adequate impact in this high-risk group, essential  
58 to the current COVID-19 pandemic and in future vaccination schedules.
- 59 • A tailored, multi-level approach combining increased education, increased access and  
60 culturally competent discussions with trusted healthcare professionals to address health  
61 beliefs, can maximise the potential impact, equity and success of widespread vaccination  
62 policies.

## 63 **Introduction**

64 Individuals from minority ethnic backgrounds are at increased risk of contracting COVID-19 and  
65 severe infection [1, 2] and older adults are at highest absolute risk of COVID-19 mortality [3]. Whilst  
66 an age-based vaccination approach prioritising older groups is being implemented worldwide [4],  
67 vaccine hesitancy is high amongst ethnic minorities and in South Asian countries [5][6]. Lack of  
68 access to vaccines in low and middle income countries, coupled with vaccine hesitancy, could have  
69 significant implications for controlling the pandemic and the global economic future [5,6]. Equitable  
70 vaccine distribution within high income countries is also important to prevent coronavirus mutation [7].  
71 Achieving high vaccine uptake during the COVID-19 pandemic is a global priority.

72 The latest UK data shows 86% of people from White backgrounds aged between 70-79 have been  
73 vaccinated for COVID-19, compared to 55% of people from Black backgrounds [8]. Inequalities in  
74 delivery of healthcare reduce the effectiveness of health policies [9]. There have been studies  
75 investigating factors influencing uptake of childhood vaccinations amongst minority ethnic groups [10],  
76 and a systematic review by Nagata et al in 2011 examining social determinants of influenza  
77 vaccination in older adults, which includes some findings related to minority ethnic groups [11]. There  
78 are none to our knowledge specifically examining the views of older adults from minority ethnic groups  
79 towards vaccinations, of critical importance to the current COVID-19 pandemic. A recent study  
80 investigating predictors of COVID-19 vaccine hesitancy found that although older adults expressed a  
81 greater willingness to be vaccinated compared to their younger counterparts, individuals from Black,  
82 Pakistani and Bangladeshi ethnic groups had greater reservations [12].

83 Lack of knowledge of the factors influencing vaccination uptake amongst high-risk older adults from  
84 minority ethnic groups limits the potential success of vaccination policies. Therefore, the aim of this  
85 review was to (1) examine perceptions of vaccinations amongst older adults from minority ethnic  
86 backgrounds, (2) summarise barriers towards and facilitators of vaccination uptake, and (3) provide a  
87 resource to support vaccination uptake for use by clinicians and policy makers.

## 88 **Methods**

### 89 **Search strategy and selection criteria**

90 The search strategies were developed without language restrictions and included the databases of  
91 the Medical Literature Analysis and Retrieval System Online (MEDLINE; Ovid), the Excerpta Medica  
92 (EMBASE; Ovid), Virtual Health Library (VHL), Web of Science, Cochrane Library, MedRxiv and  
93 PROSPERO from inception to July 15, 2021. We used a search strategy combining terms and  
94 synonyms from referenced studies for: “older adults”, “vaccinations”, “minority ethnic background” and  
95 “views” (see S1 Appendix for the full search strategy). We reviewed reference lists of eligible reports.  
96 This study is reported as per the Preferred Reporting Items for Systematic Reviews and Meta-  
97 Analyses (PRISMA) guideline (S1 Checklist). The study protocol is publicly available on PROSPERO  
98 (CRD42021237032)

99 Three independent reviewers systematically screened publications. Studies were eligible if they  
100 reported on perceptions, beliefs and attitudes towards vaccinations in older adults from a minority  
101 ethnic background. We included studies from non-White majority populations in their country of origin  
102 as these are relevant to the experience of individuals from a minority ethnic background in other  
103 settings since ethnic groups are considered to share a common ancestry, culture, and language [13].  
104 “Older adults” were defined as people aged over 65, consistent with previous studies [11].  
105 Vaccinations in investigation or development, studies focused on specific medical conditions, studies  
106 where ethnic background or age group was unidentifiable, systematic reviews, editorials and  
107 conference abstracts were excluded.

### 108 **Data screening**

109 Records were downloaded into Endnote (version X9) and duplicates were removed. Using a  
110 standardized form, three independent reviewers (CB, DG, UC) each conducted screening of two  
111 thirds of the total number of titles and abstracts, and full-texts. The Cohen’s  $\kappa$  statistic using the  
112 average across the three pairwise combinations of raters addressed inter-rater agreement regarding  
113 eligibility. Online systematic review software (Rayyan, QRCI) was used to facilitate literature  
114 screening. The titles were initially screened for title and abstract eligibility; full-text articles were then

115 retrieved and screened for eligible publications to be included in data extraction. Discrepancies were  
116 resolved through discussion if necessary with an adjudicator (KW).

