

1 **Smoking status at diagnosis and subsequent smoking cessation:**
2 **associations with corticosteroid use and intestinal resection in**
3 **Crohn's disease**

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33 Abstract

34 **Background:** The impact of smoking at diagnosis and subsequent smoking cessation on clinical
35 outcomes in Crohn's disease (CD) has not been evaluated in a population based cohort.

36 **Methods:** Using a nationally representative clinical research database, we identified incident cases
37 of CD between 2005 and 2014. We compared the following outcomes: overall corticosteroid (CS)
38 use; flares requiring CS; CS-dependency and intestinal surgery between smokers and non-smokers
39 at time of CD diagnosis. Differences in these outcomes were also compared between persistent
40 smokers and smokers who quit within 2 years of diagnosis.

41 **Results:** We identified 3553 patients with a new CD diagnosis over the study period of whom 1121
42 (32%) were smokers. Smokers at CD diagnosis had significantly higher CS-use (56% vs. 47%,
43 $p < 0.0001$), proportionally more CS-flares (>1 CS flare/yr: 9% vs 6%, $p < 0.0001$), and higher CS-
44 dependency (27% vs. 21%, $p < 0.0001$) than non-smokers. Regression analysis identified smoking at
45 diagnosis to be associated with a higher risk of intestinal surgery (HR 1.64, 95%CI 1.16-2.52). There
46 was a significantly higher proportion of 'quitters' who remained steroid-free through follow-up in
47 comparison to 'persistent smokers' (45.4% vs. 37.5% respectively, $p = 0.02$). 'Quitters' also had lower
48 rates of CS-dependency compared to 'persistent smokers' (24% vs 33%, $p = 0.008$).

49 **Conclusions:** Smokers at CD diagnosis have higher CS-use, CS-dependency and higher risk of
50 intestinal surgery. Quitting smoking appears to have beneficial effects on disease related outcomes
51 including reducing CS-dependency highlighting the importance of offering early smoking cessation
52 support.

53

54 Study highlights

55 1) What is current knowledge:

- 56 • smoking is common amongst patients with Crohn's disease (CD)
- 57 • smoking may worsen outcomes in CD but the exact association remains unclear, and much
- 58 of the available data comes from secondary and tertiary care centres
- 59 • there is only limited data on the impact of smoking cessation in outcomes in CD

60 2) What is new here:

- 61 • patients with CD who are smokers at the time of diagnosis have higher overall corticosteroid
- 62 (CS) use compared to non-smokers
- 63 • CS dependency, as defined by international guidelines, is more frequent amongst CD
- 64 patients who smoke at diagnosis
- 65 • patients with CD who smoke at diagnosis are two thirds more likely to undergo intestinal
- 66 surgery
- 67 • patients with CD who stop smoking within the first two years of diagnosis have lower rates
- 68 of CS dependency and are more likely to be steroid-free

69

70 Introduction

71 Smoking is a key modifiable environmental risk factor implicated in the onset of Crohn's disease
72 (CD).¹ Despite recent global reductions, approximately one in five British adults smoke currently.²
73 Recent data from the UK indicates there may be a similar prevalence amongst patients with CD,³
74 although in some CD populations smoking is much more frequent.^{4,5}

75 Tobacco exposure impacts adversely on disease outcomes in CD. Several studies have reported
76 increased rates of intestinal surgery amongst smokers.^{6,7,8,9} Smoking may also impact upon other
77 clinically important indices including disease flares, corticosteroid requirement and immuno-
78 modulator use,^{10,11,12} although a few small studies have found no association between tobacco
79 exposure and poorer outcomes.^{13,14,15}

80 The majority of previous studies examining the relationship between smoking and disease outcomes
81 in CD have originated from secondary or tertiary care and are therefore likely to comprise of patients
82 with a more severe phenotype. In a recent meta-analysis on the impact of smoking on disease
83 outcomes in CD thirty-two of the 33 studies were derived from referral centres.¹⁶ Population-based
84 studies reporting on the relationship between smoking and disease course in CD are fewer in
85 number,^{3,13} yet may be better placed to examine the true impact of smoking on outcomes in CD as
86 they will include a more diverse range of patient phenotypes.

87 Despite strong evidence that smoking is detrimental to gut health in CD, there is limited evidence
88 that smoking cessation can improve disease outcomes.^{17,18} To our knowledge, the potential benefits
89 of smoking cessation have not previously been evaluated in a population based cohort that is free of
90 referral centre bias.

91 We therefore aimed to perform a population based study to investigate both the impact of smoking
92 status at diagnosis *and* the impact of subsequent smoking cessation after diagnosis on clinical
93 outcomes in Crohn's disease. We hypothesised that smoking at the time of CD diagnosis is an

94 independent risk factor associated with adverse outcomes in CD and that smoking cessation would
95 impact favourably.

96

97

98 **Methods**

99 **Data source**

100 We created a retrospective population-based incident cohort of all patients diagnosed with Crohn's
101 disease using the Clinical Practice Research Datalink (CPRD). CPRD is one of the largest and best
102 validated primary care research databases in the world. Importantly, it is not an administrative
103 dataset and thus is free from the biases inherent of such data sources. It contains longitudinal,
104 patient-level, anonymised electronic health records from 674 general practices and is representative
105 of around 8% of the United Kingdom (UK) population.¹⁹ Primary care physicians use Read codes to
106 record symptoms, signs, diagnoses, prescriptions, referrals and procedures including surgical
107 operations. Data are rigorously audited to ensure a high level of accuracy and completeness.
108 Participating practices need to achieve and maintain 'Up to standard' (UTS) status to continue
109 contributing to the dataset. The database's primary purpose is for epidemiological research and the
110 coding system has been previously validated for use in IBD.²⁰ Numerous IBD related studies have
111 been undertaken using this data source.^{21,22,23,24} Furthermore, the CPRD has been used in a number
112 of population based studies investigating both smoking habits and the impact of tobacco
113 consumption on outcomes in other patient populations.^{25,26,27} CPRD is well suited for this purpose
114 and subsequent accuracy of recording of smoking status has been of high quality since its
115 assessment became a key performance indicator for GPs in 2004.²⁸

116 We obtained ethical and scientific approval for the use of CPRD for our study from the Independent
117 Scientific Advisory Committee (ISAC Protocol number: 15_018R).

118 **Incident case definition and cohort construction**

119 We have previously published detailed methodologies in defining incident cases of inflammatory
120 bowel disease from the CPRD.^{29,30,31,32,33} In brief, to separate prevalent from incident cases of CD, we

121 identified patients with a first Read code for CD at least one year after registering with a 'Up To
122 Standard' practice for the period January 1st 2005 to December 31st 2014.

