

1 **Development and validation of an *in silico* decision-tool to guide optimisation of**
2 **intravenous artesunate dosing regimens for severe falciparum malaria patients**

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52 **ABSTRACT**

53 Most deaths from severe falciparum malaria occur within 24 hours of presentation to
54 hospital. Intravenous (i.v.) artesunate is the first-line treatment for severe falciparum
55 malaria, but its efficacy may be compromised by delayed parasitological responses.

56 In patients with severe malaria the life-saving benefit of the artemisinin derivatives is
57 their ability to clear circulating parasites rapidly, before they can sequester and
58 obstruct the microcirculation. To evaluate the dosing of i.v. artesunate for the
59 treatment of artemisinin-sensitive and reduced ring stage sensitivity to artemisinin
60 severe falciparum malaria infections Bayesian pharmacokinetic-pharmacodynamic
61 modelling of data from 94 patients with severe malaria (80 children from Africa and
62 14 adults from Southeast Asia) was performed. Assuming delayed parasite clearance
63 reflects a loss of ring stage sensitivity to artemisinin derivatives, the median (95%
64 credible interval) percentage of patients clearing $\geq 99\%$ parasites within 24 hours
65 (PC24 $\geq 99\%$) for standard (2.4 mg/kg i.v. artesunate at 0 and 12 hours) and simplified
66 (4 mg/kg i.v. artesunate at 0 hours) regimens were 65% (52.5%-74.5%) versus 44%
67 (25%-61.5%) for adults, 62% (51.5%-74.5%) versus 39% (20.5%-58.5%) for larger
68 children (≥ 20 kg) and 60% (48.5%-70%) versus 36% (20%-53.5%) for smaller
69 children (< 20 kg). The upper limit of the credible intervals for all regimens was below
70 a PC24 $\geq 99\%$ of 80%, a threshold achieved on average in clinical studies of severe
71 falciparum malaria infections. Rapid clearance of parasites, where there is loss of ring
72 stage sensitivity to artemisinin, in patients with severe falciparum malaria is
73 compromised with the currently recommended and proposed simplified i.v. artesunate
74 dosing regimens.

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77

78 **INTRODUCTION**

79 Despite major advances in malaria control over the last decade, an estimated 405,000
80 patients died from malaria in 2018 (1). The majority of these deaths are in African
81 children under 5 years of age with *Plasmodium falciparum* malaria (1). Most deaths
82 from severe falciparum malaria occur within the first 24 hours of presentation to a
83 hospital (2). Early diagnosis and treatment with a highly effective antimalarial
84 treatment is key to prevent severe malaria and death (3).

85

86 The current global policy for the treatment of both uncomplicated and severe
87 falciparum malaria (referred to as uncomplicated and severe malaria henceforth) relies
88 on the artemisinin derivatives. The World Health Organisation (WHO) treatment
89 guidelines recommend artemisinin combination therapy (ACT) for uncomplicated
90 malaria and intravenous (i.v.) or intramuscular (i.m.) artesunate for severe malaria (3).
91 The reliability and rapid effectiveness of these drugs is now compromised by the
92 emergence of slow clearing parasites with decreased sensitivity to artemisinin
93 derivatives in the Greater Mekong Subregion (4, 5). Optimising the dosing regimens
94 for artemisinin-based therapies is crucial to extend the lifespan of these drugs and
95 prevent the spread of parasites with decreased sensitivity to artemisinin derivatives
96 across Asia and to Africa.

97

98 Patients with severe malaria generally have a higher sequestered parasite biomass than
99 those with uncomplicated malaria resulting from efficient multiplication at high
100 densities (8). Decreased sensitivity to artemisinin derivatives is characterised by

101 delayed parasitological responses (6). The life-saving benefit of the artemisinin
102 compounds in severe malaria results from the rapid killing and clearance of
103 circulating parasites, before they can sequester and obstruct the microcirculation (7).
104 There is also evidence that patients with severe malaria have higher parasite
105 multiplication rates that contribute to the higher biomass found in severe disease,
106 further highlighting the importance of artemisinin derivatives to clear circulating
107 parasites rapidly (8). Hence delayed parasite clearance (reflecting reduced ring stage
108 killing and clearance) is a major concern for the treatment of severe malaria (9).

109

110 The WHO treatment guidelines for severe malaria recommend that the artemisinin
111 derivative, artesunate, should be given at 0, 12, and 24, hours and then daily if
112 required at a parenteral dose of 2.4 mg/kg for adults and larger children (≥ 20 kg) and,
113 because of lower exposures in younger children, at a dose of 3 mg/kg for smaller
114 children (< 20 kg) (9). Once the patient can tolerate oral therapy, treatment is
115 completed with 3 days of an ACT. In Africa, a regimen not requiring a 12 hour dose
116 was proposed to have significant practical advantages in resource-poor settings and
117 remote health facilities (10, 11).

118

119 The parasite clearance rate is an informative pharmacodynamic variable and *in silico*
120 pharmacokinetic-pharmacodynamic (PK-PD) modelling offers an informative
121 approach to explore new dosing strategies. This approach has been used to simulate
122 parasite clearance within the first 24 hours for patients with severe malaria. Model-
123 based findings suggest that for patients with artemisinin-sensitive infections a
124 simplified regimen of i.m. artesunate (4 mg/kg at 0, 24 and 48 hours) is comparable in
125 efficacy to the WHO regimen (12). Whilst the WHO recommended regimen was

126 predicted to be less efficacious in patients infected with parasites with decreased
127 artemisinin sensitivity compared to sensitive parasites, the efficacy of the simplified
128 regimen has yet to be evaluated against parasites with decreased artemisinin
129 sensitivity.

130

131 In this study, we fitted a within-host PK-PD model within a Bayesian framework to
132 drug concentration and parasite count data from patients with severe malaria treated
133 with two different i.v. artesunate regimens and performed an external validation.
134 Simulations based on the joint posterior distribution of the PK-PD parameters were
135 performed to compare the parasitological outcomes between hypothetical patients
136 with either artemisinin-sensitive or reduced ring stage sensitivity to artemisinin severe
137 falciparum malaria infections.