117

## 118 **Data extraction and analysis**

119 DG and UC independently extracted data from each article into a specified data extraction table. This  
120 included: study design, analysis method, geographical setting, language, sample size, mean age,  
121 ethnic background, sex, vaccination type, views towards vaccinations, barriers and facilitators  
122 influencing vaccination uptake. A consensus meeting was held with CB to finalise data extraction. The  
123 risk of bias for studies was assessed by DG and UC using the Mixed Methods Appraisal Tool  
124 (MMAT); a validated tool for appraising methodological quality for use in systematic mixed study  
125 reviews [14]. A convergent synthesis approach informed by Pluye et al [15] was used to integrate  
126 qualitative and quantitative data. Results from studies that included qualitative, quantitative and mixed  
127 methods data were transformed into qualitative findings using thematic synthesis and a matrix was  
128 built on resultant themes and patterns agreed by CB, UC and DG. Convergent qualitative syntheses  
129 seeks to address complex research questions to understand “what, how and why” [15] relevant to this  
130 study question. Structural determinant categories identified in a previous study examining factors  
131 influencing vaccination uptake amongst general older adults [11] were drawn on to group themes. The  
132 quality of evidence of collective outcomes were estimated using the Grading of Recommendations  
133 Assessment, Development, and Evaluation - “Confidence in the Evidence from Reviews of Qualitative  
134 research” (GRADE-CERqual) framework.

## 135 **Results**

### 136 **Included studies**

137 In total, 3068 citations were identified by the search, 2485 citations after duplicates were removed.  
138 Following title and abstract screening, 195 potentially eligible articles were retrieved in full text (see  
139 Fig 1). 167 full-text articles were excluded: 89 did not examine the intended outcome; 48 were  
140 excluded as older adults were unidentifiable in a mixed age population; 12 were excluded as

141 participants were either not from older age groups or not from a minority ethnic background; 7 studies  
142 were systematic reviews; 11 studies were duplicates.

143 A total of 28 relevant studies conducted between 1997-2020 were included in the final analysis, of  
144 which 17 were quantitative surveys, 8 were focus groups or interviews, 2 were mixed-methods studies  
145 and 1 was a case-control study. equitable There was substantial agreement between reviewers at the  
146 title and abstract stage ( $\kappa = 0.77$ ) and full-text review stage ( $\kappa = 0.82$ ) (reported as an average across  
147 three pairwise combinations of raters at each stage). Meta-analysis was not conducted since there  
148 was high heterogeneity across the studies in methods, reporting of outcome and populations; few  
149 papers had sufficient quantitative data for meta-analysis; and this was not an a priori aim.

150 The MMAT risk of bias and GRADE-CERQual appraisals are summarised in S1 Appendix. The  
151 evidence included is supported by high or moderate certainty.

152

153 *Fig 1. Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) flow diagram*

154

155

## 156 **Study characteristics**

157 Study characteristics of the 28 included studies are summarised in Table 1. The majority were U.S.  
158 studies in English or Spanish, except for 5 studies set in Hong Kong and 2 in Japan, 1 in Brazil and 1  
159 multi-centre study (including China, Indonesia, Turkey, South Korea, Europe, Greece, UK, Brazil and  
160 Nigeria).. In total, 28,262 individuals with an estimated mean age of 69.8 years and 63.2% female  
161 participants were included. 6 studies explored views amongst participants from African American  
162 backgrounds, 2 studies in participants from Hispanic or Latinx backgrounds, 6 studies in participants  
163 from Hong Kong Chinese backgrounds, 2 studies in participants from Japanese backgrounds, 1 study  
164 in participants from Brazilian backgrounds and 12 explored mixed groups of older adults from minority  
165 ethnic backgrounds. Most studies explored views related to either the influenza or pneumococcal  
166 vaccine.



167

168 **Table 1 Characteristics of studies**

169

## 170 **Synthesis**

171 The following themes were identified as barriers towards and facilitators of vaccinations amongst  
172 older adults from minority ethnic backgrounds. Themes were grouped according to previously  
173 established structural determinants: (i) healthcare provider and system related (ii) patient-related and  
174 (iii) policy and operational level [11] (Fig 2).

175 **Fig 2. Barriers towards and facilitators of vaccination uptake amongst older adults from minority ethnic**  
176 **backgrounds**

177

178

## 179 **Barriers towards vaccination uptake**

### 180 **1) Misinformation and lack of information on vaccines**

181 A significant lack of information around the need for vaccination, potential benefit and mechanisms  
182 underpinning how vaccinations work was identified as an important theme across the studies and  
183 populations. Older adults from African-American backgrounds, Hispanic backgrounds, Nigerian and  
184 Indonesian backgrounds were more likely to report they were uninformed or unaware the influenza  
185 vaccination was recommended for them [20, 37, 24, 17, 34, 26, 28]. Those from Hispanic  
186 backgrounds were 12.6% significantly more likely to be unaware that the flu vaccination was needed  
187 compared to White Americans [24].

188 Common barriers amongst African-American communities were a lack of awareness of how  
189 vaccinations could prevent disease or benefit health, the perception that influenza is a mild illness that  
190 did not require preventative measures [20], a perceived low likelihood of contracting influenza [37] and  
191 lack of awareness that older adults are at greater risk of severe illness [20]. Similar views were shared  
192 amongst individuals from Western Pacific backgrounds; influenza was considered “easily treatable”  
193 [39] and only a third of older adults from Hong Kong Chinese backgrounds felt they were susceptible  
194 to influenza or perceived it as a serious illness [26].

