## **1** Investigation of a monoclonal antibody against enterotoxigenic

## 2 Escherichia coli, expressed as secretory IgA1 and IgA2 in plants.

## 3 (Running title: anti-ETEC secretory IgA antibodies)

4	Audrey Y-H Teh <sup>1a</sup> , Lisa Cavacini <sup>2a</sup> , Yue Hu <sup>3</sup> , Ozan S. Kumru <sup>3</sup> , Jian Xiong <sup>3</sup> , David T.
5	Bolick <sup>4</sup> , Sangeeta B. Joshi <sup>3</sup> , Clemens Grünwald-Gruber <sup>5</sup> , Friedrich Altmann <sup>5</sup> , Mark
6	Klempner <sup>2</sup> , Richard L. Guerrant <sup>4</sup> , David B. Volkin <sup>3</sup> *, Yang Wang <sup>2</sup> * and Julian K-C. Ma <sup>1</sup> *.
7	*Co-corresponding authors
8	<sup>a</sup> Co-first authors
9	
10	<sup>1</sup> Molecular Immunology Unit, Institute for Infection and Immunity, St. George's University
11	of London UK
12	<sup>2</sup> MassBiologics of the University of Massachusetts Medical School, Boston, USA.
13	<sup>3</sup> Vaccine Analytics and Formulation Center, Dept. of Pharmaceutical Chemistry, University
14	of Kansas, Lawrence, KS, USA.
15	<sup>4</sup> Division of Infectious Disease and International Health, University of Virginia School of
16	Medicine, Charlottesville, Virginia, USA
17	<sup>5</sup> Department for Chemistry, Division of Biochemistry, Universität für Bodenkultur Wien,
18	Vienna.

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#### ABSTRACT

Background. Passive immunisation with antibodies is a promising approach against
enterotoxigenic *Escherichia coli* diarrhoea, a prevalent disease in LMICs. The objective of this
study was to investigate expression of a monoclonal anti-ETEC CfaE secretory IgA antibody
in *N. benthamiana* plants, with a view to facilitating access to ETEC passive immunotherapy.

26 Methods. SIgA1 and SIgA2 forms of mAb 68-81 were produced by co-expressing the light 27 and engineered heavy chains with J chain and secretory component in N. benthamiana. Antibody expression and assembly was compared with CHO-derived antibodies by SDS-28 29 PAGE, western blotting, size-exclusion chromatography and LC-MS peptide mapping. Nlinked glycosylation was assessed by rapid fluorescence/mass spectrometry and LC-ESI-MS. 30 Susceptibility to gastric digestion was assessed in an *in vitro* model. Antibody function was 31 compared for antigen binding, a Caco-2 cell-based ETEC adhesion assay, an ETEC 32 haemagglutination inhibition assay and a murine in vivo challenge study. 33

Results. SIgA1 assembly appeared superior to SIgA2 in plants. Both sub-classes exhibited resistance to degradation by simulated gastric fluid, comparable to CHO-produced 68-61 SIgA1. The plant expressed SIgAs had more homogeneous N-glycosylation than CHO-derived SIgAs, but no alteration of *in vitro* functional activity was observed, including antibodies expressed in a plant line engineered for mammalian-like N glycosylation. The plant derived SIgA2 mAb demonstrated protection against diarrhoea in a murine infection model.

40 Conclusions. Although antibody yield and purification need to be optimised, anti-ETEC SIgA
41 antibodies produced in a low-cost plant platform are functionally equivalent to CHO
42 antibodies, and provide promise for passive immunotherapy in LMICs.

*Keywords:* Enterotoxigenic Escherichia coli, monoclonal antibody, secretory IgA, passive *immunisation, immunotherapy, Nicotiana benthamiana.*

#### **INTRODUCTION**

Enterotoxigenic *Escherichia coli* (ETEC) causes severe diarrhoea <sup>1</sup>, commonly in the first two
years of life <sup>2</sup>. With estimates of several hundred million cases of diarrhoea each year, mostly
in low and middle income countries (LMICs) <sup>3</sup>, ETEC is a leading cause of death among young
children, with an estimated mortality of 300-500,000 in children under 5 years <sup>4</sup>. ETEC is also
estimated to cause approximately 10 million episodes of travellers' diarrhoea each year <sup>5</sup>. A
systematic review indicated that ETEC was detectable in 30-40% of travellers with diarrhoea,
particularly in endemic regions <sup>6</sup>.

ETEC is transmitted by the oro-faecal route through contaminated water or food. The primary control strategy is prevention of transmission through building sanitation infrastructure and basic food and water hygiene measures. In adults, ETEC diarrhoea may be helped by a short course of antibiotics, but the development of antibiotic resistance is increasingly reported <sup>7, 8</sup>.

There is currently no commercial vaccine against ETEC. Vaccine development is challenging, 57 due to antigenic diversity, including two enterotoxins <sup>9</sup> and over 25 filamentous bacterial 58 surface structures known as colonisation factors and coli surface antigens <sup>10</sup>. A killed whole 59 cell vaccine (Dukoral®), primarily designed and licensed to prevent cholera, contains a 60 recombinant B subunit of the cholera toxin that is antigenically similar to the heat labile toxin 61 of ETEC and has been recommended by some <sup>11</sup>, but a Cochrane review of twenty four 62 randomised controlled trials did not provide sufficient evidence to support this intervention <sup>12</sup>. 63 Promisingly, protective immunity to ETEC has been demonstrated after both natural and 64 experimental infection. In endemic areas, ETEC infection declines after three years of age 65 suggesting acquisition of immunity <sup>13</sup>, and in human studies, subjects who recovered from 66 ETEC diarrhoea were protected against new infections with ETEC <sup>14</sup>. Vaccine strategies have 67 focused on eliciting anti-toxin antibodies and anti-colonisation factor immunity, as antibodies 68

against both targets can contribute to protection <sup>15, 16</sup>. As ETEC infections are confined to the
mucosal surfaces of the gut, it is generally considered that secretory IgA antibodies are likely
to play an important role in immune protection <sup>17</sup>. In a piglet ETEC model, monoclonal IgA
mixed into food was reported to prevent infection <sup>18</sup>.

