

Forecasting clinical dose-response from pre-clinical studies in tuberculosis

research – translational predictions with rifampicin

Authors: Sebastian G. Wicha^{1,2}, Oskar Clewe¹, Robin J. Svensson¹, Stephen H. Gillespie³, Yanmin Hu⁴, Anthony R.M. Coates⁴, and Ulrika S.H. Simonsson^{1,*}

Affiliations:

¹Department of Pharmaceutical Biosciences, Uppsala University, Uppsala, Sweden;

²Department of Clinical Pharmacy, Institute of Pharmacy, University of Hamburg, Hamburg, Germany; ³School of Medicine, University of St Andrews, St Andrews, United Kingdom;

⁴Institute for Infection and Immunity, St George's University of London, London, United Kingdom

*Corresponding author: Ulrika SH Simonsson, ulrika.simonsson@farmbio.uu.se

Department of Pharmaceutical Biosciences, BMC, Box 591, 751 24 Uppsala, Sweden

Fax no: +46-18-4714003, Telephone no: +46-18-4714301

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CONFLICT OF INTEREST

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ABSTRACT

A crucial step for accelerating tuberculosis drug development is bridging the gap between pre-clinical and clinical trials. In this study, we developed a pre-clinical model-informed translational approach to predict drug effects across pre-clinical systems and early clinical trials using the *in vitro*-based Multistate Tuberculosis Pharmacometric (MTP) model using rifampicin as an example. The MTP model predicted rifampicin biomarker response observed in (i) a hollow-fiber infection model, (ii) a murine study to determine PK/PD indices, and (iii) several clinical phase IIa early bactericidal activity (EBA) studies. In addition, we predicted rifampicin biomarker response at high doses of up to 50 mg/kg, leading to an increased median EBA_{0-2 days} (90% prediction interval) of 0.513 log CFU/mL/day (0.310; 0.701) compared to the standard dose of 10 mg/kg of 0.181 log/CFU/mL/day (0.076; 0.483). These results suggest that the translational approach could assist in the selection of drugs and doses in early-phase clinical tuberculosis trials.

INTRODUCTION

The current treatment paradigm for drugs and doses used in treatment of tuberculosis (TB) is not based on pharmacokinetic and pharmacodynamic (PKPD) principles¹. To make progress more rapid we need to harness the power of pharmacokinetic pharmacodynamic (PKPD) principles when developing new regimens. The Multistate Tuberculosis Pharmacometric (MTP) model is a semi-mechanistic pharmacometric model describing the growth and drug effects on different bacterial sub-states, including phenotypically resistant non-culturable (dormant) bacteria^{2,3}. This transient phenotypic resistance allows the bacteria to persist under drug exposure, to a much larger degree than bacteria which exhibit active multiplication, and is thus thought to be a cause of patient relapse⁴. As such, the estimation and prediction of drug effects on this phenotypic resistant sub-population is crucial in order to develop and predict a successful treatment regimen. The MTP model was developed using *in vitro* information from classical time-kill experiments and has been successful in describing the effects after exposure to rifampicin not only for *in vitro* in monotherapy but also for assessing efficacy of drug combinations *in vitro* together with the General Pharmacodynamic Interaction model^{5,6}, *in vivo* monotherapy⁷, *in vivo* assessment of drug combinations⁸ and clinical settings⁹ suggesting its value for describing drug effects as well as for translational applications.

Phase IIa is the first trial conducted in patients for TB drug development. These trials are most often monotherapy trials designed to provide information on a compounds' bactericidal activity after different doses¹⁰⁻¹². Traditionally the measure of activity is early bactericidal activity (EBA) which is the daily decrease in log₁₀ colony forming units (CFU) during the first two days of treatment (EBA₀₋₂) or the first 14 days of treatment (EBA₀₋₁₄)¹³. The currently employed approach to dose selection for phase IIa trials is based on targeting a PK/PD index using probability of target attainment (PTA) where the target often is defined

from long-term mouse experiments¹⁴. Quantitative information on the PKPD relationship for the antibiotics is ignored by using such summary variables as PK/PD index. This may be particularly important in the field of TB where the effect is not immediate but takes long time until cure is reached ie response cannot only be predicted using drug exposure and sensitivity (MIC) but biomarker response need to be incorporated into the predictions. The MTP model has been shown to be superior in defining statistical significant drug effects in early clinical trials in TB drug development compared to standard approaches¹⁵.

The *in vitro* hollow fiber system model of TB (HFS-TB) is an *in vitro* pre-clinical system which has shown accuracy in predicting PK/PD indices¹⁶ and which recently received a positive qualification opinion by the European Medicines Agency's Committee for Medicinal Products for Human Use for exploring dose and regimen selection in anti-TB drug development programs¹⁷.

In this work, we aimed to develop a translation approach using *in vitro* information in order to predict biomarker response in other pre-clinical systems and in early clinical trials using the MTP model as a framework¹⁸¹⁸¹⁸¹⁸. Finally, a sensitivity analysis was performed to explore the impact of the translational factors on the predictions of biomarker response in pre-clinical systems and in EBA trials.

RESULTS

The translational MTP model

All model components, i.e. the human rifampicin PK model¹⁹, the epithelial lining fluid model (ELF) model²⁰ and the MTP model² were developed earlier and linked in this work (Figure 1). All parameter values used for the translational predictions are presented in Table 1. The following translational factors were included into the linked models, which were required to accurately predict the target systems (hollow-fiber infection model, murine lung infection model and clinical phase IIa early bactericidal activity study):

1) The minimal inhibitory concentration (MIC); We developed a MIC scaling term to account for differences in mycobacterial susceptibility between the mycobacterial isolates used in the different systems. The MIC scaling term was used to scale the drug potency measures (EC_{50} or slope) of the MTP model to predict from the original system to the target system.

2) The post-antibiotic effect (PAE); i.e. persistent drug effects that are present after removal of the drug. The PAE model was developed in this work using PAE information from Gumbo et al.²¹. The final PAE model consisted of an effect compartment with a fast zero-order rate ($k_{e,in}$) from the rifampicin concentrations into the effect compartment and a saturable Michaelis-Menten kinetics (parameterized by $k_{e,out,max}$ and $k_{e,out,50}$) describing the elimination from the effect compartment. This model had a 12 points lower AIC compared to a model with first-order linear equilibrium rate constant. Predicted PAE versus observed PAE values are presented in Table 2.

3) Differences in the maximum bacterial burden (B_{max}) in the target system; The estimate for B_{max} was obtained from Gumbo et al.²¹ for prediction of the hollow-fiber study and estimated for the murine lung infection model from the digitalized experimental data of the mouse

study²². For the prediction of the clinical phase IIa EBA study, B_{\max} was set to the value obtained *in vitro* due to the lack of this information in EBA studies as bacterial burden without drug treatment is commonly not obtained due to ethical reasons.