195

196 **2) Perception of good health**

197 The perception of being in good health, and therefore not requiring a vaccine, acted as a common  
198 barrier. Older adults from African-American communities perceived vaccinations as irrelevant to  
199 “healthy” people and rather indicated for older adults who were sick or suffered with chronic disease  
200 [23, 36, 20]. Many associated healthcare use with illness, rather than preventative care.

201 Similar perceptions were echoed across individuals from Hong Kong Chinese backgrounds, who  
202 considered a healthy body an indication of a strong immune system that can protect itself [39, 26, 28].

203 Reports by Siu et al described views that vaccines are for the “weak” (particularly amongst older  
204 men), that experiencing viral illness can strengthen the body, and that a healthy body with a healthy  
205 “root” does not require vaccination, reflective of traditional Chinese medicine principles [38].

206 Participants from Turkey, Canada and the UK shared views that a healthy lifestyle, including sufficient  
207 exercise, a balanced diet and good hygiene practices were preferable to protect against influenza  
208 [28].

209 **3) Perception vaccine is ineffective**

210 Older adults across the majority of studies and populations believed vaccinations were unlikely to be  
211 effective at preventing disease [18, 20, 26, 30, 35, 39].

212 **4) Perception vaccine causes harm**

213 A strong deterrent identified amongst African-American older adults was the belief that vaccinations  
214 cause illness, particularly that it would cause the flu [16, 20, 22, 35, 23, 41]. Approximately 32% of  
215 unvaccinated African-Americans believed that influenza vaccination causes influenza compared to  
216 18% of White-Americans [22]. A further study reported African-Americans were 10.8% more likely to  
217 believe that flu vaccination causes flu [16]. This perception was mirrored in studies exploring the  
218 views of mixed populations including individuals from African-American, Hispanic and Western Pacific  
219 backgrounds [24, 29, 34, 26, 28, 43] and amongst older adults from Brazilian backgrounds [21]. Older  
220 adults from Brazilian backgrounds were concerned the vaccination may even cause death in old age  
221 [21].

222 Older adults from African-American, Hispanic, Brazilian and Western Pacific backgrounds also cited  
223 side-effects of vaccination as a major concern [20, 24, 21, 26, 27, 35, 37, 39, 34, 25, 43]. Fear of pain,  
224 allergic reactions and generalised symptoms that would interfere with daily life were reported.

225 Some older adults from Hispanic backgrounds believed vaccines could harm the immune system and  
226 would prefer alternative medicines [34].

## 227 **5) Mistrust in healthcare system**

228 Scepticism of vaccines, mistrust in physicians and the healthcare system was cited frequently by older  
229 adults from African-American backgrounds [20, 22, 33, 36, 23]. Harris et al acknowledged that  
230 mistrust in medical institutions was a product of historical abuses experienced by African-Americans,  
231 historical medical injustice and prior negative experiences with healthcare [23].

232 Ramanadhan et al described mistrust in vaccines amongst older adults from Hispanic backgrounds,  
233 but found they were more likely to be open to persuasion if given further information, compared to  
234 older people from African-American backgrounds [33].

235 Mistrust amongst older adults from Hong Kong Chinese and Japanese backgrounds centred around a  
236 scepticism of Western medicine [40, 38]. The studies illustrate perceptions of vaccinations as  
237 “unnatural”, “chemical” and “strong” compared to traditional Chinese medicine.

## 238 **6) Access**

239 A significant theme across older Hispanic communities were issues related to vaccination access,  
240 including lack of transport, cost, distance to vaccination centres and concern about travelling if unwell  
241 [18, 22, 29]. This appeared to be a greater concern compared to other issues, such as mistrust in  
242 vaccines, in this community [18]. Approximately 13% of older adults from Latinx backgrounds cited  
243 access and cost issues as the main reason for non-vaccination compared to 2% from other  
244 racial/ethnic groups [22].

245 Transport and cost were also cited as barriers amongst individuals from African-American  
246 communities [36], Western Pacific backgrounds [40, 30] and studies examining mixed populations  
247 [28]. Kwong et al highlighted differences in vaccine affordability and availability due to different  
248 healthcare finance systems in the multi-centre study [29]. In Turkey, China and Nigeria, where the  
249 vaccine is funded by the individual, affordability is a predominant barrier [28]. Availability was a key

250 barrier in Brazil where the health system relies on the private sector, and vaccine shortage in Greece  
251 [28].