73 CfaE is the minor subunit of CFA/I, one of the most important colonisation factors expressed by pathogenic ETEC strains <sup>19</sup> and is responsible for adhesion to host intestinal epithelium. 74 CfaE was previously shown to elicit protective antibodies that provided passive immunity 75 76 against infection in animals and humans<sup>20, 21</sup>. Recently, the development of a panel of 360 human monoclonal antibodies (mAb) against CfaE was reported <sup>22</sup>. Three of these that were 77 class-switched and expressed as SIgAs were further tested in a murine ETEC colonization 78 model, and demonstrated a 2-4 log decrease in colony formation in comparison to animals 79 treated with irrelevant SIgA controls. 80

With the aim of improving access to new SIgA products, in this study we explore the feasibility 81 82 of using anti-CfaE IgAs produced in plants, as oral immunotherapy for ETEC. Plants are increasingly attracting attention as a potential manufacturing platform for biologics like 83 monoclonal antibodies and vaccines <sup>23</sup>, particularly those that are primarily needed in 84 85 developing parts of the world. They offer important potential advantages, including low cost, massive scalability and rapid manufacture, as well as an opportunity to transfer technology to 86 establish new manufacturing capacity in less developed regions <sup>24</sup>. Several plant-made 87 antibodies have already entered clinical trials <sup>25, 26</sup>. Plants were also the first heterologous 88 expression system described for recombinant secretory IgA antibodies <sup>27</sup> and an early human 89 90 clinical trial using an orally delivered SIgA produced in transgenic tobacco has been reported 28 91

We selected the most potent anti-CfaE SIgA, 68-61 and manufactured this as recombinant
SIgA1 and SIgA2 in *Nicotiana benthamiana*. The use of glycoengineered plant expression

94 hosts has become standard in recent years to avoid glycoforms that are not usually found in 95 humans <sup>29</sup>, so the use of such engineered lines was investigated here. The objective was to 96 assess plant-produced SIgAs, comparing to SIgAs produced in CHO cells in respect to key 97 preliminary evaluations of structural analysis, functional analysis of antigen binding and 98 functional properties of SIgA.

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### **RESULTS**

101 <u>Plant secretory IgA assembly and identity.</u>

102 mAb 68-61 alpha1 or alpha2 heavy chain with kappa light chain, was expressed with human J 103 chain and secretory component by co-infiltration with the four relevant recombinant 104 agrobacterium strains in *N. benthamiana*. Two *N. benthamiana* lines were used, one with 105 unaltered (WT) plant glycosylation and another ( $\Delta$ XF) in which glycosylation is altered by 106 deletion of xylosyl- and fucosyl-transferases.

After five days, total plant leaf extracts were prepared and recombinant antibody was affinity 107 purified. The samples were analysed by non-reducing SDS-PAGE with silver staining and a 108 representative result is shown in Figure 1A. Purified SIgA1 and SIgA2 antibodies prepared in 109 CHO cell culture are shown for comparison. mAb 68-61 SIgA1 expressed in WT plants 110 contains a prominent band of the expected molecular size (arrow). Smaller prominent bands in 111 the Mr90-200K range are also detected, possibly representing assembly intermediates, which 112 are also present in the CHO preparation. In the plant antibody samples, there were also a 113 number of lower molecular weight bands (<Mr50K), which may represent degradation 114 products. The SIgA2 sample resolved similarly to SIgA1. When expressed in the  $\Delta XF$  plant 115 line the major SIgA1 antibody bands appeared to have faster mobility, possibly reflecting lower 116 molecular weight. Detection of SIgA2 expressed from the  $\Delta XF$  plant line was much reduced. 117

The overall size and aggregation profile under non-denaturing conditions were evaluated by SEC <sup>30</sup>. Each SIgA sample displayed a heterogeneous mixture of molecular weight species including protein at the expected molecular weight for SIgA as well as various lower molecular weight species. In addition, higher molecular weight material was observed in all samples indicating the presence of some polymeric or aggregated material (Suppl Figure S1).

123 The identity of the protein bands in SDS-PAGE was confirmed by western blotting using specific antisera against the alpha heavy chain (panel B) or secretory component (panel C). 124 Here, a commercial SIgA preparation purified from human colostrum (Sigma) was used as a 125 126 positive control (SIgA std). The high molecular weight band assumed to represent SIgA1 was confirmed to include alpha chain and secretory component (SIgA1  $\Delta$ XF). No distinct band was 127 observed at high molecular weight for plant SIgA2 (SIgA2  $\Delta$ XF). The probability that the 128 lower molecular weight bands observed in SDS-PAGE are assembly intermediates or 129 130 degradation products was supported by their detection in the western blot using both anti-alpha chain and anti-SC. An extract from an untransformed plant served as a negative control and 131 132 no cross-reactive proteins were identified (-ve plant extract).

133 LC-MS peptide mapping confirmed the presence of each of the polypeptide chains in the 134 purified SIgA1 and SIgA2 samples. The results indicated 88-99% coverage of the light chain 135 in SIgA1 and SIgA2 from both WT and  $\Delta$ XF plants, 60-72% coverage of the respective alpha 136 heavy chains, 48-88% coverage of the J chain and 41-61% coverage of the secretory component 137 (data not shown).

138 <u>Binding to cognate antigen.</u>

139 Recognition of specific ETEC CfaE antigen was determined by ELISA (Figure 2). ELISA 140 plates were coated with the MBP-CfaE antigen and after blocking, incubation was with the 141 four types of plant antibody (SIgA1 and SIgA2 from WT or  $\Delta$ XF plants). Detection of binding

was with anti-alpha, anti-kappa or anti-secretory component antisera. Here, the positive control
was CHO-derived dimeric mAb 68-61 IgA (dIgA), which gave a positive signal when tested
with anti-alpha and anti-kappa chain antisera, but not anti-SC antiserum as expected. The
negative controls were PBS and non-specific human colostral SIgA (HuIgA) which
demonstrated no binding to ETEC antigen. Antigen binding by all the plant SIgA1 and SIgA2
samples was demonstrated using all antisera. Inconsistent binding by anti-J chain antiserum
was detected (not shown), which has been reported previously by us and others <sup>27, 31</sup>.

