

Research paper

## **Pilot randomised controlled trial of a brief mindfulness-based intervention for those with persistent pain**

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1 **Abstract**

2 A pilot-randomised controlled trial (RCT) examined the effects of a brief mindfulness-  
3 based intervention (MBI) on persistent pain patients and assessed the feasibility of  
4 conducting a definitive RCT. A brief (15 minute) mindfulness body-scan audio was  
5 compared with an active control administered in a clinic and then used independently  
6 over one month. A brief mindfulness body-scan audio was compared with an active  
7 control administered in a clinic and then used independently. Immediate effects of  
8 the intervention were assessed with brief measures of pain severity, distraction and  
9 distress. Assessments at baseline, one week and one month included pain severity  
10 and interference, mood, pain-catastrophizing, mindfulness, self-efficacy, quality of life  
11 and intervention acceptability. Of 220 referred patients, 147 were randomised and 71  
12 completed all assessments. There were no significant immediate intervention effects.  
13 There were significant positive effects for ratings of intervention 'usefulness' at one  
14 week (p=0.044), and pain self-efficacy at one month (p=0.039) for the MBI group  
15 compared with control. Evidently, it is feasible to recruit persistent pain patients to a  
16 brief MBI study. Strategies are needed to maximise retention of participants.

17 **Trial registration:** Current controlled trials ISRCTN61538090. Registered 20 April  
18 2015

19 **Keywords:** Persistent pain, Mindfulness, Intervention, Randomised controlled trial,  
20 Pilot

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31 **Introduction**

32 Persistent pain (i.e., chronic pain) is a major health issue that impacts people  
33 regardless of socioeconomic status, gender or access to healthcare (53). Within the  
34 United Kingdom alone, between one-third and one-half of the population are affected  
35 by persistent pain (Fayaz *et al.*, 2016). It has a negative impact on quality of life  
36 (Bridges, 2012) and results in high levels of disability (Fredheim *et al.*, 2008) with  
37 41% of patients attending pain clinics reporting being unable to work (British Pain  
38 Society, 2012). Furthermore, high comorbidity rates of depression and anxiety  
39 (Elliott, Renier and Palcher, 2003) are common and 16% of sufferers report their  
40 persistent pain is so bad that they sometimes want to die (Sir Liam Donaldson,  
41 2008).

42 Psychological therapies, most commonly in the form of cognitive behavioural  
43 therapies (Morley, Eccleston and Williams, 1999; Eccleston, Williams and Morley,  
44 2009) have been shown to play an important role in helping patients cope with  
45 persistent pain (Roditi and Robinson, 2011; Williams, Eccleston and Morley, 2012).  
46 More recently mindfulness-based approaches have emerged ~~\_(Hayes 2004)~~(Hayes,  
47 2004; Harrison *et al.*, 2017). These interventions typically involve training patients to  
48 engage in self-regulation of attention through increasing awareness of, and  
49 accepting, present thoughts, feelings and physical sensations (Kabat-Zinn, 1990).

50 The translation of mindfulness-based practices into a secular health care intervention  
51 ~~a program~~ was initiated by Kabat-Zinn in the 1970's when he investigated persistent  
52 pain management at the University of Massachusetts medical school (Kabat-Zinn,  
53 1982). During this time, patients were trained in mindfulness and the result was the  
54 development of a ten week structured program called Mindfulness-based Stress  
55 Reduction (MBSR) (Kabat-Zinn, Lipworth and Burney, 1985), which was later  
56 reduced to what is now the traditional eight week program.

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Since then, good evidence for full length mindfulness-based interventions (MBIs) in both clinical and non-clinical populations has been established (~~Grossman et al. 2004b~~) (Goyal *et al.*, 2014; Bawa *et al.*, 2015; Hilton *et al.*, 2017). Among those with persistent pain, MBIs have been shown to reduce anxiety, depression and distress, and to enhance quality of life (Hofmann *et al.*, 2010) while at the same reducing negative habitual responding which positively impacts pain distress and exacerbation (Kabat-Zinn, 1990; Grossman *et al.*, 2004). There is also evidence that regular mindfulness meditation modulates neural mechanisms (Zeidan *et al.*, 2011, 2012), especially those related to pain, as well as benefitting inflammatory systems (Greeson, 2008). In addition, recent UK National Health Service (NHS) guidelines include a recommendation for mindfulness meditation in treating depression (NCCMH, 2009).

While this research is promising, a major barrier with the implementation of current MBIs is the amount of time they require and the necessity of a trained specialist to oversee them (WHO, 2003). Mindfulness programmes are typically administered over eight weeks and involve group sessions. Many persistent pain patients do not have the resources, physically or mentally, to engage with such an intensive programme (BPS, 2008; Sim and Lewis, 2012). Self-help type interventions, which offer more autonomy, are likely to be more adaptable for many such patients and the self-management model of care is now an integral part of the NHS (Rogers and Kennedy, 2008). One type of brief intervention that fits this profile is a short mindfulness-based body scan. This scan is a key component of mindfulness meditation practice; it involves being directed to focus attention on the present moment through observing the breath and bodily sensations, while becoming aware of, and accepting without judgement, any thoughts and feelings which arise. The traditional mindfulness-based stress reduction (MBSR) intervention includes a body scan (Baer, 2003), ~~usually lasting anything from five to lasting~~ 45 minutes, ~~although sometimes shortened.~~

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85 Investigations with healthy populations, with a brief MBI, have been  
86 successful in demonstrating a reduction in some aspects of the pain experience,  
87 such as distress and sensitivity, during experimental pain studies (Zeidan Gordon,  
88 N. S., Merchant, J., & Goolkasian, P., 2010; Liu X Chang S, Chen W, Si M., 2013).  
89 However, in other studies (Sharpe *et al.*, 2013; Prins, Decuyper and Van Damme,  
90 2014) where experimental pain was applied to also using healthy participants and  
91 experimental pain, there were no lacked significant results. In a persistent pain  
92 population, encouraging effects were found, with an audio recording of a 10 minute  
93 body scan reducing reports of distress, immediately after listening to the audio, in a  
94 clinical setting (Ussher *et al.*, 2012). This same study also found no effects when  
95 repeated in the participants' own environment. To further explore what appeared to  
96 be a promising intervention within a clinical population, a qualitative study (Howarth,  
97 Perkins-Porras, Copland, *et al.*, 2016) was conducted which informed the current  
98 study in relation to key refinements of the refined the previously used intervention  
99 mostly by extending the duration (i.e., use for one week requested and up to one  
100 month, encouraged) and length (i.e., 15min instead of 10min). A as well, as the  
101 nature of the intervention was modified to be more self-management focused, a  
102 selection of as piloting a different selection-outcome measures that were considered  
103 more relevant were piloted. The aim of the current study was to evaluate the effects  
104 of a brief MBI, which is a refinement of the intervention used in the latter study and  
105 assess the feasibility of conducting a definitive randomised controlled trial (RCT).

106 **Methods**

107 *Design*

108 This was a single centre, parallel group, RCT pilot study, designed to assess  
109 the immediate effects of a MBI, as well as the feasibility of conducting a definitive  
110 RCT. According to Bowen et al.'s, 2009 article on design feasibility studies, this study  
111 could be considered an acceptability, demand, implementation and practicality

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7 112 [feasibility study based on the nature of the questions the study is asking and the](#)  
8 113 [variety of outcomes of interest](#) (Bowen *et al.*, 2009).

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10 114 Ethical approval was given by the NRES Committee London - Camden & Islington  
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12 115 (14/LO/1912). Participants provided written informed consent.

#### 13 14 116 *Participants*

15 117 Patients were recruited from three outpatient NHS physiotherapy and pain  
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17 118 clinics at in south London. All patients were initially screened by a clinician (i.e.,  
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19 119 physiotherapist or pain consultant). Those who met the inclusion criteria were given a  
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21 120 patient information sheet (PIS) by the clinician and were asked if they consent to  
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23 121 have their contact details passed to a researcher, who would then call to discuss  
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25 122 whether they wished to join the study. Or if they preferred they could meet with the  
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27 123 researcher in person to discuss the study.

28 124 Patients were eligible if they were over 18 years of age, living with persistent  
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30 125 pain (i.e., with a diagnosis of persistent pain or having had pain for more than three  
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32 126 months past the time healing should have occurred (BPS, 2008)), and able to hear  
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34 127 audio recordings or have equipment to enable them to do so. [The clinicians were](#)  
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36 128 [asked to whether they thought the intervention would be too burdensome for their](#)  
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38 129 [patient's health and wellbeing](#). Patients were excluded if they were considered too  
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40 130 unwell to participate by the clinician or were unable to speak or read English  
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42 131 sufficiently to understand and complete the self-administered questionnaires.