138

139 **RESULTS**

140 Parasitaemia (number of parasites / μl of blood) profiles were available for 94 patients
141 with severe malaria (80 children from Africa and 14 adults from Southeast Asia)
142 treated intravenously with 2.4 mg/kg of artesunate. Details of the trials are provided
143 in Table 1 and published reports (10, 13). Since parasite sampling after 48 hours was
144 sparse, parasitaemia profiles were modelled only from data collected up to and
145 including 48 hours (Figure S1(a) and S1(b)). Baseline patient characteristics and the
146 number of blood samples quantifying parasitaemia are summarised in Table 2.

147

148 **Assessment of model fit and parameter estimation**

149 A within-host PK-PD model was incorporated into a Bayesian hierarchical model and
150 fitted to the observed parasitaemia profiles (METHODS and Supplementary Text 1).

151 Definitions for the nine model parameters are provided in Table 3.

152

153 The posterior predictive check (Supplementary Text 2) in Figure 1(a) indicates that
154 the within-host PK-PD model successfully captures the central trend and variability in
155 the observed parasite count profiles.

156

157 The estimates of the population average parameters are presented in Table 4. The
158 average age of the initial parasite load (μ_{PL}) was 12.95 hours, and the middle 50% of
159 parasites (interquartile range) were aged between 6.16 and 18.17 hours, indicating that
160 on admission infections consisted of parasites predominantly at the ring stage. The
161 time delay before dihydroartemisinin (DHA) concentration levels in plasma reached
162 the malaria parasite in red blood cells (reflected in a hypothetical intraerythrocytic
163 effect compartment) was 3.3 hours ($\log_e 2 / 0.21$). The EC_{50} concentration in the
164 hypothetical compartment was 20.35 ng/ml. Assuming patients are immunologically
165 naïve, the rate of parasite removal resulting from processes other than the drug (δ_p)
166 was 0.06 / hour, that is 6% ($100 \times (1 - e^{-0.06})$) of parasites at each age will be
167 removed every hour independent of treatment. DHA is assumed to kill parasites aged
168 6-44 hours (referred to as DHA's killing window). The maximal killing effect (k_{max})
169 of DHA was 0.53 / hour. Thus, for every hour that DHA concentrations are much
170 greater than the EC_{50} concentration, the number of parasites within the killing window
171 will be reduced by 41% ($100 \times (1 - e^{-0.53})$).

172

173 The observed parasitaemia profiles were not informative for estimation of the
174 population average nor individual-specific parasite multiplication factor (PMF).
175 Similarly, the profiles were not informative for estimation of the slope of the *in vivo*
176 concentration-effect curve (γ). For these parameters the prior and marginal posterior
177 distributions were very similar (see Figure S2). The prior distributions for PMF and γ
178 were based on data and parameter estimates from clinical and *in vitro* studies (Table
179 S1). Figure S3 shows how the between subject variability (ω) parameters influence
180 the shape of the marginal densities of the multivariate logistic-normal distribution
181 specified for individual PD parameters.

182

183 **Parasitological outcome and dosing regimens**

184 The parasitological outcome measure selected for comparing the different i.v.
185 artesunate regimens was clearance of 99% of a patient's admission parasitaemia
186 within 24 hours (PC24 \geq 99%), a measure that has been used previously in non-
187 inferiority clinical trials of parenteral artesunate (10, 11). The 95% credible interval
188 for PC24 \geq 99% simulated from the model contains the observed PC24 \geq 99% of 82%
189 (95% confidence interval (exact method): 70%-90%) for children and 70% (95%
190 confidence interval: 35%-93%) for adults (Figure 1(b)).

191

192 The following three dosing regimens were examined in this study:

- 193 1. 2.4 mg/kg of i.v. artesunate at 0, 12, and 24, 48 and 72 hours (*standard*
194 regimen).
- 195 2. 4 mg/kg of i.v. artesunate at 0, 24 and 48 hours (*simplified* regimen).

196 3. 3 mg/kg of i.v. artesunate for smaller children (<20 kg) and 2.4 mg/kg for
197 adults and larger children (>20 kg) at 0, 12, and 24, 48 and 72 hours (*revised*
198 regimen).

199

200 Before 2015, the “standard” regimen was recommended by the WHO. In 2012, the
201 simplified regimen was examined in a randomised controlled trial (RCT) as an
202 alternative to the standard regimen in resource-poor settings in Africa. In 2015, the
203 standard regimen for children was revised based on pharmacometric modelling (14,
204 15). The dose for smaller children was increased in the revised regimen to provide
205 comparable drug exposure to adults and larger children. The PC24 \geq 99%, only
206 evaluates the efficacy of the dose(s) administered in the first 24 hours after treatment.

207

208 **Comparison of dosing regimens**

209 Medians (black dot) and 95% credible intervals (error bars) were used to compare the
210 distribution of PC24 \geq 99% derived from 100 datasets consisting of different
211 hypothetical patient populations: 100 adults, 100 larger children and 100 smaller
212 children (Figure 2). Simulation of hypothetical patients with either artemisinin-
213 sensitive or reduced ring stage artemisinin sensitivity infections was performed.
214 Decreased ring stage artemisinin sensitivity was modelled by shortening the DHA
215 killing window from 6–44 hours to 12–44 hours to mimic a partial (i.e. 6 hour) loss of
216 ring stage activity. The concentration-effect relationship for parasites remaining in the
217 killing window was assumed to be the same as that inferred for artemisinin-sensitive
218 infections.

219

220 The DHA concentration profiles for hypothetical adults, larger and smaller children
221 given each dosing regimen are presented in Figure S4. An example of the
222 corresponding parasitaemia profiles is presented in Figure S5.

223

224 *Artemisinin-Sensitive Infections*

225 The simplified 4 mg/kg i.v artesunate dose group in Kremsner et al. 2012 (10) was
226 used for external validation of the model prediction. External validation focused on
227 whether the model could reproduce the $PC_{24} \geq 99\%$ for the simplified regimen
228 reported in Kremsner et al. 2012 (10) – the parasitaemia profiles from the standard
229 regimen from this study were used for model fitting.

230

231 Kremsner et al. 2012 (10) reported that 78% (95% confidence interval: 69%-87%) of
232 patients that received the simplified regimen achieved $PC_{24} \geq 99\%$ and 85% (95%
233 confidence interval: 77%-93%) of patients that received the standard regimen
234 achieved $PC_{24} \geq 99\%$. A treatment difference of -7.2% was observed between
235 $PC_{24} \geq 99\%$ for the simplified and standard regimens. The corresponding 95%
236 confidence interval ranged from -18.9% to 4.4% and did not include the prespecified
237 noninferiority margin of -20%.