4) The bacterial growth state; which was controlled by the pre-incubation period before rifampicin treatment was initiated, which was 4 days and 30 days for hollow-fiber system and mouse study, respectively. For prediction of the phase IIa EBA study, a period of 150 days was assumed before treatment was initiated. The linked models (PK, ELF, MTP) including all translational factors is referred to as the translational MTP model in the following. A detailed description of the components of the translation MTP model is given in the methods section.

All predictions were made using the translational MTP model with translational factors and with no parameters estimated from the target experimental or clinical data apart from the bacterial growth properties for the hollow-fiber system and the mouse experiments.

Prediction of hollow-fiber experiments

The predictions of the different hollow fiber experiments with and without rifampicin (growth control, 600 mg once daily, 2100 mg twice weekly or 4200 mg once weekly) using the H37Ra *in vitro* strain is presented in Figure 2. The translational MTP model predicted the hollow-fiber regimens very well apart from some over-prediction at >4 days after start of rifampicin treatment in the once-weekly scenario.

Prediction of PK/PD indices in a murine lung infection model

The rifampicin drug effect at day 6 in a murine lung infection model (Figure 3, upper panel) correlated best with the PK/PD index $AUC_{0-\infty}/MIC$ ($R^2 = 0.93$) and C_{\max}/MIC ($R^2 = 0.78$). The

patterns of the predicted PK/PD indices by the translational MTP model (Figure 3, lower panel) were in good agreement with the observed PK/PD indices and identified the same indices to be correlated with the effect of rifampicin ($AUC_{0-\infty}/MIC$: $R^2 = 0.97$, C_{max}/MIC : $R^2 = 0.97$, $\%T_{>MIC}$: $R^2 = 0.67$). Moreover, the translational MTP model also predicted the magnitude of the observed *in vivo* effects. For instance, for a half-maximum reduction of log CFU/mL in the mice, a C_{max}/MIC of 58 or an $AUC_{0-\infty}/MIC$ of 4320 was required, whereas a C_{max}/MIC of 168 or an $AUC_{0-\infty}/MIC$ of 2040 was predicted by the translational MTP model.

Prediction of clinical early bactericidal activity phase IIa studies

The translational MTP model including variability of rifampicin in PK and PD predicted the clinical dose-response curve for up to 14 days as observed in EBA trials (Figure 4a). For $EBA_{0-2days}$ and a dose of 10 mg/kg, a median EBA (90% prediction interval) of 0.181 log CFU/mL/day (0.076; 0.483) was predicted. The $EBA_{0-5days}$ was 0.201 (0.078; 0.484), and the $EBA_{0-14days}$ was 0.202 (0.087; 0.343) for the 10 mg/kg rifampicin dose. The $EBA_{0-2 days}$ for 35 mg/kg high dose regimen²³ was 0.442 log CFU/mL/day (0.238; 0.674). The $EBA_{0-5days}$ was 0.465 (0.259; 0.682), and the $EBA_{0-14days}$ was 0.327 (0.227; 0.677) for the 35 mg/kg rifampicin dose. The predictions of the translational MTP model were in accordance with the results of several clinical trials^{14,23-27} (Figure 4a).

We also assessed a further increased rifampicin dose to 50 mg/kg which exceeds the current clinically investigated dose range capped at 35 mg/kg. The 50 mg/kg dose was predicted to yield an $EBA_{0-2 days}$ of 0.513 log CFU/mL/day (0.310; 0.701), i.e. a modest increase compared to the 35 mg/kg dose. The $EBA_{0-5days}$ was 0.542 (0.333; 0.711), and the $EBA_{0-14days}$ was 0.465 (0.249; 0.711) for the 50 mg/kg rifampicin dose, where a more substantial increase in the $EBA_{0-14days}$ was observed compared to the 35 mg/kg dose.

The MIC as a source of inter-individual variability in EBA is depicted in Figure 4b. The predicted median $EBA_{0-2days}$ at 10 mg/kg and a MIC value of 0.125 mg/L was 0.235 log CFU/mL/day (0.175; 0.286). However, a much lower median $EBA_{0-2days}$ of 0.083 log CFU/mL/day (0.062; 0.103) was predicted for MIC values of 0.5 mg/L at a dose of 10 mg/kg daily. An increased dose of 35 mg/kg predicted a higher EBA and might be particularly beneficial for patients with high MIC where a median $EBA_{0-2days}$ of 0.254 log CFU/mL/day (0.203; 0.297) was predicted for MIC values of 0.5 mg/L (Figure 4b).

Another source of variability in EBA originated from inter-individual differences in rifampicin PK (Figures 4 c-d). It is evident that the inter-individual variability in rifampicin concentrations is particularly high during the first days of rifampicin treatment due to inter-individual variability in onset of enzyme auto-induction processes (Figure 4d).

An outline of the different components of the final preclinical to clinical forecasting in tuberculosis drug development using the translational MTP model approach is seen in Figure 5.

Sensitivity analysis

A sensitivity analysis was performed to assess the impact of the translational factors on the predictions. Each of the translational factors was excluded one at a time. Omitting a translational factor did not negatively influence the translational predictions in all target systems similarly, but resulted in worse predictive performance in at least one target system.

Exclusion of the PAE model substantially affected the predictions of the hollow-fiber system (under-prediction of the effect, Figure S1) and the clinical phase IIa EBA trial (under-

prediction of the median effect, Figure S4 a), while the impact on the PK/PD index study in mice was less pronounced (Figure S3 a).

Exclusion of the MIC scaling term or a wrong MIC scaling affected the PK/PD index study (under-prediction of the effect, Figure S3 b) and the phase IIa trial (under-prediction of variability, Figure S4 b), while the hollow-fiber system (Figure S2) was least affected.

When a wrong pre-incubation period was used, all target systems were affected. When the pre-incubation period was wrongly set to 150 days instead of 4 days in the hollow-fiber prediction, a tendency to a lower drug effect was observed due to the lower susceptibility due to the higher abundance of S and N state mycobacteria (Figure S5). Conversely, if a pre-incubation period of 4 days instead of 30 days was used for the prediction of the murine lung infection model, a tendency to over-prediction of the antibacterial effects was observed (Figure S6). For clinical prediction, a pre-incubation period of 4 days instead of 150 days particularly affected the prediction of $EBA_{0-5\text{days}}$ and $EBA_{0-14\text{days}}$, where even a negative EBA was predicted at low doses due to the active growth of the mycobacteria (Figure S7). In the clinical data, no variability of ELF penetration was quantifiable due to the study design; however, assumption of 30% variability in $R_{\text{ELF/plasma}}$ only marginally increased the variability in EBA (Figure S8).