#### 43 44 132 *Sample size*

45 133 It is recommended that pilot/feasibility studies ideally recruit a total of at least  
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47 134 50 participants (Sim and Lewis, 2012), ~~although in practice many studies recruit 50~~  
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49 135 ~~to 100 participants~~. We aimed to recruit 90 participants (45 in each treatment arm).  
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51 136 Then allowing for 10 participants withdrawing (estimate based on a previous  
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53 137 mindfulness study with a similar population (Ussher *et al.*, 2012)) we aimed to have  
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55 138 approximately 80 participants with data through to the final one month follow-up.  
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57 139 Moreover, for the immediate effects of the intervention, based on previous findings

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7 140 (Ussher *et al.*, 2012), we estimated that a sample size of 25 in each of the two  
8 141 groups (total sample N=50) would have 80% power to detect an effect size (Cohen's  
9 142 d) of 0.6 with a 5% two-sided significance level, when comparing scores on the  
10 143 perceived distress scale after the intervention. We chose the distress measure on  
11 144 which to base the latter power calculation as this was the only key outcome measure  
12 145 for which we had data from similar previous studies.~~we estimated that a sample size~~  
13 146 ~~of 25 in each of the two groups (total sample N=50) would have 80% power to detect~~  
14 147 ~~an effect size (Cohen's d) of 0.4 with a 5% two-sided significance level when~~  
15 148 ~~comparing scores on we used a Wilcoxon signed-rank test (G-Power software) to~~  
16 149 ~~calculate that a total sample size of at least 50 participants would be required to~~  
17 150 ~~detect a significant difference of 1.2 (SD=2) on the perceived distress scale,~~  
18 151 ~~between the two groups after the intervention. This was with 80% power at the 5%~~  
19 152 ~~significance level. We chose the distress measure on which to base the latter power~~  
20 153 ~~calculation as this was the only key outcome measure for which we had data from~~  
21 154 ~~similar previous studies.~~

#### 155 *Randomisation*

156 An independent statistician (MR) generated a randomization list using the  
157 online resource 'Research Randomizer' (randomizer.org, no date) who was then  
158 blinded to group allocation. This list was used by researchers to allocate volunteers  
159 to either the control or MBI group on a 1:1 basis. Patients were allocated their  
160 number in ascending order based on order of enrolment. Allocation was concealed  
161 from the participant and researcher until all baseline assessments were completed.  
162 Due to limited resources, the same researcher delivered the intervention and  
163 administered the research measures and neither participants nor researchers were  
164 blinded to treatment allocation during intervention delivery or during outcome  
165 assessment. An independent researcher (MU), who was blinded to the treatment  
166 allocation, conducted the initial analysis for the main outcomes.

#### 167 *Interventions*

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168 To improve the reporting of the interventions, the Template for Intervention  
169 Description and Replication (TIDieR) (Hoffmann *et al.*, 2014) and SPIRIT (Standard  
170 Protocol Items: Recommendations for Interventional Trials) (Chan *et al.*, 2013)  
171 checklists were used to guide the description of the interventions.

172 ***Mindfulness-based intervention group: Brief self-management mindfulness-***  
173 ***based audios***

174 Patients in the MBI group were given an audio recording of a 15 minute  
175 mindfulness body scan on an MP3 player (with earphones) or were offered the option  
176 of having the audio downloaded directly to a personal device of their choice, such as  
177 a smart phone or iPad.

178 The choice of the body scan meditation for the audio was based on  
179 successful traditional MBSR interventions, which routinely include a body scan  
180 meditation as the introductory exercise. In comparison to other mindfulness  
181 exercises, such as breathing or walking meditations, this particular exercise is  
182 considered to be an accessible introduction to mindfulness meditation (Kabat-Zinn,  
183 1990). In a clinical setting with a persistent pain population, a brief (10 minute) body  
184 scan was found to reduce reports of distress, immediately after listening to the audio  
185 (Ussher *et al.*, 2012).

186 The body scan used in this study was an extended version of a 10 minute  
187 body scan that was used in a previous qualitative study (Howarth, Perkins-Porras,  
188 Copland, *et al.*, 2016) investigating the acceptability of the intervention to patients. It  
189 is based on a transcript from Breathworks (Breathworks, no date), an established  
190 mindfulness organization specialising in supporting those with persistent pain. As  
191 part of the prior qualitative study (31), and in response to feedback from patients, the  
192 intervention was extended from 10 to 15 minutes so that it would feel less rushed.

193 The audio recording directed the listener to 'scan' their body with their  
194 attention systematically, starting with the toes and finishing with the crown of the  
195 head. Throughout this process, the listener was also encouraged to be aware of their



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7 196 breathing and to accept all thoughts and feelings, whether positive or negative,  
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9 197 without trying to alter them in any way. The audio was administered in the presence  
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11 198 of a researcher in the first instance, in a clinical setting (i.e., physiotherapy or pain  
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13 199 clinic medical side room or cubicle) and a telephone follow up at one week and one  
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15 200 month was conducted (in nearly all cases) by the same researcher. Use of the audio  
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17 201 in the patient's own environment at least three further times during the first week was  
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19 202 requested and after that use was encouraged but no set number of times was  
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21 203 prescribed for the subsequent three weeks as the main aim was to see if patients  
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23 204 would choose to continue to use the audio of their own volition. Following  
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25 205 administration of the MBI, a study packet including information and instructions for  
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27 206 use of the audios along with brief information regarding mindfulness (i.e., frequently  
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29 207 asked questions) and questionnaires to be filled out at home, were given to the  
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31 208 patient. The inclusion of an information sheet was developed in response to patient  
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33 209 feedback in the previous qualitative study (Howarth, Perkins-Porras, Copland, *et al.*,  
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35 210 2016).

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37 211 In order to offer some variety, an audio of a mindfulness breathing meditation  
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39 212 and a mindfulness moving meditation were given (i.e., loaded onto the MP3 player or  
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41 213 device) to the MBI group as well, but use was not recommended until after one week.  
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43 214 The breathing meditation was an exercise where the breath is used as an object of  
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45 215 concentration and the listener is asked to focus on the sensations of breathing (e.g.,  
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47 216 the feeling of the chest rising and falling). The moving meditation was focused on  
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49 217 gentle exercises (e.g., small wrist twists or arm movements), which could be done  
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51 218 sitting or standing and the listener was guided to pay attention to bodily sensations  
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53 219 after making each movement. This variety in mediation was partly to match the  
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55 220 variety that the control group would be experiencing as they would not be listening to  
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57 221 the same content regularly (i.e., a different chapter each session) but also to echo  
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59 222 the structure of traditional MBI's which offer more mindfulness exercises on a weekly  
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223 basis so as to motivate and encourage growth of the practice. Both of the additional  
224 meditations were also based on transcripts from Breathworks.

225 ***The control group: distraction audios***

226 Patients in the control group were given eight, 15 minute audio recordings of  
227 sequential readings from “The English Village: History and Traditions” (Wainwright,  
228 2011), which is a non-fiction book considered not to include any strong emotive  
229 content. The readings started from the beginning of the book and it was hoped that  
230 enough interest would be generated as the story progressed to encourage patients to  
231 listen to a total of three further sessions in the first week. In total, eight sessions were  
232 recorded with the intention that four recordings would be used in the first week and  
233 that the remaining four could be used in the following three weeks. As with the MBI  
234 group, patients were given an MP3 player (with earphones) or the option of having  
235 the audios downloaded directly to a personal device. For the first session in clinic,  
236 the first of these sequential readings, which was also the first section of the book,  
237 was presented. Non-fiction material, similar in style and content, has been used in a  
238 previous study examining the acute effects of mindfulness among those with  
239 persistent pain, where it was found to be an acceptable intervention (Ussher *et al.*,  
240 2012). Recordings were made using the same narrator as the intervention, and were  
241 read at a similar pace and with comparable pauses.

242 As with the MBI group, use of the audios was requested at least three further  
243 times during the first week. After that, continuing use was encouraged, with no set  
244 prescription for the subsequent three weeks. Following administration of the control  
245 intervention the study packet including information and instructions for use of the  
246 audios (minus the mindfulness frequently asked questions that were included for the  
247 MBI group) and questionnaires to be filled out at home, were given to the patient.