## 252 **Facilitators of vaccination uptake**

### 253 **1) Recommendation from a trusted healthcare professional**

254 A strong theme across many studies was the positive effect of receiving a recommendation or advice  
255 about vaccinations from a trusted healthcare professional (HCP). Older adults from African-American  
256 backgrounds were less likely to have reservations about vaccinations if recommended or persuaded  
257 by a physician [16, 20, 35, 41]. Similar views were shared in studies including older adults from mixed  
258 minority ethnic backgrounds [18, 29, 42] Japanese backgrounds [25] and Chinese backgrounds [27].  
259 Lasser et al highlighted the importance of a trusting compassionate relationship with the HCP; cultural  
260 competence (e.g. a physician taking the time to assess English literacy before providing vaccination  
261 information), empathy (“treating the patient as a person”), and the ability to adapt to individual needs  
262 [29].

### 263 **2) Vaccination reminders**

264 Vaccination reminders were a facilitator of vaccination uptake amongst older adults from African-  
265 American backgrounds [31, 36]. Hispanic backgrounds [17, 18], Hong Kong Chinese backgrounds  
266 [39], Turkish and South Korean backgrounds [28]. Reminders from a physician were most frequently  
267 cited as positive factors (some felt this displayed care from their HCP, increasing trust in the  
268 relationship) [17], followed by offers from a clinic, posters, pamphlets and reminders in the media.

### 269 **3) Supportive community**

270 A consistent theme across most studies was the importance of positive views of vaccinations and  
271 encouragement from an older person’s social community (including family, friends, cultural and  
272 religious leaders). Older adults from African-American backgrounds [31, 36] Hispanic backgrounds  
273 [18, 34], Greek backgrounds [28] and Western Pacific backgrounds [40, 39, 27, 28] shared this  
274 perspective. Close social contacts and community were perceived as trusted sources. Conversely,  
275 negative opinions amongst the older persons’ social network acted as a barrier to uptake [36, 34].

#### 276 **4) Fear of developing disease**

277 A fear of developing infectious disease acted as a facilitator of vaccination uptake amongst older  
278 adults from Western Pacific backgrounds [26, 27, 28, 30, 40, 43] – with fear of SARS cited in one  
279 study [30]. Chen et al found this perception was consistent across African-American, Hispanic,  
280 Japanese and Filipino backgrounds and similar across the age ranges amongst older adults [22].  
281 Chen et al reported a significantly greater proportion of Japanese Americans (27%) and Filipino  
282 Americans (37%) were very concerned about getting influenza when compared to older adults from  
283 White backgrounds (20%) ( $p < 0.01$ ) [22]. Older adults from Chinese backgrounds believed cost of  
284 developing the disease would far outweigh the cost of vaccination, as a facilitator [28].

#### 285 **5) Knowledge of vaccinations and their mechanism of action**

286 Sufficient information about how vaccinations prevent disease, and awareness that vaccinations are  
287 able to reduce the severity and duration of illness were a positive influencer amongst older adults from  
288 African-American backgrounds [20, 23, 36] and Western Pacific backgrounds [26, 40, 25]. Older  
289 adults from mixed minority ethnic backgrounds in the study by Lasser et al reported that having  
290 evidence that a vaccine had long-term effects lasting for more than 6 months was a positive influencer  
291 [29].

#### 292 **6) Recognition of age as a risk-factor**

293 The perception of older age as a risk factor for severe infection was a positive influencer of vaccine  
294 uptake amongst older adults from African-American backgrounds [23, 36], Hong Kong Chinese  
295 backgrounds [26, 43], Japanese backgrounds [25] and Mexican backgrounds [32]. Sengupta et al  
296 identified views that the perception of one's health as vulnerable and having multiple comorbidities  
297 related to older age, also acted as facilitators amongst individuals from African-American backgrounds  
298 [36].

#### 299 **7) Vaccination setting**

300 Preference of vaccination setting varied across the populations examined in the studies. Older people  
301 from African-American backgrounds expressed greater trust in traditional medical settings (such as a  
302 clinic or hospital) compared to community centres [16]. The majority of older people from Hispanic  
303 backgrounds in the study by CDC et al preferred a busier community located setting [18]. For older

304 adults from Hong Kong Chinese backgrounds, clear information about where vaccination centres  
305 were located was most important [30].

## 306 **Discussion**

307 This systematic review has summarised existing evidence on factors influencing vaccination uptake  
308 amongst older adults from minority ethnic backgrounds for the first time, of high relevance to the  
309 current COVID-19 pandemic. It presents essential (i) healthcare provider related, (ii) patient-related  
310 and (iii) policy related factors to consider in vaccination strategies currently being rolled out to ensure  
311 adequate impact, efficacy and equity. These findings are based on high-moderate certainty of  
312 evidence which can be translated to practice and policy.

313 Lack of information about how vaccinations prevent illness and misconceptions around efficacy, side  
314 effects and perceived low risk of infectious disease were fundamental barriers to vaccine uptake  
315 amongst older adults from all minority ethnic backgrounds included in this study. Views that  
316 vaccinations are irrelevant to healthy older people and indicated for those with a poorer health status  
317 were shared amongst individuals from African-American, Western Pacific backgrounds and minority  
318 ethnic groups in Turkey, Canada and the UK. Access and cost were large negative influencers  
319 amongst people from Hispanic, Greek, Nigerian and Turkish backgrounds; historic distrust of  
320 healthcare establishments was important amongst African-American communities; and antagonising  
321 concepts with traditional Chinese medicine were significant amongst those from Western Pacific  
322 backgrounds. Facilitators were common amongst older adults across all minority ethnic backgrounds  
323 included in the studies. Adequate knowledge of how vaccines achieve health benefits, recognising  
324 age as a risk factor for serious illness, fear of developing disease, advice from a trusted HCP,  
325 reminders, and encouragement from an older persons' social community were positive influencers of  
326 vaccine uptake.