DISCUSSION

In this study, we developed a model-informed MTP translational approach for predicting biomarker response from *in vitro* time-kill studies whilst taking into account differences in drug susceptibility, post-antibiotic effect, PK and target site distribution along with innovative and quantitative PKPD modeling methods. We were able to demonstrate a good predictive performance for this innovative translational approach since it correctly predicted the results from other pre-clinical systems (hollow-fiber model and murine lung infection model) and phase IIa dose ranging studies using rifampicin as a model drug.

The good predictive performance of our approach for predicting important pre-clinical and clinical phase IIa TB trials suggest that this approach can be used prospectively to design several key studies in TB drug development, and might be even more useful when extended to drug combinations. Optimization of doses and combinations prior to Phase III is still a critical obstacle in the TB drug development pathway. Although the acceptance of PKPD methods throughout drug development is increasing, the reliance on traditional methods for assessing drug effects is troublesome. This is especially worrying for diseases with weak market incentives and few active drug development programs, such as TB, where failure due to clinically un-predictive methods is unacceptable which makes our suggested approach a relevant tool to aid in TB drug development.

The current employed approach for dose selection in phase 2 for antibiotics relies on the use of PK/PD indices which have been shown to be sensitive to both experimental design, MIC and PK suggesting that this approach may not be optimal for dose selection for early clinical trials²⁸⁻³¹. For TB, this may be particularly relevant due to the difficulty in validating PK/PD indices in the clinic, requiring extensive trial durations for studying relapse (18 months) and the general high treatment success rate for the standard treatment regimen (95%). Further,

only considering drug exposure and bacterial susceptibility (MIC) might be a too simplistic approach in the field of TB where cure occurs after a long treatment period as compared to more general infections with a much shorter treatment period and where the aim is to “hit hard and fast”.

What differentiates this translational framework from previous more simplistic pre-clinical to clinical prediction efforts³² are mainly that our translational MTP approach is driven by target site concentration together with human plasma concentrations instead of only the latter, and consideration of MIC strain differences according to EUCAST published distributions as well as dynamic drug exposure by inclusion of PAE. In future studies, the PAE model may be refined by estimating its parameters from measured CFU vs. time curves instead of using the rather imprecise PAE itself. This requires a slightly more labour-intensive setup of the PAE experiment, but will increase the precision of the parameters of the PAE model in further applications of our approach. Moreover, optimally designed ELF studies are highly warranted to better characterise the variability of ELF penetration. However, perhaps the most important aspect is that the MTP model allows for quantification of drug effects on non-multiplying (dormant) bacteria². Non-multiplying bacteria can be quantified using resuscitation promoting factors (RPFs)³³. The MTP model approach allows for a correct estimation of drug effect against non-multiplying bacteria which is crucial for a model-based translational approach. This aspect will be particularly important, when the approach will be extended to prediction of later end points such as the occurrence of relapse or to explore shortening of the treatment duration, which were not addressed in the present study.

Rifampicin was used as model drug in this work which is relevant because rifampicin is part of current core treatment for susceptible TB and is under current clinical investigation for increasing the dose. A wide dose range of rifampicin has been studied and our predictions

were validated against results of contemporary high dose rifampicin studies of up to 35 mg/kg rifampicin daily. In addition, the response following 50 mg/kg was predicted - a dose level not yet studied. These predictions indicate that a further, but modest increase in rifampicin EBA might be attainable at 50 mg/kg compared to 35 mg/kg. Although the concentrations achieved with a 50 mg/kg dose were covered by the PD side of our approach, PK was formally only studied up to 40 mg/kg¹⁹, and hence our predictions represent a slight extrapolation out of the data space. As the maximum effects of all identified non-linearities in rifampicin PK have been well captured, the accuracy of the extrapolation might be acceptable in this case. Though our prediction of 50 mg/kg rifampicin is encouraging it should be highlighted that our suggested approach only predicts the bacteriological response and does not incorporate safety/toxicity components which could limit the use of even higher doses, as e.g. the occurrences of flu-like symptoms observed with large intermittent dosing of rifampicin or yet unknown adverse effects. In future studies, our approach might be also useful to help in the identification of an intermittent dosing regimen that provides similar efficacy as daily dosing regimens.

METHODS

The Multistate Tuberculosis Pharmacometric (MTP) model

The MTP model was previously developed based upon the hypoxia-driven *in vitro* information described above². The mathematical model consists of three bacterial states: fast- (F), slow- (S) and non-multiplying (N) bacteria. In the MTP model, the sum of F and S is assumed to represent culturable bacteria, i.e. CFU, whilst N represents a non-culturable state of the mycobacteria that does not appear on solid media^{2,33}. The differential equation systems for F (Equation 1), S (Equation 2) and N (Equation 3) bacterial states were as follows:

$$\begin{aligned} \frac{dF}{dt} = & k_G \cdot F \cdot \log\left(\frac{B_{\max}}{F+S+N}\right) \cdot (1 - FG_k \cdot C_{RIF}) + k_{SF} \cdot S - k_{FS} \cdot F \\ & - k_{FN} \cdot F - \left(\frac{FD_{E_{\max}} \cdot C_{RIF}}{FD_{EC50} + C_{RIF}}\right) \cdot F \end{aligned} \quad \text{Eq. 1}$$

$$\frac{dS}{dt} = k_{FS} \cdot F + k_{NS} \cdot N - k_{SF} \cdot S - k_{SN} \cdot S - \left(\frac{SD_{E_{\max}} \cdot C_{RIF}}{SD_{EC50} + C_{RIF}}\right) \cdot S \quad \text{Eq. 2}$$

$$\frac{dN}{dt} = k_{SN} \cdot S - k_{NS} \cdot N + k_{FN} \cdot F - ND_k \cdot N \quad \text{Eq. 3}$$

The definitions and values of parameters used are listed in Table 1.

Pharmacokinetics of rifampicin in the different target systems

To account for PK differences between the *in vitro* system used to estimate drug effects in the MTP model and the target systems for translational prediction i.e. hollow-fiber infection model, mouse and human, a relevant PK model of the target system was linked to the MTP model. For the hollow-fiber system, the PK parameters were obtained from Gumbo et al²¹ and are presented in Table 1. For predictions in the mouse, the unbound murine plasma concentration-time profiles were linked to the MTP model. The murine PK parameters were estimated from digitalized PK data from Jayaram et al²² and are presented in Table 1. For prediction of the clinical phase IIa EBA study, the concentration-time profile in the epithelial lining fluid, predicted using the General Pulmonary Distribution model²⁰ and a human plasma PK model¹⁹, were linked to the MTP model. The covariates of the human PK rifampicin model (weight and height) were set to values typically observed in TB patients¹⁹ and sampled

from log-normal distributions with geometric mean of 60 kg for weight and 1.75 m for height. Geometric standard deviation was set to 10% for weight and 7.5% for height, respectively.