248 ***Procedure in clinic***

249 Patients who met the inclusion criteria were approached by the research  
250 team and given the PIS. Patients were given as much time as they needed to

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251 consider whether they wanted to participate. To standardise delivery a researcher  
252 checklist was followed and the three researchers observed each other administering  
253 the intervention to at least one patient each.

254 As the intervention was intended to be a self-management tool, only the initial  
255 session was conducted in clinic, face-to-face with a researcher in a private room or  
256 cubicle. Patients were asked to complete baseline measures, randomised to either  
257 the control or MBI group, asked to complete brief psychological measures, and then  
258 to listen to the relevant audio once in clinic with the researcher. Immediately after  
259 listening to the audio, patients were asked to complete the brief psychological  
260 measures again. Before leaving, patients were advised to consider barriers and  
261 facilitators to use of the audio in their own environment and were given a study  
262 packet to take home. They were instructed to use the audios as a self-management  
263 tool a minimum of three times within the first week and to try the audio during  
264 particularly painful times if possible. With a lack of previous evidence offering  
265 guidance for the usage amount within a clinical population, two sources were  
266 combined to inform the recommendation for this study. Brief MBIs in experimental  
267 studies with non-clinical populations tended to average between 3-4 times weekly.  
268 This recommendation was combined with consultation with an expert in the area of  
269 chronic pain treatment (i.e., a clinical pain psychologist).

270 The contents of the study packet containing follow-up questionnaires, (i.e.,  
271 study diaries 1, 2, & 3, detailed below with measures), self-addressed prepaid return  
272 envelopes and brief instructions, were then reviewed with the patient in case there  
273 were queries. If the audios were not directly downloaded to a personal device,  
274 patients were invited to keep the MP3 players. The offer of the MP3 player was not  
275 mentioned in the PIS and therefore was not considered as an incentive to  
276 recruitment.

277 **Measures and schedule of assessment**  
278 ***Baseline data collection***

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7 279 Patients were asked to provide demographic details including age, marital  
8 280 status, occupation, education, and ethnic group along with five pain related  
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10 281 questions, namely: "What is your clinical diagnosis?", "How long have you been living  
11 282 with your pain?", "Are you currently taking any medication for your pain and if so,  
12 283 which one/s?", "Over the last week, how confident have you been in managing your  
13 284 pain" (1 = not at all confident to 7 = extremely confident, i.e., pain self-efficacy) and  
14  
15 285 "During the past week, how much has your work or other regular daily activities been  
16 286 limited as a result of your pain symptoms?" (1 = not at all to 5 = extremely). They  
17 287 then completed a measure of mood (Hospital Anxiety and Depression Scale  
18 288 (Zigmond and Snaith, 1983)), a mindfulness questionnaire (Cognitive and Affective  
19 289 Mindfulness Scale-Revised (Feldman *et al.*, 2007)), a pain specific questionnaire  
20 290 (Brief Pain Inventory (Cleeland and Ryan, 1994)), a pain catastrophizing  
21 291 questionnaire (Pain Catastrophizing Scale (Sullivan, Bishop and Pivik, 1995)) and a  
22 292 health related quality of life (HRQoL) questionnaire (EQ-5D-5L (Herdman *et al.*,  
23 293 2011)). Immediately before and after the initial use of the audio in clinic, patients  
24 294 were asked to complete three questions regarding their level of distraction, pain  
25 295 severity and pain distress (1 = not at all to 5 = extremely). Full details of the  
26 296 measures are given below.

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38 297 **Measures completed during the first week**

39 298 Study Diary 1 included a self-monitoring table detailing date, time and  
40 299 position of use (e.g., sitting or lying) of the audios and a repeat of the baseline brief  
41 300 measures of level of distraction, pain severity and pain distress immediately before  
42 301 and after the last session of listening to the audio during the first week.

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46 302 **Measures completed after one week**

47 303 Study Diary 2 included a brief questionnaire where patients are asked: "How  
48 304 useful did you find the audio guide for helping you to relax?" (1 = not at all to 5 =  
49 305 extremely useful), and "Would you recommend this audio guide to others to help  
50 306 manage their persistent pain?" (1 = definitely would not recommend to 5 = definitely

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307 would recommend it). To assess level of experience of activities related to  
308 mindfulness, the question: "Have you had experience of yoga, tai-chi or any type of  
309 meditation?" (1 = no experience of these activities to 7 = I currently practice these  
310 activities at least once a week) was included. These questions were followed by a  
311 repeat of the measure of mindfulness that was completed at baseline.

312 ***Measures completed during and after one month***

313 Study Diary 3 included another self-monitoring table where patients could  
314 continue to detail date, time and position of use of the audios during the three weeks  
315 prior to the final one month follow up. At one month, items regarding pain self-  
316 efficacy and physical function were repeated in addition to the measures of mood,  
317 pain catastrophising, mindfulness, and HRQoL that were administered at baseline. It  
318 was considered that one week was likely too soon for patients to make detectable  
319 changes in physical and/or psychological function, therefore these measures were  
320 only administered after the completion of the intervention at one month.

321 A brief assessment of whether participants had continued listening to the  
322 audio (and if so, how often), a discussion of the main barriers to and facilitators of  
323 use, and views on options such as an online support group forum, texting support  
324 and more face time, was conducted with a brief (approximately 5 mins) open-ended  
325 telephone interview. A schedule of assessment for all measures included is  
326 presented in Table 1 below.

327  
328 **Table 1 Schedule of data and measurement collection**

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330 **Intervention behaviour change techniques at one week**

331 Behaviour change techniques were included to maximise engagement and  
332 adherence. At one week, the researcher followed up by telephone and encouraged  
333 continued use of the intervention, identified perceived barriers to and facilitators of  
334 use and set goals with the patient by recommending continued use of the

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335 intervention at least three times a week. Self-monitoring by diary was encouraged  
336 also. These behaviour change techniques (BCTs) come under the labels “Goal  
337 setting” or “Action planning”, “Self-monitoring of behaviour” and “Problem solving” as  
338 per the generic BCT Taxonomy (v1) (Michie *et al.*, 2013)).

339 **Debrief at one month**

340 Patients were followed up after one month by telephone and were debriefed  
341 regarding the full nature of the study, and if they were part of the control group, they  
342 were offered to have the MBI audios sent to them. Resources that were readily  
343 available to the public were recommended at this time if patients wished to further  
344 explore mindfulness. ~~Patients were reminded to complete and post back the~~  
345 ~~questionnaires.~~

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346 **Measures**

347 *Hospital Anxiety and Depression Scale*

348 The Hospital Anxiety and Depression Scale (HADS) designed by Zigmond  
349 and Snaith (1983) (Zigmond & Snaith, 1983) has been widely used as a tool to  
350 assess the severity of depression and anxiety and is an easily-administered  
351 screening questionnaire. It includes fourteen items, seven measuring anxiety and  
352 seven measuring depression. The respondent must choose one of four responses for  
353 each item in accordance with how they have felt over the previous week. A score of  
354 0-21 is calculated for each disorder with total scores between 11-21 indicating  
355 abnormal levels of anxiety or depression (Crawford, Henry, Crombie, & Taylor,  
356 2001). The HADS has been routinely used for research within chronic pain  
357 populations (Kalia & O'Connor, 2005; Sagheer, Khan, & Sharif, 2013; Tang, Wright,  
358 & Salkovskis, 2007; Veehof, Oskam, Schreurs, & Bohlmeijer, 2011) and has been  
359 found to have good internal consistency for both the anxiety (a = .83) and the  
360 depression (a = .84) subscales (Pallant & Bailey, 2005).