327

### 328 *Comparison to other literature*

329 We have grouped themes under important structural determinants identified by Nagata et al [11].  
330 Similar sub-themes relevant to older adults from minority ethnic backgrounds emerged in our study:  
331 fear and mistrust of modern medicine amongst older adults from African-American backgrounds,

332 language and literacy barriers, and cultural beliefs that natural healthy lifestyles are preferable to  
333 vaccinations [11].

334 A systematic review by Bish et al [44] examining factors associated with influenza uptake in the  
335 general population found the degree of fear related to the 2009 influenza pandemic outbreak, positive  
336 social pressure, and less fear of side effects correlated with increased vaccination intentions of  
337 uptake, consistent with our findings. This suggests these factors are common to the general  
338 population. Other factors identified in our study including lack of information on vaccines, the belief  
339 that vaccines cause disease, the perception that vaccines are irrelevant when in good health, access  
340 issues and fear of the disease as a facilitator of vaccination uptake, are likely more common in older  
341 adults from minority ethnic backgrounds and important to consider in COVID-19 age-based  
342 vaccination policies.

343 Sheldenkar et al [45] recently conducted a systematic review of general adult influenza vaccine  
344 acceptance in Asia. Similar to our findings, there were a significant lack of studies in South Asia  
345 compared to East Asian countries (with Hong Kong having the greatest number of publications). This  
346 highlights a pressing gap in the research. South Asians are a sizable ethnic minority in many  
347 European countries [46]; previous studies have identified vaccine hesitancy amongst Pakistani and  
348 Bangladeshi ethnic groups [12]; and these groups are at increased risk of COVID-19 mortality [47].

#### 349 *Strengths and limitations*

350 This is the first systematic review seeking to understand perceptions and beliefs influencing  
351 vaccination uptake amongst older adults from minority ethnic backgrounds. It provides insights that  
352 are pertinent to the COVID-19 pandemic, with vaccination policies being rolled out worldwide. The  
353 findings also inform existing national vaccination schedules and future policies in epidemics, to ensure  
354 optimal impact and equity. A further key strength of this study is the focus on older adults. Despite  
355 being the most vulnerable to severe illness from communicable disease [3], older adults are often  
356 excluded from clinical research [48]. The main limitation is the limited number and range of studies  
357 available, with the vast majority being set in the U.S, which may not be applicable to ethnic minority  
358 groups in other settings. We included a handful of studies from majority populations in East Asia  
359 which may not represent the views of those groups where they are an ethnic minority, but we felt

360 these studies were still likely to be of relevance to these populations. There were insufficient papers  
361 across diverse countries and ethnicities to compare cross-country differences in majority populations.

362 Many studies were excluded as the perspectives of older participants were unidentifiable in a mixed-  
363 age group. However, a brief overview of these studies indicated that the main themes were broadly  
364 similar though views may be more diverse amongst younger populations.

365 All studies identified in this review were on influenza and pneumococcal vaccinations. Whilst this is  
366 largely comparable to COVID-19, it is not a direct comparison and therefore some recommendations  
367 may not be directly applicable to the COVID-19 context. We identified some studies related to COVID-  
368 19 and minority ethnic groups in our searches, however these were all excluded due to age criteria  
369 ( $\geq 65$  years) or focus on vaccinations in development. Recent studies that have explored COVID-19  
370 vaccination hesitancy amongst minority ethnic groups of all ages have reported similar barriers to  
371 those we have identified: perceived risk of getting infected with COVID-19, concerns about side  
372 effects and safety, medical mistrust amongst Hispanic and African-Americans [49][50].

373 The studies included focused on minority backgrounds that may have obscured smaller intra-group  
374 differences. Ethnic groups are considered to share a common ancestry, culture, and feeling of  
375 solidarity with one another [13]. There is wide variation within minority ethnic groups in country of  
376 origin, language, religion, socioeconomic characteristics, and experiences that limit the interpretations  
377 we can make from this study, but enough shared culture with regards to family structures, identity,  
378 and health beliefs to make ethnic group relevant to health behaviours [13]. Our outcome focused on  
379 the perceptions of and attitudes towards vaccinations amongst minority ethnic groups. We  
380 acknowledge that whilst the themes cover some social determinants that influence vaccination  
381 uptake, all potential social determinants that pose barriers to vaccination in minority populations  
382 (including broader economic, social and cultural factors) may not have emerged in the data.