The yield of SIgA antibodies was determined by capture ELISA, using a standard curve derived
from purified human colostral SIgA (not shown). Taking an average from three batches for
each antibody, the yields of purified antibody /kg of fresh leaf tissue were: SIgA1 (WT *N*. *benthamiana*) - 7.1 mg; SIgA1 (ΔXF *N*. *benthamiana*) - 8.7 mg; SIgA2 (WT *N*. *benthamiana*)
- 1.1 mg; and SIgA2 (ΔXF *N*. *benthamiana*) - 2.6 mg.

#### 154 <u>Glycoanalysis of CHO and plant-derived SIgA1 and SIgA2.</u>

A broad analysis of N-glycosylation in the CHO and plant-derived SIgA1 and SIgA2 mAbs 155 was performed using a rapid fluorescent/Mass spectrometry approach (Table 1). The results 156 demonstrated greater heterogeneity in the N-linked glycoform species from CHO derived 157 antibodies than those from tobacco, particularly those of the complex glycan types. Glycans 158 159 associated with SIgA1 and SIgA2 produced in the same host system were similar. As expected, some glycoforms were only associated with CHO manufacture and others with plant 160 161 expression. For the latter, as expected, the XA1, XM3 and XA2 N-linked glycoform species were identified in WT N. benthamiana produced antibodies, but they were virtually absent in 162 163  $\Delta XF N$ . benthamiana produced antibodies.

164 A more comprehensive glycoanalysis was performed of the WT and  $\Delta XF N$ . *benthamiana* 165 produced SIgA1 and SIgA2 antibodies, using LC-ESI-MS. In this analysis, N-glycosylation

sites on the alpha chains, J chain and secretory component were assessed quantitatively and 166 individually as well as a potential O-glycosylation site on the alpha1 chain. Alpha1 heavy 167 168 chains have two potential N-glycosylation sites and alpha2 heavy chains have four; J chain has one potential N-glycosylation site; and SC has five. The results were consistent with the 169 findings from the Rapi-Fluor preliminary analysis. In addition, the analysis demonstrated that 170 in the plant produced SIgA1 antibodies, all potential N-glycosylation sites were occupied on 171 172 the heavy and J chains, but no glycans could be detected associated with glycosites 1, 3 and 4 in secretory component (Suppl. Figure S2). Glycosite 2 on the alpha1 chain was ~30% non-173 174 glycosylated, suggesting reduced accessibility of this site, compared with glycosite 1. The major glycoforms are shown, with a preponderance of xylosylated (XA1) and xylosylated and 175 fucosylated (FXA1, FXA2) glycoforms on the alpha chain and secretory component. In the 176  $\Delta XF N$ . benthamiana produced antibodies, the results support the elimination of xylosylation 177 and a significant knock-down of fucosylation. 178

The N-glycan profile of plant produced SIgA2 was very similar to SIgA1 (Suppl. Figure S3). 179 Glycosylation was not detected on the alpha2 chain at glycosites 1 and 3. Glycosites 2 and 4, 180 were modified almost identically to alpha1 chain glycosites 1 and 3 respectively. Interestingly, 181 the glyco-engineering observed in the  $\Delta XF$  plant host was highly consistent, resulting in 182 virtually the same glycan changes in alpha1 and alpha2 chains. J chain was glycosylated very 183 similarly in SIgA1 and SIgA2 with the majority of glycoforms of the high mannose type. For 184 SC, glycosylation at glycosites 1, 3 and 4 was not detected. Site 2 was glycosylated but only at 185 the limit of detection in our system, so detailed information is not provided. Glycosite 5 was 186 the only site where clear data was obtained, and like J chain there was no difference between 187 SIgA1 and SIgA2. For both J chain and SC, the effect of glyco-engineering in  $\Delta XF$  plants was 188 identical. 189

190 The proline residues of the O-glycosylation site of SIgA1 were partially oxidized to 191 hydroxyprolines, which themselves were partially occupied by arabinose chains of varying 192 length (Suppl. Figure S4). A relatively complex profile of peptide variants was present for the 193 hinge region peptide of SIgA1 with no obvious difference between the plant wild type and  $\Delta XF$ 194 *N. benthamiana* produced antibodies.

195 <u>Susceptibility of SIgAs to degradation under *in vitro* gastric digestion conditions.</u>

196 CHO and plant produced SIgA1 and SIgA2 mAbs were subjected to pepsin digestion at pH 3.5 197 in modified simulated gastric fluid. Antibody degradation was measured by a cfaE antigen 198 binding ELISA (Figure 3). For the CHO produced mAbs, SIgA1 appeared to retain more 199 antigen binding ability after approximately 15 minutes digestion with pepsin, compared to 200 SIgA2, but there were no notable differences after 100 minutes. For the WT and  $\Delta$ XF *N*. 201 *benthamiana* produced antibodies, there were no notable differences in the digestion profiles 202 of SIgA1 and SIgA2, which were both similar to the CHO SIgA1.

### 203 <u>In vitro functional efficacy</u>.

The functional activity of different mAb 68-61 preparations was compared using an ETEC adhesion assay with Caco-2 cells (Table 2). The minimum dose for 60% inhibition of cell adhesion was in the sub-microgram/ml levels for all samples. There was no notable difference between SIgA1 and SIgA2 samples and the plant antibodies performed as well as the CHO-produced antibodies.

A mannose-resistant haemagglutination assay of human erythrocytes was also performed with similar results. The minimum dose for 100% inhibition of ETEC induced haemagglutination was also in the sub-microgram/ml range and there were no differences between any of the SIgA antibody samples.