361 *Cognitive and Affective Mindfulness Scale- Revised (CAMRS-R)*

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362 [The Cognitive and Affective Mindfulness Scale-Revised \(CAMS-R\) \(G](#)  
363 [Feldman, Hayes, Kumar, Greeson, & Laurenceau, 2007\)](#) is a 10-item scale which  
364 [uses everyday language appropriate for those with little meditation experience. It is](#)  
365 [the revised version of the Cognitive and Affective Mindfulness Scale \(CAMS\) \(Greg](#)  
366 [Feldman & Hayes, 2005\) which is an 18-item measure designed to capture](#)  
367 [mindfulness as a general daily experience. The CAMS-R has been compared with](#)  
368 [two other existing mindfulness measures, the Mindfulness Attention Awareness](#)  
369 [Scale \(Brown & Ryan, 2003\) and The Freiburg Mindfulness Inventory \(Walach,](#)  
370 [Buchheld, Büttenmüller, Kleinknecht, & Schmidt, 2006\) where it was found to be](#)  
371 [positively correlated \(MAAS \( \$r = .51, p < .001\$ , FMI \( \$r = .66, p < .001\$ \) \(Baer, Smith,](#)  
372 [Hopkins, Krietemeyer, & Toney, 2006; Thompson & Waltz, 2007\) with an acceptable](#)  
373 [internal consistency \( \$\alpha = .76\$ \) \(G Feldman et al., 2007\) which was a weakness of the](#)  
374 [original scale. The CAMS-R is also uniquely appropriate in that includes a measure](#)  
375 [related to psychological distress, which is highly relevant to the current study and](#)  
376 [chronic pain population.](#)

377 *[EuroQol - 5 Dimensions - 5 Levels](#)*

378 [The EuroQol - 5 Dimension - 5 Levels \(EQ-5D-5L\) \(Herdman et al., 2011\) is](#)  
379 [the most recently developed version of the EQ - 5 Dimensions \(EQ-5D\) \(Brooks,](#)  
380 [1996; EuroQol Group, 1990\) that has good construct validity and responsiveness](#)  
381 [among people with chronic pain \(Obradovic, Lal, & Liedgens, 2013\) and is a](#)  
382 [standardised measure of health status. It was developed by the Euroqol group, is](#)  
383 [supported by the National Institute for Clinical Excellence \(NICE\) for measuring](#)  
384 [change in health related quality of life with various patient groups \(Brazier &](#)  
385 [Longworth, 2011\) and has been validated within numerous patient groups including](#)  
386 [the chronic pain population. It has been shown to be a sensitive tool with internal](#)  
387 [consistency \( \$\alpha = .78\$ \) \(Cheung et al., 2016\) and reliability \(Dorman, Waddell, Slattery,](#)  
388 [Dennis, & Sandercock, 1997; Hurst et al., 1994; Marra et al., 2005; Mustur, Vesović-](#)  
389 [Potić, Stanisavljević, Ille, & Ille, 2009\).](#)

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390 [The Brief Pain Inventory](#)

391 [The Brief Pain Inventory \(BPI\) \(Cleeland & Ryan, 1994\) is a tool for the](#)  
392 [assessment of pain in both clinical and research settings, is easy to use and includes](#)  
393 [simple numeric rating scales from 0 to 10 \(with 0 = no pain to 10 = pain as bad as](#)  
394 [you can imagine\). The BPI has been used internationally \(Cleeland & Ryan, 1994;](#)  
395 [Gjeilo, Stenseth, Wahba, Lydersen, & Klepstad, 2007; Song et al., 2016\) to measure](#)  
396 [severity and interference of pain in patients who live with a range of chronic pain](#)  
397 [presentations and has good internal consistency ranging from 0.80 to 0.87 for the](#)  
398 [severity items and 0.89 to 0.92 for the interference items \(Cleeland & Ryan, 1994\).](#)

399 [Pain Catastrophizing Scale](#)

400 [The Pain Catastrophizing Scale \(PCS\) \(Sullivan, Bishop, & Pivik, 1995\) is a](#)  
401 [13-item scale consisting of statements in relation to the thoughts and feelings](#)  
402 [patients report when they experience pain. Scored from zero \(not at all\) to four \(all](#)  
403 [the time\), the total PCS scores range from 0–52 points and higher scores indicating](#)  
404 [higher levels of pain catastrophizing. The PCS was originally an elaboration on the](#)  
405 [Coping strategies Questionnaire \(CSQ\) \(Rosenstiel & Keefe, 1983\) and now consists](#)  
406 [of three subscales, which are magnification, rumination, and helplessness. The scale](#)  
407 [was developed to be used within both clinical and non-clinical populations and has](#)  
408 [been shown to have reliability and validity in both pain populations and healthy adult](#)  
409 [populations with a high internal consistency \( \$\alpha = .87\$ \) \(Osman et al., 2000\).](#)

410 *Brief measures completed before and after audio in clinic and the last session during*  
411 *the first week at home.*

412 Three brief, single-item measures were used to assess level of distraction,  
413 pain severity and pain distress. Patients were asked to rate “[Right now, I could be](#)  
414 [easily distracted.](#)~~How distracted do you feel right now?~~”, “How severe are your pain  
415 related symptoms right now?”, and “How distressing are your pain related symptoms  
416 right now?”, all on a scale from 1 (not at all) to 7 (extremely so). The two pain-related  
417 items were based on a previous study (Ussher *et al.*, 2012) with a similar intervention



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418 and the distraction item was developed specifically for the study, based on an item  
419 from the CAMS-R (i.e., “I am easily distracted.”).

420 **Statistical Analysis**

421 We compared baseline characteristics for the two study groups (i.e., MBI and  
422 control), using t-tests, Mann-Whitney tests or chi-squared depending on the data.  
423 Baseline characteristics of non-completers (i.e., those randomised who did not  
424 complete the one month follow-up measures) were compared with the sample that  
425 did complete all follow-up measures.

426 For the analysis of the primary outcomes, which were the immediate effects  
427 of the intervention, we assessed the effect of the body scan intervention versus the  
428 control intervention on ratings for the brief psychological measures administered  
429 immediately before and after the interventions. This analysis was conducted with  
430 ratings made in the clinic and also for those conducted in the participant’s own  
431 environment. It was hypothesized that patients in the brief MBI group would report  
432 reductions in ratings of distraction, pain severity and pain distress compared with the  
433 control condition. First, we conducted multiple linear regressions with the post  
434 intervention immediate effect scores as the dependent variables and treatment  
435 groups and baseline immediate effect scores as the independent variable (Vickers *et*  
436 *al.*, 2018). Statistical significance was assessed using likelihood-ratio test, and the  
437 regression coefficient ( $\beta$ ) was reported as the estimate of effect given as mean  
438 difference of change scores with 95% confidence interval (CI). The effect estimates  
439 were adjusted for age, gender and baseline BPI score in the multiple regression  
440 analysis, as being potentially important prognostic baseline factors.

441 Next, we assessed the effect of the study groups on changes in outcome  
442 scores between baseline and one month for the HADS, EQ-5D-5L, PCS, CAMS-R,  
443 and ratings of “confidence in managing pain” and “limitations of ADL”. Also we  
444 examined changes in the CAMS-R at one week. The study was not powered to  
445 detect significant differences between the groups and we carried out analyses to

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7 446 inform parameters for a definitive trial. We computed change scores between  
8 447 baseline and one month or one week and conducted multiple regressions, with  
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10 448 adjustments as above.  
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12 449 To assess the impact of missing data on results, sensitivity analyses were  
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14 450 conducted using multiple imputation for missing observations in any outcome  
15 451 variables. The imputation uses regression models to predict and impute values for  
16  
17 452 missing observations, with the assumption that missing data (i.e., brief psychological  
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19 453 outcome measures) are missing at random (MAR). Missing values in the rating  
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21 454 scores for other measures at one week and one month were replaced by imputed  
22 455 values using chained equations (Van Buuren, Boshuizen and Knook, 1999; Azur *et*  
23  
24 456 *al.*, 2011) (linear regression models) with the PMM method (Rubin, 1986; Little, 1988;  
25 457 Morris, White and Royston, 2014). The models for imputation were fitted with rating  
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27 458 scores for the outcomes of immediate effects and other outcomes measures at follow  
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29 459 ups as dependent variables and the rating scores at baseline, and the baseline  
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31 460 characteristics of the patients as independent variables. In the linear regression  
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33 461 model for the outcomes scores at one month's follow-up, the outcome scores at one  
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35 462 week were also used as an explanatory variable. Twenty imputed datasets were  
36 463 created and the same analysis as described above for assessing the effect of the  
37  
38 464 intervention on outcome scores, was repeated in these 20 datasets. The imputation-  
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40 465 specific estimates for the effect of the intervention on the outcomes scores were  
41 466 combined using Rubin's rules (Rubin, Wiley and New York Chichester Brisbane  
42  
43 467 Toronto Singapore, 1987).