123 Patients were excluded if they had Read codes for both ulcerative colitis and CD, or indeterminate
124 codes ('non-specific colitis', 'colitis NOS' etc). Patients who had a co-morbid condition that might
125 require regular or prolonged steroid use, for example, chronic asthma or polymyalgia rheumatica
126 patients, were also excluded to avoid potential confounding. Patients with previous organ
127 transplants were also excluded because of the likely use of concurrent immunosuppressant and
128 steroid medications in this group. Patients were followed up from date of CD diagnosis until study
129 endpoint, de-registration, or death.

130 **Exposure variable**

131 Our main exposure variable was smoking status at CD diagnosis. Patients were defined as either
132 'smokers' or 'non-smokers' at the time of CD diagnosis based on Read codes for smoking status in
133 the year before the first recorded CD diagnosis. 'Non-smokers' at CD diagnosis included patients who
134 were either ex-smokers of at least one year, or 'never smokers', as defined by Read codes (see
135 additional online material). Where patients had contradicting or multiple codes for smoking status in
136 the preceding year, the smoking code closest to the date of CD diagnosis was used. Completeness of
137 smoking data within our dataset was approximately 80% (Figure 1). Accuracy of smoking status
138 recording in CPRD has been demonstrated to be within 1% of self-reported smoking habits in
139 national surveys.³⁴ Since April 2004, financial incentives in primary care were introduced for GPs to
140 offer smoking cessation advice to patients aged over 16 years via the UK Quality and Outcomes
141 Framework (QOF) scheme. This has substantially increased completeness of recording of smoking
142 status.²⁸ We therefore chose a start for the study of January 1st 2005, 9 months after
143 implementation of this scheme.

144 For the secondary analysis, we identified patients with further Read codes for smoking status in the
145 first two years after CD diagnosis. Patients were considered 'persistent smokers' if they were

146 smokers at CD diagnosis (as defined above) *and* had at least one further Read code indicating a
147 smoking status within 2 years after diagnosis. Patients were labelled as 'quitters' if they were
148 smokers at CD diagnosis, but had at least one subsequent Read code indicating they were non-
149 smokers or ex-smokers in the two years following CD diagnosis.

150 Outcome measures

151 Our primary outcome measure was oral corticosteroid (CS) use as a proxy measure of a CS-requiring
152 disease flare-up ('CS-flare') indicative of an exacerbation of CD. We derived 3 measures of CS use.
153 Firstly we calculated the proportion of patients 'ever exposed' or 'never exposed' to oral CS. Patients
154 were identified as 'ever exposed' if they had at least one prescription for oral CS during follow-up.

155 Secondly, we used a previously published methodology described by Grainge *et al.* to define the
156 number of 'CS flares' during follow up.³⁵ The first 'CS-flare' was defined as the first CS prescription
157 registered in the patient record after date of CD diagnosis. The next separate 'CS-flare' was defined
158 where a subsequent CS prescription was recorded following a period of at least 4 months without a
159 CS prescription. This methodology allowed for the determination of total 'CS-flares' for the entire
160 period of follow-up for each patient. Thus, the total number of 'CS-flares' was divided by follow-up
161 time to generate the outcome measure 'CS-flares per year'.

162 Thirdly, we identified patients with steroid-dependency (defined as prolonged or repeated CS
163 exposure) adapted from the European Crohn's and Colitis Organisation guidelines criteria.³⁶ A
164 patient was defined as 'CS-dependent' if they had either a prescription for CS that lasted longer than
165 3 months, or required a repeat CS prescription within 3 months of stopping the previous CS course.
166 CS-dependency has been shown to be associated with poorer outcomes in patients with CD.³⁷

167 Our secondary outcome measure was first intestinal surgery. This was defined as the first intestinal
168 surgical procedure coded for following diagnosis of CD, and was derived using Read/OXMIS codes for
169 intestinal surgery as previously described.^{29,31}

170 Covariates

171 We included a number of covariates with known or likely associations with poorer clinical outcomes
172 in CD. These included: age at diagnosis, body mass index, social deprivation, severity of CD,
173 concurrent 5-aminosalicylic acid (5ASA) or thiopurine use, and co-morbid conditions. Age at
174 diagnosis has previously been shown to be relevant to surgical outcomes in the context of smoking
175 status.³ Patients were sub-divided into age categories at diagnosis of CD according to the Montreal
176 Classification (A1 - age less than 17 years, A2 - 17 years to 40 years, A3 - age greater than 40 at initial
177 CD diagnosis). We also extracted data for Body Mass Index (BMI) at diagnosis, defined as the closest
178 BMI recording within 1 year of CD diagnosis. Patients were defined as: underweight
179 ($\text{BMI} < 18.5 \text{ kg/m}^2$), normal weight ($18.5\text{-}25 \text{ kg/m}^2$), overweight ($25\text{-}30 \text{ kg/m}^2$) or obese ($>30 \text{ kg/m}^2$).
180 BMI may be associated with risk of surgery in CD.³⁸ We used a surrogate marker for postcode-linked
181 social deprivation, the Index of Multiple Deprivation (IMD) to stratify patients by socio-economic
182 status. Patients were categorised into IMD quintiles where IMD category 1 represents the least
183 deprived, and IMD category 5 represents the most socio-economically deprived. In the UK, people
184 living in the areas of highest deprivation are more than twice as likely to smoke compared to the
185 lowest.³⁹ We also identified patients with co-morbid irritable bowel syndrome (IBS) and depression.
186 IBS is common in patients with IBD and may be confused with the symptoms of an IBD flare.⁴⁰
187 Furthermore, smoking is highly correlated with depressive illnesses.⁴¹ We defined these co-
188 morbidities to include any patient with a concurrent Read code for either IBS or a depressive
189 diagnosis during the follow-up period.

190 We also extracted data for IBD medication usage other than CS. Patients were defined as 5-
191 aminosalicylic acid (5ASA) users if they had one or more prescriptions during follow up. Similarly,
192 patients were defined as thiopurine (TP) users if they had one or more prescriptions for azathioprine
193 (AZA) or 6-mercaptopurine (6MP) during follow-up. Prescription data for anti-tumour necrosis factor
194 (aTNF) use is not reliably recorded in CPRD.

