# TLR9 mediated tumour-stroma interactions in human papilloma virus (HPV)-positive head and neck squamous cell carcinoma up-regulate PD-L1 and PD-L2

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8 *Running title: TLR9 and PD-1/PD-L1/PD-L2 pathways in HNSCC* 9

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#### 26 Abstract

**Background:** The co-inhibitory receptor PD-1 is expressed in many tumours including head and neck squamous cell carcinoma (HNSCC) and is an important immunotherapy target. However, the role of PD-1 ligands, PD-L1 and particularly PD-L2, in the tumour-stromal cell interactions that cause a tumour-permissive environment in HNSCC is not completely understood and is the focus of our study.

Methods: Expression of PD-L1 and PD-L2 was analysed by immunohistochemistry *in situ* in HNSCC tumour tissue. Co-cultures were established between stromal cells (fibroblasts and macrophages) and human papilloma virus (HPV)-positive and HPVnegative HNSCC cell lines (HNSCCs) and PD-1 ligands expression was analysed using flow cytometry.

37 Results: PD-L1 and PD-L2 were expressed both in tumour cells and stroma in 38 HNSCC tissue in situ. In vitro, basal expression of PD-L1 and PD-L2 was low in 39 HNSCCs and high on fibroblasts and macrophages. Interestingly, HPV-positive but 40 not HPV-negative HNSCCs increased the expression of both PD-1 ligands on 41 fibroblasts upon co-culture. This effect was not observed with macrophages. 42 Conversely, both fibroblasts and macrophages increased PD-1 ligands on HPV-43 positive HNSCCs, whilst this was not observed in HPV-negative HNSCCs. Crucially, 44 we demonstrate that up-regulation of PD-L1 and PD-L2 on fibroblasts by HPV-45 positive HNSCCs is mediated via TLR9.

46 **Conclusions:** This work demonstrates in an *in vitro* model that HPV-positive 47 HNSCCs regulate PD-L1/2 expression on fibroblasts via TLR9. This may open novel 48 avenues to modulate immune checkpoint regulator PD-1 and its ligands by targeting 49 TLR9.

#### 51 Introduction

52 Advanced stage head and neck squamous cell carcinoma (HNSCC) is treated with a 53 combination of surgery, radiotherapy and chemotherapy with considerable morbidity 54 and mortality. A significant advance in knowledge was the discovery that the 55 oncogenic human papilloma virus (HPV, subtypes 16 and 18) associates with 60-70% 56 of oro-pharyngeal cancer, a common HNSCC (Venuti et al, 2004). Additionally, the observation that HPV-positive HNSCC patients have a better prognosis than those 57 58 with HPV-negative HNSCC raises interesting questions about the immune response 59 to the HPV-antigen in HPV-positive HNSCC.

60 Circulating T cells specific for HPV with anti-tumour activity have been identified in 61 patients with HNSCC by us and others (Albers et al, 2005; Baruah et al, 2012), indicating an immune response to HPV virus. However HNSCC develops and 62 63 progresses in spite of this because tumour antigen-specific T cells fail to eliminate the 64 tumour. We have previously shown that circulating HPV-antigen specific CD8<sup>+</sup> T 65 cells in patients with p16-positive HNSCC express high levels of the co-inhibitory receptor programmed cell death-1 (PD-1) (Baruah et al, 2012), which may 66 compromise their cytotoxic function (Ahmadzadeh et al, 2009). PD-1 is expressed by 67 activated T cells following antigen encounter and it limits the proliferation and 68 69 cytokine production of effector T cells (Okazaki et al, 2013; Nishimura et al, 1999). 70 The inhibitory actions of PD-1 are triggered by interaction with its ligands, PD-1 ligand 1 (PD-L1, B7-H1 or CD274) and PD-L2 (B7-DC or CD273). These ligands 71 72 compete for binding to PD-1 and their expression pattern is complex. PD-L1 is 73 constitutively expressed by immune cells (T cells, B cells, dendritic cells, macrophages) and also by non-haematopoietic parenchymal cells in many tissues 74 (Nguyen and Ohashi, 2015). In contrast, PD-L2 expression is reportedly restricted to 75 76 antigen presenting cells (dendritic cells, macrophages and B cells) (Nguyen and 77 Ohashi, 2015).

78 PD-L1 is also expressed in many tumours (Strome et al, 2003; Wintterle et al, 2003; 79 Zou and Chen, 2008; Dong et al, 2002; Konishi et al, 2004), and more recently 80 tumour PD-L2 expression has been described (Yearley et al, 2017). Some of the mechanisms proposed to drive expression of PD-L1 in tumours include interferon-y 81 82 (IFN-y) produced by tumour infiltrating lymphocytes, genomic alterations, and 83 activation of oncogenic signaling pathways (George et al, 2017; Howitt et al, 2016; Kataoka et al, 2016; Lastwika et al, 2016; Loi et al, 2016; Topalian et al, 2012; Wilke 84 85 et al, 2011). However, additional mechanisms are likely to exist. PD-1/PD-L1 axis is a major immune checkpoint important in the prognosis of several solid tumours 86 87 (melanoma, hepatocellular carcinoma and more recently HNSCC) (Gao et al, 2009; 88 Hino et al, 2010; Lyford-Pike et al, 2013; Velcheti et al, 2014) and is being clinically 89 targeted for immunotherapy (Ansell et al, 2015; Brahmer et al, 2012; Hamid et al, 90 2013; Topalian et al, 2014; Wolchok et al, 2013). Recent work on antibodies 91 targeting PD-1 in advanced melanoma has yielded encouraging improvement in 92 survival (Topalian et al, 2014) and clinical trials are currently investigating the 93 efficacy of anti-PD-1 and anti-PD-L1 monoclonal antibodies in recurrent and/or metastatic HNSCC (Forster and Devlin, 2018; Pai et al, 2016; Saada-Bouzid et al, 94 95 2019).