44 468 Before conducting the regression analyses, we assessed the distribution of  
45  
46 469 residuals of the dependent variable(s). In the regression analyses, we used the  
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48 470 bootstrap method if the distribution of the residuals was not normal. We used t-tests  
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50 471 or Mann-Whitney tests to compare scores for ratings of 'usefulness', for whether  
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52 472 participants would recommend the intervention, and the amount of previous  
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54 473 experience with yoga, Tai Chi or any type of meditation. All data were analysed using

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7 474 SPSS V25, with the level of significance set at  $p < 0.05$ , except the multiple  
8 475 imputation, which was conducted using Stata V12.  
9

10 476

## 11 477 **Results**

### 12 478 **Baseline characteristics**

#### 13 479 *Recruitment and exclusions*

14 480 Recruitment took place over two years from January 2015 to January 2017.

15 481 As shown in Figure 1, 220 patients were invited to participate and 73 were excluded.

16 482 A total of 147 were randomised and 71 of these completed all the follow-ups and  
17 483 were included in the final analysis (see Figure 1). Recruitment was predominantly  
18 484 from the hospital physiotherapy department ( $n = 113$ ), with some patients also from  
19 485 pain clinics ( $n = 34$ ). Completeness of follow-ups was similar in the two groups.  
20 486

21 486

#### 22 487 **Figure 1. CONSORT flow diagram of patient participation**

23 488

24 489 Among the 76 'non-completers', a small portion (13%) reported being too  
25 490 unwell to continue, 23% reported that they had completed the study but failed to  
26 491 return their study forms and 25% gave various reasons (e.g., work/family issues). A  
27 492 further 36% were un-contactable after baseline measures but overall, the dropout  
28 493 rate did not differ between groups (i.e., those randomised to the intervention group  
29 494 and those to the control group).  
30 495

31 496 Baseline demographics and pain characteristics according to study group are  
32 497 presented in Table 2. The sample as a whole had a mean age of 54 years, over two-  
33 498 thirds were female, close to half were Caucasian, just over half were employed,  
34 499 nearly half were married or living with a partner, and over half had a diagnosis that  
35 500 included back pain.

36 501 At baseline the two groups were very similar for all measures (see Tables 2  
37 502 and 3), except for duration of pain, which was significantly higher for the control  
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502 group ( $p = 0.009$ ).

503

504 **Table 2 Baseline demographic and pain characteristics (significant p values in**  
505 **bold)**

506

507 **Table 3 Outcomes for measures taken at baseline and at one month**

508 **Brief measures before and after intervention**

509

510 Using adjusted multiple linear regression there were no significant  
511 associations between study group and any of the three brief post-intervention scores  
512 (Table 4).

513

514 **Table 4 Adjusted<sup>a</sup> associations<sup>b</sup> between groups and post-intervention scores**  
515 **for brief measures in clinic and in participants' own environment**

516

517 **Outcomes after one month**

518 After one month, we found no significant associations between study group  
519 and any change scores (Table 5) with the notable exception of the MBI group having  
520 a higher confidence in managing pain compared with the control group (adjusted  
521 mean difference of change scores,  $\beta = -0.24$ , 95% CI, -0.04, 1.46).

522 Results for the individual domains of the EQ-5D-5L are reported instead of an  
523 overall patient health state which can be calculated (Devlin *et al.*, 2017) using this  
524 instrument, as the domains individually (e.g., pain domain) were of more interest.

525

526 **Table 5 Adjusted<sup>a</sup> associations<sup>b</sup> between study groups and change scores at**  
527 **one month (significant p value in bold)**

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529 Change scores for the CAMS-R were measured at one week as well as one

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530 month but were not significantly associated with study group at either time point.

531 **Acceptability and previous experience outcomes**

532 Participant ratings of likelihood of recommending the audio and previous  
533 experience of activities similar to the audio were not significantly different between  
534 groups at one week (Table 6). However, the rating of how useful the audio was for  
535 relaxing was significantly higher in the MBI group.

536  
537 **Table 6 Ratings for usefulness, recommendation and previous experience at**  
538 **one week and mindfulness after one week (significant p values in bold)**

539  
540 As the duration of pain was significantly higher for the control group at  
541 baseline, all the regression analyses were repeated adjusting for pain duration at  
542 baseline and the results were unchanged.

543 **Missing data**

544 To address missing data, a sensitivity analysis was conducted using multiple  
545 imputation as described in the methods section. Ratings of all measures were  
546 analysed and very similar results were produced.

547 **Adherence results**

548 There were no significant differences between the MBI group at one week  
549 (M=4.58, SD=1.61) and one month (M=8.50, SD=4.98) when compared to the control  
550 group at one week (M=3.82, SD=1.24) and one month (M=6.52, SD=3.22) in relation  
551 to the number of times patients self-reported listening to the audio.

552 **Qualitative analysis of telephone follow-ups at one week and one month**

553 Participants were followed up by telephone at one week and one month for  
554 brief interviews. Hand notes were taken and an elementary thematic analysis was  
555 conducted for each group separately.

556 The main theme that emerged at one week for the MBI group was about 'how  
557 the audio was helpful but it did not take the pain away'. 'Benefits of the audio' was

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558 another theme that emerged and it had two sub-themes: 'feeling relaxed' and 'better  
559 at coping'. For the control group, 'benefits of the audio' was also a strong theme with  
560 two sub-themes of: 'being distracted from the pain' and 'relaxation'. A further theme  
561 of 'not feeling much different' overall, also emerged as a secondary theme.

562 At one month, the feedback from the MBI group produced a theme around  
563 benefits of the audio again but with three sub-themes this time: 'being a good  
564 distraction', 'enhancing coping abilities' and 'better sleep'. One participant qualified  
565 this further by reporting that they took substantially less sleep medication since using  
566 the MBI. Another two themes emerged which were the 'ease of use' and 'openness  
567 to more mindfulness options.' The control group also had a theme of audio benefits  
568 again but with the sub-themes of 'being distracted' and 'better sleep' this time. As  
569 well, the theme about the 'audio not making much a difference' emerged again for  
570 this group which is distinct from the MBI group. However, themes about 'ease of use'  
571 and the 'audio being an enjoyable experience' emerged for the control group as well.

572 Finally, when participants in the control group were asked at one month if  
573 (s)he would like to try the MBI, almost three quarters reported said yes.

574

575 **Discussion**

576 This study examined the effects of a brief MBI on patients with persistent pain  
577 and assessed the feasibility of conducting a definitive RCT. In the adjusted model,  
578 compared with the control condition, the MBI did not significantly affect ratings of  
579 pain related symptoms, distress or distraction made immediately after the  
580 intervention. Results from standardized questionnaires measuring anxiety,  
581 depression, mindfulness and quality of life also showed no significant differences  
582 between groups at the one month follow-up, or at one week for mindfulness. At one  
583 week, ratings for how useful the audio was for relaxation were significantly higher for  
584 the MBI group versus the control group. Additionally, at one month, with  
585 adjustments, ratings of confidence in managing pain were significantly higher for the

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586 MBI than the control. Retention was an issue that would need to be addressed prior  
587 to a definitive trial, with only around half of those randomised completing the one  
588 month follow-up.

589         This study has some notable strengths. First, participants were recruited in  
590 clinical settings with a diagnosis of persistent pain, making the findings applicable to  
591 patients who would be likely to be offered this intervention in the UK NHS. We are  
592 only aware of one previous study that has used a brief MBI with a persistent pain  
593 population (Ussher *et al.*, 2012). Secondly, based on prior qualitative research  
594 (Howarth, Perkins-Porras, Copland, *et al.*, 2016), the MBI was specifically developed  
595 to target those with persistent pain and does not need to be delivered by a trained  
596 specialist. Furthermore, the protocol has been published (Howarth, Perkins-Porras,  
597 Smith, *et al.*, 2016) and the CONSORT checklist and flow diagram were used to  
598 guide study design and implementation. To maximize fidelity, researcher checklists  
599 and scripts were used to standardize procedures and all groups received an  
600 intervention delivered by audio with written instructions to guide use at home. The  
601 intervention and control audios were matched for time, pacing and voice. A final  
602 strength was the use of a broad range of measures, including some that had been  
603 previously shown to be sensitive to the effects of a brief MBI in a pain population.