195 We classified disease severity using a modification of the Beaugerie Index of severity.⁴² This is a
196 validated 4-point score calculated using three clinical variables that predict a 'disabling' disease
197 course in CD, including a higher risk of IBD medication use, hospitalisation and surgery. The three
198 variables are: age of CD onset < 40 years, early use of CS, defined in our population as a first CS
199 prescription within 3 months of CD diagnosis, and lastly the presence of peri-anal disease, defined
200 for the purpose of this study by codes for peri-anal surgery, as previously described.³² A patient may
201 have a score between zero (none of the variables) and 3 (all three variables). A score of 2 or more is
202 associated with a positive predictive value of over 90% for 'disabling' disease.⁴²

203 The 10 year study period was divided into five 2-year era to allow for assessment of the impact of
204 era of CD diagnosis on outcome measures (era 1: 1/1/05 to 31/12/06, era 2: 01/1/07 to 31/12/08,
205 era 3: 01/1/09 to 31/12/10, era 4: 01/1/11 to 31/1/12, era 5: 01/1/13 to 31/12/14).

206 **Statistical analysis**

207 We used *t*-tests to determine differences between groups of continuous data, and Chi-squared or
208 Fisher's exact test for comparisons of categorical data. We compared the proportion of smokers
209 versus non-smokers at CD diagnosis who had any CS exposure, the number of CS flares per year in
210 each group, and the proportion of patients with steroid dependency.

211 We used Kaplan-Meier analysis to generate survival curves calculating the time to first oral CS
212 prescription in smokers and non-smokers. For both smokers and non-smokers, we calculated
213 cumulative oral CS exposure rates in the follow-up period. The rate of oral CS exposure was
214 determined as a function of time, by calculating the duration between diagnosis of CD and first oral
215 CS prescription, or end of follow-up as defined above. The risk of oral CS use at 1, 3 and 5 years after
216 CD diagnosis was also calculated. We used the log rank test to assess for any significant differences
217 between smokers and non-smokers. We used the same statistical methodology to calculate the 1,3
218 and 5 year risk of first intestinal surgery between smokers and non-smokers.

219 In a further analysis, we used separate Cox proportional hazards models to calculate hazard ratios
220 (HR) for first intestinal surgery given smoking status at CD diagnosis. All available variables included
221 in the univariate and multivariate analysis. Within this model we adjusted for sex, Montreal age
222 category, BMI, era of CD diagnosis, IMD status (dichotomous variable - upper two IMD quintiles
223 versus lower 3 quintiles), co-morbid depression, concurrent IBS, 5ASA and TP use, and disease
224 severity (Beaugerie index score of greater than or equal to 2).

225 In the analysis comparing 'quitters' versus 'persistent smokers', we used similar outcome measures
226 as for the primary analysis. CS flare rate, CS-dependency and IR rates were compared between these
227 two sub-groups using student's t-test, Chi squared test, and KM survival analysis with the log rank
228 test.

229 A p-value of less than or equal to 0.05 was considered statistically significant. All analyses were
230 performed using STATA 12 (Statacorp LP, College Station, TX, USA).

231

232 Results

233 We identified 3553 patients with a new diagnosis of CD with 14806 person years of follow up
234 between January 1st 2005 and December 31st 2014 (Figure 1). 1121 of 3553 patients (31.6%) were
235 smokers at CD diagnosis. The difference in follow-up time between smokers (n=1121) and non
236 smokers (n=2432) was not significant (4.3 years versus 4.1 years, p=0.22). The overall proportion of
237 smokers at diagnosis across the 5 era remained constant. However, the proportion of smokers at CD
238 diagnosis aged 17-40 (Montreal A2 category) dropped from 37.4% in years 2005-6 (era 1) to 30.5% in
239 years 2013-14 (era 5 - Figure 2).

240 At baseline, there was no difference in the proportion of smokers and non-smokers aged 17-40 years
241 (Montreal A2) and aged >40 years (Montreal A3) respectively. There were significantly more non-
242 smokers than smokers in the youngest age cohort (Table 1).

243 Amongst patients with CD, smokers were more likely to be female, live in deprived areas, and have
244 a concurrent diagnosis of depression (Table 1). No differences between smokers and non-smokers
245 were observed in the other baseline characteristics including BMI at diagnosis, IBS prevalence or
246 disease severity. Nor were there any significant differences in 5-ASA use (52.1% versus 54.5%) and
247 thiopurine use (35.5% versus 32.4%) during follow-up between smokers and non-smokers
248 respectively.

249 Corticosteroid use

250 Smokers had more overall exposure to oral CS therapy. Crude oral CS exposure was 55.8% in
251 smokers versus 47.0% in non-smokers (p<0.0001). Smokers were less likely to have CS-free
252 remission, defined as no 'CS-flares' in follow-up. Smokers also had significantly more 'CS (requiring)
253 flares' per year when compared with non-smokers (Table 2). Similarly, a higher proportion of
254 smokers developed CS-dependency than non-smokers (27.4% versus 20.8%, p<0.0001).

255 The cumulative risk of oral CS use at 1,3 and 5 years was 41.1%, 50.9% and 57.5% in smokers, and
256 34.9%, 43.0% and 49.0% in non-smokers (log rank test for trend, $p=0.0001$, see Figure 3).

257 **Intestinal surgery**

258 The crude rates for first intestinal surgery in patients with CD were 10.0% and 6.6% in smokers and
259 non-smokers ($p<0.0001$). The cumulative risk of first intestinal surgery at 1, 3 and 5 years was 5.3%,
260 8.5% and 9.3% in smokers and 3.6%, 5.1% and 6.7% in non-smokers at CD diagnosis (log rank test for
261 trend, $p= 0.009$, see figure 4). Smoking was associated with a 64% increase in risk of first intestinal
262 surgery (HR 1.64, 95%CI 1.16-2.52 - see table 3).

263 **Impact of smoking cessation on outcomes in CD**

264 We identified 749 patients who were smokers at CD diagnosis who had subsequent Read codes for
265 smoking status within the first 2 years following CD diagnosis. Of these, 334 (44%) were classified as
266 'quitters' and 415 were defined as 'persistent smokers'.

267 The proportion of female 'persistent smokers' was significantly higher than male patients (66.8% vs
268 33.2%, $p=0.001$). There was also significant differences in the proportions of 'persistent smokers'
269 between the Montreal age groups (A2 50.1%, A3 59.8%, $p=0.008$). Similarly, 'persistent smokers'
270 were more likely to have co-existent depression than 'quitters' (19.0% vs 11.6%, $p=0.0001$). There
271 were no differences between 'persistent smokers' and 'quitters' with respect to BMI or IMD
272 categories, or the proportion of patients with co-existent IBS.