Stromal cells such as tumour-associated macrophages and fibroblasts have important
roles in generating an immunosuppressive tumour milieu (Junttila and de Sauvage,
2013). The role of PD-L1 and in particular PD-L2 in the tumour-permissive function

99 of stromal cells in HPV-positive and HPV-negative HNSCC is yet to be completely understood. In this study we investigate whether interactions between tumour and 100 stromal cells influence the expression of PD-1 ligands in HNSCC. We demonstrate 101 102 that PD-L1 and PD-L2 are expressed in both tumour and stromal cells in HNSCC tissue from treatment-naïve patients. We present novel data that HPV-positive but not 103 HPV-negative HNSCC cell lines (HNSCCs) increase PD-L1 and PD-L2 expression 104 105 on fibroblasts. Of note, we demonstrate that the up-regulation of PD-L1 and PD-L2 106 on fibroblasts driven by HPV-positive HNSCCs is mediated via TLR9 as it was 107 abrogated by the TLR9-specific antagonist ODN TTAGGG. Interestingly, 108 chloroquine - an endosomal TLR inhibitor - selectively abrogated PD-L2 up-109 regulation. This is the first report demonstrating TLR9 involvement in regulation of 110 PD-L1 and PD-L2 expression in the interaction between HPV-positive HNSCCs and 111 stromal cells. Our findings have potential implications for PD-1/PD-L1/PD-L2 112 immune checkpoint modulation in HPV-positive HNSCC by unveiling TLR9 as an 113 alternative target.

#### 115 Materials and methods

#### 116 **HNSCC tissue samples**

117 Immunohistochemistry was performed on tumour biopsies from nine treatment-naive 118 HNSCC patients with newly diagnosed cancers. Patients' characteristics are 119 summarized in Table 1. To reduce the impact of inflammatory comorbidities on the 120 expression of PD-1 and its ligands, strict exclusion criteria were applied and patients 121 with co-existing inflammatory disorders such as autoimmune diseases, diabetes, renal 122 failure and cardiac disease were excluded from the study. The study was carried out in 123 accordance with the recommendations of the Research Ethics Committee London-124 Chelsea who approved the study; all study subjects gave written informed consent in 125 accordance with the Declaration of Helsinki.

#### 126 Cell lines

127 The human head and neck squamous cell carcinoma lines (HNSCCs) UPCI-SCC-099 128 (SCC099) and UPCI-SCC-154 (SCC154) were purchased from DSMZ 129 (Braunschweig, Germany). The SCC099 line was HPV-negative while the SCC154 130 line was HPV-positive. HNSCCs were maintained in Dulbecco's modified Eagle 131 medium (DMEM, Sigma, #D6546) supplemented with 100 U/ml penicillin, 100 132 µg/ml streptomycin, 15 mM L-glutamine, 1 x non-essential amino acids and 10% 133 heat-inactivated fetal calf serum (FCS, Corning) (culture medium). Primary human BJ 134 fibroblasts (ATCC CRL-2522) were cultured in culture medium without non-essential 135 amino acids.

#### 136 Primary human monocyte-derived macrophage differentiation

137 Peripheral blood mononuclear cells (PBMCs) were isolated from healthy blood 138 donors by density gradient centrifugation using Histopaque (Sigma-Aldrich; #10771). 139 Monocytes were isolated by adherence to tissue culture-treated 12-well plates by plating 3-5x10<sup>6</sup> PBMCs per well in Iscove's modified Dulbecco's medium (IMDM, 140 Sigma-Aldrich; #I3390) supplemented with 100 U/ml penicillin, 100 µg/ml 141 142 streptomycin, 15 mM L-glutamine and 5% heat-inactivated pooled human serum 143 (Lonza; #14-490E). Following incubation for 1 hour at 37°C non-adhering cells were 144 removed and culture medium was replaced. The culture medium was exchanged after 145 3-4 days and monocytes were differentiated into macrophages by 6-7 days culture at 146 37°C. Macrophage differentiation was routinely verified by staining with monoclonal antibodies against CD14 (APC; BD Biosciences; #555399) and CD68 (FITC; 147 148 #562117; BD Biosciences).

## 149 **Co-cultures**

150  $1 \times 10^{5}$  BJ fibroblasts were co-cultured with SCC099 or SCC154 cell lines (HNSCCs) at 1:1 ratio in culture medium. Fibroblasts or HNSCCs cultured alone were used as 151 152 controls. Similar co-cultures were set up between primary human macrophages and 153 HNSCCs. Briefly, the culture medium was removed from differentiated macrophages and  $1.5 \times 10^5$  SCC099 or SCC154 cells were added in culture medium. Macrophages 154 155 or HNSCCs cultured alone were used as controls. Co-cultures were incubated for 48 hours prior to analysis of PD-1 ligand expression by flow cytometry. In addition to 156 157 direct co-cultures, cells (HNSCC, fibroblasts, or macrophages) were treated with 158 conditioned media and PD-L1/PD-L2 expression was assessed after 48 hours by flow 159 cytometry. Conditioned media were prepared from supernatants of confluent cultures 160 of HNSCCs, fibroblasts and macrophages filtered through 0.2 µm pore membranes to 161 remove viable and apoptotic cells. Where indicated, cells were cultured in the presence of ODN TTAGGG (3.9 µM, InvivoGen; #tlrl-ttag151), chloroquine (10 µM, 162

163 InvivoGen; #tlrl-chq) or neutralizing antibodies against interferon-γ (IFN-γ, 5 ug/ml,

- 164 R&D Systems; MAB285), tumour necrosis factor-α (TNF-α, 5 ug/ml, R&D Systems;
- 165 #MAB610) and CD81/TAPA-1 (5 ug/ml, Abcam; #ab35026).

#### 166 **Cell line authentication**

UPCI-SCC-099 (SCC099) and UPCI-SCC-154 (SCC154) HNSCC cell lines were
received directly from DSMZ (Braunschweig, Germany), which used short tandem
repeat (STR) DNA profiling for their authentication. Primary BJ human fibroblasts
were obtained from ATCC, which used STR profiling for their characterisation. SCCs
and fibroblasts were passaged for less than 3 months and therefore re-authentication
was not required.