213 In both assays, there was no activity for irrelevant antibody controls.

#### 214 In vivo protection against ETEC challenge.

The protective efficacy of CHO-produced and plant-produced SIgA2 was next tested in a 215 murine infection model. 10<sup>9</sup> colony forming units of ETEC strain H10407 were incubated with 216 217 antibodies or PBS for one hour, before being administered in 100 µl volume by oral gavage to groups of eight C57BL/6 mice. The readout was onset of diarrhoea by 7 days post-infection 218 and the results are shown in Figure 4. In the three groups where CHO-produced or plant-219 produced antibodies 68-61 SIgA mAbs were used, only 37.5% of animals developed diarrhoea 220 and there was no difference between groups. In the control group, where no mAb was added, 221 a significantly higher number, 75% of the mice developed diarrhoea ((p<0.0001; two-tailed 222 binomial test). None of 12 non-challenged mice developed diarrhea. 223

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#### DISCUSSION

Passive immunisation with anti-ETEC antibodies has been demonstrated in animal models <sup>32</sup> and human volunteers <sup>21</sup>. In the latter Phase I study, antibodies directed against the CFA/I minor pilin subunit (CfaE) protected against ETEC challenge, demonstrating that fimbrial tip adhesins are protective antigens. Importantly, the hyperimmune bovine IgG antibodies were delivered by the oral route, three times/day for one week, starting two days prior to ETEC challenge, so these findings opened the way for development of improved and affordable orally delivered products.

For oral delivery, IgG antibodies are not optimal owing to their susceptibility to degradation at mucosal surfaces <sup>28</sup>. Secretory (S)IgA antibodies are preferred. They are the major naturally occurring form of antibodies in mucosal secretions with specific adaptations for the mucosal environment. In humans, IgA exists as two subclasses, IgA1 and IgA2 both of which can assemble further into SIgA1 and SIgA2 respectively <sup>33</sup>. Both are found in the gastrointestinal tract <sup>34</sup>.

These two IgA subclasses have arisen through gene duplication, and hence share considerable sequence similarity. The major structural difference is in the hinge region, where IgA1 features an extended hinge comprising two copies of an 8 amino acid sequence, decorated with up to 6, O-linked oligosaccharides <sup>35</sup>. The longer IgA1 hinge may be an adaptation to enable higher avidity engagement with widely spaced antigens <sup>36</sup>, but it also increases susceptibility to proteolytic attack <sup>37</sup>.

The extended hinge of IgA1 may not be the only important consideration in selecting antibody format. Other significant differences exist between IgA1 and IgA2, such as the extent of noncovalent binding of SC to IgA2 <sup>38</sup> which may explain the difficulty that has consistently been experienced in expressing and purifying SIgA2. The different binding of SC to IgA1 and IgA2 was also shown to affect proteolytic degradation.

In this study, we compared SIgA1 and SIgA2 versions of the same anti-ETEC antibody produced in CHO and *N. benthamiana* platforms. In *N. benthamiana*, the yield (1-9 mg/kg fresh leaf weight) was consistent with that of a human SIgA reported previously <sup>31</sup>. IgG mAbs however, can be expressed at yields of 400 mg/kg fresh leaf weight <sup>39</sup>, so further work is required to optimise expression of these anti-ETEC SIgAs in *N. benthamiana*.

The results suggest that the plant produced SIgA antibodies are similar to antibodies expressed 254 in CHO cells, with no differences in antigen recognition and binding, as expected. However, 255 some differences were noted and opportunities for improvements in product quality were 256 identified. For example, increased low molecular weight impurities in the plant samples needs 257 to be addressed with optimised purification procedures to better remove trace proteases <sup>40</sup>. In 258 plants, SIgA1 assembly appeared superior to that of SIgA2, particularly in the  $\Delta XF$  plant line, 259 although ELISA assays indicated the presence of fully assembled SIgA in both SIgA1 and 260 SIgA2 samples. The apparent difference between SIgA1 and SIgA2 assembly has been 261 reported previously <sup>38</sup>, and several factors could be involved. Differences in SC interactions 262

with  $\alpha 1$  and  $\alpha 2$  heavy chains have been discussed above. There are also amino acid sequence differences between  $\alpha 1$  and  $\alpha 2$  chains throughout the constant region domains. Indeed, comparing the heavy chain sequences used here, there were 7 amino acid differences in the C $\alpha 1$  domain and 10 amino acid differences in the C $\alpha 2$  domain. The C $\alpha 3$  was identical, but the possibility that amino acid changes could result in cryptic targeting sequences affecting protein assembly, accumulation or stability needs to be addressed further.

There was little functional difference between SIgA1 and SIgA2 either in the Caco2 cell adhesion assay or the haemagglutination assay. The SIgA2 was selected for the mouse challenge study because it is potentially a better clinical candidate with respect to stability and resistance to degradation in the gut environment. It demonstrated equivalent protection to that provided by CHO-produced SIgA2.

We also produced secretory mAbs in a glycoengineered *N. benthamiana* line <sup>29</sup>, the rationale for which was the avoidance of  $\beta$ 1-2 xylose and  $\alpha$ 1-3 fucose, which are non-human glycoforms. N-glycan analysis of the antibodies produced in  $\Delta$ XF plants demonstrated a consistent elimination of xylosylation and virtually elimination of fucosylation. Importantly, neither of these two N-glycan modifications had any significant effect on antibody expression, biochemical analysis or protective efficacy.