273 'Quitters' had lower overall CS use. Crude oral CS exposure was 53.7% in 'quitters' versus 61.3 in
274 'persistent smokers' ($p=0.03$). Mean number of CS flares per year was 0.61 in 'quitters' versus 1.20 in
275 'persistent smokers', although this difference did not reach statistical significance. However, the
276 proportion of 'quitters' who maintained steroid-free remission during follow-up was significantly
277 higher than amongst 'persistent smokers' (45.4% versus 37.5%, $p=0.02$). Furthermore, there was a

278 significantly higher proportion of 'persistent smokers' who developed CS-dependency compared to
279 the 'quitters' (32.8% in 'persistent smokers' versus 23.9% amongst 'quitters' - see figure 5).

280 Crude IR rates were 10.2% in 'quitters' and 11.5% in 'persistent smokers' ($p=0.54$). The 1, 3 and 5 year
281 cumulative risk of IR was 5.6%, 7.9% and 10.3% in 'quitters' and 5.5%, 10.4% and 12.1% in 'persistent
282 smokers', although the differences did not reach statistical significance (see figure 6).

283 The marked difference in co-morbid depression prevalence between 'quitters' and 'persistent
284 smokers' was explored given the potential independent effect of depression on outcomes in IBD.⁴³ In
285 multiple logistic regression analysis adjusting for age, sex, obesity and social deprivation, depression
286 was associated with an 84% increased odds of being a 'persistent smoker' (OR 1.84, 95% CI 1.04-
287 3.25, $p=0.04$). However, amongst our CD cohort, the presence of depression did not impact on the
288 key outcomes of CS-dependency and intestinal resection (IR) proportionally when comparing
289 quitters and persistent smokers with/without depression. There were no statistical differences in the
290 proportion of patients developing CS-dependency between 'persistent smokers' with or without
291 depression (35.4% vs.32.5%, $p=0.56$), or 'quitters' with or without depression (30.8% vs. 23.0%,
292 $p=0.28$). Similarly, there were no statistical differences in the proportion of CD patients who
293 underwent intestinal surgery between 'persistent smokers' with or without depression (17.7% vs.
294 10.1%, $p=0.06$), or 'quitters' with or without depression (2.6% vs. 11.2%, $p=0.10$).

295

296 Discussion

297 Main findings

298 This is the is the first population based study to report on the impact of smoking cessation on clinical
299 outcomes in Crohn's disease. Smoking at diagnosis was associated with both an increase in CS-
300 requiring flares, the development of CS dependency and risk of intestinal resection. Smoking
301 cessation within the first 2 years of diagnosis was associated with a reduction in CS-dependency by
302 almost a quarter compared to persistent smokers. The proportion of quitters in steroid-free
303 remission during follow-up was almost a fifth higher than in persistent smokers. Rates of intestinal
304 surgery amongst quitters were reduced but did not reach statistical significance.

305 Findings in relationship to other studies

306 This study is the first to demonstrate the benefit of smoking cessation in a population based cohort
307 on the key clinical outcome of CS-dependency. In a landmark study by Cosnes *et al.*, CD patients
308 from a tertiary centre who continued to smoke, when compared to those who had quit or had never
309 smoked, had higher rates of disease flares, steroid use and immunosuppressant use, although
310 surgical rates remained unaffected.¹⁷ More recently, a prospective observational study by Nunes *et*
311 *al.* including 573 patients with CD from 14 IBD referral centres in Spain reported similar findings.¹⁸

312 Our study is the first to address the impact of smoking on CS-dependency as specifically defined by
313 ECCO guidelines.⁴⁴ Reducing CS-dependency is an important goal in IBD management given the long
314 term clinical side effects and adverse outcomes associated with CS dependency in IBD.⁴⁵ Our findings
315 are in keeping with other referral centre studies that have demonstrated that smoking is associated
316 with increased corticosteroid use,^{10,11,12} increased disease activity or disease flares,^{6,13} and
317 progression from an inflammatory to a stricturing or penetrating disease pattern that often requires
318 surgery.⁴⁶ A recent meta-analysis that included nine studies of patients with CD, found a 56%
319 increased risk of disease flare in patients who smoked (pooled odds ratio 1.56, 95%CI 1.21-2.01).¹⁶

320 We did not find any statistical difference in risk of TP exposure in between smokers and non-
321 smokers, although the results approached statistical significance (35.5% vs. 32.4% in smokers and
322 non-smokers respectively, $p=0.06$) Similar findings have also been reported in a study by Seksik *et al*,
323 in which immuno-modulator (IM) use did not vary between non-smokers, light smokers and heavy
324 smokers.⁶ By contrast, other studies have demonstrated increased IM use amongst smokers with
325 CD.^{10,11,12} Interestingly, in a retrospective analysis of steroid-dependent IBD patients, including 103
326 CD patients treated with thiopurines, there was no difference in steroid-free remission between
327 smokers and non-smokers.⁴⁷

328 We found a significantly higher risk of first intestinal surgery amongst CD patients who smoked at
329 diagnosis (HR 1.64, 95%CI 1.06-2.52). Our findings add to the body of evidence base that suggest an
330 increased risk of intestinal surgery amongst smokers.^{6,9,48} The majority of studies in this field have
331 been derived from data collected in secondary care. One previous study by Frolkis *et al*. reported
332 population-based data on the impact of smoking on risk of surgery in CD using The Health
333 Improvement Network (THIN), although did not evaluate the impact of smoking cessation in this
334 cohort. THIN is a UK primary care database that shares information from some practices within CPRD
335 and also includes patient data from practices that do not contribute to CPRD, although is smaller in
336 size than CPRD. This study included 1500 CD patients and reported that current smoking at time of
337 CD diagnosis was associated with a threefold increase in risk of intestinal surgery (HR 2.99, 95%CI
338 1.52-5.92), although this increased risk was only apparent in patients diagnosed with CD over the
339 age of 40 years (Montreal A3).³ This contrasts with our own larger study that found no age-related
340 differences in multi-variate analysis, which may reflect the size of our cohort, differences in adjusting
341 for confounding, and minor variations in the definitions of smoking at CD diagnosis.