383 The majority of studies did not report on immigration status or citizenship of the minority ethnic groups  
384 which is a further limitation. We identified 2 studies examining perceptions of vaccinations in migrant  
385 groups in the searches, however these were excluded due to age criteria ( $\geq 65$  years). Recent studies  
386 report that whilst some of the barriers are common to those identified in this review (fear of side-  
387 effects, mistrust in the healthcare system and access) others were unique to this population (fear of  
388 facing immigration checks, lack of information in an appropriate language and incompatibility with



389 migrants' religion) [51] [52]. Language barriers were not identified as an emergent theme in our  
390 review. The majority of non-US studies were conducted in either the native language of the minority  
391 ethnic group and/or indicated that a translator was used. Some US studies covering Hispanic  
392 populations were conducted in Spanish or used a culturally appropriate translator, however 11 US  
393 studies including African-American and Hispanic participants either stated the study language was  
394 English or not specified which is an important limitation. Clear reporting on language and use of  
395 appropriate methods is recommended for future studies.

396

397

### 398 *Recommendations for practice*

399 This review recommends, on a broad level, that efforts to provide adequate information and dispel  
400 misconceptions around vaccines by healthcare providers are fundamental to facilitating acceptance.  
401 Older adults from minority ethnic backgrounds who perceive themselves as healthy may benefit most  
402 from targeted intervention to increase uptake. This is likely to be most effective through long-term  
403 trusted relationships with healthcare professionals, tailored conversations (including family and  
404 friends) and a compassionate exploration of patient-related health beliefs. This can be supported by  
405 healthcare system level actions such as vaccination reminders and translated written information.  
406 Policy and governance level actions should focus on increasing access. This should include  
407 addressing transport issues, access for older adults living in rural communities, ensuring adequate  
408 availability of vaccination centres, home-based vaccination for frail older adults, incorporation of  
409 vaccination sites into community facilities, addressing financial barriers and existing inequity in access  
410 to preventative healthcare. Healthcare providers and policymakers should seek to tailor these  
411 recommendations to the needs and patient-related factors specific to the older ethnic minority  
412 communities they serve. Future research should address the gap in studies seeking to understand  
413 attitudes to vaccinations amongst older adults from ethnic minority groups in countries outside the US,  
414 including Europe and Australasia, and in majority groups including South Asia and Africa.

### 415 *Conclusion*

416 Moderate-high quality evidence shows factors influencing vaccination uptake involve provider and  
417 healthcare system factors, patient-related factors and governance level factors that are specific to the

418 older ethnic minority community being served. A tailored, multi-level approach combining increased  
419 education, increased access and culturally competent discussions with trusted healthcare  
420 professionals to address health beliefs can maximise the potential impact of widespread vaccination  
421 policies.