604         There were also limitations. There was a high dropout rate, with only around  
605 half of those randomised completing all follow-ups. The analysis found that the  
606 characteristics of those who dropped out were very similar to those who 'completed'  
607 and the results were unchanged when missing data was imputed but it is possible  
608 that those who dropped out did not find the intervention acceptable. On reflection, it  
609 is possible that the high dropout rate is related to the nature of the population and the  
610 care pathway. Recruitment was mostly from physiotherapy clinics and care pathways  
611 for pain management within the NHS tend to start with manual therapies (e.g.,  
612 physiotherapy) and as these, or pharmaceutical treatments, fail to be effective, multi-  
613 component interventions are gradually introduced, usually including psychological

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7 614 components. Thus, it is possible that as the patients were likely to be unfamiliar with  
8 615 psychological interventions as this stage of their treatment they found it difficult to  
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10 616 engage with the MBI. Equally, as adherence was only self-reported, it is unknown  
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12 617 how often the participants truly used audio or if they engaged with other types of  
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14 618 formal practice that may have influenced results. -Furthermore, we omitted a  
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16 619 measure of expectancy, regarding the anticipated effects of the interventions, and  
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18 620 this information may have contributed to an interpretation of the high dropout rate as  
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20 621 well as allowing us to consider whether expectancy had enhanced the effect of either  
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22 622 of the interventions.

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24 623 The rate of recruitment of 48% was reasonable for this population and type of  
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26 624 intervention (Ussher *et al.*, 2012; Bawa *et al.*, 2015). Those who declined to  
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28 625 participate reported a mix of reasons such as language, poor health status, lack of  
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30 626 time and/or interest. As data was not available for those not recruited, it is unclear  
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32 627 whether those recruited are representative of all the patients that were referred.  
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34 628 Nevertheless, comparison of this sample with other data from persistent pain  
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36 629 populations used in MBI research (Bawa *et al.*, 2015) suggests this sample is  
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38 630 representative of persistent pain patients as a whole. Finally, the evaluation was not  
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40 631 blinded as limited resources meant the same researcher delivered the intervention  
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42 632 and conducted the assessments. To reduce bias overall, a separate researcher  
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44 633 conducted analysis and was blinded to treatment allocation.

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46 634 This is one of only two studies investigating a brief MBI with persistent pain  
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48 635 patients and the lack of evidence for the MBI having positive effects immediately  
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50 636 post-intervention in the current study is inconsistent with its predecessor (Ussher *et*  
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52 637 *al.*, 2012). While lack of face-to-face interaction with a clinician can detract from the  
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54 638 impact of interventions. ~~There~~ there was a tendency for ratings in both study groups to  
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56 639 change in a positive direction so perhaps the potency of the control condition limited  
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58 640 detection of unique MBI effects. In the previous study where significant effects were  
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60 641 found, the control condition was an audio of an antiquated natural history text, which

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7 642 had little effect on any of the outcomes (Ussher *et al.*, 2012). The current study used  
8 643 a contemporary history text in an attempt to engage participants of a broad age  
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10 644 range and for a longer time period than used in the previous study. Although an  
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12 645 active control is often considered a positive design feature, this study appeared to  
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14 646 have the same challenge as a previous brief MBI study (Zeidan *et al.*, 2010) where  
15 647 the control offered similar benefits to the intervention (i.e., significantly improved  
16 648 mood). Due to the nature of the interventions (i.e., listening without interruption to an  
17 649 audio in a comfortable position), it is possible that the experience of the control was  
20 650 both relaxing and temporarily distracting. Anecdotally, participants in both groups  
22 651 reported that being advised to take 15 minutes time out for themselves was very  
23 652 enjoyable However, based on evidence from the current study, in clinical practice  
24 653 giving people with persistent pain a brief body scan to use at home with little  
25 654 guidance cannot be recommended over using other types of audio. Further research  
26 655 should consider testing for dose effects in case there is a threshold for a point when  
27 656 benefits unique to MBIs over and above relaxation or distraction interventions (i.e.,  
28 657 those routinely found in in full length MBIs) which becomes apparent or potentially a  
29 658 relationship with pain duration as this study included a population with an average  
30 659 duration over eight years compared with less than seven years for its predecessor  
31 660 (Ussher *et al.*, 2012)-  
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41 662 Measures taken at one month were included so as to help define parameters  
42 663 for a definitive trial and were not powered to detect significant changes but despite  
43 664 this, ratings of confidence in managing pain were significantly higher in the MBI  
44 665 group versus the control at this time. This is encouraging as there is evidence  
45 666 supporting the importance of self-efficacy in self-management of persistent pain  
46 667 (Roditi and Robinson, 2011; Carnes *et al.*, 2012; Damush *et al.*, 2016; Nicholas *et*  
47 668 *al.*, 2017), and a measure of self-efficacy could be a primary outcome in a definitive  
48 669 trial. Additionally, those in the MBI group rated the intervention as being significantly

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7 670 more useful for relaxation compared with the control group, which is consistent with  
8 671 the commonly reported mindfulness 'side effect' of relaxation (Dusek *et al.*, 2006;  
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10 672 Chang, Dusek and Benson, 2011). Although it must be acknowledged that the  
11 673 significant effects for both measures may be artefacts of the high number of  
12 674 statistical tests run, it is noteworthy that the MBI group reported the distinct benefit of  
13 675 enhanced coping after one month of use, which is consistent with the finding for  
14 676 improved self-efficacy.

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19 677 This pilot study observed a lack of immediate effects for the MBI versus  
20 678 control group and an attrition rate that needs to be specifically addressed by using  
21 679 alternate retention strategies. However, a reasonable recruitment rate, and  
22 680 significantly higher ratings of usefulness of the MBI and improvements in self-efficacy  
23 681 ratings for the MBI group versus control, suggests that the intervention was  
24 682 reasonably acceptable. To increase retention rates, the recruitment strategy should  
25 683 be revised and perhaps the option of more than one type of MBI (e.g., mindfulness  
26 684 breathing or moving) audio could be offered from the beginning instead of only after  
27 685 one week. As the immediate effects were investigated for a mindfulness body scan  
28 686 audio only, it cannot be assumed that all MBIs would have the same result. Based on  
29 687 a recruitment strategy previously used successfully with NHS persistent pain patients  
30 688 (Critchley *et al.*, 2007), patients who have been wait-listed to receive physiotherapy  
31 689 could be invited. This would allow for those who respond to be more likely to self-  
32 690 identify as being ready to engage with the intervention. Targeting this population may  
33 691 also allow for a larger pool of patients to be contacted and retained, especially if  
34 692 combined with financial incentives to return study forms and a choice of MBI audio  
35 693 (i.e., body scan, breathing or moving) from the start. A nested qualitative study  
36 694 conducted by an independent researcher could also be included and may increase  
37 695 understanding of adherence issues.

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51 696 Overall, the findings demonstrate that it is likely feasible, pending very  
52 697 specific modifications to recruitment strategies, to engage patients with persistent

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698 pain to a study evaluating a brief MBI and that the intervention is acceptable. As this  
699 group is particularly difficult to involve in research due to pain management  
700 disparities (Campbell *et al.*, 2012), developing self-management interventions is  
701 indeed a challenge. However, the use of digital technology offers much potential  
702 (Morton *et al.*, 2017) and despite dropout rates ranging from 2 to 83% for some  
703 internet-based trials (Melville, Casey and Kavanagh, 2010), a recent review of  
704 internet interventions specific to persistent pain found small to moderate effects  
705 overall (Buhrman, Gordh and Andersson, 2016). As the average length of these  
706 intervention was nine weeks, further investigation into developing effective brief MBIs  
707 delivered digitally could be beneficial to many. There is a distinct lack of non-  
708 burdensome solutions, so the intervention presented in this study (i.e., a brief MBI  
709 audio loaded onto an MP3 player) may be a valid starting point worth pursuing.  
710 However, based on the current study, a definitive trial cannot be recommended.  
711 [While there are no formal plans for this specific intervention, disseminating the](#)  
712 [recruitment challenges is probably the most constructive action.](#) It is strongly  
713 recommended that future research focus on refined recruitment strategies to target  
714 participants who self-identify as ready to engage (e.g., not through practitioner  
715 referral). This way, retention of participants may be maximized and the potential use  
716 of an intervention that takes little time and resources from the perspective of both  
717 patient and healthcare provider, may be more fully evaluated.

719 **Conflict of interest statement**

720 The authors have no conflict of interest to declare.

721 **Acknowledgments**

722 **Human and animal rights and Informed consent**

723 All procedures performed in studies involving human participants were in accordance  
724 with the ethical standards of the institutional and/or national research committee and  
725 with the 1964 Helsinki declaration and its later amendments or comparable ethical

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726 standards. This study does not contain any studies with animals performed by any of  
727 the authors. Informed consent was obtained from all individual participants included  
728 in the study.