342 Smoking rates in the UK as in many developed countries have fallen since legislation banning
343 smoking in public spaces were introduced and our cohorts show similar trends in smoking
344 prevalence. Data from the Global Burden of Disease (GBD) tobacco collaborators study reported an

345 annual percentage drop in smoking prevalence of 0.9-1.2 between 2005-15.² During our 10 year
346 study period, there was a sustained and significant decrease in proportion of patients aged 17-40
347 years (Montreal A2) who were smokers at diagnosis from 37.4% to 30.5%, or a drop of
348 approximately 0.7%/year. Research on smoking cessation in the UK general population has also
349 shown a differential rate of smoking cessation, with the highest quit rates amongst 21-30 year olds.⁴⁹
350 This is against a background of an overall drop in smoking prevalence in UK adults from 46% in 1974
351 to 19% in 2014.⁵⁰ The drop in smokers at diagnosis may also reflect changing smoking habits in the
352 wake of the UK smoking ban that was introduced in July 2007,⁵¹ in addition to the introduction of
353 Quality and Outcome Framework (QOF) targets in UK general practices.²⁸ In a sensitivity analysis of
354 our own data, we found the proportion of CD patients aged 17-40 years (Montreal A2) who smoked
355 at diagnosis dropped significantly from 37% in the pre-smoking ban era to 30% in the post-smoking
356 ban era, whereas there were no changes in the other age categories.

357 That smoking worsens, and conversely cessation improves disease course in CD, can be explained by
358 a host of biological, clinical and social factors. Tobacco smoke is postulated to cause inflammation
359 and damage to the gastrointestinal tract via a number of mechanisms.⁵² Smoking may lead to
360 alterations in the intestinal flora in patients with IBD manifest as decreased species diversity and
361 reduced anti-inflammatory phyla, for example *Firmicutes*.⁵³ Smoking in CD may also increase
362 potentially pro-inflammatory *Bacteroides* species.⁵⁴ Constituents of tobacco smoke may inhibit
363 anti-inflammatory pathways, dysregulate monocyte function and alter small bowel
364 permeability.^{55,56,57}

365 Smoking may also impact on the efficacy of CD-specific medication. Smokers are more likely to
366 discontinue thiopurine therapy because of side effects.⁴⁷ Smoking has also been suggested to reduce
367 the effectiveness of biologic therapies, but this association remains unconfirmed.⁵⁸ Furthermore,
368 reports from some non-IBD populations indicate medication adherence amongst smokers may be
369 worse.⁵⁹

370 **Strengths and limitations**

371 This is the first population-based study investigating the impact of smoking cessation on disease
372 outcomes in patients with Crohn's disease. Data were drawn from a large nationally representative
373 validated research database free of referral centre bias. CPRD has previously been validated as a tool
374 to study IBD, including smoking exposure.^{20,60} Completeness for the recording of smoking status is
375 reported at over 98% in some patient populations.²⁸ In our regression model we have accounted for
376 multiple demographic and clinical covariates that add strength to our findings.

377 We acknowledge certain limitations to our study. A potential limitation is that longitudinal data with
378 regard to smoking continuation and cessation was incomplete and reduced the size of the cohort
379 available for analysis of the impact of smoking cessation. However to date this is the only population
380 based study to evaluate the impact of smoking cessation in CD. Furthermore, our measure of
381 smoking status may have been subject to recall bias by patients or a failure of clinicians to inquire
382 about and record status accurately.⁴ This could potentially result in an underestimation of any effect.
383 Furthermore, our study did not account for smoking intensity or alternative types of tobacco
384 exposure.

385 With respect to the smoking cessation analysis, we evaluated the impact of cessation with in the first
386 2 years of diagnosis. We classified the status of quitting or smoking persistence based on changes in
387 smoking codes within the first two years after CD diagnosis. Our methodology defines cessation
388 based on an event documented and coded for by the primary care physician and not the actual date
389 the patient stopped smoking. It is possible therefore that CS use and IR may have occurred before
390 the actual 'quit date'. Reassuringly in this respect we found in a sensitivity analysis that mean time to
391 a change in smoking code (227 days from CD diagnosis) was significantly shorter than the mean time
392 to either CS dependency (1112 days) or intestinal surgery (395 days) This suggests smoking
393 cessation usually preceded CS use or IR in our analysis .

394 We also acknowledge CPRD contains limited information about disease phenotype, activity, severity
395 and endoscopic data, but emphasize that this database has been validated for use in IBD-related
396 research.²⁰ We have however attempted to adjust for some of these restrictions including
397 developing surrogate markers for disease activity to generate 'CS-flare' data using a previously
398 published methodology.³⁵ We also recognise the potential limitations of using CS prescription data
399 to define disease activity rather than objective markers. Nevertheless this does often reflect 'real
400 world' clinical practice. Our methodology will also fail to capture patients who choose against taking
401 steroids for a flare, although postulate this would be a small proportion of patients.

402 We were however able to control for disease severity using the validated Beaugerie index.⁴²
403 Additionally, we used a previously described comprehensive list of Read codes to determine
404 whether a patient had had intestinal surgery.²⁹ We accept some patients, in particular those in the
405 older (A3) age group, may have undergone surgery for indications other than CD, such as cancer. The
406 associated impact of smoking status on IBD-specific hospitalisation would also have been of interest
407 but CPRD does not code for this parameter.

408 CPRD contains limited data on anti-tumour necrosis factor (aTNF) medications, since these
409 treatments are usually prescribed in secondary care and thus is a potential confounder. It is
410 noteworthy that in 2006, only an estimated 3% of the British CD population was on biologic
411 therapy,⁶¹ but has steadily risen since.⁶² In our multivariate analysis we have shown that era of
412 diagnosis was not a significant covariate implying that changes in biologic use between the era did
413 not impact significantly on the measured outcomes. This is also consistent with a recent Spanish
414 registry study, which reported that smoking was associated with a more deleterious disease course
415 irrespective of increased biologic use.¹¹

416 **Implications**

417 Our results support the hypothesis that intestinal inflammation is exacerbated by tobacco exposure
418 in Crohn's disease and worsens subsequent clinical outcomes, notably steroid use and requirement

419 for intestinal surgery. Importantly it supports the notion that smoking cessation has a favourable
420 impact.

421 Smoking is the only truly modifiable risk factor in disease course in CD and our findings underscore
422 the importance of assessing smoking status at first presentation, and counselling patients who
423 smoke that continued tobacco use is likely to be associated with detrimental outcomes, but that
424 quitting smoking will improve their disease course. This is particularly pertinent given patient
425 knowledge as to the potentially negative impact of smoking on outcomes in IBD may be lacking.^{63,64}
426 We recommend that smoking cessation strategies should be prioritised in systematic shared care
427 protocols bridging primary and secondary care since there is good evidence, that when clinicians
428 support patients, about a third will quit,⁶⁵ with resultant improvement in clinical outcomes in those
429 that achieve this goal.¹⁸ There may be added value in focusing this effort on certain target
430 populations including younger patients or light smokers who are more likely to succeed with
431 complete cessation.⁶⁶ There may also be considerable economic benefits to healthcare services
432 employing cessation programs in CD.⁶⁷

433 Further work is needed to quantify the effect of smoking exposure ideally using objective markers
434 that are not subject to recall bias such as salivary cotinine.⁶⁸ Additionally, future prospective studies
435 that quantify smoking exposure by accurately recording smoking intensity (number of cigarettes
436 smoked per day), could be used to investigate the potential of a tobacco 'dose effect'.