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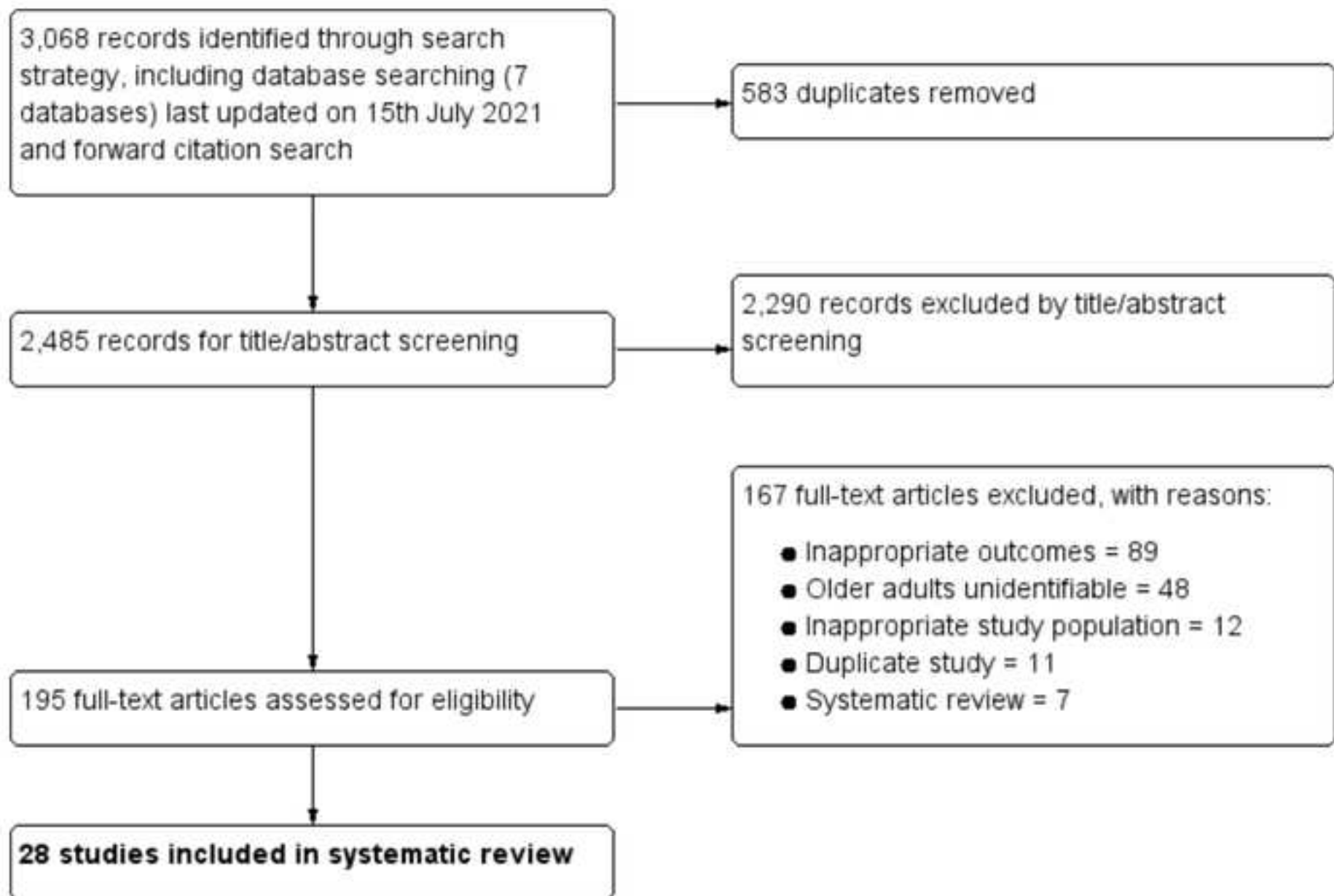
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	Factors	Themes	Sub-themes
Barriers towards vaccinations	(i) Healthcare provider and healthcare systems related	Misinformation and lack of information	Unaware that vaccination is recommended
			Unclear that older adults are at greater risk of severe disease
			Unaware vaccinations prevent flu; lack of knowledge on mechanism of action.
			Perception of influenza as mild illness: easily treatable – vaccine not required; lack of clear health benefit
	(ii) Patient-related	Perception of good health	Perception vaccinations are not required for those in good health, "irrelevant" treatment, lack of benefit;
			Indicated for "sick older adults" only; lack of need for preventative action
			Perceived low likelihood of contracting illness
		Perception vaccine is ineffective	Perception that "healthy" immune system can protect itself; vaccination is for "weak"
			Perception that healthy practices are preferable to vaccines to protect against disease (e.g. exercise, diet, hygiene).
		Perception vaccine is harmful	Unclear health benefit; lack of confidence in vaccine
			Vaccine causes illness
	(iii) Policy and operational level	Mistrust in healthcare system	Vaccine can cause death in old age
			Side effects (e.g. pain)
Concern about contents of vaccine as "unnatural"; concern about chemicals;			
Facilitators of vaccination uptake	(i) Healthcare provider & healthcare systems related	Recommendation from a trusted healthcare professional (HCP)	Mistrust in vaccine; scepticism; mistrust in medical institutions; experiences of historical medical injustice; mistrust in physicians; previous vaccine shortage)
			Negative past experiences in healthcare system (previous vaccinations; physician encounters)
		Vaccination reminders	Less trust in Western medicine
			Access
	(ii) Patient-related	Supportive community	Lack of transport to a vaccination site; distance
			Financial reasons
			Difficulty attending appointments when acutely/chronically sick
			Experience of previous vaccine shortage
			Recommendation from a physician with a long-term relationship; efforts made to "persuade" vaccination uptake
	(iii) Policy and operational level	Vaccination setting	Physician displays empathy, patience; cultural competence e.g. assessing English literacy; providing sufficient information
			Offers at clinic; reminders from physicians; pamphlets; media
			Awareness vaccination prevents illness, can reduce severity and duration of illness; understanding of how vaccination supports immunity
			Knowledge vaccine can reduce severity and duration of illness
(ii) Patient-related	Fear of developing disease	Understanding of how vaccination supports immunity	
		Awareness of potential long-term benefits	
		Encouragement from friends, family, social community, cultural leaders	
		Concerns about severe influenza illness; concerns about SARS	
(ii) Patient-related	Recognition of age as risk-factor	Concern cost of disease treatment will outweigh vaccination cost	
		Perception of age and own health status as vulnerable - requiring vaccine	
		Varied preference of vaccination setting; range from traditional medical settings to community facilities; clear information about where to access vaccination	