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1003 **Table Legend**

1004 Table 1. Schedule of data and measurement collection

1005 Table 2. Baseline demographic and pain characteristics (significant p values in bold)

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7 1006 Table 3. Outcomes for measures taken at baseline and at one month  
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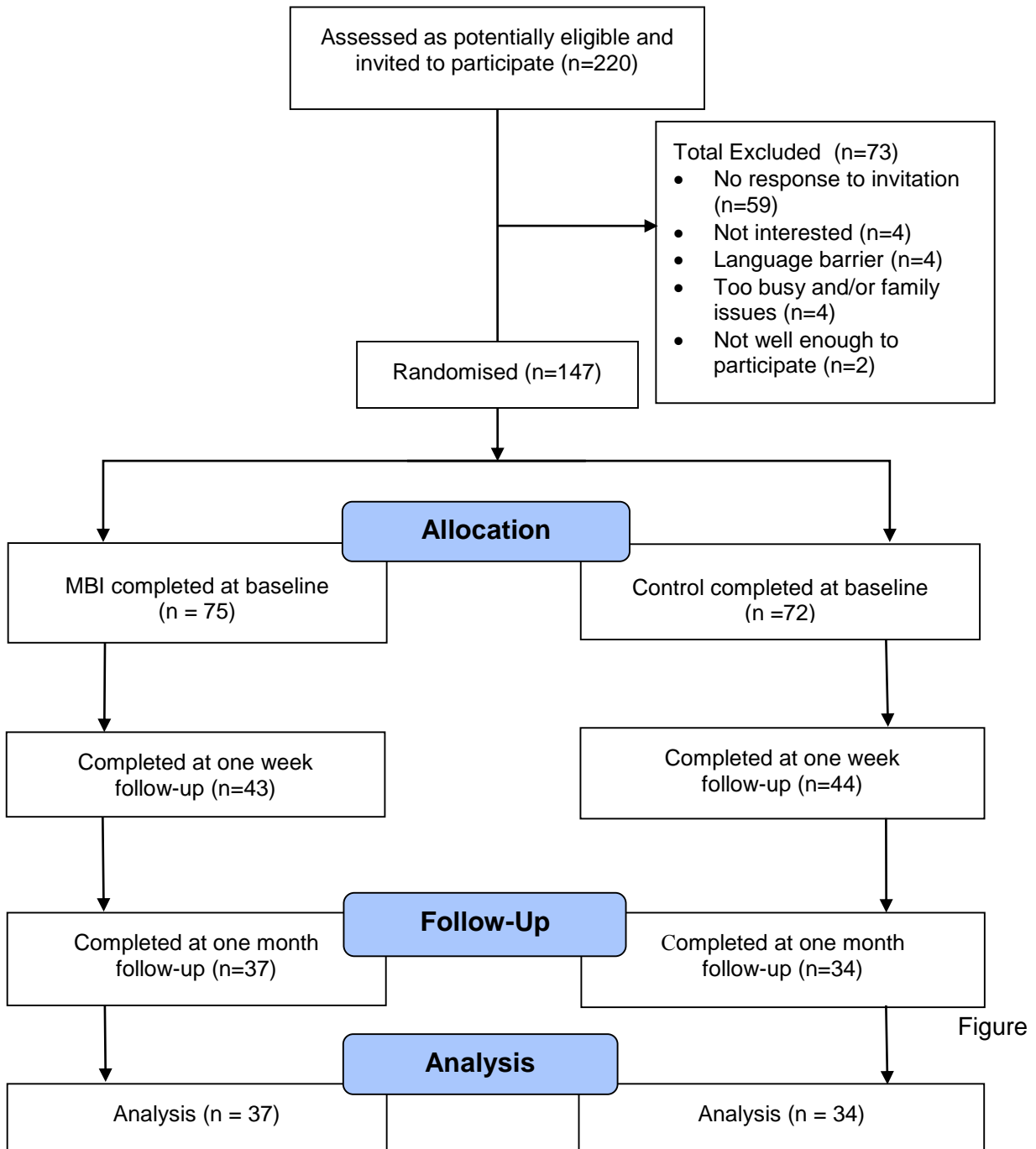


Figure 1. CONSORT flow diagram of patient participation

Table 1 Schedule of data and measurement collection

| Measure  | Baseline | During week | At one week | During month | At one month |
|--|----------|-------------|-------------|--------------|--------------|
| Background and pain related questionnaire                                    | X        |             |             |              |              |
| Pain self-efficacy item  | X        |             |             |              | X            |
| Pain and physical function item  | X        |             |             |              | X            |
| Mood questionnaire (HADS*)   | X        |             |             |              | X            |
| Mindfulness questionnaire (CAMS-R*)  | X        |             | X           |              | X            |
| Pain specific questionnaire (BPI*)   | X        |             |             |              |              |
| Pain catastrophizing questionnaire (PCS*)                                    | X        |             |             |              | X            |
| HRQoL questionnaire (EQ-5D-5L*)  | X        |             |             |              | X            |
| Brief psychological measures (two times, before and then after intervention) | X        | X           |             |              |              |
| Experience of audio items (i.e usefulness for relaxing)                      |          |             | X           |              |              |
| Previous experience  |          |             | X           |              |              |
| Self-monitoring Table  |          | X           |             | X            |              |

\*HADS (Hospital Anxiety and Depression Scale), CAMS-R (Cognitive and Affective Mindfulness Scale Revised), BPI (Brief Pain Inventory), PCS (Pain Catastrophizing Scale), EQ-5D-5L (EuroQoL - 5 Dimensions - 5 Levels)



Table 2 Baseline demographic and pain characteristics (significant p values in bold)

| Variable                           | MBI<br>n=37<br>No (%)     | Control<br>n=34<br>No (%) | Statistic <sup>a</sup><br>p values            |
|------------------------------------|---------------------------|---------------------------|---|
| Age, mean (SD), yrs                | 54.7 (12.5)               | 52.8 (12.2)               | $t = -0.66$<br>$p = 0.513$                    |
| Years in education, mean (SD)      | 13.0 (2.3)<br>median 13.0 | 13.0 (2.9)<br>median 13.0 | $U = 625.00$<br>$p = 0.962$                   |
| Duration of Pain, mean (SD) yrs    | 8.1 (12.7)<br>median 2.0  | 11.2 (10.5)<br>median 7.0 | $U = 389.50$<br><b><math>p = 0.009</math></b> |
| Female                             | 24 (65)                   | 21 (62)                   | $\chi^2 = 0.73$<br>$p = 0.786$                |
| Caucasian                          | 19 (51)                   | 11 (32)                   | $\chi^2 = 2.60$<br>$p = 0.105$                |
| Employed                           | 20 (54)                   | 14 (41)                   | $\chi^2 = 1.18$<br>$p = 0.278$                |
| Married/living with partner        | 18 (49)                   | 16 (47)                   | $\chi^2 = 0.18$<br>$p = 0.893$                |
| Back pain diagnosis                | 22 (60)                   | 18 (53)                   | $\chi^2 = 0.31$<br>$p = 0.580$                |
| Currently receiving pain treatment | 32 (87)                   | 29 (85)                   | $\chi^2 = 0.02$<br>$p = 0.885$                |