437 **Conclusions**

438 Amongst patients with CD, smoking status at diagnosis impacts on key clinical outcomes within the
439 first five years of the disease course. CD patients who are smokers at diagnosis are a third more
440 likely to be steroid dependent, have more steroid flares during follow-up, and are two thirds more
441 likely to undergo intestinal surgery. Patients who stopped smoking within the first 2 years following
442 CD diagnosis had reduced rates of CS-dependency, a key clinical outcome in this cohort. These

443 findings underpin the importance of early targeted smoking cessation programmes within this
444 patient group.

445 **Author contributions**

446 CA will act as the guarantor for the article. All authors contributed to the concept and design
447 of the study. CA wrote the paper and all authors contributed and approved the final
448 manuscript.

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- 654
- 655

656 **Table and figure legends**

657 **Figure 1:**

658 **IBD** - inflammatory bowel disease

659 **CPRD** - Clinical Practice Research Datalink

660 **UTS** - Up to Standard

661 **QOF** - UK Quality Outcomes and Framework introduced to GPs in 2004

662 **UC** - Ulcerative Colitis

663 **CD** - Crohn's disease

664

665 **Figure 2:**

666 Bar height indicate % of smokers at CD diagnosis by each age category. Actual number of smokers in each
667 group displayed in base of individual bars.

668 * $p=0.02$ for across era comparison for proportion of smokers at CD diagnosis in Montreal A2 category. No
669 significant differences in A1 or A3 categories across era. Note in Era 1 and Era 5, there were no smokers in the
670 A1 group.

671 Era 1: 2005-06 (inclusive), Era 2: 2007-08, Era 3: 2009-10, Era 4: 2011-12, Era 5: 2013-14

672 A1 - age at diagnosis <17 years

673 A2 - age at diagnosis 17-40 years

674 A3 - age at diagnosis >40 years

675

676 **Figure 3:**

677 **CS** - corticosteroid **IBD** - inflammatory bowel disease **CD** - Crohn's disease

678 **Figure 4:**

679 **IBD** - inflammatory bowel disease **CD** - Crohn's disease

680

681 **Table 1:**

- 682 a - Age at diagnosis categories as per Montreal classification (A1 <17 years, A2 17-40, A3 >40 years)
- 683 b - BMI (Body Mass index) - calculated as the closest BMI recording within one year of Crohn's diagnosis. Data available for
684 58% of patients
- 685 c - IMD (Index of Multiple Deprivation)
- 686 d - IBS (Irritable Bowel Syndrome) - co-diagnosis considered as any patient with a defined Read code for IBS in records
- 687 e - Co-diagnosis of depression considered if patient had any Read code for depressive illness in CPRD record
- 688 f - BI (Beaugerie index) - BI score of 2 or more associated with disabling disease course. Please see methodology for
689 detailed description of the indices

690

691 **Table 2:**

- 692 * a steroid flare was considered as the first steroid prescription (after a Crohn's diagnosis) and any other
693 prescription for oral steroids following a 4 month time free of steroid prescription
- 694 ** steroid dependency calculated as any patient with a repeat steroid prescription within 3 months of the end
695 of a previous steroid prescription or patients with steroid prescriptions for greater than 3 months

696

697 **Table 3:**

698 Multivariate analysis includes all covariates of univariate analysis. Only significant hazard ratios shown for multivariate
699 analysis

700 **HR** - Hazard ratio **CI** - Confidence Interval **IBD** - Inflammatory Bowel Disease **BMI** - Body Mass Index **IMD** - index of
701 multiple depravity **IBS** - irritable bowel syndrome **5-ASA** - 5-aminosalicylates **TP** - Thiopurine **BI** - Beaugerie Index

702 a - smoker at diagnosis defined as any patient with Read codes for active smoking within the year preceding IBD diagnosis

703 b - Age categories as per Montreal classification (A1 <17 years, A2 17-40, A3 >40 years)

704 c - Era 1: 2005-06 (inclusive), Era 2: 2007-08, Era 3: 2009-10, Era 4: 2011-12, Era 5: 2013-14

705 d - calculated as the closest recorded BMI to date of IBD diagnosis, one year either side of IBD diagnosis

706 e - IMD upper includes IMD categories 1 and 2 (versus IMD category 3,4 and 5)

707 f - IBS co-diagnosis defined as any patient with a Read code for IBS before or after IBD diagnosis. Depression defined as any
708 patient with a Read code for depression before or after IBD diagnosis