238

239 The median (95% credible interval) for $PC_{24} \geq 99\%$ for hypothetical patients with
240 sensitive infections and administered the standard regimen were 86% (75.5%-93.5%)
241 for adults, 83% (72.5%-90.5%) for larger children and 80% (72%-88%) for smaller
242 children (Figure 2, top panel). These 95% credible intervals for hypothetical larger
243 children treated with the standard regimen and for hypothetical smaller children
244 treated with either the standard or revised regimens contain the observed percentage

245 and 95% confidence interval reported in Kremsner et al. 2012 (10) for the standard
246 regimen.

247

248 For the simplified regimen, the median and 95% credible interval derived from the
249 hypothetical children (63.5% (44.5%-80%) for larger children and 58.5% (41%-74%)
250 for smaller children) underestimated the observed $PC_{24} \geq 99\%$ for the children that
251 received the simplified regimen in Kremsner et al. 2012 ⁸ (i.e. dataset for external
252 validation, Figure 2, top panel).

253

254 *Decreased ring stage sensitivity to artemisinin*

255 For infections where the killing window was shortened to 12-44 hours to reduce ring
256 stage activity, rapid clearance of such infections appears to be compromised
257 compared to sensitive infections for both the standard (two doses of 2.4 mg/kg of i.v.
258 artesunate at 0 and 12 hours) and simplified (single dose of 4 mg/kg at 0 hours)
259 regimens. The median values (95% credible intervals) for standard and simplified
260 regimens were 65% (52.5%-74.5%) versus 44% (25%-61.5%) for adults, 62%
261 (51.5%-74.5%) versus 39% (20.5%-58.5%) for larger children (≥ 20 kg) and 60%
262 (48.5%-70%) versus 36% (20%-53.5%) for younger children (< 20 kg) (Figure 2,
263 bottom panel). The upper limit of the credible intervals for all regimens was below a
264 $PC_{24} \geq 99\%$ of 80%, a threshold achieved on average in clinical studies of severe
265 falciparum malaria infections (10, 11).

266

267 **DISCUSSION**

268 Our within-host PK-PD model captures the key features of malaria parasite clearance
269 following the start of antimalarial treatment. The modelled profiles show similar

270 central trends (lag and decline) and variability in the observed parasitaemia profiles to
271 those observed in African children and Southeast Asian adults who received the 2.4
272 mg/kg i.v. artesunate dosing regimen in the studies reported by Kremsner et al. 2012
273 (10) and Maude et al. 2009 (13), respectively (Figure 1). Simulated parasitological
274 outcomes from the model PD parameters were able to reproduce the percentage
275 PC24 \geq 99% observed for the standard 5-dose (i.e. data used for model fitting). In an
276 external validation of the simplified 3-dose i.v. artesunate regimen, based on findings
277 reported in Kremsner et al. 2012 (10), our model underestimated the observed
278 percentage PC24 \geq 99%.

279

280 The patient data used for our model predictions were from a setting prior to the
281 decline in efficacy of artemisinin-based therapies being detected in Southeast Asia,
282 consequently inferences based on posterior summaries for the PD parameters are only
283 appropriate for infections with artemisinin sensitive parasites. In this study decreased
284 sensitivity to artemisinin derivatives was modelled by shortening the DHA killing
285 window, i.e. reduced ring stage activity which conforms to the *in vitro* observations of
286 reduced ring stage killing.

287

288 A delayed drug killing effect has been observed in *in vitro* (16, 17) and clinical
289 studies for artemisinin derivatives (18, 19). The time delay before DHA plasma
290 concentration had an apparent effect was 3.3 hours in this study, shorter than the 9
291 hour delay estimated for Cambodian and Thai adults (18) and 5.6 hour delay
292 estimated for adults in Southern Myanmar (19) after treatment with oral artesunate
293 monotherapy. In addition, artemisinin induced growth retardation (20–22) and/or
294 altering of growth patterns (23) were not modelled.

295

296 The within-host PK-PD model described in this study assumed patients were
297 immunologically naïve. This assumption was considered reasonable as the adult
298 patients were from Southeast Asia (a low transmission setting) and a large proportion
299 of the African children were under 5 years (80%). Assuming the patients are
300 immunologically naïve may cause the killing rate of the drug (k_{\max}) to be
301 overestimated, as parasite clearance is attributed to drug only and any contribution
302 from the immune response is ignored.

303

304 The model included a parameter to capture drug independent removal of parasites
305 (δ_p) under the assumption patients were immunologically naïve (i.e. due to host-
306 specific processes, such as the finite lifespan of red blood cells) (20, 24). In this study
307 of Southeast Asian adults and predominantly African children, drug independent
308 removal assuming patients were immunologically naïve was inferred to be 6% of
309 parasites every hour.

310

311 The meta-analysis reported by Zaloumis et al. 2014 (14) found similar PK parameters
312 for Southeast Asian adults and Africa children. The PK-PD analysis presented in this
313 study assumed that the decline in parasitaemia after treatment was driven by a
314 patient's PK profile, and hence the PD analysis was not stratified by adult and child
315 patients. Figure S6 illustrates that simulated profiles generated from individual PD
316 parameters derived from the analysis of the pooled PD profiles (i.e. both adults and
317 children) can capture the central trend and variability in the separate adult and child
318 profiles.

319

320 Estimation of our model parameters was based on data from only 94 patients and
321 focused on the decline in parasitaemia, and not on clinical outcomes, in the initial 24
322 hours after treatment with intravenous artesunate. Although our model predicts a
323 slower decline in parasitaemia for infections with decreased ring stage sensitivity to
324 artemisinin derivatives in the first 24 hours, this may not translate to poorer clinical
325 outcomes (25). To determine the consequences of delayed parasite clearance on
326 clinical outcomes in severe falciparum malaria patients, studies should also focus on
327 parasitological and clinical outcomes beyond the first 24 hours of treatment.