280 Differences in glycosylation might also affect protein assembly efficiency and/or susceptibility 281 to degradation. The overall glycan composition was identified with the unexpected finding that 282 sialylated complex glycans were not observed in the CHO 68-61 SIgAs. Other CHO produced 283 SIgAs have been reported to be sialylated <sup>30</sup> and sialylation can affect serum IgA mediated 284 effector functions <sup>41</sup>, so this result merits further study. Sialylation is not found in plants, 285 although the pathway can be engineered <sup>42</sup>. A more detailed site specific analysis of the plant 286 antibodies was performed.  $\alpha$ 1 heavy chains are commonly glycosylated at two sites whilst  $\alpha$ 2 heavy chains are usually glycosylated at four. In this study,  $\alpha 1$  heavy chain N-glycosylation sites were fully occupied, whereas only  $\alpha 2$  heavy chain N-glycosylation (sites 2 and 4) appeared to be utilised. Furthermore, in contrast to our previous report with a different SIgA where 6 of the 7 potential N-glycosylation sites on SC were occupied, only 2 glycosites were found on SC in mAb 68-61 SIgA1 and SIgA2. An important role of SC is to protect dimeric IgA from proteolytic degradation <sup>43</sup> so resolving this discrepancy might also be a priority for future work.

a1 heavy chains also contain O-linked glycans. Three to six mucin-type O-glycans are
commonly attached to the nine potential O-glycosylation sites in the hinge region of human
IgA1 <sup>44</sup>. Our results confirmed the presence of typical plant-like O-glycosylation on all IgA1
samples, consisting of hydroxylated proline residues with attached arabinose residues. Thus it
is also possible that assembly and stability of SIgAs is affected by O-linked sugars.

299 Conclusive evidence supporting the choice of either SIgA1 or SIgA2 for mucosal passive 300 immunisation remains to be determined, but the ultimate goal of preventing ETEC disease in 301 newly born children by passive oral immunisation with specific monoclonal secretory 302 antibodies may now be achievable. CHO cell-based manufacture of SIgAs is feasible, but it is 303 unlikely that the CHO platform could ever be economically viable for an orally-delivered 304 product, particular one targeted at neonates in LMICs <sup>45</sup>. Other groups that might benefit from 305 a short-term use of orally delivered SIgA are travellers or military personnel.

This study indicates that protective anti-ETEC SIgA1 and SIgA2 antibodies can both be produced by *N. benthamiana*, and whilst further work is needed to consider best antigenic targets, the possibility of combining mAbs, to optimise alpha chain constant region sequences, maximise yields and establish more efficient extraction and purification processes, this would be a requirement for any expression system. A long-term aspiration, requiring more regulatory

development, would be to express anti-ETEC secretory antibodies in edible plants, allowing direct administration by the oral route, as has been demonstrated by vaccine delivery using corn and potatoes for diarrheal and other diseases <sup>46, 47</sup>. This would simplify extraction and downstream processing, steps that are generally regarded as the major contributors to cost of goods <sup>48, 49</sup>.

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## MATERIALS AND METHODS

### 318 Anti-ETEC mAb 68-61 gene constructs

The heavy and light variable region genes of mAb 68-61 were codon optimized for Nicotiana, 319 synthesized (Geneart, USA) and cloned into pDONOR-based plasmids between a human Ig 320 321 heavy chain leader sequence and human alpha 1 or alpha 2 constant region, or a human light chain leader sequence and human kappa chain constant region. Full length heavy and light 322 chain genes were sub-cloned into MIDAS entry vectors, containing the CaMV 35s double 323 promoter before being combined into the pTRAK.6 destination vector. A pTRAK.6 vector for 324 both IgA1 and IgA2 was prepared, and introduced into Agrobacterium tumefaciens strain 325 326 GV3101 PMP90/RK by electroporation.

327 Genes encoding human secretory component (SC) and J chain were synthesized and cloned 328 into separate pEAQ-HT vectors<sup>2</sup>. The pEAQ-HT vectors were electroporated into 329 *Agrobacterium tumefaciens* strain LBA4404.

#### 330 Vacuum infiltration with N. benthamiana

Agrobacteria containing appropriate constructs were grown overnight at 28°C in Lysogeny-Broth (LB), 100 $\mu$ g/mL rifampicin, 50 $\mu$ g/mL carbenicillin and 5  $\mu$ g/mL kanamycin for MIDAS constructs and 100  $\mu$ g/mL rifampicin and 50  $\mu$ g/mL kanamycin for pEAQ-HT constructs. After centrifugation, the bacterial pellet was resuspended to OD<sub>600</sub> with Infection Solution (IS; 0.01 mM MES and 0.01 mM MgCl<sub>2</sub>). The agrobacteria was introduced at a 2:4:1 (alpha/kappa:J:SC) ratio into 6-8 week wild-type (WT) or glycoengineered  $\Delta$ XF *Nicotiana benthamiana* <sup>50</sup> by vacuum infiltration <sup>51</sup>. Plants were further grown in a controlled environment room at 25°C with 16/8 hour light/dark cycle.

## 339 Protein purification

- 340 Vacuum infiltrated leaves were harvested after 6 days. Leaf extracts were prepared with 3
- volumes of PBS (pH8.0) with 0.1% Tween 20. Clarified crude extracts were purified using
- 342 Capto-L<sup>TM</sup> (GE Healthcare, USA) column. After washing, the protein was eluted with 0.1 M
- 343 glycine-HCl (pH2.7) and neutralized with 1M Tris-HCl (pH9.0). The antibody was dialysed
- against PBS 0.01% Tween 20 (Slide-A-Lyzer 100kDa; Thermo Scientific, USA) and
- 345 concentrated using Amicon Ultra-15 (molecular cutoff 100kDa; Milipore, Ireland). The
- 346 concentrations of purified antibodies were determined by ELISA.