#### 173 Immunohistochemistry

174 The following primary antibodies were used: mouse monoclonal CD4 (#NCL-L-CD4-175 368); mouse monoclonal CD8 (#NCL-L-CD8-4B11); mouse monoclonal CD68 176 (#NCL-L-CD68) and mouse monoclonal CD163 (#NCL-L-CD163) (all from 177 Novocastra, Leica Biosystems); rabbit polyclonal anti-inducible nitric oxide synthase 178 (iNOS, Abcam; #ab3523); goat polyclonal PD-1 (#AF1086) and goat polyclonal PD-179 L2 (#AF1224) (both R&D Systems) and mouse monoclonal CD274 (PD-L1) (Biolegend: #329702). Paraffin sections of tumour tissue were cut at 4 um and heated 180 181 for 45 minutes at 60°C prior to staining. Heat antigen retrieval was carried out using 182 Epitope Retrieval Solution 1 (for all antibodies except CD68), pH 6 or Epitope Retrieval Solution 2 (CD68), pH 9 at 100°C for 20 or 30mins, according to the 183 184 antibody. Antibodies were diluted 1:50 (CD8, PD-1, PD-L1) or 1:100 for the 185 remaining antibodies, and incubated for 15 minutes. Negative controls used antibody 186 diluent in place of primary antibody. Visualisation for mouse monoclonal antibodies 187 was carried out using the Bond Polymer Refine Detection kit, an HRP-conjugated 3,3'-diaminobenzidine (DAB) detection system (Leica Biosystems; #DS9800). 188 Visualisation for goat polyclonal antibodies was by Bond Intense R kit, a 189 190 Biotin/streptavidin HRP-conjugated DAB detection system, supplied by Leica 191 Microsystems and secondary antibody biotinylated rabbit anti-goat (Dako; #E0466). 192 All immunohistochemistry staining was carried out using Bond III Fully automated 193 staining system and associated reagents, supplied by Leica Microsystems. Images 194 were captured with a Hamamatsu Nanozoomer RS2.0 slide scanner, equipped with an 195 Olympus objective (20x and 40x magnification) using the NDP.scan software. Images 196 were generated using the NDP.view 2 software.

#### 197 Flow cytometry analysis

198 For detection of PD-L1 and PD-L2, fibroblasts and HNSCC cell lines (HNSCCs) 199 cultured alone or in co-culture were detached by incubation with Trypsin/EDTA 200 (Sigma-Aldrich) at 37°C, followed by washes in culture medium. Macrophages and 201 HNSCCs cultured alone or in co-culture were collected by incubation in PBS 202 containing 5 mM EDTA and 0.2% w/v bovine serum albumin for 15 minutes on ice 203 and gentle scraping. Cells were washed several times in PBS with 2% FCS and 204 stained for surface expression of epithelial cell adhesion molecule (EpCAM) (APC; 205 R&D Systems; #FAB9601A) to distinguish HNSCCs from fibroblasts and 206 macrophages. Additionally, cells were stained with PE-conjugated monoclonal 207 antibodies against PD-L1 (#12-5983-42) and PD-L2 (#12-5888-42) (both 208 eBioscience) or PE-conjugated isotype control antibodies (BD Biosciences; #555749). 209 Initial experiments for detection of PD-L1 expression used a mouse anti-human-PD-210 L1 antibody (Biolegend; #329702) followed by staining with PE-conjugated goat 211 anti-mouse antibody (Sigma-Aldrich; P9287). For macrophage-HNSCCs co-cultures,

- 212 7-AAD (BD Biosciences; #559925) was used to exclude dead cells from the analysis.
- 213 Samples were acquired on a FACSCalibur (BD Biosciences) flow cytometer and data
- analysis was performed using FlowJo software version 7. Mean fluorescence intensity
- 215 (MFI) was calculated by subtracting the MFI of samples stained with PE-conjugated
- isotype control from the MFI of samples stained with PD-L1 and PD-L2, respectively.
   MFI for samples indirectly stained with PD-L1/GAM-PE was adjusted to match MFI
- MFI for samples indirectly stained with PD-L1/GAM-PE was adjusted to match 1 of samples stained with PE-conjugated PD-L1.

# 219 Quantification of cytokines in culture supernatants

- Supernatants from co-cultures of fibroblasts and HPV-positive HNSCCs were stored frozen until quantification of IFN- $\gamma$  and TNF- $\alpha$  by DuoSet ELISA (R&D Systems;
- 222 #DY285, IFN-γ; #DY210, TNF-α).

## 223 Statistical analysis

- 224 One-way ANOVA with Bonferroni post-test for multiple comparisons and unpaired
- 225 two-tailed Student's t-test were performed using GraphPad Prism assuming
- 226 independent samples and normal distribution (as indicated in the Figure legends).
- 227 Probability (p) values of < 0.05 were considered statistically significant.
- 228

#### 229 **Results**

# PD-L1 and PD-L2 are expressed both in tumour cells and stroma in HNSCC tissue

232 We examined expression of PD-L1 and PD-L2 in nine treatment-naïve HNSCC 233 tumour tissue samples using immunohistochemistry (clinical details in **Table 1**). PD-234 L1 was expressed in all tissue samples and was present both in tumour cells and 235 stromal tissue (Figure 1). Similarly, PD-L2 was also present in all tissue samples examined and both tumour cells and stroma expressed PD-L2 in situ (Figure 1). 236 237 Semi-quantitative analysis did not reveal differences in the expression of PD-1 238 ligands in p16-positive and p16-negative HNSCC tissue (Figure 1). We next 239 examined PD-1 expression in the HNSCC tissue. An infiltrate of PD-1<sup>+</sup> cells was 240 noted in all HNSCC samples (Figure 1). Moreover, all HNSCC samples also 241 exhibited  $CD8^+$  cell infiltration (Figure 1). Fewer PD-1<sup>+</sup> and  $CD8^+$  cells were present 242 in p16-negative samples (Figure 1). Compared to CD8 expression, relatively lower 243 numbers of CD4<sup>+</sup> cells were found in HNSCC tumour tissue (Figure 1). We also 244 examined macrophage presence in HNSCC tumour tissue using CD68 (pan-245 macrophage marker), iNOS (M1 marker) and CD163 (M2 marker). CD68<sup>+</sup> and 246 CD163<sup>+</sup> macrophages were noted in all HNSCC, while iNOS-positive macrophages 247 were not identified (Figure 2).