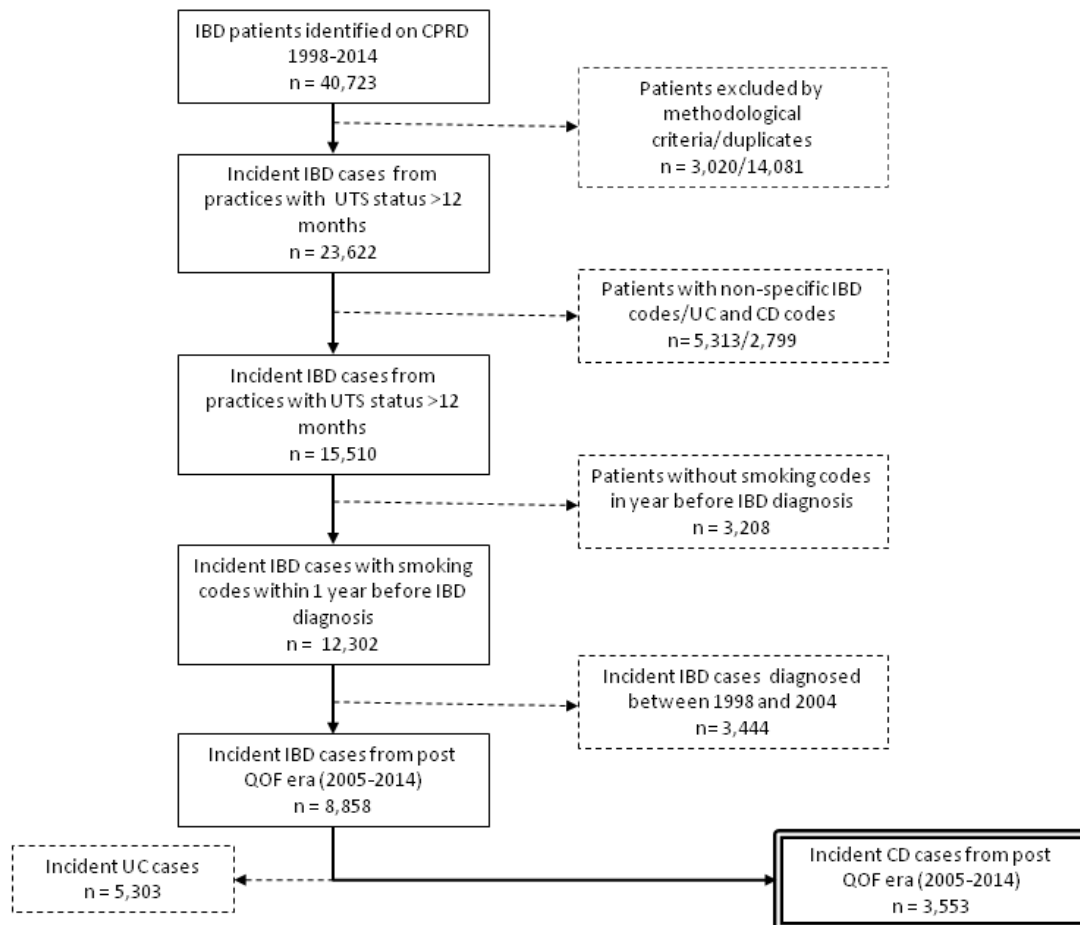
709 g - exposure of 5-ASA or TP medications defined as any patients with at least one or more prescription for either 5-ASA of
710 TP after IBD diagnosis

711 h - BI 2+ - Beaugerie Index score of 2+ - please refer to methodology for exact details of these definitions

712

713 **Figures**

714 **Figure 1: schematic of cohort construction for incident cases of Crohn's disease**



715

716 **IBD** - inflammatory bowel disease

717 **CPRD** - Clinical Practice Research Datalink

718 **UTS** - Up to Standard

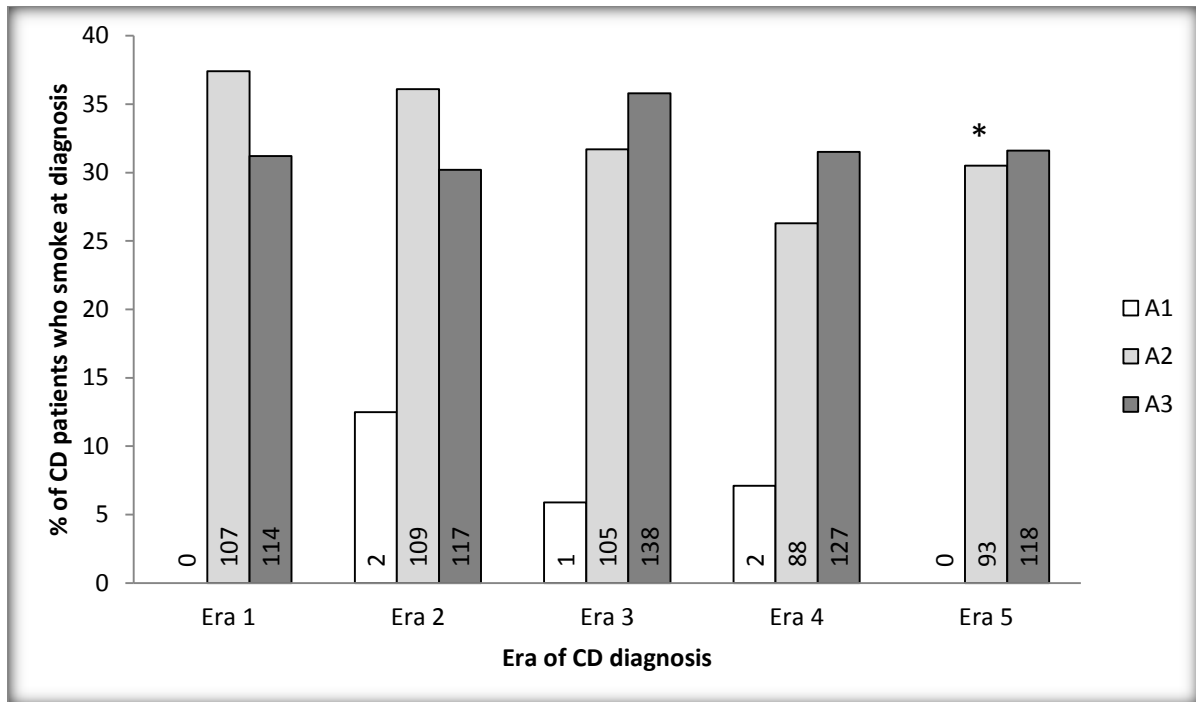
719 **QOF** - UK Quality Outcomes and Framework introduced to GPs in 2004

720 **UC** - Ulcerative Colitis

721 **CD** - Crohn's disease

722

723 **Figure 2: Smoking prevalence at diagnosis of CD patients by Montreal age category and year of CD**
 724 **diagnosis**



725

726 Bar height indicate % of smokers at CD diagnosis by each age category. Actual number of smokers in each
 727 group displayed in base of individual bars.

728 * $p=0.02$ for across era comparison for proportion of smokers at CD diagnosis in Montreal A2 category. No
 729 significant differences in A1 or A3 categories across era.. Note in Era 1 and Era 5, there were no smokers in the
 730 A1 group.