#### 347 PAGE gel and Western blot

Purified SIgAs were resolved on a NuPage 3-8% Tris Acetate gel (Life Technologies, UK) and 348 stained with InstantBlue (Expedeon, UK). For the silver stained gel, samples were separated 349 350 on 10% NuPAGE Bis-Tris gels (Life Technologies) and visualized using a silver stain kit (Thermo-Fisher). For Western blots, resolved gels were blotted onto nitrocelullose membrane 351 (GE Healthcare, USA) and detected using 1:2500 goat anti-alpha chain-HRP (Sigma, USA), 352 353 1:2500 sheep anti-kappa chain-HRP (The Binding Site, UK), or 1:1000 mouse anti-SC (Sigma, USA) antisera, followed by 1:2000 IRDye® 800CW goat anti-mouse IgG (LI-COR 354 Biosciences, USA) or 1:1000 rabbit anti-J chain (Sigma, USA) antisera followed by 1:2000 355 356 anti-rabbit-HRP antiserum (The Binding Site, UK). Human colostrum SIgA (Sigma, USA) was used as positive control. Detection was performed using the ECL Prime system (Pierce, USA) 357 and visualized using G:Box F3 (Syngene, UK). 358

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#### 360 Immunosorbent assays

361 For antibody characterization, 2 ug/mL MBP-CfaE was coated on ELISA plates overnight at 4°C. After blocking with 1% BSA in PBS/0.1% Tween 20, purified antibody samples were 362 incubated with appropriate controls. Bound antibodies were detected with 1:1000 goat anti-363 alpha chain-HRP (Sigma, USA), 1:1000 sheep anti-kappa chain-HRP (The Binding Site, UK), 364 1:1000 mouse anti-SC (Sigma, USA) antisera, followed by 1:1000 goat anti-mouse IgG-HRP 365 (Sigma, USA) or 1:1000 rabbit anti-J chain (Sigma, USA) antisera, followed by 1:1000 anti-366 rabbit-HRP antiserum (The Binding Site, UK) followed by visualization with TMB substrate. 367 For antibody quantification, a similar assay was performed. ELISA plate coating was with 368 1:200 of mouse anti-SC antiserum (Sigma, USA) and detection was with 1:1000 sheep anti-369 kappa-HRP (The Binding Site, UK). Purified SIgA from human colostrum (Sigma, USA) was 370

371 used to derive a standard curve.

#### 372 *LC-MS Peptide Mapping*

LC-MS peptide mapping was performed as described elsewhere <sup>30</sup>. Briefly, 50  $\mu$ L of 1 mg/mL mAb samples were reduced and denatured with 3  $\mu$ L of 0.5M DTT and 10  $\mu$ L of 6 M guanidine hydrochloride, then alkylated with IAM prior to overnight trypsinization (~1:25 enzyme:mAb ratio) at 37°C. After trypsin inactivation the samples were treated with PNGase F (New England BioLabs, Ipswich, MA). Prior to LC-MS, 0.05% (v/v) trifluoroacetic acid was added, and samples were centrifuged for 5 min at 14,000 x g.

The peptides were separated by reversed phase UHPLC (Thermo Scientific) using a C18 column (1.7 $\mu$ m, 2.1 x 150 mm, Waters Corporation). Mass spectrometry analysis was performed using a LTQ-XL ion trap (Thermo Scientific) and Xcalibur v2.0 software (Thermo Scientific)<sup>30</sup>. 383

### 384 N-Glycan Oligosaccharide Analysis

N-Glycan oligosaccharide analysis was performed <sup>30</sup> using the GlycoWorks RapiFluor-MS N-385 Glycan Kit (Waters Corporation, Milford, MA). Fluor-MS N-glycan analysis was performed 386 using an Agilent 1260 Infinity II HPLC system equipped with a 1260 FLD detector and an 387 Agilent 6230 electrospray ionization Time-of-Flight mass spectrometer (Agilent, Santa Clara, 388 CA). A HILIC AdvanceBio Glycan Mapping column (120 Å, 2.1 x 150 mm, 2.7 µm), operated 389 at 45°C, was used to separate various N-glycans. Fluorescence was obtained using excitation 390 and emission wavelengths of 265 and 425 nm, respectively. MS was acquired simultaneously 391 from 400 to 2000 m/z at a constant scan rate of one spectrum per second. N-glycans were 392 assigned based on m/z values using a N-glycan database (Water/NIBRT Glycan 3+) and N-393 glycan quantification was calculated on integration of the fluorescence chromatogram. 394

395 Site specific glycosylation analysis was also performed as described previously  $5^{2}$ .

## 396 Small scale, in-vitro model of gastric digestion and cfaE ELISA

The *in vitro* gastric digestion model to examine mAb stability was performed as described previously <sup>30</sup>, using simulated gastric fluid (94 mM NaCl, 13 mM KCl, 0.15 mM CaCl2 with 10 mM citrate-phosphate buffer pH 3.5). The reaction was started with 2000 U/mL pepsin (Sigma, US) and incubation was at 37°C for varying amounts of time. The reactions were neutralized by addition of 0.4 M NaOH and diluted to 1 µg/mL in ELISA blocking buffer (1% BSA in PBS) and stored at -20°C. ELISA was performed as described previously <sup>22</sup>.

## 403 CHO 68-61 SIgA and dIgA antibody production and characterization

404 68-61 SIgA2 antibody was produced and characterized in CHO cells as previously described
 405 <sup>22</sup>. Antibody was purified by CaptoL resin (GE Life Sciences) followed by size exclusion
 406 chromatography (HiLoad 26/600 Superdex 200 pg size exclusion column; GE Life Sciences).

#### 407 *Mannose resistant hemagglutination assay of human group A erythrocytes.*

In a U-bottom 96-well plate (Nunc Thermo Scientific) SIgA antibodies were serially diluted
1:2 in duplicate and an equal volume of H10407 ETEC (ATCC35401) at an OD600nm of 1,
was added to each well with 0.1M D-mannose solution (Sigma, USA). After a 10 minute
incubation at room temperature, human erythrocytes type A+ (BioreclamationIVT) were added
to the plate at a final concentration of 1.5% (vol/vol) and mixed well. Hemagglutination was
measured after two hours at 4°C.

### 414 Analytical Size Exclusion Chromatography

SEC was performed as described <sup>30</sup>, using a Shimadzu Prominence ultra-fast liquid chromatography HPLC system. 10  $\mu$ L of mAb (10  $\mu$ g total protein) was injected and separated by a TSKgel G4000SWXL column (8  $\mu$ m particle size, 7.8 mm ID × 30 cm) with the corresponding guard column operated at ambient temperature (Tosoh Biosciences) using a 30minute run time. Gel filtration molecular weight standards (Bio-Rad, Hercules, CA) were injected as controls. Data were analyzed using LC-Solutions software (Shimadzu, Kyoto, Japan).