328

329 *In silico* modelling of artemisinin-resistant severe malaria infections was also
330 investigated in Jones et al. 2019 (12) by assuming early ring stage parasites were
331 insensitive to artesunate. The conclusions in Jones et al. 2019 (12) regarding the
332 efficacy of the standard i.m. dosing regimen for treating resistant infections where the
333 killing window of DHA has been reduced are consistent with ours for i.v. artesunate
334 and suggest that the standard regimen has reduced efficacy against these infections
335 compared to sensitive infections. Our modelling approach differs in the following
336 ways to that adopted in Jones et al. 2019 (12): Bayesian inference was used for
337 parameter estimation, the posterior predictive distribution was used to simulate the
338 parasitological outcome, and the efficacy of the simplified regimen against
339 artemisinin-resistant infections was examined.

340

341 In conclusion, our study suggests that in view of the declining efficacy, including
342 recent reports of de novo emergence of *Pfkelch13*-mediated delayed parasite
343 clearance in sub Saharan Africa (26), the previous excellent therapeutic response to
344 intravenous artesunate may be compromised in patients with severe falciparum

345 malaria. In these areas, the clearance of parasites for both the standard and simplified
346 parenteral regimens may be significantly slower. So far, in uncomplicated malaria
347 these delayed parasite clearance phenotypes have not compromised cures with
348 artemisinin containing combinations when the partner drug retains efficacy.
349 Subsequently, trials focusing on both the initial parasitological response and the
350 clinical consequences of delayed parasite clearance in severe falciparum malaria
351 patients should be considered. New antimalarial treatments with ring stage activity are
352 needed in the immediate future.

353

354 **METHODS**

355 **Study population, study design, dosing and blood sampling**

356 The site, study population, design, i.v. artesunate dosing and blood sampling for the
357 determination of DHA concentration and parasitaemia (parasites / μl of blood) for
358 both studies included in the pooled dataset are provided in Table 1.

359

360 **Within-host pharmacokinetic-pharmacodynamic model**

361 The within-host pharmacokinetic-pharmacodynamic (PK-PD) model was previously
362 published in (27, 28) and describes the blood stage of a malaria infection and its
363 response to treatment. In brief, prior to drug administration the initial parasite load
364 (*IPL*) of each patient is distributed among the 48 hourly age intervals of the *P.*
365 *falciparum* lifecycle according to a truncated normal distribution with location
366 parameter μ_{IPL}^* hours, scale parameter σ_{IPL}^* hours and truncation limits 1 to 48 hours
367 (Supplementary Text 1 equation [S1]). μ_{IPL} and σ_{IPL} are the mean and standard
368 deviation of the truncated parasite age distribution (Supplementary Text 1 equation
369 [S2] and Figure S7). Every hour after treatment the parasites aged 1 to 47 hours are

370 shifted to the right and become the number of parasites aged 2 to 48 hours. The
371 parasites aged 48 hours are then multiplied by the parasite multiplication factor (PMF,
372 Table 3). This process was repeated for a follow-up time of 48 hours.

373

374 The proportion of parasites that survive an hour of treatment with i.v. artesunate is
375 determined by the delayed concentration-effect sigmoid- E_{\max} model described in (18)
376 that links the DHA concentration in the central compartment (blood plasma) to a
377 hypothetical effect-site (intraerythrocytic) compartment (Supplementary Text 1
378 equation [S6]). The model assumes patients are immunologically naïve. The rate of
379 parasite removal due to processes other than drug in patients assumed to be
380 immunologically naïve, δ_p , is included in the model (e.g. host-specific processes,
381 such as the lifespan of red blood cells). The PMF is corrected to account for δ_p
382 (Supplementary Text 1 equation [S4]).

383

384 After removal of parasites due to drug and drug independent processes, the sum of the
385 simulated number of parasites aged 1 to 26 hours was used to predict the circulating
386 parasitaemia at time points of interest. DHA, the active metabolite of artesunate, was
387 assumed to kill parasites aged 6-44 hours (29). The model does not have an explicit
388 compartment from which parasites “damaged” by drug can either be cleared or
389 recover and returned to the blood circulation (30). Full details of the model are
390 provided in the Supplementary Text 1.

391

392 **Statistical analysis**

393 The within-host model (Supplementary Text 1) was incorporated into a Bayesian
394 hierarchical model (Supplementary Text 3) which allows the dynamics to vary across

395 patients and, consequently, the variation in the observed parasitaemia profiles to be
396 modelled. Parasitaemia measurements were natural log (\log_e) transformed and
397 assumed to follow a normal distribution where the residual standard deviation varied
398 by observed study. Data below the microscopy limit of detection (50 parasites / μ l of
399 blood) were modelled as censored data using the M3 method (31). The prior
400 distribution for the individual-specific PD parameters was assumed to be multivariate
401 normal (MVN) after logistic transformation (32), i.e.

$$\phi_i = \log_e \left(\frac{\theta_i - a}{b - \theta_i} \right) \sim \text{MVN}(\phi, \Omega) \quad [1]$$

402 where ϕ_i and θ_i denote 9-dimensional vectors of individual-specific PD parameters
403 after and before logistic transformation for the i^{th} individual, and a and b are 9-
404 dimensional vectors containing the lower and upper bounds for each PD parameter
405 provided in Table 4 and also in Table S1 along with a justification for their selection.
406 The mean vector (ϕ) of the MVN distribution in [1] is the logistic transform of a 9-
407 dimensional vector of population average PD parameters (θ), i.e. $\phi = \log_e \left(\frac{\theta - a}{b - \theta} \right)$. The
408 covariance matrix (Ω) was decomposed into a vector of standard deviation parameters
409 and a correlation matrix (see equation [S10] in Supplementary Text 3). The hyperprior
410 distributions for the elements of the mean vector ϕ , standard deviation parameters,
411 correlation matrix and study-specific residual error were normal(0, 1), half-normal(0,
412 1), Cholesky LKJ correlation distribution (33, 34) with shape parameter equal to 2
413 and half-Cauchy(0, 5), respectively.

414

415 The No U-Turn (NUTS) sampler implemented in the open source software packages
416 RStan 2.18.2 (35) and R 3.4.2 (36) was used to sample the population average PD,
417 individual-specific PD, BSV parameter values and study-specific residual errors from

418 the posterior distribution. For each model parameter, four Markov chains were
419 initialised using random numbers generated by RStan. The first 1000 parameter
420 values sampled for each chain were discarded as burn-in and an additional 1000
421 parameter values were sampled and combined, resulting in 4000 samples per
422 parameter for calculation of posterior summaries. The posterior summaries calculated
423 were the median of the 4000 samples for each parameter (posterior median) and 95%
424 credible interval, which is calculated from the 2.5th and 97.5th percentiles of the 4000
425 samples for each parameter. The credible interval can be interpreted as an interval in
426 which the probability that the unknown parameter lies within is 0.95.