#### 248 HPV-positive HNSCCs up-regulate PD-L1 and PD-L2 expression on fibroblasts

249 As we found that PD-1 ligands were expressed in both tumour and stroma of HNSCC 250 tissues, we examined if interaction between HNSCC tumour cells and fibroblasts 251 could influence expression of PD-1 ligands. We therefore tested the effect of HPVpositive (SCC154) and HPV-negative (SCC099) HNSCC cell lines (HNSCCs) on 252 253 PD-1 ligand expression on BJ fibroblasts in an in vitro co-culture system. Fibroblasts expressed high baseline levels of PD-L1 and PD-L2, whilst HPV-positive and HPV-254 negative HNSCCs expressed low levels (Figure 3A and B). Interestingly, expression 255 256 of PD-L1 on fibroblasts increased significantly upon co-culture with HPV-positive 257 HNSCCs (Figure 3C and E, p<0.0001). In contrast, HPV-negative HNSCCs 258 decreased PD-L1 on fibroblasts (Figure 3D and E). The same pattern was noted for 259 PD-L2 expression on fibroblasts: HPV-positive HNSCCs significantly increased PD-260 L2 levels (Figure 3C and E, p<0.0001), whilst HPV-negative HNSCCs decreased 261 PD-L2 (Figure 3D and E).

#### 262 Fibroblasts up-regulate PD-L1 and PD-L2 expression in HPV-positive HNSCCs

As HPV-positive HNSCCs up-regulated PD-1 ligands on BJ fibroblasts, we also examined whether fibroblasts had a reciprocal effect on PD-1 ligands expression on HNSCCs. Interaction with fibroblasts in co-culture significantly increased PD-L1 and PD-L2 expression on HPV-positive HNSCCs (**Figure 3F and G**, p<0.0001). In contrast, PD-L1 and PD-L2 expression on HPV-negative HNSCCs did not change following co-culture with fibroblasts (**Figure 3H and I**).

#### 269 Macrophages up-regulate PD-L1 and PD-L2 on HPV-positive HNSCCs

Macrophages are another important constituent of tumour stroma and therefore we tested the effect of HNSCCs on PD-1 ligand expression by macrophages. Primary peripheral blood monocyte-derived human macrophages expressed both PD-L1 and PD-L2 (**Figure 4A**). In contrast to our findings on fibroblasts, co-culture with HPVpositive HNSCCs did not increase PD-L1 and PD-L2 expression on macrophages

275 (Figure 4B and D). Similarly, HPV-negative HNSCCs did not affect PD-1 ligands

expression on macrophages (Figure 4C and D). We also examined the effect of
macrophages on PD-1 ligand expression by HNSCCs. PD-L1 and PD-L2 expression
on HPV-positive HNSCCs increased significantly following direct co-culture with
macrophages (Figure 4E and F), although the effect was more marked for PD-L1
than for PD-L2. In contrast, macrophages did not alter PD-1 ligands expression on
HPV-negative HNSCCs (Figure 4G and H).

# 282 Conditioned medium from HPV-positive HNSCCs up-regulates PD-L1 and PD 283 L2 on fibroblasts

284 We next analysed the mechanisms underlying the up-regulation of PD-1 ligands on 285 fibroblasts upon co-culture with HPV-positive HNSCCs. To determine whether this 286 was contact dependent or mediated via soluble factors, fibroblasts were cultured 287 either with HPV-positive HNSCCs or with conditioned medium (supernatant) from 288 these cells (details in Methods). Treatment with conditioned medium induced 289 significant PD-L1 up-regulation on fibroblasts (Figure 5A and B). However, the 290 effect of conditioned medium was smaller than that observed with direct co-culture: 291 whilst direct co-culture with HPV-positive HNSCCs resulted in four-fold up-292 regulation of PD-L1, conditioned medium induced a 1.6 fold increase (Figure 5A 293 and B). Next we analysed the effect of conditioned medium on PD-L2 expression. 294 Significant up-regulation of PD-L2 was observed on fibroblasts cultured with 295 conditioned medium from HPV-positive HNSCCs (Figure 5A and B). Of note, the 296 effect of conditioned medium on PD-L2 expression on fibroblasts was similar to that 297 induced by direct co-culture with HPV-positive HNSCCs. As fibroblasts increased 298 PD-1 ligand expression on HPV-positive HNSCCs in co-culture (Figure 3F and G), 299 we tested whether this was also the case with conditioned medium from fibroblasts. Conditioned medium from cultures of fibroblasts did not alter PD-L1 and PD-L2 300 301 ligand expression on HPV-positive HNSCCs (Figure 5C-D). We also assessed the 302 effect of HPV-positive HNSCCs conditioned medium on macrophages and found no 303 change on PD-L1 and PD-L2 expression (Figure 5E). Similarly, conditioned medium from macrophages had no effect on PD-L1 and PD-L2 expression on HPV-positive 304 305 HNSCCs (Figure 5F).

#### 306 The up-regulation of PD-1 ligands on fibroblasts induced by HPV-positive 307 HNSCCs is not mediated by interferon- $\gamma$ (IFN- $\gamma$ ), tumour necrosis factor- $\alpha$ 308 (TNF- $\alpha$ ) or tetraspanin CD81

309 Inflammatory cytokines such as IFN-y have been proposed to mediate PD-L1 upregulation on several cell types (Topalian et al, 2012; Wilke et al, 2011). Moreover, 310 311 tumour-infiltrating lymphocytes were proposed to induce PD-L1 expression in HNSCC via IFN-y (Lyford-Pike et al, 2013). We therefore tested supernatants from 312 313 fibroblasts co-cultured with HPV-positive HNSCCs or with conditioned medium from these cells for inflammatory cytokines. No IFN- $\gamma$  or TNF- $\alpha$  was present in 314 315 supernatants from fibroblasts cultured alone or in the presence of HPV-positive 316 HNSCCs or conditioned medium from these cells (Figure 6A). In addition, blocking IFN- $\gamma$  or TNF- $\alpha$  with neutralizing antibodies did not have any impact on PD-L1 and 317 318 PD-L2 up-regulation on fibroblasts cultured with HPV-positive HNSCCs or 319 conditioned medium (Figure 6B-E). We also investigated whether transfer of PD-1 320 ligands via microvesicles derived from HPV-positive HNSCCs could account for PD-L1 and PD-L2 increase on fibroblasts. We therefore targeted tetraspanin CD81 321 (TAPA-1), which is a common component of tetraspanin-enriched microdomains in 322 323 the membrane of microvesicles and has been implicated in the entry of viruses like

HPV and hepatitis C virus in epithelial cells (Raposo and Stoorvogel, 2013). Blocking antibodies against tetraspanin CD81 did not inhibit PD-L1 and PD-L2 up-regulation by fibroblasts co-cultured with HPV-positive HNSCCs or conditioned medium from these cells (**Figure 6F-G**).