<sup>a</sup> Chi-squared, *t*-test or Mann-Whitney

Table 3 Outcomes for measures taken at baseline and at one month

| Variable <sup>a</sup>  | Baseline                   |                              | One month                  |                              |
|--|----------------------------|------------------------------|----------------------------|------------------------------|
|  | MBI<br>n=37<br>Mean (SD)   | Control<br>n=34<br>Mean (SD) | MBI<br>n=37<br>Mean (SD)   | Control<br>n=34<br>Mean (SD) |
| <b>BPI pain severity score (0-10)</b>                        | 5.6 (2.2)                  | 5.4 (2.0)                    | 5.8 (2.2)                  | 5.4 (2.0)                    |
| <b>BPI pain interference score (0-10)</b>                    | 5.3 (2.4)                  | 6.0 (2.9)                    | 5.3 (2.4)                  | 5.6 (2.9)                    |
| <b>BPI Overall score (0-10)</b>                              | 5.5 (2.2)                  | 5.5 (2.3)                    | 5.5 (2.1)                  | 5.5 (2.3)                    |
| <b>CAMS-R mindfulness score (0-40)</b>                       | 27.1 (7.3)                 | 24.7 (6.8)                   | 26.7 (5.6)                 | 26.6 (7.8)                   |
| <b>EQ-5D level: mobility (1-5)</b>                           | 2.3 (1.0)<br>median 2.0    | 2.4 (1.3)<br>median 2.0      | 2.2 (0.9)<br>median 2.0    | 2.4 (1.3)<br>median 2.0      |
| <b>EQ-5D level: self-care (1-5)</b>                          | 1.6 (0.8)<br>median 1.0    | 1.9 (1.1)<br>median 2.0      | 1.5 (0.9)<br>median 2.0    | 2.0 (1.1)<br>median 2.0      |
| <b>EQ-5D level: usual activities (1-5)</b>                   | 2.8 (0.9)<br>median 3.0    | 2.7 (1.2)<br>median 3.0      | 2.7 (0.9)<br>median 2.0    | 2.4 (1.1)<br>median 2.0      |
| <b>EQ-5D level: pain and discomfort (1-5)</b>                | 3.4 (0.9)<br>median 3.0    | 3.2 (0.9)<br>median 3.0      | 3.1 (1.0)<br>median 3.0    | 3.2 (1.1)<br>median 3.0      |
| <b>EQ-5D level: anxiety and depression (1-5)</b>             | 2.1 (1.0)<br>median 2.0    | 2.4 (1.2)<br>median 2.0      | 2.0 (1.1)<br>median 2.0    | 2.4 (1.3)<br>median 2.0      |
| <b>EQ-5D VAS (0-100)</b>                                     | 60.0 (21.5)<br>median 60.0 | 60.6 (23.2)<br>median 65.0   | 60.8 (21.4)<br>median 67.0 | 58.8 (20.4)<br>median 60.0   |
| <b>HADS anxiety score (0-21)</b>                             | 8.4 (3.8)<br>median 9.0    | 9.9 (4.8)<br>median 9.5      | 7.6 (4.3)<br>median 7.0    | 8.7 (4.0)<br>median 8.0      |
| <b>HADS depression score (0-21)</b>                          | 6.8 (3.7)<br>median 7.0    | 9.3 (7.2)<br>median 8.5      | 5.8 (3.7)<br>median 6.0    | 7.6 (5.0)<br>median 8.0      |
| <b>PCS score (0-42)</b>                                      | 22.2 (11.5)<br>median 25.0 | 21.7 (13.7)<br>20.5          | 18.3 (13.3)<br>median 16.0 | 21.0 (12.2)<br>median 22.0   |
| <b>Level of confidence in managing pain (1-7), mean (SD)</b> | 3.5 (1.6)<br>median 4.0    | 4.1 (1.2)<br>median 4.0      | 4.5 (1.0)<br>median 4.0    | 4.2 (1.3)<br>median 4.0      |
| <b>Level of ADL limitation (1-7), mean (SD)</b>              | 3.5 (1.2)<br>median 4.0    | 3.3 (1.1)<br>median 3.0      | 3.0 (0.8)<br>median 3.0    | 2.9 (1.0)<br>median 3.0      |

<sup>a</sup> BPI (Brief Pain Inventory), HADS (Hospital Anxiety and Depression Scale), PCS (Pain Catastrophizing Score), EQ-5d (EuroQoL 5 Dimensions), CAMS-R (Cognitive Awareness and Mindfulness Scale – Revised), ADL (activities of daily living)

Table 4 Adjusted<sup>a</sup> associations<sup>b</sup> between groups and post-intervention scores for brief measures in clinic and in participants' own environment

| Outcome variable <sup>c</sup>                             |                        | <i>Unstandardized Beta Coefficients</i> <sup>d</sup> | <i>Standardized Beta Coefficients</i> <sup>d</sup><br>(95% CI) | <i>p</i> |
|---|------------------------|--|--|----------|
| Right now, I could be easily Distracted.                  | <b>Clinic</b>          | -0.09  | -0.03 (-0.66, 0.49)  | 0.768    |
|   | <b>Own environment</b> | -0.50  | -0.17 (-1.13, 0.13)  | 0.120    |
| How severe are your pain related symptoms right now?      | <b>Clinic</b>          | -0.12  | -0.04 (-0.64, 0.40)  | 0.650    |
|   | <b>Own environment</b> | -0.31  | -0.11 (-0.80, 0.19)  | 0.225    |
| How distressing are your pain related symptoms right now? | <b>Clinic</b>          | -0.15  | -0.05 (-0.76, 0.47)  | 0.633    |
|   | <b>Own environment</b> | -0.41  | -0.13 (-0.95, 0.14)  | 0.138    |

<sup>a</sup> Adjusted for age, sex, Brief Pain Inventory total score, pain duration and pre-intervention score

<sup>b</sup> Using multiple linear regression

<sup>c</sup> All items were rated from 1 = not at all to 7 = extremely

<sup>d</sup> The direction of the effect positively favoured the MBI group

Table 5 Adjusted<sup>a</sup> associations<sup>b</sup> between study groups and change scores at one month (significant p value in bold)

| Outcome variable <sup>c</sup>                     | <i>Unstandardized Beta Coefficients</i> <sup>d</sup><br>(95% CI) | <i>Standardized Beta Coefficients</i> <sup>d</sup><br>(95% CI) | <i>p</i>     |
|---|--|--|--------------|
| <b>CAMS-R mindfulness score (0-40)</b>            | 2.31   | 0.17 (-1.03, 5.65)   | 0.171        |
| <b>EQ-5D level: mobility (1-5)</b>                | -0.01  | -0.01 (-0.32, 0.29)  | 0.942        |
| <b>EQ-5D level: self-care (1-5)</b>               | 0.24   | 0.19 (-0.05, 0.52)   | 0.104        |
| <b>EQ-5D level: usual activities (1-5)</b>        | -0.14  | -0.10 (-0.48, 0.20)  | 0.414        |
| <b>EQ-5D level: pain and discomfort (1-5)</b>     | 0.32   | 0.20 (-0.06, 0.69)   | 0.094        |
| <b>EQ-5D level: anxiety and depression (1-5)</b>  | 0.04   | 0.02 (-0.48, 0.56)   | 0.887        |
| <b>EQ-5D VAS (0-100)</b>                          | -2.26  | -0.05 (-12.42, 7.89)   | 0.658        |
| <b>HADS anxiety score (0-21)</b>                  | -0.50  | -0.08 (-2.05, 1.07)  | 0.532        |
| <b>HADS depression score (0-21)</b>               | -0.84  | -0.08 (-3.32, 1.64)  | 0.503        |
| <b>PCS score (0-42)</b>                           | 2.88   | 0.14 (-2.20, 7.95)   | 0.261        |
| <b>Level of confidence in managing pain (1-7)</b> | 0.75   | 0.24 (0.04, 1.46)  | <b>0.039</b> |
| <b>Level of ADL <sup>b</sup> limitation (1-7)</b> | 0.24   | 0.11 (-0.25, 0.73)   | 0.326        |

<sup>a</sup> Adjusted for age, sex and Brief Pain Inventory total score

<sup>b</sup> Using multiple linear regression

<sup>c</sup> BPI (Brief Pain Inventory), HADS (Hospital Anxiety and Depression Scale), PCS (Pain Catastrophizing Score), EQ-5d (EuroQoL 5 Dimensions), CAMS-R (Cognitive Awareness and Mindfulness Scale – Revised), ADL (activities of daily living)

<sup>d</sup> The direction of the effect positively favoured the MBI group, except for CAMS-R.

Table 6 Ratings for usefulness, recommendation and previous experience at one week and mindfulness after one week (significant p values in bold)

| <b>Secondary outcomes</b>  | <b>MBI<br/>n=37<br/>Mean (SD)</b> | <b>Control<br/>n=34<br/>Mean (SD)</b> | <b>U<sup>a</sup></b> | <b>p</b>     |
|--|-----------------------------------|---------------------------------------|----------------------|--------------|
| <b>“How useful did you find the audio guide for helping you to relax?”</b> (1 = not at all to 5 = extremely useful)  | 3.5 (0.9)                         | 3.1 (1.1)                             | U=461.00             | <b>0.044</b> |
| <b>“Would you recommend this audio guide to others to help manage their persistent pain?”</b> (1 = definitely would not recommend to 5 = definitely would recommend it)                | 4.1 (0.8)                         | 3.8 (1.0)                             | U=476.00             | 0.062        |
| <b>“Have you had experience of yoga, tai-chi or any type of meditation?”</b> (1 = no experience of these activities to 7 = I currently practice these activities at least once a week) | 2.8 (1.9)                         | 2.7 (1.7)                             | U=625.00             | 0.962        |

<sup>a</sup> Data was skewed therefore Mann-Whitney-tests were used and the u value is reported.