427

428 Traceplots were examined to assess whether the 1000 parameter draws from each
429 chain had converged to a common distribution (Figure S8). Convergence was also
430 monitored using the \hat{R} statistic, which is the ratio of the mean of the variances of the
431 samples within each chain to the variance of the pooled samples across chains (34). If
432 all chains have converged to a common distribution, \hat{R} will be one. The effective
433 sample size (ESS) is an estimate of the number of independent draws of the parameter
434 of interest from the posterior distribution (34). The draws within a Markov chain are
435 not independent and if there is high autocorrelation between the draws for a
436 parameter, the ESS will be much smaller than the total sample size (i.e. the 4000
437 draws retained after burn-in for each parameter). To gauge the degree to which the
438 observed data update the prior information, a comparison of the prior distribution and
439 marginal posterior distribution for the population average PD parameters, between-
440 subject variability and individual-specific PD parameters for an individual is provided
441 in Figure S2. Additional details concerning model building and selection are provided
442 in Supplementary Text 4.

443

444 **Simulation of PC24 \geq 99% under standard and simplified dosing regimens**

445 PK parameters (clearance (CL) and volume of distribution (V)) were simulated for
446 different hypothetical patient populations (100 adults, 100 larger (\geq 20 kg) children
447 and 100 smaller ($<$ 20 kg) children) from the population PK model for patients that
448 received i.v. artesunate at baseline described in (15). Simulation of individual-specific
449 PK parameters from this model requires age, weight, haemoglobin and body
450 temperature values for each hypothetical patient. There was little correlation between
451 these variables for the 14 adults in the pooled study (all Pearson's correlations below
452 0.4), so values of these variables for the 100 hypothetical adults were sampled from
453 uniform distributions with limits set to the observed range for each variable: age 21-
454 62 years, weight 33-75 kg, haemoglobin 2.9-11.5 g/dl and temperature 34-39 °C.

455

456 For the 80 children in the pooled study, age was correlated with both weight and
457 haemoglobin, but temperature was not strongly correlated with any variable. Five-
458 hundred age and temperature values were sampled from uniform distributions with
459 limits set to the observed range for the 80 children: age 0.5-9.2 years and temperature
460 35-40 °C. The sampled age values were used to simulate 500 corresponding weight
461 and haemoglobin values using the coefficient estimates from the linear regressions of
462 age and weight (estimated intercept, age coefficient and residual standard error were
463 7.02, 1.76 and 2.01, respectively), and age and haemoglobin (estimated intercept, age
464 coefficient and residual standard error 5.79, 0.59 and 2.01, respectively) for the 80
465 children in the study. The age, haemoglobin and temperature values for the first 100
466 weight values \geq 20 kg ($<$ 20 kg) were retained and used to simulate PK parameters for
467 larger (smaller) children.

468

469 Then for each dosing regimen, the simulated weights and PK parameters for each
470 hypothetical patient were used to calculate the i.v. artesunate dose in μg and to
471 simulate the DHA plasma concentration (ng/ml) from the intravenous-bolus one-
472 compartment PK model described in (15), respectively.

473

474 Next, 100 datasets each consisting of 100 hypothetical adults, larger children or
475 smaller children with parasitaemia measurements simulated at 6 hourly intervals for 7
476 days follow-up, after treatment with each i.v. artesunate dosing regimen, were
477 simulated. The 100 hypothetical datasets were generated from the last 100 of the 4000
478 draws of ϕ and Ω as defined in [1]. For each patient population 100 vectors of ϕ_i
479 were then sampled from this distribution at each of the 100 draws of ϕ and Ω . The
480 inverse logistic transform $\left(a \times (1 - \text{logit}^{-1}(\phi_i))\right) + b \times \text{logit}^{-1}(\phi_i)$, where
481 $\text{logit}^{-1}(x) = 1/(1 + e^{-x})$ and a and b are the lower and upper bounds, respectively,
482 for the PD parameters in Table 4 and Table S1) was then applied to each of the ϕ_i
483 vectors, to obtain individual-specific PD parameters on the original scale and within
484 their biologically plausible bounds. These vectors were then used to simulate
485 parasitaemia profiles from the within-host PK-PD model for each hypothetical patient
486 (Figure S5).

487

488 For each dosing regimen examined, the simulated DHA concentration profiles (Figure
489 S4) do not vary between datasets. The individual-specific PD parameters for the
490 hypothetical patients vary between datasets, but not between dosing regimens. Details
491 concerning the calculation of $\text{PC}_{24} \geq 99\%$ for hypothetical patients are provided in the
492 Supplementary Text 5. The simulation of parasitaemia profiles for infections with

493 reduced ring stage sensitivity to artemisinin derivatives required shortening the DHA
494 killing window from 6-44 hours, where the drug is known to have an effect, to 12-44
495 hours in supplementary equation [S5]. The process outlined above is then repeated for
496 the within-host PK-PD model with shortened DHA killing window.

497

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503

504 **AUTHOR CONTRIBUTIONS**

505 S.G.Z. and J.A.S. wrote the first draft of the manuscript; J.A.S. designed the research
506 with input from R.N.P. and N.J.W.; P.K., S.K., A.D., N.J.W. and R.J.M. contributed
507 the data; S.G.Z., J.M.W. and J.A.S. performed the statistical analysis with guidance
508 from J.M.M, J.T., M.T.W., P.C., S.D. and F.J.I.F. All authors critically reviewed and
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510