#### 328 The up-regulation of PD-L1 and PD-L2 on fibroblasts by HPV-positive HNSCCs 329 is mediated via Toll-like receptor-9 (TLR9)

Toll-like receptors (TLR) have been implicated in up-regulation of PD-L1 in antigen 330 331 presenting cells (Wolfle et al, 2011). Endosomal TLR9 is a primary sensor for bacterial and viral DNA (Kawai and Akira, 2010). As HPV is a double stranded DNA 332 333 virus we next investigated whether TLR9 was involved in PD-L1 and PD-L2 334 expression by fibroblasts co-cultured with HPV-positive HNSCCs. For this purpose 335 we used the oligonucleotide ODN TTAGGG (A151), which is a specific antagonist of 336 human TLR9 (Kaminski et al, 2013; Krieg et al, 1998). Notably, ODN TTAGGG 337 abrogated the up-regulation of both PD-L1 and PD-L2 in fibroblasts co-cultured with 338 HPV-positive HNSCCs (Figure 7A and C), whilst it had no effect on the baseline expression of PD-1 ligands on fibroblasts (Figure 7D and E). Furthermore, ODN 339 340 TTAGGG significantly inhibited the up-regulation of PD-L1 and PD-L2 in fibroblasts cultured with conditioned medium from HPV-positive HNSCCs (Figure 7B and C). 341 342 As fibroblasts increased the expression of PD-1 ligands on HPV-positive HNSCCs 343 (Figure 3F and G), we tested whether this effect was also mediated by TLR9. 344 Indeed, ODN TTAGGG hindered the up-regulation of PD-L1 and PD-L2 on HPVpositive HNSCCs cultured with fibroblasts (Figure 7F and G). In addition to ODN 345 TTAGGG, we tested another TLR9 inhibitor, chloroquine, which works by blocking 346 347 endosomal acidification that is required for optimal TLR9 activation, and by 348 inhibiting the binding of DNA to TLR9 (Kuznik et al, 2011; Rutz et al, 2004). As 349 observed with ODN TTAGGG, chloroquine inhibited the up-regulation of PD-L2 by 350 fibroblasts cultured either directly with HPV-positive HNSCCs or with conditioned 351 medium from these cells (Figure 7H-J). In contrast to ODN TTAGGG, chloroquine did not affect PD-L1 expression on fibroblasts in these experiments (Figure 7H-J). 352 353 Moreover, chloroquine had no effect on the baseline expression of PD-L1 and PD-L2 354 by fibroblasts (Figure 7K and L). In contrast to ODN TTAGGG, chloroquine also 355 did not affect the fibroblast-induced up-regulation of PD-L1 and PD-L2 on HPV-356 positive HNSCCs (Figure 7M and N).

#### 358 Discussion

359 This work investigated the role of the inhibitory PD-1 pathway with focus on PD-L1 360 and PD-L2 in the stromal microenvironment in HNSCC. Here we show that PD-L1 361 and PD-L2 are expressed in both tumour cells and stroma in situ in treatment-naïve 362 primary HSNCC. Of note, we demonstrate that HPV-positive HNSCC cell lines 363 (HNSCCs) up-regulate both PD-L1 and PD-L2 expression on fibroblasts in vitro via a TLR9-dependent mechanism. To the best of our knowledge this is the first report 364 identifying TLR9 as a new regulator of PD-1 ligand expression in fibroblasts in the 365 366 context of tumour-stroma interaction. Our novel results suggest that HPV-positive 367 HNSCC enlist fibroblasts and generate a tumour-permissive microenvironment via 368 TLR9-mediated engagement of PD-1/PD-L1/PD-L2 pathways.

369 PD-L1 and PD-L2 are members of the B7 superfamily of co-stimulatory/co-inhibitory 370 molecules (Ceeraz et al, 2013) and bind to PD-1 expressed on T cells. In immune 371 responses to tumours, PD-1 engagement on T cells is responsible for switching T lymphocytes off and preventing robust anti-tumour activity (Ahmadzadeh et al, 372 373 2009). Thereby PD-1 ligands have pivotal roles in maintaining an immunosuppressive 374 microenvironment in tumours. In comparison to PD-L1, little information is available 375 on PD-L2 expression in HNSCC. We show that both PD-1 ligands (PD-L1 and PD-376 L2) are expressed in HNSCC tissue *in situ* and are present in both tumour and stromal 377 cells. Our findings on PD-L2 expression are in agreement with a recent study on tissue expression of PD-L2 from 40 HNSCC patients (Yearley et al, 2017). This study 378 379 also suggested that PD-L2 expression is a significant predictor of clinical response to 380 pembrolizumab (an anti-PD-1 checkpoint inhibitor) and progression-free survival, 381 independent of PD-L1 status in HNSCC (Yearley et al, 2017). These data highlight a 382 role for PD-L2 in HNSCC and suggest that PD-L2, in addition to PD-L1, has a role in 383 tumour-stroma interactions in HNSCC in vivo. However, very little is known about 384 the mechanisms regulating PD-L1 and in particular PD-L2 expression in HNSCC 385 tumour and stroma.

386 We found constitutive expression of PD-L1 and PD-L2 in human primary BJ 387 fibroblasts. Expression of PD-L2 has been previously described in fibroblasts from human intestinal mucosa (Pinchuk et al, 2008) and from human lung cancers 388 389 (Nazareth et al, 2007), although its role on fibroblasts is not completely understood. 390 Notably, we found that co-culture with the HPV-positive HNSCC cell line (HNSCCs) 391 significantly increased the expression of PD-L1 and PD-L2 on fibroblasts. This effect 392 of HPV-positive HNSCCs was restricted to fibroblasts as it was not observed on 393 primary human macrophages. Of note, fibroblasts and macrophages also increased the 394 expression of PD-L1 and PD-L2 on HPV-positive HNSCCs. These results suggest a 395 bi-directional interaction between HPV-positive tumour cells and stromal cells, 396 resulting in an overall up-regulation of both PD-L1 and PD-L2.