Translational factors and development of the translational MTP model

The PK model for each translational target, as described above, was linked to the MTP model. The following translational factors were accounted for in the MTP model in order to create the model-informed MTP translational approach; PAE, mycobacterial susceptibility, bacterial carrying capacity and the bacterial growth phase. An outline of the translational MTP model is presented in Figure 1.

We utilized the MIC to account for differences in mycobacterial susceptibility between the *in vitro* system and the predicted target systems (hollow-fiber system, mouse and human). The MIC values in the target system (MIC_{target}) in relation to the MIC in the *in vitro* system used to estimate drug effects (MIC_{origin}) were used to scale the parameters for drug effects in the target system, i.e. $EC_{50target}$ (Eq. 4) or $slope_{target}$ (Eq. 5) from the parameters obtained from the *in vitro* data ($EC_{50origin}$ or $slope_{origin}$):

$$EC_{50target} = EC_{50origin} \times \left(\frac{MIC_{target}}{MIC_{origin}} \right) \quad \text{Eq. 4}$$

$$slope_{target} = slope_{origin} / \left(\frac{MIC_{target}}{MIC_{origin}} \right) \quad \text{Eq. 5}$$

The uncertainty in MIC determination is usually assumed to be within +/- 1 log₂ units, also for mycobacteria³⁴. In order not to bias our predictions by the use of a singular MIC value, we performed a literature search for the two common pre-clinical strains H37Ra (used in the

hollow-fiber study²¹) and H37Rv (used for development of the MTP model²) and determined the most-likely ratio, i.e. the mode of $MIC_{target}/MIC_{origin}$ distribution by means of bootstrapping³⁵. The MIC distributions used for bootstrapping are presented in Figure S9. For prediction of clinical phase IIa EBA studies, the EUCAST clinical MIC distribution of rifampicin was used³⁶ from which random samples were drawn to parameterize the $MIC_{target}/MIC_{origin}$ ratio.

An effect compartment model, here termed as the PAE model, was developed which was linked to the PK and the MTP model to account for persistent drug effects after removal or decline of rifampicin concentrations. The PAE model was developed by modelling the PAE experiment data described in Gumbo et al.²¹ and derived the time to grow 1 log CFU/mL as a function of drug concentration and exposure time. Different parametrizations of the PAE model (first order or zero order effect delay rate constants) were evaluated to describe the PAE of rifampicin. The final PAE model accounted for a rapid equilibrium of rifampicin in the effect compartment with rifampicin concentrations at the target site (C_{PAE}), followed by a Michaelis-Menten type decay of the concentrations in the PAE compartment:

$$\frac{dC_{PAE}}{dt} = k_{e,in} - \frac{k_{e,out,max} \times C_{PAE}}{k_{e,out,50} + C_{PAE}} \quad \text{Eq. 6}$$

with $k_{e,in} = k_{e,in}$ if $C_{PAE} \leq C_{target\ site}$ and $k_{e,in} = 0$ if $C_{PAE} > C_{target\ site}$

and where $k_{e,out,max}$ is the maximal elimination rate from the PAE compartment, $k_{e,out,50}$ is the concentration at which 50% of the $k_{e,out,max}$ is seen and $k_{e,in}$ is the rate constant for rifampicin entering into the PAE compartment.

For differences in the maximal growth capacity in the system, the bacterial carrying capacity parameter B_{max} was adapted to the target system (Table 1). The bacterial growth phase of the

target system was accounted for by simulating a pre-incubation period before rifampicin treatment was initiated. The simulated pre-incubation period was identical to the experimentally employed pre-incubation periods in the target systems, which were 4 days and 30 days for hollow-fiber system and mouse study, respectively. For prediction of the phase IIa EBA study, a period of 150 days was assumed before treatment was initiated to simulate an established infection.

Translational prediction from in vitro to the target systems

The translational MTP model was utilized to predict three typically used systems in pre-clinical and early clinical TB drug development: (i) the hollow-fiber infection model, (ii) the murine-based PK/PD indices, and (iii) clinical EBA studies. The model parameters used for the translational predictions into the three systems are presented in Table 1.

For translational prediction of rifampicin in hollow-fiber experiments²¹, several scenarios were predicted: a growth control (GC), 600 mg rifampicin once daily, 2100 mg rifampicin twice weekly and 4200 mg rifampicin once weekly, each over a period of 7 days.

For prediction of a murine dose fractionation study²², total doses of 2, 6, 18, 60, 180, 540, 1080 or 1620 mg/kg rifampicin were fractionated as either one, three, or six times in a treatment period of 144 hours. Doses of 4860 or 3240 mg/kg were not included in the simulations as in the original study for toxicity reasons²². Three different PK/PD indices; C_{\max}/MIC , $AUC_{0-\infty}/MIC$ and $\%T_{>MIC}$ were calculated from the simulations as in the original study²² using the non-compartmental PK estimates presented in the original article²² in order to ascertain comparability. The model-predicted PK/PD indices were then compared to the indices observed in mice. Nonlinear regression analysis was utilized to assess the correlation

between log CFU/mL at day 6 and the predicted PK/PD indices by the coefficient of determination (R^2). For a quantitative comparison, the PK/PD index at half of the maximum reduction of log CFU/mL was calculated for the observed and predicted PK/PD indices.

The predicted clinical phase IIa EBA study consisted of 14 days of rifampicin once daily of monotherapy at 2.5, 5, 10, 15, 20, 25, 30, 35 or 50 mg/kg. Early bactericidal activity was calculated as the difference in log₁₀ CFU/mL before treatment and at 2, 5 or 14 days. The predicted EBA was compared to observed EBA 0-2 days, 0-5 days and 0-14 days from numerous clinical studies^{14,23-27}.

Sensitivity analysis

To explore the impact of the translational factors on prediction of the three translational target systems, a sensitivity analysis was performed by excluding or modifying one translational factor at a time from the final translational MTP model.

Software, estimation and simulation

All modelling and simulation tasks were performed in 'R' (version 3.3.3). Differential equation systems were solved using the lsoda routine of the 'deSolve' package (version 1.14). The predictions in 'R' were successfully cross-validated against NONMEM® (7.3, ICON, Hanover, USA) and the results were identical to 1e-8. 'ggplot2' (version 2.2.1) was used for generating plots. Parameters of the PAE model (k_{in} , $k_{out,max}$, $k_{out,50}$) and murine PK parameters (CL , k_a , V_d , cf. Table 1) were estimated using the R package 'optim' using extended least squares regression. Nested models were compared using the likelihood ratio test, e.g. a 3.84 difference in the objective function value was required to select a more complex model for

one degree of freedom and at a significance level of 5%. For comparison of non-nested models, the Akaike criterion was used³⁷, where a lower Akaike score is favourable.