#### 422 *Caco-2 adhesion assay.*

423 ETEC bacteria grown on CFA agar were resuspended to an OD690nm of 0.1. Caco-2 cells were seeded at 1 x 10<sup>5</sup> cells/mL in 24-well tissue culture plates containing Dulbecco's modified 424 Eagle's medium at 37°C in 5% CO<sub>2</sub>. Antibody dilutions and bacteria were combined in a 1:10 425 426 ratio and incubated for one hour at room temperature with shaking (300rpm), after which 0.2 mL of antibody/bacteria mixture was added to Caco-2 cells. The plates were incubated for 3 427 hours at 37°C and the cells washed to remove non-adherent ETEC cells. Caco-2 cells were 428 429 dislodged with 0.25% trypsin, collected via centrifugation and resuspended in PBS. Dilutions were plated on CFA agar plates and colonies counted the next day. IC50 was defined as 430

431 concentration of antibody needed to inhibit 50% of ETEC adhesion to the Caco-2 cells,432 compared to an irrelevant isotype antibody.

### 433 *Murine model testing in vivo.*

Animal husbandry. The murine study according to recommendations in the Guide for the Care
and Use of Laboratory Animals of the National Institutes of Health. The protocol was approved
by the Committee on the Ethics of Animal Experiments of the University of Virginia (Protocol
Number: 3315) in accordance with the Institutional Animal Care and Use Committee policies
of the University of Virginia. All efforts were made to minimize suffering. Mice were male,
28 days old, C57BL/6 strain (Jackson Laboratories, ME), and maintained on a standard rodent
diet (Harlan).

Following a short acclimation period, the mice were given gentamicin (35mg/L), vancomycin
(45mg/L), metronidazole (215mg/L), and colistin (850U/ml) in drinking water for three days
<sup>53</sup>. The mice were then given untreated water for 1 day, followed by a single oral challenge by
gavage of ETEC.

Antibody treatment and ETEC infection. ETEC (H10407) cultures were grown from glycerol

446 stocks in DMEM at 37°C in a shaking incubator <sup>54</sup>. Infected mice received an inoculum ~ $1x10^9$ 447 ETEC in 100 µL (90 µL antibody - approx. concentration 3mg/ml, or PBS + 10 µL DMEM);

448 controls received  $100 \,\mu\text{L}$  of PBS alone.

5 groups (n=8) were: Uninfected, ETEC+PBS, ETEC+CHO SIgA, ETEC+WT SIgA2, and
ETEC+ΔXF SIgA2. The mice were euthanized on day 7 after infection.

451

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461

### 462 <u>Author Contributions:</u>

JM, AT, LC, YW and DV provided substantial contributions to the conception of the work. All
authors substantially contributed to the acquisition, analysis or interpretation of data for the
manuscript and drafting, revising and critically reviewing the manuscript for important
intellectual content.

467

468 **Disclosure / Conflict of Interest:** 

469 None.

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614

#### 616 **Figure and Table legends**

#### 617 Figure 1: Comparison of human ETEC 68-61 SIgA1 and SIgA2 prepared in CHO cells

618 <u>or plants.</u> Non-reduced samples were separated by SDS-PAGE. A) Silver stained 619 polyacrylamide gel separating 2 or 5  $\mu$ g of total protein per lane; B) Western blot of plant 620 produced 68-61 SIgA1 and SIgA2. Detection with HRPO-labelled sheep anti-human alpha 621 chain serum and DAB; C) Western blot of plant antibodies and detection with mouse anti-622 secretory component serum, and fluorescein-labelled anti-mouse IgG serum. SIgA1 or SIgA2 623 were produced in CHO cells, wild-type (WT) or  $\Delta$ XF tobacco as indicated. SIgA std is a 624 polyclonal SIgA preparation from human colostrum. Arrows depict putative SIgA bands.

Figure 2: Binding of anti-ETEC 68-61 SIgAs to MBP-CfaE antigen. Individual components of the SIgAs were detected by either anti-alpha chain, anti-kappa chain, or anti-Secretory Component antiserum. 1ug/mL anti-ETEC dimeric IgA (Mass. Biologics) was used as positive control. PBS and non-specific human colostral SIgA (HuIgA) were used as negative controls. Plant extracts were loaded at 4-fold dilutions. Results are shown as mean+sd of triplicate assays.

#### 631 Figure 3: *In vitro* gastric digestion model showing stability profiles of SIgA1 and SIgA2

**632 produced in CHO cells and** *Nicotiana benthamiana*. Comparison of cfaE-antigen binding **633** for anti-cfaE mAbs (SIgA1 and SIgA2) after incubation in an *in vitro* gastric digestion model **634** as measured by ELISA. The SIgA mAbs were produced in (A) CHO cells, (B) WT, and (C) **635**  $\Delta$ XF *N. benthamiana*. The relative percent of cfaE antigen binding remaining for each mAb **636** was normalized to time zero binding. Each data point is displayed as the mean ± the data range; **637** n = 2.

Figure 4: In vivo protection against ETEC challenge. Groups of 8 mice were inoculated
with ~1x10<sup>9</sup> *Escherichia coli* (H10407) mixed with 68-61 SIgA2 produced in *N. benthamiana*

WT, ΔXF SIgA2 or CHO cells, or PBS only. In addition, a group of 12 mice were untreated
and not infected. The percentage of mice developing diarrhea within 7 days is shown. Diarrhea
was defined as unformed or watery stools occurring on any day of daily observations in each
mouse.

## 646 Table 1: Summary of N-glycosylation identification of 68-61 SIgA1 and SIgA2 produced

647 in CHO, *N. benthamiana* and ΔXF *N. benthamiana* as measured by LC-MS glycan
 648 analysis. Check marks indicate observed N-glycosylation species from each individual SIgA
 649 sample. N-glycans are given according to the Consortium for Functional Glycomics notation;
 650 the Oxford glycan nomenclature was used for the abbreviations.