397 A novel facet of our work unveils TLR9 as a receptor mediating the up-regulation of 398 PD-L1 and PD-L2 on fibroblasts following interaction with HPV-positive HNSCCs. 399 We found that ODN TTAGGG, a specific antagonist of TLR9, abrogated the up-400 regulation of both PD-L1 and PD-L2. Interestingly, chloroquine, another TLR9 401 inhibitor blocked PD-L2 up-regulation but had no effect on PD-L1. These findings 402 may be explained by different mechanisms employed by ODN TTAGGG and 403 chloroquine in TLR9 inhibition. TLR9 is an endosomal pattern recognition receptor 404 for unmethylated 2'-deoxyribo(cytidine-phosphate-guanosine) (CpG) oligonucleotide

405 (ODN) DNA motifs preferentially found in bacterial and viral DNA and rare in 406 mammalian cells (Kawai and Akira, 2010). ODN TTAGGG is believed to interfere 407 with CpG ODN colocalisation with TLR9 in endosomes (Gursel et al. 2003), whilst 408 chloroquine hinders TLR9 activation by inhibiting endosomal acidification and 409 blocking nucleic acid binding to TLR9 (Kuznik et al, 2011; Rutz et al, 2004). The 410 different effects of ODN TTAGGG and chloroquine on PD-L1 and PD-L2 suggest 411 further complexities in TLR9 regulation of PD-1 ligand expression that warrant 412 investigation. In contrast to the effect on fibroblasts, HPV-positive HNSCCs did not 413 up-regulate PD-L1 or PD-L2 on monocyte-derived macrophages. This may be due to 414 low TLR9 expression in human monocyte-derived macrophages (Kiemer et al, 2009; 415 O'Mahony et al, 2008).

416 Our *in vitro* results show a clear influence of HPV status on the expression of PD-L1 417 and PD-L2 by fibroblasts coming into contact with HNSCC cell lines. This is in 418 keeping with *in situ* findings by Lyford-Pike et al. that PD-L1 expression was more 419 common in HPV-positive HNSCC tissue (70%, n=14/20 of their cohort) compared to HPV-negative samples (29%, n=2/10) (Lyford-Pike et al, 2013). In our study, 420 421 immunohistochemistry staining did not reveal differences in PD-L1 and PD-L2 422 expression in treatment-naïve p16-positive compared to p16-negative HNSCC tissue 423 samples (Figure 1), which may be due to the smaller sample size. Of note, a recent 424 RNASeq gene expression profiling in more than 500 treatment-naïve HNSCC tissue 425 samples did not identify differences in PD-L1 expression in HPV-positive and HPVnegative HNSCC, even though IFN-y (an inducer of PD-L1) was significantly higher 426 427 in the HPV-positive group (Gameiro et al, 2018). It is possible that in the tumour 428 microenvironment, up-regulation of PD-1 ligands is influenced by multiple factors in 429 addition to HPV. Quantification of PD-1 ligands solely in fibroblasts in tumour tissue 430 in situ presents major challenges as no specific markers are available that can reliably 431 identify fibroblasts in situ.

432 A limitation of our study is the use of an *in vitro* co-culture system to dissect the 433 effect of the interactions between HNSCC cell lines and stromal cells on the 434 expression of PD-1 ligands. For ethical and feasibility reasons, the fibroblasts used in 435 this study were primary established cell lines and macrophages were generated from 436 peripheral blood monocytes of normal donors. To investigate fibroblasts and macrophages directly isolated from HNSCC tumour tissue is challenging because of 437 438 limited amounts of fresh tumour tissue available for research. Moreover, methods 439 used to isolate macrophages and fibroblasts from tumour tissue and propagate these 440 cells *in vitro* alter the phenotype and function of these cells.

441 Our results show that PD-1/PD-L1 and PD-1/PD-L2 pathways are important in the 442 bidirectional interaction between tumour cells and stromal cells in HNSCC and could 443 shape the anti-tumour immune response. We propose TLR9 as a new regulator of the 444 interaction between HPV-positive HNSCCs and stromal cells, specifically fibroblasts, 445 which controls PD-L1 and PD-L2 expression. Further dissection of TLR9's role in 446 modulation of PD-1 ligands could reveal novel strategies to target PD-1 pathways and 447 improve clinical outcomes in HPV-positive HNSCC.

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#### 455 Author contributions statement

456 PB designed and directed the study, recruited the patients, collected clinical data, 457 designed experiments, analysed data and wrote the manuscript. ID designed and 458 supervised the study, designed and performed experiments, analysed data and wrote 459 the manuscript. JB designed and performed *in vitro* experiments, analysed data and 460 edited the manuscript. PW analysed data and provided critical discussion. ML 461 provided access to patients and critical discussion. JK provided access to vital 462 resources.

#### 463 **Conflict of interest statement**

464 The authors declare no potential conflicts of interest.

#### 465 **Funding disclosure**

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#### 470 Materials and data policies

The generated datasets have been included in the manuscript and the supplementary material. The raw data supporting the conclusions of this manuscript will be made

473 available by the authors, without undue reservation, to any qualified researcher.

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#### 660 Figure legends

661 **Figure 1. PD-L1, PD-L2, PD-1, CD8 and CD4 expression in p16-positive and** 662 **p16-negative HNSCC.** PD-L1, PD-L2, PD-1, CD8 and CD4 expression was assessed 663 in tumour biopsy tissue from five p16-positive and four p16-negative HNSCC 664 patients using immuno-histochemistry (details in Methods). Representative staining 665 (scale bars, 100  $\mu$ m) and cumulative data of marker expression (grading scale PD-666 L1/PD-L2: 1, low; 2, moderate; 3 high expression; grading scale PD-1, CD8, CD4: 1, 667 <50 cells/field; 2, 50-150 cells/field; 3, >150 cells/field)