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STUDY HIGHLIGHTS

What is the current knowledge on the topic?

The current treatment paradigm (drugs and doses) used in treatment of tuberculosis is not based on pharmacokinetic and pharmacodynamic (PKPD) principles.

What question did this study address?

How to optimally select clinical anti-tuberculosis drug doses from preclinical studies using a translational pharmacometric approach?

What does this study add to our knowledge?

The study describes a model-informed, *in vitro*-based, translational approach to accurately predict the biomarker response across other pre-clinical systems and phase IIa early bactericidal activity studies using rifampicin as an example.

How might this change clinical pharmacology or translational science?

The approach described in the study may help to inform decision making for dose selection in the planning of phase II studies using tuberculosis *in vitro* information. In addition, the effects of high dose rifampicin were evaluated suggesting a clinical potential for doses up to 50 mg/kg considering only efficacy and not safety/toxicity.

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AUTHOR CONTRIBUTIONS

S.G.W., O.C., R.J.S., S.H.G., Y.H., A.R.M.C., and U.S.H.S. wrote the manuscript; S.G.W., O.C., R.J.S., S.H.G., Y.H., A.R.M.C., and U.S.H.S. designed the research; S.G.W., O.C., R.J.S., S.H.G., Y.H., A.R.M.C., and U.S.H.S. performed the research; S.G.W., O.C., R.J.S., S.H.G., Y.H., A.R.M.C., and U.S.H.S. analyzed the data.

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FIGURE LEGENDS

Figure 1: Compartmental sketch of the translational Multistate Tuberculosis Pharmacometric (MTP) model; left: pharmacokinetic models of each target system; right: pharmacodynamic MTP model; abbreviations are explained in the manuscript text and in Table 1

Figure 2: Prediction of hollow-fiber system experiments with rifampicin against *M. tuberculosis* H37Ra; GC: growth control experiment; 600 mg once daily (OD) dosing, 2100 mg twice daily; 4200 mg once weekly; unbound rifampicin (RIF) pharmacokinetics (upper panels) and pharmacodynamic effect over time (lower panels); circles (experimental data, CFU/mL); predictions of the N state (red), S state (yellow), F state (green) and CFU/mL (black dashed; sum of F+S)

Figure 3: Prediction (upper panel) of PK/PD indices C_{\max}/MIC , $AUC_{0-\infty}/MIC$ and $\%T_{>MIC}$ of rifampicin as observed (lower panel) in a murine lung infection model at day 6; red line represents regression line from an inhibitory sigmoidal maximum effect model

Figure 4: a) Prediction (median, 10th to 90th percentile) of clinical early bactericidal activity ($EBA_{0-2days}$, $EBA_{0-5days}$, $EBA_{0-14days}$) for rifampicin doses of 2.5 to 50 mg/kg and observed EBA (points) for clinical trials; b) Predicted impact of the mycobacterial minimum inhibitory concentration (MIC) on the obtained EBA for the 10 mg/kg dose (left) and the 25 mg/kg dose (right); c) Predicted impact of pharmacokinetic variability (expressed as $fAUC_{24h}$ and fC_{\max}) on the obtained EBA of rifampicin; d) pharmacokinetic variability of rifampicin exemplified for the 35 mg/kg dose

Figure 5: An outline of the different components of the final preclinical to clinical forecasting in tuberculosis drug development using the translational MTP model approach.

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SUPPLEMENTARY MATERIALS

Figure S1: Sensitivity analysis of the translational Multistate Tuberculosis Pharmacometric (MTP) model and prediction of the hollow-fiber experiment; exclusion of the post-antibiotic effect (PAE) model, i.e. direct link of pharmacokinetic and pharmacodynamic. For a detailed figure legend, refer to Figure 2.

Figure S2: Sensitivity analysis of the translational Multistate Tuberculosis Pharmacometric (MTP) model and prediction of the hollow-fiber experiment; exclusion of the minimum inhibitory concentration (MIC) scaling term, i.e. assuming the same MIC for H37Ra as for H37Rv. For a detailed figure legend, refer to Figure 2.

Figure S3: Sensitivity analysis of the translational Multistate Tuberculosis Pharmacometric (MTP) model and prediction of the murine PK/PD indices; a) exclusion of the effect compartment, i.e. direct link of PK and PD; b) wrong minimum inhibitory concentration (MIC) scaling term, i.e. assuming H37Ra instead of H37Rv. For a detailed figure legend, refer to Figure 3.

Figure S4: Sensitivity analysis of the translational Multistate Tuberculosis Pharmacometric (MTP) model and prediction of clinical early bactericidal activity (EBA); a) exclusion of the effect compartment, i.e. direct link of PK and PD; b) no minimum inhibitory concentration (MIC) scaling term, i.e. assuming H37Rv susceptibility for all clinical *M. tuberculosis* strains. For a detailed figure legend, refer to Figure 4.

Figure S5: Sensitivity analysis of the translational Multistate Tuberculosis Pharmacometric (MTP) model and prediction of the hollow-fiber experiment; pre-incubation period of 150 days instead of 4 days. For a detailed figure legend, refer to Figure 2.

Figure S6: Sensitivity analysis of the translational Multistate Tuberculosis Pharmacometric (MTP) model and prediction of the murine PK/PD indices; wrong pre-incubation period; 4 days instead of 30 days. For a detailed figure legend, refer to Figure 3.

Figure S7: Sensitivity analysis of the translational Multistate Tuberculosis Pharmacometric (MTP) model and prediction of clinical early bactericidal activity (EBA); wrong pre-incubation period; 4 days instead of 30 days. For a detailed figure legend, refer to Figure 3.

Figure S8: Sensitivity analysis of the translational Multistate Tuberculosis Pharmacometric (MTP) model and prediction of clinical early bactericidal activity (EBA); assumption of 30% variability of $R_{\text{ELF/plasma}}$ instead of using the population estimate. For a detailed figure legend, refer to Figure 3.

Figure S9: Minimum inhibitory concentration (MIC) distribution for rifampicin of a) H37Ra, b) H37Rv and c) clinical mycobacterium tuberculosis isolates (EUCAST)

Table 1: Parameters of the translational Multistate Tuberculosis Pharmacometric (MTP) model used for the translational predications into the target systems.