Table 1 Characteristics of studies

Authors	Year	Study setting (Country)	Study design	Analysis	Numbers included	Estimated mean age (assumptions made, see comments)	Language / Culturally appropriate	Ethnicity	Sex (% F)	Vaccine type
Abe [15]	2013	USA	Survey	Chi <sup>2</sup>	1008	75.2*	No mention but likely English	African American, Caucasian	61.4	Influenza
Albright [16]	2017	USA	Focus groups	Team-based process reflective team analysis	68	52.1*	English or Spanish	English-speaking Spanish-language	NS	Diphtheria, Influenza, Pertussis, Pneumococcal, Tetanus
Anonymous (CDC)* [17]	1997	USA	Telephone Survey	NS	600	NS	English or Spanish	Hispanic, non-Hispanic White, other, White	NS	Influenza, Pneumococcal, Tetanus
Armstrong [18]	2001	USA	Telephone Survey	Chi <sup>2</sup> , Log regression	488	76.8	No mention but likely English	African American, Caucasian, Hispanic	71.1	Influenza
Cameron [19]	2006	USA	Focus groups	Extended parallel process model, latent content and constant comparative techniques	48	74.1	No mention but likely English	African American	87.5	Influenza
Casarin [20]	2011	Brazil	Semi-structured interviews	Thematic Analysis	7	69.4	Brazilian-Portuguese	NS	57.1	Influenza
Chen [21]	2007	USA	Telephone Survey	Chi <sup>2</sup> , Log regression	1961	56.8	English or Spanish	Black, Filipino, Japanese, Latino, White	65	Influenza
Harris [22]	2006	USA	Semi-structured in-depth interviews	Content analysis, including triangulation and constant comparison approach	20	71.5** (assumed) 74.0** (unvaccinated)	No mention but likely English	African American	70	Influenza, Pneumococcal
Hebert [23]	2005	USA	Survey	Logistic regression	6746	74.5	No mention but likely English	African American, Hispanic, White	56.2	Influenza
Kajiwara [24]	2019	Japan	Questionnaire	Logistic regression	316	73**	Japanese	Japanese	48.4	Influenza
Kwong [25]	2006	Hong Kong, China	Survey	NS	76	75.6*	Chinese, likely Cantonese	Hong Kong Chinese	51.4	Influenza
Kwong [26]	2009	Hong Kong, China	Questionnaire	Chi <sup>2</sup> , t-test, Kolmogorov-Smirnov test, logistic regression	187	75.2*	Chinese, likely Cantonese	Chinese	56.9	Influenza
Kwong [27]	2010	China, Indonesia, Turkey, South Korea, Greece, Canada, the United Kingdom, Brazil and Nigeria	Focus groups	Thematic Analysis	208	75.5*	English, multiple non-English languages not specified	Multiple - Chinese, Indonesian, Turkish, Korean, Greek, Canadian, British	62.8	Influenza
Laszer [28]	2008	USA	Survey and observation	Qualitative analysis	18	71.9	English or Spanish or Haitian Creole	Black, mixed, other, White	77.8	Influenza
Lau [29]	2008	Hong Kong, China	Telephone Survey	Chi <sup>2</sup> , Logistic regression	483	75.5*	NS	Hong Kong Chinese	55	Influenza
Nowak [30]	2006	USA	Telephone Survey	Chi <sup>2</sup> , Logistic regression	375	64.9*	English	African American	63	Influenza, Pneumococcal
Hippocrite [31]	2013	USA	Survey	Chi <sup>2</sup> , Logistic regression	197	46.0*	English / Spanish (culturally appropriate)	Mexican	70.1	Influenza
Ramathan [32]	2015	USA	Online survey	ANOVA <sup>b</sup> , Chi <sup>2</sup>	1589	44*	English	African American, Hispanic	56	Influenza
Rice [33]	2018	USA	Survey	Walden, Logistic regression	200	74	English/Spanish (culturally appropriate with translators)	Hispanic	73	Influenza
Schwartz [34]	2006	USA	Survey	Chi <sup>2</sup> , logistic regression	454	77.0*	English	African American	54.4	Influenza
Sengupta [35]	2004	USA	Interviews	Thematic coding	28	74.9	English	African American	78.6	Influenza
Singh [36]	2005	USA	Survey	Chi <sup>2</sup> , Log regression	1639	77.6*	English	Black, Hispanic	56.6	Influenza, Pneumococcal
Su [37]	2016	Hong Kong, China	Interviews	Thematic coding	40	72.7	Cantonese	Hong Kong Chinese	67.5	Influenza, Pneumococcal
Sun [38]	2020	Hong Kong, China	Survey, focus groups	Chi <sup>2</sup> , Log regression	2452	51.6*	Cantonese	Hong Kong Chinese	64.8	Influenza, Pneumococcal
Takahashi [39]	2002	Japan	Case-control	Chi <sup>2</sup> , t-test, Mann-Whitney, Logistic regression	210	68.8	No mention but assume Japanese	Japanese	66.7	Influenza
Wastor [40]	2006	USA	Telephone survey	Chi <sup>2</sup> , multivariate binomial regression	4577	74.5	No mention but likely English	Black, Hispanic, White	Unknown "majority" stated	Influenza, pneumococcal
Wolton [41]	2012	USA	Telephone survey	Chi <sup>2</sup> , logistic regression	3621	77.6*	No mention but likely English	Black, Hispanic, other, White	64.7	Influenza, pneumococcal
Yu [42]	2014	Hong Kong, China	Questionnaire	Logistic regression	306	74.6	Chinese, assume Cantonese	Chinese	37.5	Influenza

\*mean age estimated using sum total of each subgroup frequency multiplied mid-point of each range divided by total frequency. \*\* median age used. "NS" – not stated.

\* CDC= "Centers for Disease Control and Prevention"

<sup>b</sup>: ANOVA= "analysis of variance"



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