668 **Figure 2. CD68, CD163 and iNOS expression in p16-positive and p16-negative** 669 **HNSCC.** Macrophage marker expression was assessed in tumour biopsy tissue from 670 five p16-positive and four p16-negative HNSCC patients using immuno-671 histochemistry. Representative staining (scale bars, 100 μm) and cumulative data of 672 marker expression (grading scale: 1, <50 cells/field; 2, 50-100 cells/field; 3, >100 673 cells/field)

674 Figure 3. HPV-positive HNSCCs increase PD-L1 and PD-L2 on fibroblasts. PD-675 L1 and PD-L2 expression on primary BJ human fibroblasts, HPV-positive (SCC154) and HPV-negative (SCC099) HNSCC cell lines (HNSCCs) was detected by flow 676 cytometry. Illustrative histograms (A) show PD-L1 and PD-L2 expression on 677 fibroblasts (black histograms), HPV-positive (red histograms) or HPV-negative (blue 678 679 histograms) HNSCCs. Graphs show PD-L1 and PD-L2 expression (mean±SEM; n=5) 680 in fibroblasts, HPV-positive and HPV-negative HNSCCs (B). Fibroblasts were 681 cultured alone or co-cultured in direct contact (direct) with HPV-positive (SCC154) or HPV-negative (SCC099) HNSCCs. Fibroblasts were identified in co-cultures by 682 683 lack of EpCAM expression. Illustrative histograms show PD-L1 and PD-L2 684 expression on fibroblasts cultured alone (black histograms) or co-cultured directly with HPV-positive SCC154 (C; red histograms) or HPV-negative SCC099 (D; blue 685 686 histograms). Graphs show PD-L1 and PD-L2 expression (mean±SEM; n=5) on 687 fibroblasts cultured alone (w/o) or co-cultured directly with HNSCC cells (E). HPVpositive (SCC154) or HPV-negative (SCC099) HNSCCs were cultured alone or co-688 689 cultured in direct contact (direct) with fibroblasts. HNSCCs were identified in co-690 cultures by EpCAM expression. Illustrative histograms show PD-L1 and PD-L2 691 expression on HPV-positive SCC154 cultured alone (black histograms) or co-cultured 692 directly with fibroblasts (F; red histograms). Graphs show PD-L1 and PD-L2 expression (mean±SEM; n=4) on HPV-positive SCC154 cultured alone or co-cultured 693 694 directly with fibroblasts (G). Illustrative histograms show PD-L1 and PD-L2 695 expression on HPV-negative SCC099 cultured alone (black histograms) or co-696 cultured directly with fibroblasts (H; blue histograms). Graphs show PD-L1 and PD-L2 expression (mean±SEM; n=4) on HPV-negative SCC099 cultured alone or co-697 cultured directly with fibroblasts (I). \* p<0.05; \*\* p<0.01;\*\*\*\* p<0.001; ns, not 698 significant (one-way ANOVA with Bonferroni correction for multiple comparisons) 699 700 Numbers adjacent to plots represent MFI values; dashed histograms show control 701 staining with isotype-matched antibodies. MFI=mean fluorescence intensity

Figure 4. PD-L1 and PD-L2 expression in co-cultures of macrophages and HPVpositive or HPV-negative HNSCCs. Macrophages were cultured alone (w/o) or cocultured in direct contact (direct) with HPV-positive (SCC154) or HPV-negative
(SCC099) HNSCC cell lines (HNSCCs). Illustrative histograms show PD-L1 and PDL2 expression on macrophages cultured alone (A; black histograms). Macrophages
were identified in co-cultures by lack of EpCAM expression. Illustrative histograms

708 show PD-L1 and PD-L2 expression on macrophages cultured alone (black 709 histograms) or co-cultured directly with HPV-positive SCC154 (B; red histograms) or HPV-negative SCC099 (C; blue histograms). Graphs show PD-L1 and PD-L2 710 711 expression (mean±SEM; n=4) on macrophages cultured alone (w/o) or co-cultured directly with HNSCCs (D). HPV-positive (SCC154) or HPV-negative (SCC099) 712 HNSCCs were cultured alone or co-cultured in direct contact (direct) with 713 714 macrophages. HNSCCs were identified in co-cultures by EpCAM expression. 715 Illustrative histograms show PD-L1 and PD-L2 expression on HPV-positive SCC154 716 cultured alone (black histograms) or co-cultured directly with macrophages (E; red 717 histograms). Graphs show PD-L1 and PD-L2 expression (mean±SEM; n=3) on HPV-718 positive SCC154 cultured alone or co-cultured directly with macrophages (F). 719 Illustrative histograms show PD-L1 and PD-L2 expression on HPV-negative SCC099 720 cultured alone (black histograms) or co-cultured directly with macrophages (G; blue histograms). Graphs show PD-L1 and PD-L2 expression (mean±SEM; n=3) on HPV-721 722 negative SCC099 cultured alone or co-cultured directly with macrophages (H). \* 723 p<0.05; \*\* p<0.01; ns, not significant (D: one-way ANOVA with Bonferroni 724 correction for multiple comparisons; F, H: unpaired two-tailed Student's t test) 725 Numbers adjacent to plots represent MFI values; dashed histograms show control staining with isotype-matched antibodies. MFI=mean fluorescence intensity 726