Oxford Notation name	CFG	Other possible isomers	CHO sigA1	CHO sigA2	Tobacco WT sigA1	Tobacco WT sigA2	Tobacco ΔXF sigA1	Tobacco ΔXF slgA2
FA3G1	d ∎ ∎ ∎		✓	~				
FA1	<b>⊨</b> <b>}</b> ==		~	~				
A2	₽	A1B	~	~		~	~	~
A1	<u>⊨</u> }==}	M3B			~	~	~	~
FA2		FA1B, FM3A2	✓	~				
FA3		FA2B	✓	~				
A3		A2B	~					
FA2G1	₀ ₽ ₽	FA1BG1	~	~				
A2G2	<mark>०=०</mark> ०=०	A2G1Ga1	✓	~				
FA2G2		FA2G1Ga1, FA1G1BGa1	~	~				
FA3G3		FA2BG2Ga1		~				
A4	<b>}</b>	A3B	✓	~				
XM3	★⋛■■⋛				~	~		
XA1	<b>★</b> ⋛ <b>⋼⋼</b> ⋛				~	~		
XA2	∎-0 ★-0 ■-0				~	~	~	~
M3	<b>}==</b> }					~	~	~
M4A1	° ₽	A1G1				✓	~	✓
M5	⋧⊷		✓	~	~	~		
M5A1	<u>⊨</u> }==}	A1G1Ga1, M4A1G1			~	~	~	~
M6	6 <b>3 </b> }		~	~	~	~	~	~
M7	4 <b>3</b>		~	~	~	~	~	~
M8	× ••••		~	~	~	~	~	~
M9	~~}==}		~	~	✓	✓	~	✓

652 <u>Table 2: In vitro activity against ETEC of 68-61 SIgA1 and SIgA2 produced in CHO, N.</u>

653 *benthamiana* and  $\Delta XF N$ . *benthamiana*. The minimum dose for 60% inhibition of ETEC 654 adhesion to Caco-2 cells is shown on the left side; the minimum dose for 100% inhibition of 655 ETEC haemagglutination is show on the right. Results are the mean of a minimum of three 656 experiments.

657

	Caco2 Cell Ac	lhesion Assay	Haemagglutination Assay		
	(Min. dose for 60% inhibiton - μg/mL)		(Min. dose for 100% inhibition - $\mu$ g/mL		
	SIgA1	SIgA2	SIgA1	SIgA2	
Tobacco WT	0.15	0.22	0.156	0.078	
Tobacco $\Delta XF$	0.07	0.15	0.078	0.156	
СНО	0.17	0.11	0.156	0.156	
Non-specific - ve control	Not detectable	Not detectable	>1.25	>1.25	

#### 659 Supplemental figures

660 <u>S1: Analytical size exclusion chromatography.</u> Representative size exclusion 661 chromatograms of sIgA1 (A-D) and sIgA2 (E-G) produced in CHO (B, F), wt Tobacco (C, G) 662 and  $\Delta$ XF Tobacco (D, H). Elution time of gel filtrations standards are indicated on the top of 663 every graph.

#### 664 S2: N-glycan analysis of SIgA1 produced in WT and ΔXF N. benthamiana: Glycans

- associated with N-glycosylation sites 1 and 2 of the alpha heavy chain, J chain and SC
- 666 component site 5 are shown for tobacco produced SIgA1. Numbers represent percentage of
- total glycan composition. The Oxford glycan nomenclature was used for glycan
- 668 abbreviations.

#### 669 S3: N-glycan analysis of SIgA2 produced in WT and ΔXF N. benthamiana: Glycans

- associated with N-glycosylation sites 2 and 4 of the alpha1 heavy chain, J chain and SC
- 671 component site 5 are shown for tobacco produced SIgA1. Numbers represent percentage of
- total glycan composition. The Oxford glycan nomenclature was used for glycan
- 673 abbreviations.

abbreviations.

#### 674 **<u>S4: O-glycan analysis of SIgA1 produced in WT and** XF *N. benthamiana:* Relative</u>

- 675 proportions of glycoforms associated with IgA1 O-glycosites. The mass spectrometry
- 676 spectrum is shown below. For quantification, the peak areas of EICs (Extracted Ion
- 677 Chromatograms) of the first four isotopic peaks were summed, using the quantification
- 678 software Quant Analysis (Bruker). The Oxford glycan nomenclature was used for glycan

680

# Teh et al., 2020; Figure 1:



## C) Anti – SC B) Anti- $\alpha$ chain SIgA1 SIgA2 -ve ΔXF ΔXF plant extract SIgA1 SIgA2 -ve ΔXF ΔXF plant extract SIgA std SIgA std 250 — — 250 150 — -150100 — -10075 -— 75 50 — - 50 37 — — 37



## *<u>Teh et al., 2020; Figure 3:</u>*





## Size Exclusion Chromatography analysis of SIgAs



## Suppl. Data S2: N-glycan analysis of WT and $\Delta$ XF SIgA1 produced in *N. benthamiana*



Suppl. Data S3: N-glycan analysis of WT and  $\Delta$ XF SIgA2 produced in *N. benthamiana* 



## Suppl. data S4

# O-glycan analysis of WT and dXF SIgA1 produced in N. benthamiana

	SlgA1_wt	SIgA1_DXF
not glyc	39.29	49.69
1 Arabinose	5.60	4.99
2 Arabinose	6.46	4.81
3 Arabinose	9.92	7.23
4 Arabinose	9.37	6.90
5 Arabinose	7.65	5.32
6 Arabinose	8.24	7.16
7 Arabinose	5.31	5.05
8 Arabinose	2.59	2.39
9 Arabinose	2.49	2.41
10 Arabinose	1.85	2.45
11 Arabinose	0.61	0.90
12 Arabinose	0.62	0.71



#### IgA o-site Peptide: HYTNPSQDVTVPCPVPSTPPTPSPSTPPTPSPSCCHPR Mass: 4136.8899 Da