511 **CONFLICT OF INTEREST**

512 All authors declared no competing interests for this work.

513

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529

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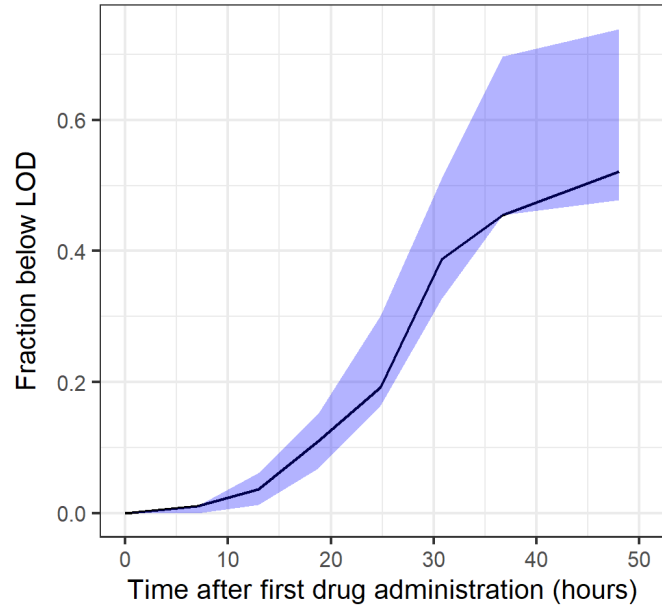
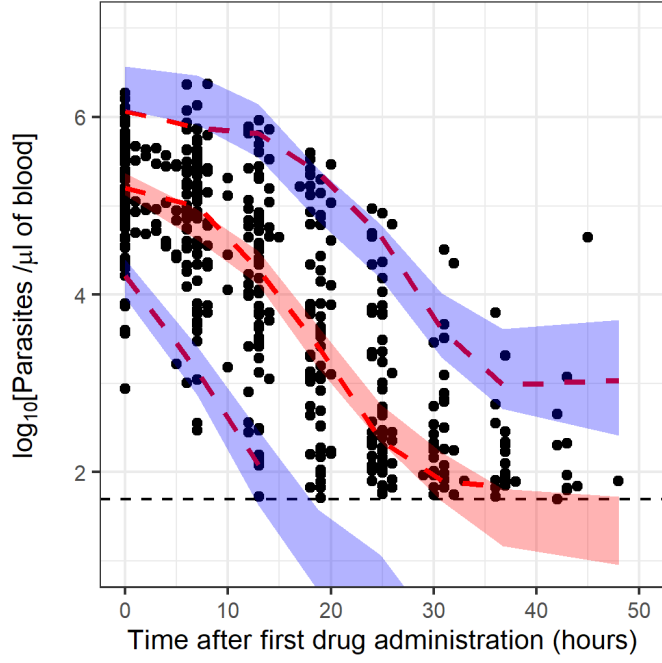
675 **FIGURE LEGENDS**

676 **Figure 1.** Posterior predictive check of the within-host PK-PD model fitted to the
677 observed data ($n = 94$ patients). In the upper panel of (a), the solid black circles are
678 the observed \log_{10} parasitaemia (parasites / μl of blood), and the following are plotted
679 for bins across the independent variable, time after i.v. artesunate administration:
680 median (middle red dashed line), 5th and 95th percentiles (lower and upper red
681 dashed lines, respectively) of the observed parasitaemia; and 95% credible intervals
682 for the median (red region), 5th and 95th percentiles (blue regions) predicted from the
683 within-host PK-PD model. Dashed horizontal line is the microscopic limit of
684 detection (LOD) of 50 parasites / μl of blood. In the lower panel of (a), the solid black
685 line is the observed fraction of parasite counts at each sampling time below the LOD,
686 and the blue region is the 95% credible interval for the median fraction of below LOD
687 parasitaemia samples predicted from the within-host PK-PD model with noise added.
688 In (b), are the medians (black dot) and 95% credible intervals (error bars) for the
689 percentage of children and adults that cleared 99% of their admission parasitaemia by
690 24 hours ($\text{PC}_{24 \geq 99\%}$) derived from 4000 replicated datasets simulated from the
691 within-host PK-PD model. The observed $\text{PC}_{24 \geq 99\%}$ derived from the 94 patients was
692 82% for children and 70% for adults and these are indicated by the black dashed lines.

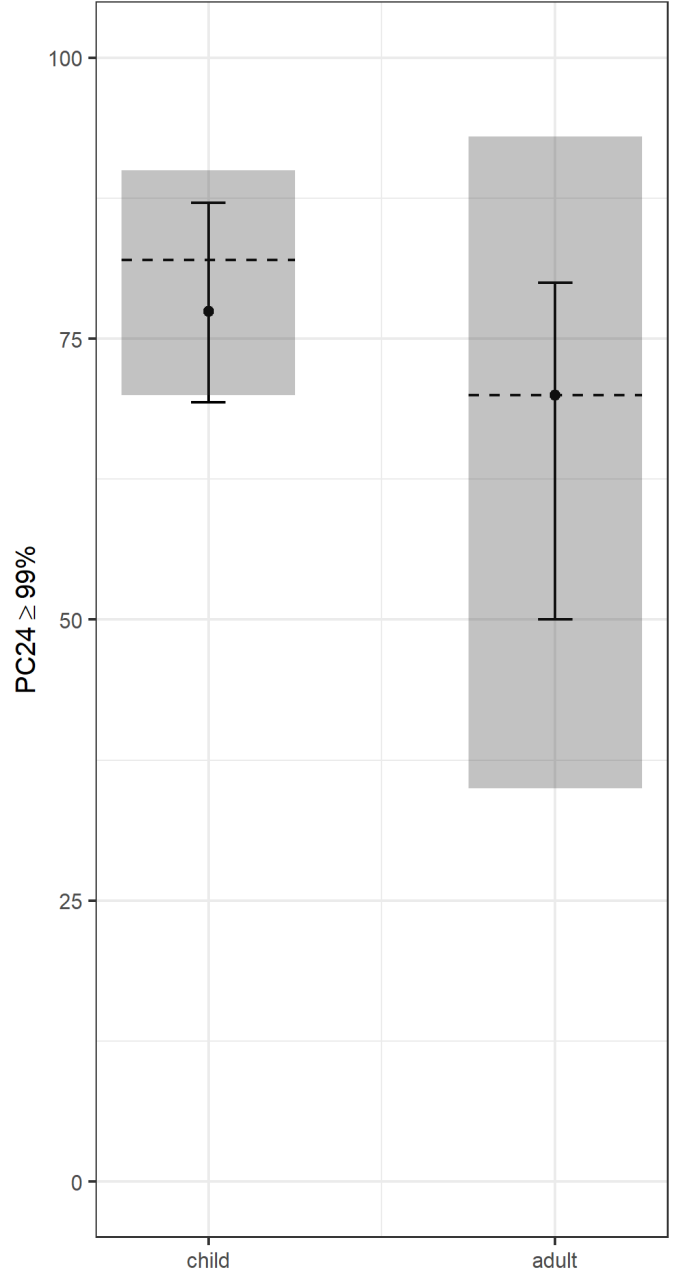
693 The grey shaded regions are corresponding 95% confidence intervals: (70%-90%) for
694 children and (35%-93%) for adults.