Parameter	Description	Value	Source reference
Pharmacodynamics (MTP model)			
k_{FN} [days ⁻¹]	Transfer rate from fast- to non-multiplying state	0.897×10^{-6}	(4)
k_{SN} [days ⁻¹]	Transfer rate from slow- to non-multiplying state	0.186	(4)
k_{SF} [days ⁻¹]	Transfer rate from slow- to fast-multiplying state	0.0145	(4)
k_{NS} [days ⁻¹]	Transfer rate from non- to slow-multiplying state	0.123×10^{-2}	(4)
$k_{FS,lin}$ [days ⁻²]	Time-dependent transfer rate from fast- to slow-multiplying state	0.166×10^{-2}	(4)
S_0 [mL ⁻¹]	Initial bacterial number of slow-multiplying state	9770	(4)
		9770·50 (hollow-fiber)	scaled up from (4)
k_G [days ⁻¹]	Fast-multiplying bacterial growth rate	0.150 (hollow-fiber)	estimated
		0.206 (mice)	(4)
		0.206 (human)	(4)
F_0 [mL ⁻¹]	Initial bacterial number of fast-multiplying state	4.1	(4)

		4.1·50 (hollow-fiber)	scaled up from (4)
B_{\max} [mL ⁻¹]	System carrying capacity	2.02·10 ⁹ (hollow-fiber)	(17)
		4·10 ⁶ (mice)	estimated from (39)
		2.42·10 ⁸ (human)	(4)
FG_k [L·mg ⁻¹]	Linear inhibition of fast-multiplying bacterial growth	0.017	(4)
$FD_{E_{\max}}$ [days ⁻¹]	Maximal fast-multiplying bacterial death rate	2.15	(4)
FD_{EC50} [mg·L ⁻¹]	Rifampicin concentration at which half $FD_{E_{\max}}$ is reached	0.52	(4)
$SD_{E_{\max}}$ [days ⁻¹]	Maximal slow-multiplying bacterial death rate	1.56	(4)
SD_{EC50} [mg·L ⁻¹]	Rifampicin concentration at which half $SD_{E_{\max}}$ is reached	13.4	(4)
ND_k [L·mg·days ⁻¹]	Linear non-multiplying death rate	0.24	(4)
Link between pharmacokinetics and pharmacodynamics			
$k_{e,in}$ [days ⁻¹]	Transfer rate constant into the effect compartment	150	estimated from (22)
$k_{e,out,max}$ [days ⁻¹]	Maximal transfer rate from the effect compartment	1.091	estimated from (22)
$k_{e,out,50}$ [mg·L ⁻¹]	Rifampicin concentration at which half $k_{e,out,max}$ is reached	0.662	estimated from (22)

Pharmacokinetics

Hollow-fiber system

$t_{1/2}$ [h]	Half-life of elimination	3	(22)
f_u [-]	Fraction unbound	0.2	(22)
V_d [L]	Volume of distribution	60	(22)

Murine lung infection model

CL [L·days ⁻¹]	Clearance	0.66 (dose ≤ 1 mg/kg)	estimated from (39)
		1.03 (1 mg/kg < dose < 90 mg/kg)	
		2.29 (dose ≥ 90 mg/kg)	
k_a [days ⁻¹]	Absorption rate constant	19.6	estimated from (39)
V_d [L·kg ⁻¹]	Volume of distribution	1.3	estimated from (39)
f_u [-]	Fraction unbound	0.03	(40)

Clinical Phase IIa

V_{max} [mg·h ⁻¹ ·70 kg ⁻¹]	Maximal elimination rate	525	(20)
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k_m [$\text{mg}\cdot\text{L}^{-1}$]	Rifampicin concentration at which half V_{\max} is reached	35.3	(20)
V_d [$\text{L}\cdot 70 \text{ kg}^{-1}$]	Volume of distribution	87.2	(20)
k_a [h^{-1}]	Absorption rate constant	1.77	(20)
MTT [h]	Mean transit time	0.513	(20)
NN [-]	Number of transits	23.8	(20)
E_{\max} [-]	Maximal increase in enzyme production rate	1.16	(20)
EC_{50} [$\text{mg}\cdot\text{L}^{-1}$]	Rifampicin concentration at which half the E_{\max} is reached	0.0699	(20)
k_{ENZ} [h^{-1}]	First-order rate constant for enzyme pool degradation	0.00603	(20)
F_{\max} [-]	Maximal increase in relative bioavailability at doses above 450 mg	0.504	(20)
ED_{50} [mg]	Difference in dose above 450 mg at which half the F_{\max} is reached	67.0	(20)
IIV V_{\max} [%]	Inter individual variability in V_{\max}	30.0	(20)
IIV k_m [%]	Inter individual variability in k_m	35.8	(20)
IIV V_d [%]	Inter individual variability in V_d	7.86	(20)
IIV k_a [%]	Inter individual variability in k_a	33.8	(20)
IIV MTT [%]	Inter individual variability in MTT	38.2	(20)

IIV NN [%]	Inter individual variability in NN	77.9	(20)
IOV k_m [%]	Inter occasion variability in k_m	18.9	(20)
IOV k_a [%]	Inter occasion variability in k_a	31.4	(20)
IOV MTT [%]	Inter occasion variability in MTT	56.4	(20)
IOV F [%]	Inter occasion variability in F	15.7	(20)
Correlation V_{max} - k_m [%]		38.9	(20)
f_u [-]	Fraction unbound	0.2	(21)
k_{ELF} [h^{-1}]	Transfer rate constant from plasma to epithelial lining fluid	41.58	(21)
$R_{ELF/plasma}$ [-]	Epithelial lining fluid/plasma concentration ratio	0.26	(21)

IIV; inter-individual variability, IOV; inter-occasion variability

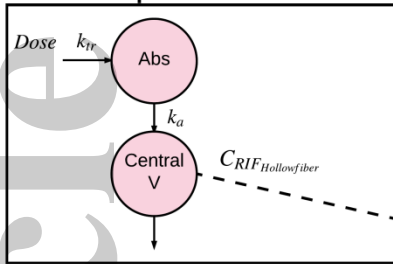
Table 2: Observed (*in vitro*) versus predicted post-antibiotic effects (PAE) obtained after exposure to various rifampicin concentrations (0-14 mg/L) for 0-7 h.

time; concentration	Observed PAE [days]	Predicted PAE [days]
0; 0	0	0
7 h; 2 mg/L	5.3	5.2
1 h; 7 mg/L	12.3	12.0
2 h; 7 mg/L	12.3	12.9
0.5 h; 14 mg/L	19.9	19.3

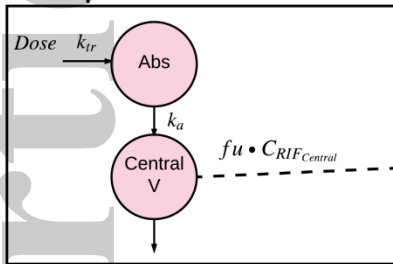
Observed data from (22).