Figure 5. Conditioned medium from HPV-positive HNSCCs up-regulates PD-L1 727 and PD-L2 on fibroblasts. Fibroblasts were cultured alone or co-cultured in direct 728 729 contact with HPV-positive SCC154 (direct) or with conditioned medium from HPV-730 positive SCC154 (CM). Illustrative histograms show PD-L1 and PD-L2 expression on 731 fibroblasts cultured alone (black histograms) or co-cultured with conditioned medium 732 from HPV-positive SCC154 (A; red histograms). Graphs show PD-L1 and PD-L2 733 expression (mean±SEM; n=13) on fibroblasts cultured alone (w/o), co-cultured 734 directly with HPV-positive SCC154 (direct) or with conditioned medium from HPV-735 positive SCC154 (CM) (B). HPV-positive (SCC154) HNSCCs were cultured alone or 736 co-cultured in direct contact with fibroblasts (Fibro direct) or with conditioned 737 medium from fibroblasts (Fibro CM). Illustrative histograms show PD-L1 and PD-L2 738 expression on HPV-positive SCC154 cultured alone (black histograms) or co-cultured with conditioned medium from fibroblasts (C; red histograms). Graphs show PD-L1 739 740 and PD-L2 expression (mean±SEM; n=4) on HPV-positive SCC154 cultured alone 741 (w/o), co-cultured directly with fibroblasts (Fibro direct) or with conditioned medium 742 from fibroblasts (Fibro CM) (D). Macrophages were cultured alone (w/o) or co-743 cultured in direct contact with HPV-positive SCC154 (direct) or with conditioned 744 medium from HPV-positive SCC154 (CM). Graphs show PD-L1 and PD-L2 745 expression (mean±SEM; n=3) on macrophages for the indicated conditions (E). HPV-746 positive SCC154 HNSCCs were cultured alone (w/o) or co-cultured in direct contact 747 with macrophages (MFs direct) or with conditioned medium from macrophages (MFs 748 CM). Graphs show PD-L1 and PD-L2 expression (mean±SEM; n=2) on HPV-positive SCC154 HNSCCs for the indicated conditions (F).\*\* p<0.01; \*\*\*\* p<0.0001; ns, not 749 750 significant (one-way ANOVA with Bonferroni correction for multiple comparisons) Numbers adjacent to plots represent MFI values; dashed histograms show control 751 staining with isotype-matched antibodies. MFI=mean fluorescence intensity 752

Figure 6. Blockade of IFN- $\gamma$ , TNF- $\alpha$  or CD81 does not affect PD-L1 and PD-L2 up-regulation by HPV-positive HNSCCs. Fibroblasts were cultured alone (w/o) or co-cultured in direct contact with HPV-positive SCC154 (SCC154 direct) or with conditioned medium from HPV-positive SCC154 (SCC154 CM) as indicated. Graphs 757 (A) show IFN- $\gamma$  and TNF- $\alpha$  levels in culture supernatants (mean±SEM; n=4). The 758 dashed red line indicates the lowest value (15.6 pg/ml) of the dynamic range for the 759 ELISA assays used. Neutralising antibodies anti-IFN- $\gamma$  (**B**, **C**), anti-TNF- $\alpha$  (**D**, **E**) or 760 anti-CD81 (F, G) were added to the cultures as indicated. Illustrative histograms 761 show PD-L1 and PD-L2 expression on fibroblasts cultured alone (black histograms), 762 co-cultured directly with HPV-positive SCC154 or with conditioned medium from 763 HPV-positive SCC154 alone (red histograms) or in the presence of blocking 764 antibodies (green histograms). Graphs show PD-L1 and PD-L2 expression (mean±SEM; n=3) on fibroblasts for the indicated treatments. ns, not significant (one-765 766 way ANOVA with Bonferroni correction for multiple comparisons) Numbers 767 adjacent to plots represent MFI values; dashed histograms show control staining with 768 isotype-matched antibodies. MFI=mean fluorescence intensity

769 Figure 7. The TLR9 antagonists ODN TTAGGG and chloroquine inhibit PD-1

770 ligands up-regulation on fibroblasts co-cultured with HPV-positive HNSCCs. 771 Fibroblasts were cultured alone (w/o) or co-cultured in direct contact with HPV-772 positive SCC154 (SCC154 direct) or with conditioned medium from HPV-positive 773 SCC154 (SCC154 CM) in the presence or absence of the TLR9 antagonists ODN 774 TTAGGG (ODN) or chloroquine (CHQ). Illustrative histograms show PD-L1 and 775 PD-L2 expression on fibroblasts cultured alone (black histograms), co-cultured 776 directly with HPV-positive SCC154 (red histograms) or co-cultured directly with HPV-positive SCC154 in the presence of ODN (A) or CHO (H) (green histograms). 777 Illustrative histograms show PD-L1 and PD-L2 expression on fibroblasts cultured 778 779 alone (black histograms), cultured with conditioned medium from HPV-positive 780 SCC154 (red histograms) or with conditioned medium from HPV-positive SCC154 in 781 the presence of ODN (B) or CHQ (I) (green histograms). Graphs show PD-L1 and 782 PD-L2 expression (mean±SEM; n=6) on fibroblasts for the indicated treatments (C 783 and J). Illustrative histograms show PD-L1 and PD-L2 expression on fibroblasts 784 cultured alone (black histograms) or in the presence of ODN (D) or CHQ (K) (green 785 histograms). Graphs show PD-L1 and PD-L2 expression (mean±SEM; n=4) on fibroblasts for the indicated treatments (E and L). HPV-positive SCC154 were 786 787 cultured alone or co-cultured in direct contact (direct) with fibroblasts in the presence or absence of TLR9 inhibitor ODN TTAGGG (ODN) or chloroquine (CHQ). 788 789 Illustrative histograms show PD-L1 and PD-L2 expression on HPV-positive SCC154 790 cultured alone (black histograms), co-cultured directly with fibroblasts alone (red 791 histograms), or co-cultured directly with fibroblasts in the presence of ODN (F) or 792 CHQ (M) (green histograms). Graphs show PD-L1 and PD-L2 expression 793 (mean±SEM; n=6) on HPV-positive SCC154 cultured alone or for the indicated coculture conditions (G and N). \* p<0.05; \*\* p<0.01; \*\*\*\* p<0.0001; ns, not 794 significant (one-way ANOVA with Bonferroni correction for multiple comparisons) 795 796 Numbers adjacent to plots represent MFI values; dashed histograms show control 797 staining with isotype-matched antibodies. MFI=mean fluorescence intensity

Table 1. Demographic characteristics of head and neck cancer patients					
Patient	Age	Gender	Tumour site	TNM	p16
P1	61	F	Tonsil	T1N2bM0	Positive
P2	72	М	Tonsil/BOT	T4N2bM0	Positive
Р3	62	М	Tonsil	T1N2aM0	Positive
P4	60	М	Tonsil	T3N0M0	Positive
P5	50	М	PFS/PCA	T4N0M0	Positive
P6	49	F	Uvula	T1N0M0	Negative
P7	83	М	PFS/PCA	T4N0M0	Negative
P8	50	F	BOT	T4N2cM0	Negative
Р9	60	М	Tonsil/BOT	T4N2bM0	Negative
Abbreviations: BOT=base of tongue; PCA=postcricoid area; PFS=pyriform sinus					









Figure 5







+ Fibroblasts (direct)