695

696 **Figure 2.** Comparison of the median (black dot) and 95% credible interval (error
697 bars) for the $PC_{24} \geq 99\%$ achieved by hypothetical adults, larger (≥ 20 kg) and smaller
698 (< 20 kg) children. $PC_{24} \geq 99\%$ calculated from 100 datasets consisting of simulated
699 parasitaemia profiles for 100 adults, 100 larger children and 100 smaller children,
700 with either artemisinin sensitive (top) or reduced ring stage sensitivity to artemisinin
701 (bottom row is DHA killing window shortened from 6-44 hours to 12-44 hours)
702 infections, administered the standard (2.4 mg/kg of i.v. artesunate at 0, 12, and 24, 48
703 and 72 hours), revised (3 mg/kg of i.v. artesunate for smaller children (< 20 kg) and
704 2.4 mg/kg for adults and larger children (> 20 kg) at 0, 12, and 24, 48 and 72 hours)
705 and simplified (4 mg/kg of i.v. artesunate at 0, 24 and 48 hours) dosing regimens. The
706 black and purple dashed lines are the percentage $PC_{24} \geq 99\%$ for the conventional 5-
707 dose regimen of 2.4 mg/kg i.v. artesunate (standard regimen) and simplified 3-dose
708 regimen of 4 mg/kg i.v. artesunate (simplified regimen) reported in Kremsner et al.
709 2012 (10) (85% and 78%, respectively) and the black and purple regions are the
710 corresponding 95% confidence intervals (77%–93%) and (69%–87%), respectively.



(a)



(b)

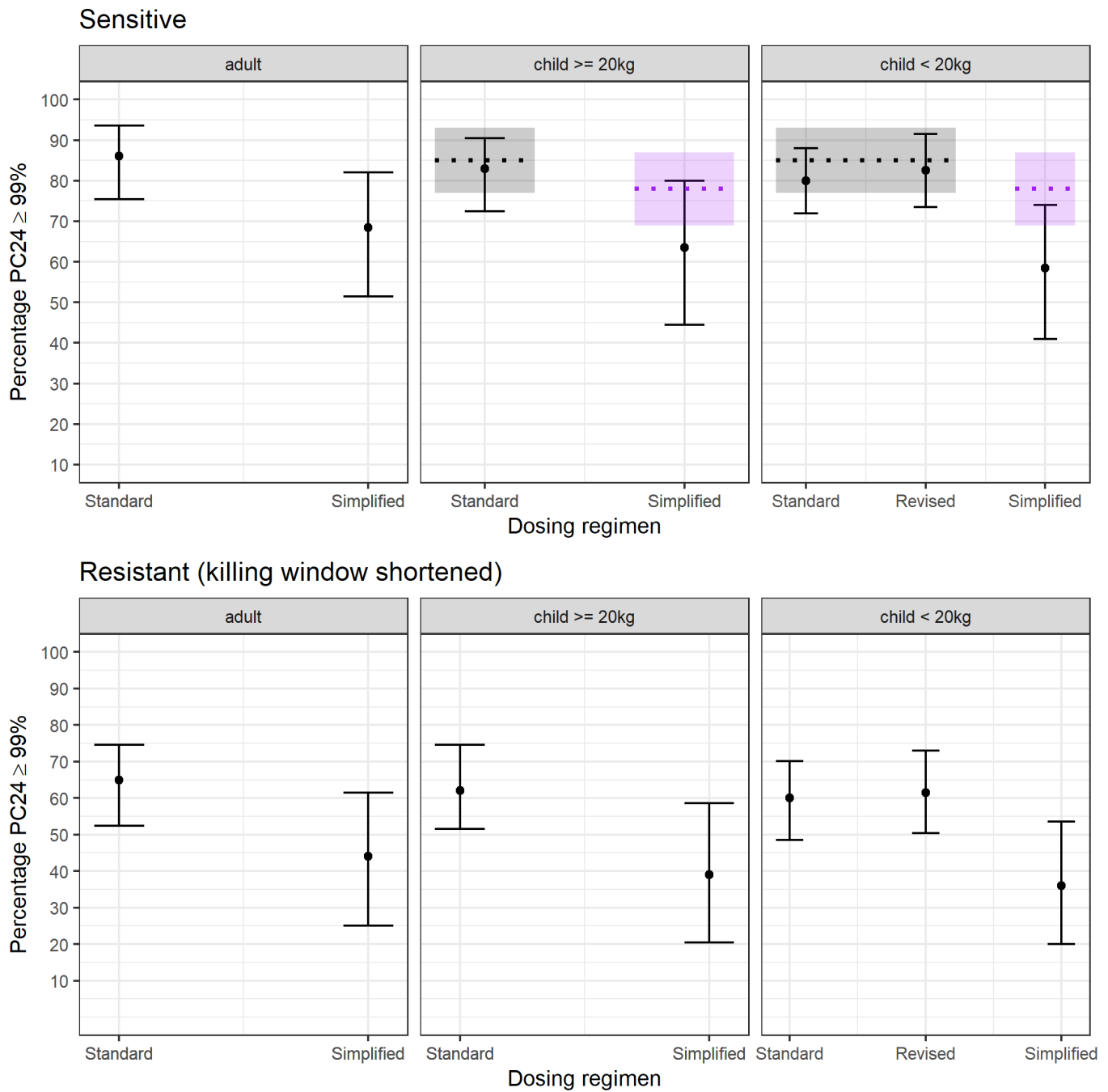


Table 1. Study site, population, design, dosing scheme and parasitaemia sampling times for each study.