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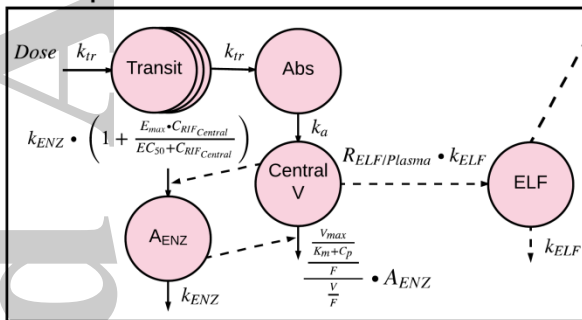
Hollow fiber pharmacokinetics



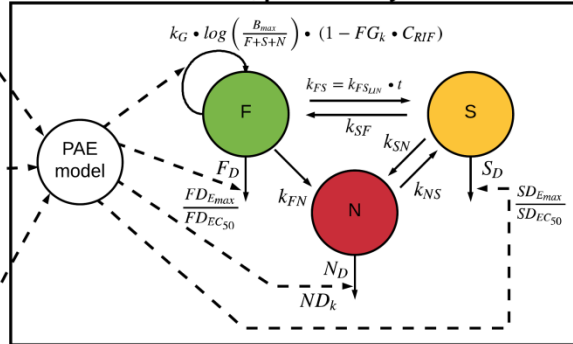
Mouse pharmacokinetics

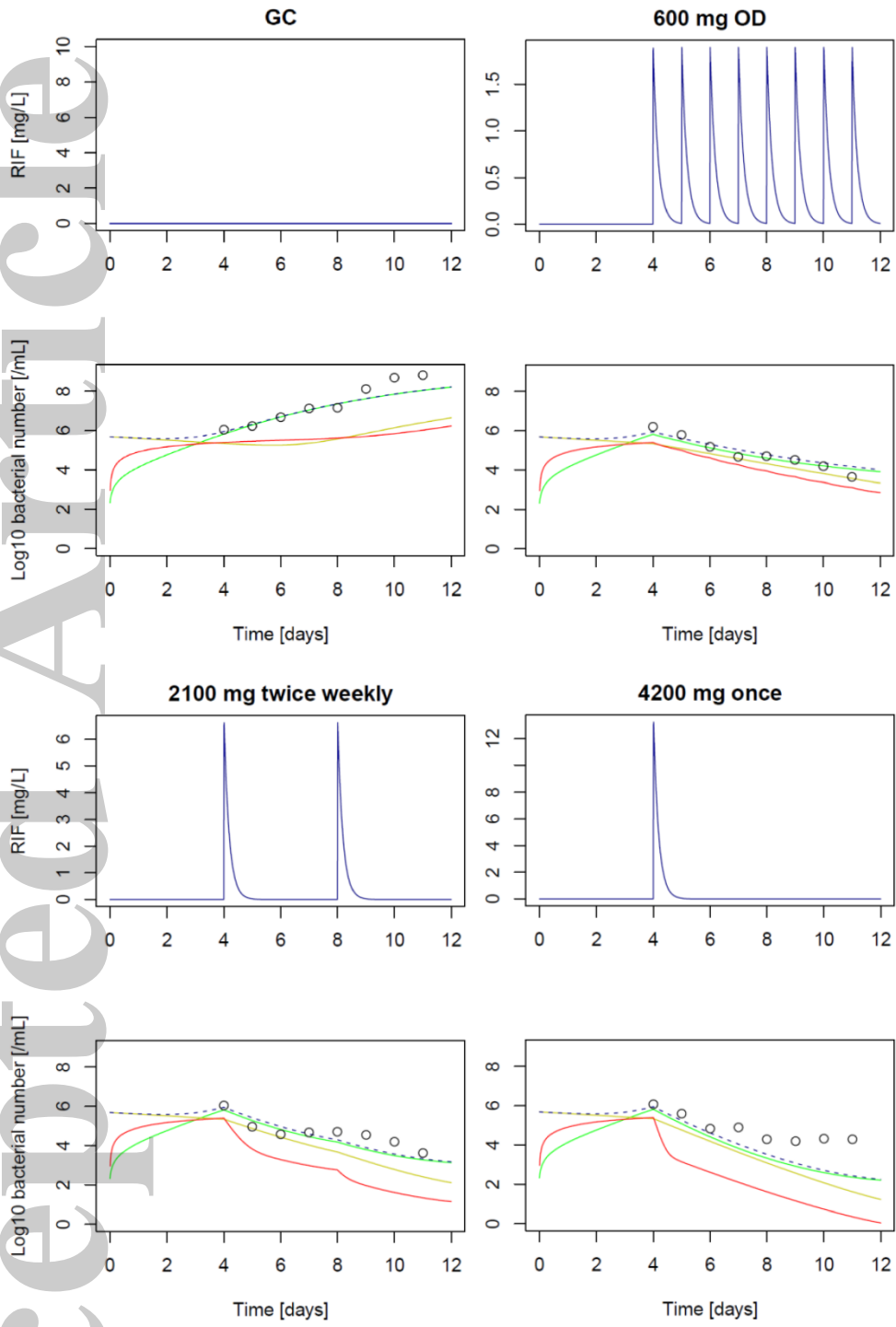


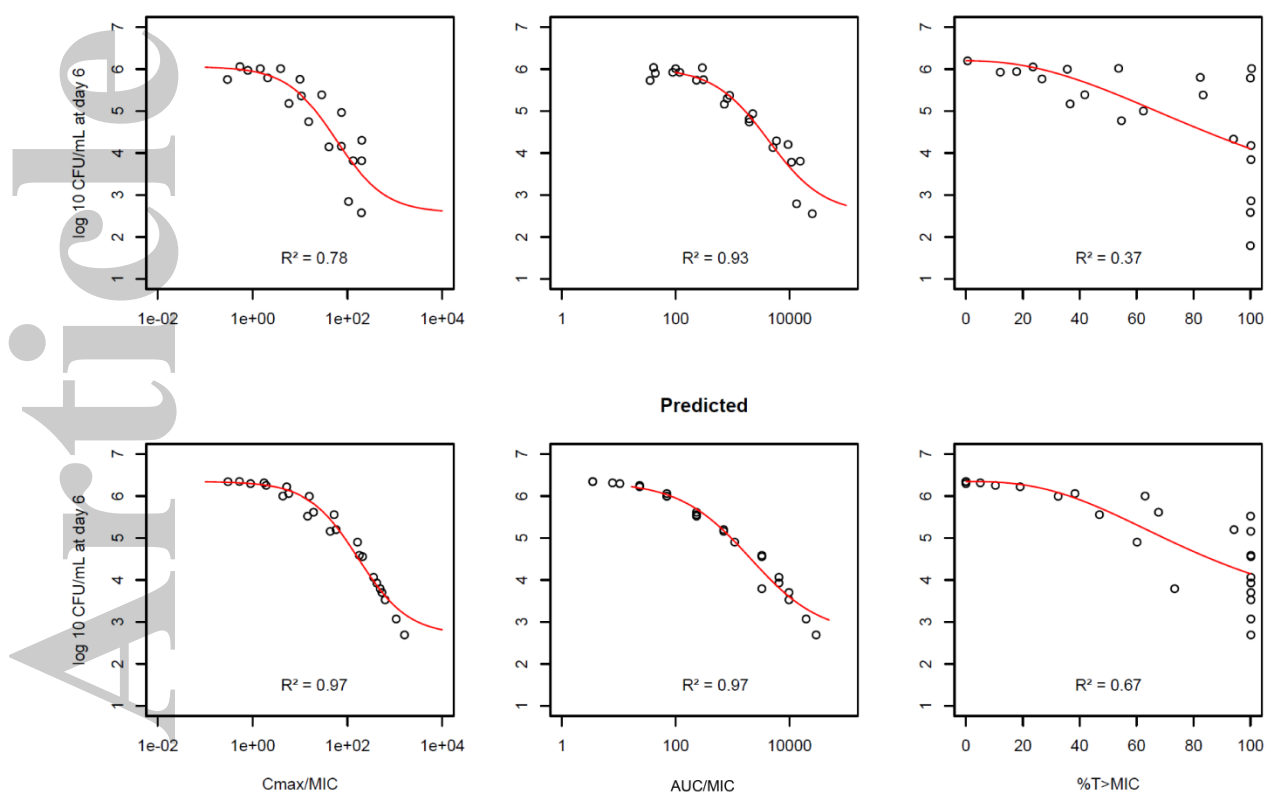
Clinical pharmacokinetics

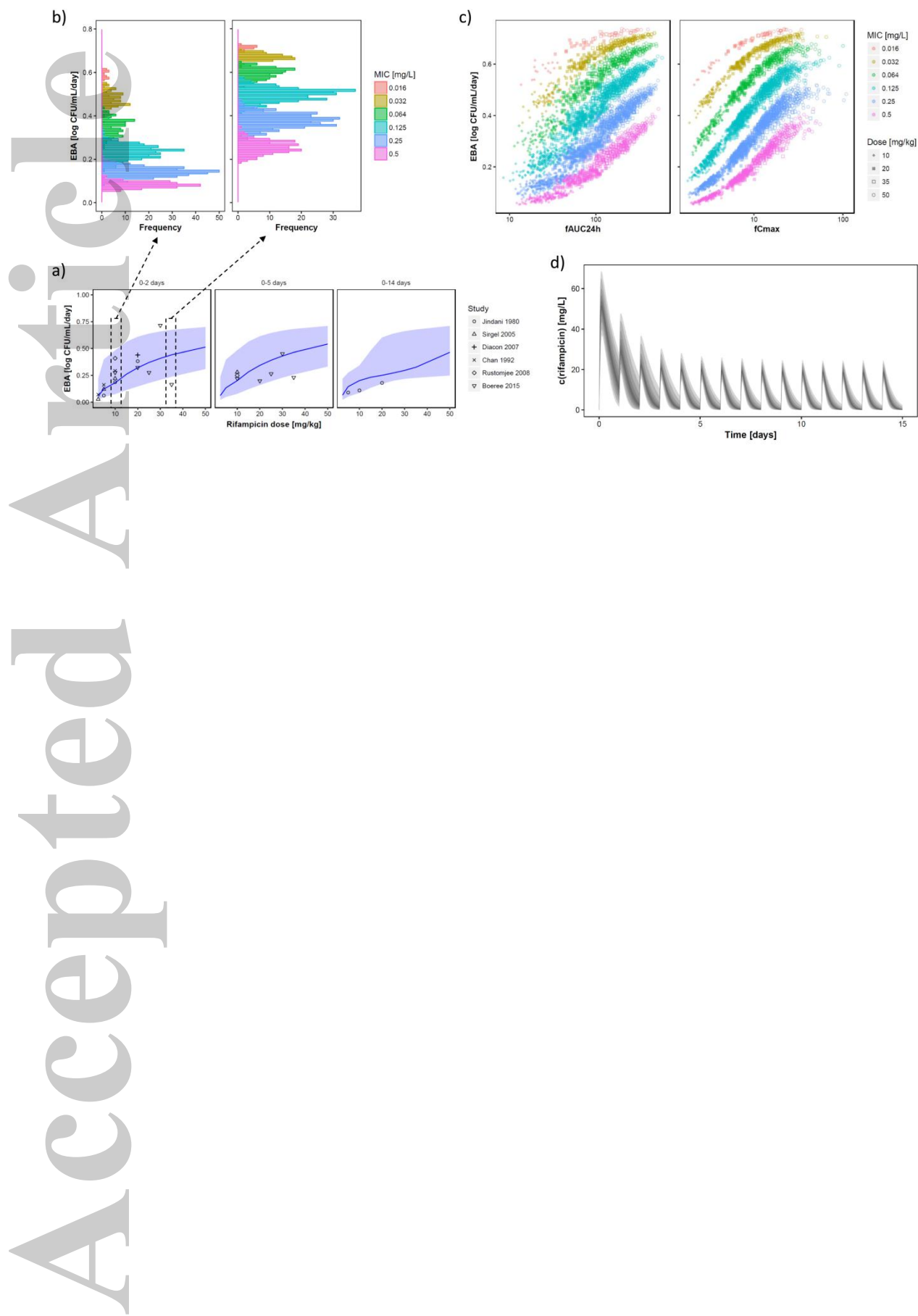


Post-antibiotic effect and The Multistate Tuberculosis Pharmacometric model, pharmacodynamics

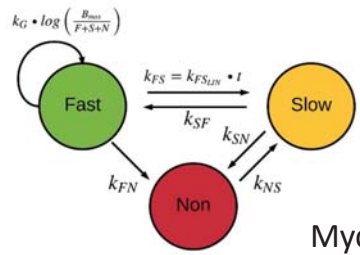




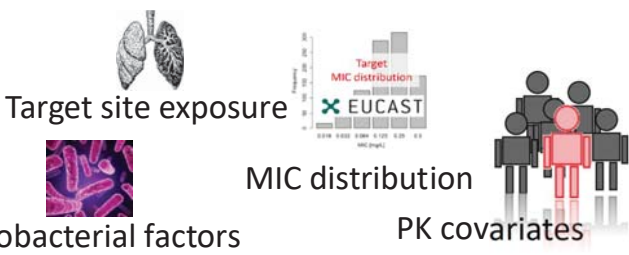




Pre-clinical Disease Model



Translational Factors



Clinical Predictions