Research Team	Kremsner et al. 2012 (10)	Maude et al. 2009 (13)
Site	Gabon, Malawi	Bangladesh
Population	Children with severe malaria	Adults with severe malaria
Design	Randomised Controlled Trial	Clinical Study
Dosing regimen	I – 2.4 mg/kg i.v. at 0, 12, 24, 48, 72 h ^a II – 4 mg/kg IV at 0, 24, 48 h	2.4 mg/kg i.v. at 0, 12, 24 and then every 24 h as required
Sampling times		
ARS/DHA concentration	2 samples/patient taken from times ^b 0.083, 0.167, 0.25, 0.5, 1, 2, 4, and 6 h	0, 0.167, 0.5, 1, 2, and 4 h
Parasitaemia	0, 6, 12, 18, 24 h and then every 6 h until there were 2 consecutive negative ^c slides	0, 1, 2, 3, 4, 5, 6, 8, 10, 12, 18, 24 h and then every 6 h until parasite clearance

^aOnly these patients included in the analysis

^bPatients randomly allocated to one of eight different sampling groups where each sampling group has two time points (e.g. group 1–5 min, 2 h post first dose).

^cNegative slide defined as number of circulating parasitized blood cells below the limit of detection (50 parasites / μ l of blood).

Table 2. Summary of the number of blood samples for parasitaemia measurement, including the number of samples below the microscopic limit of detection (LOD), and baseline patient characteristics for each study.

Research Team	Kremsner et al. 2012 (10)	Maude et al. 2009 (13)
No. patients	80	14
No. male	44	11
No. samples	463	87
No. below LOD ^a	68	5
Median samples per patient [range]	6 [2, 9]	11 [1, 14]
Baseline patient characteristics ^b		
Median Parasitaemia (parasites / μ l of blood)	214,824 [869 to 1,870,264]	92,630 [22,608 to 534,554]
Age (years)	3 [0.6 to 9.3]	37.5 [22 to 62]
Weight (kg)	12 [6 to 24]	60 [33 to 75]

^aLOD – Limit of detection for microscopic blood film examination: 50 parasites / μ l of blood

^b Median [Range]

Table 3. Parameter definitions for the within-host pharmacokinetic-pharmacodynamic model.

Parameter	Description
IPL	Initial parasite load of patient on admission
μ_{IPL}^*	Mean of the age distribution of the initial parasite load (hours) before truncation (normal).
μ_{IPL}	Mean of the age distribution of the initial parasite load (hours) after truncation (truncated normal).
σ_{IPL}^*	Standard deviation of the age distribution of the initial parasite load (hours) before truncation (normal).
σ_{IPL}	Standard deviation of the age distribution of the initial parasite load (hours) after truncation (truncated normal).
PMF	Parasite multiplication factor. Number of parasites released by a ruptured schizont at the end of the lifecycle.
k_{max}	Maximal killing rate of the drug (/ hour)
EC_{50}	<i>In vivo</i> concentration when killing rate is 50% of k_{max} (ng/ml)
γ	Slope of <i>in vivo</i> concentration-effect curve
k_{e0}	Rate the drug moves from the central compartment (blood plasma) to a hypothetical effect-site (intraerythrocytic compartment) (/ hour)
δ_p	Rate of parasite removal due to processes other than drug (/ hour). Patients are assumed to be immunologically naïve.

Table 4. Posterior summaries for the population mean pharmacodynamics (PD) parameters, between subject variability (BSV) and study-specific residual errors calculated from 4000 draws from the posterior distribution.

Parameter	Bounds ^a	Posterior median (95% credible interval)	ESS ^b	\hat{R}^c
Population average PD parameter ^d				
IPL (no. of parasites)	$(8.69 \times 10^9, 1.870264 \times 10^{13})$	2.8×10^{11} $(1.9 \times 10^{11}, 4 \times 10^{11})$	668	1.01
μ_{IPL}^* (hr)	(1,48)	5.41 (2.49, 11.39)	689	1
μ_{IPL} (hr)		12.95 (11.53, 15.90)		
σ_{IPL}^* (hr)	(4,14)	12.74 (11.75, 13.46)	1839	1
σ_{IPL} (hr)		8.47 (7.73, 9.51)		
PMF	(5,20)	11.39 (6.47, 17.75)	2379	1
k_{max} (hr ⁻¹)	(0.26,1)	0.53 (0.47, 0.6)	342	1.01
EC ₅₀ (ng/ml)	(1.44,533)	20.35 (7.97, 41.26)	756	1.01
γ	(1,13)	7.14 (2.74, 11.51)	2893	1
k_{e0} (hr ⁻¹)	(0.01,10)	0.21	728	1

δ_p (hr ⁻¹)	(0.001,0.1)	(0.11, 0.33)	0.06	744	1
		(0.02, 0.09)			
Between subject variability (ω) ^e					
ω_{IPL}		1.51			
		(1.23, 1.86)		1475	1
$\omega_{\mu_{IPL}}$		0.37			
		(0.02, 1.01)		1022	1
$\omega_{\sigma_{IPL}}$		1.76			
		(1.02, 2.78)		306	1.01
ω_{PMF}		0.63			
		(0.03, 2.14)		2468	1
$\omega_{k_{min}}$		1.09			
		(0.81, 1.45)		675	1.01
ω_{EC50}		0.58			
		(0.04, 1.25)		717	1
ω_{γ}		0.63			
		(0.03, 2.13)		3498	1
$\omega_{k_{e0}}$		0.4			
		(0.04, 1.01)		392	1.01
$\omega_{k_{min}}$		0.42			
		(0.02, 1.81)		469	1
Study-specific residual error (σ)					
σ_1		0.95			
		(0.86, 1.05)		1439	1

σ_2	0.69		
	(0.56, 0.89)	745	1.01

^aA justification for the bounds is provided in supplementary Table S1.

^bEffective sample size (ESS) is the number of independent draws of the parameter of interest from the posterior distribution (see METHODS).

^cIf all chains have converged to a common distribution \hat{R} will be one, otherwise it will be greater than one (see METHODS).

^dPrior to drug administration the initial parasite load (*IPL*) of each patient is distributed among the 48 hourly age intervals of the *P. falciparum* lifecycle according to a truncated normal distribution with location parameter μ_{IPL}^* hours, scale parameter σ_{IPL}^* hours and truncation limits 1 to 48 hours (Supplementary Text 1 equation [S1]). μ_{IPL} and σ_{IPL} are the mean and standard deviation of the truncated parasite age distribution. Definitions for the nine model parameters are provided in Table 3.

^eBetween subject variability (BSV) is the population standard deviation of the individual PD parameters on the logistic transform scale (see METHODS equation [1]). BSV was only included on μ_{IPL}^* and σ_{IPL}^* not the resulting mean and standard deviation of the truncated age distribution.