731 Era 1: 2005-06 (inclusive), Era 2: 2007-08, Era 3: 2009-10, Era 4: 2011-12, Era 5: 2013-14

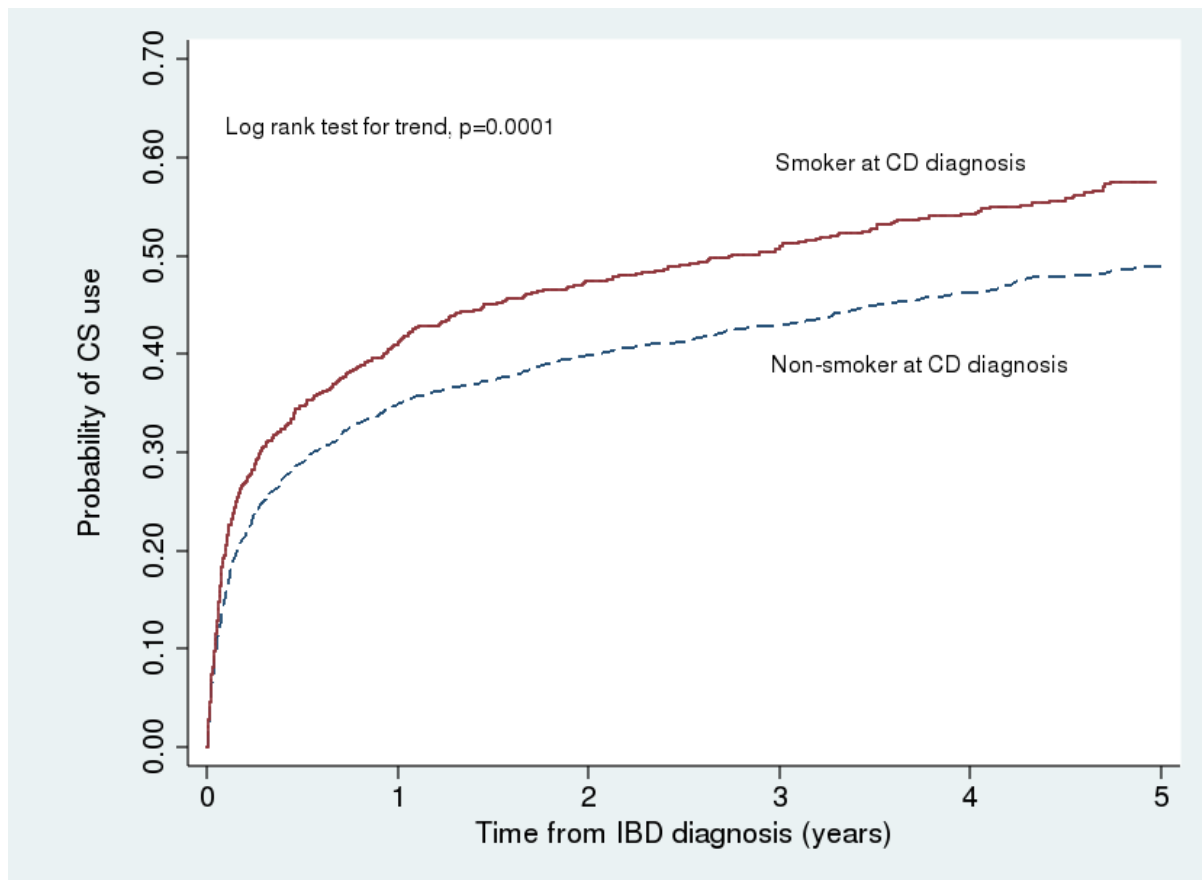
732 A1 - age at diagnosis <17 years

733 A2 - age at diagnosis 17-40 years

734 A3 - age at diagnosis >40 years

735

736 **Figure 3: KM curves showing probability of oral CS exposure in patients with Crohn's disease given**
737 **diagnosis smoking status**

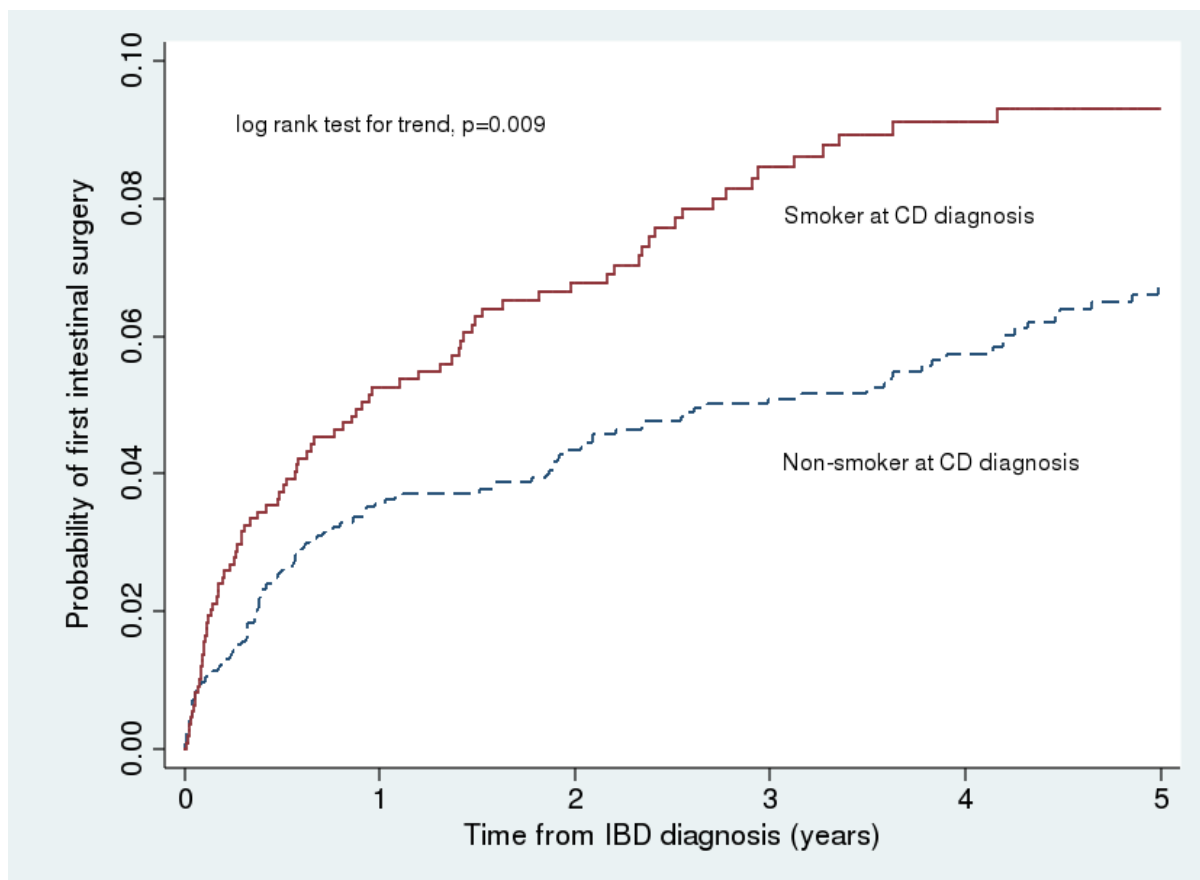


738

739 **CS - corticosteroid IBD - inflammatory bowel disease CD - Crohn's disease**

740

741 **Figure 4: KM curves showing probability of first intestinal surgery in patients with Crohn's disease**
742 **given diagnosis smoking status**



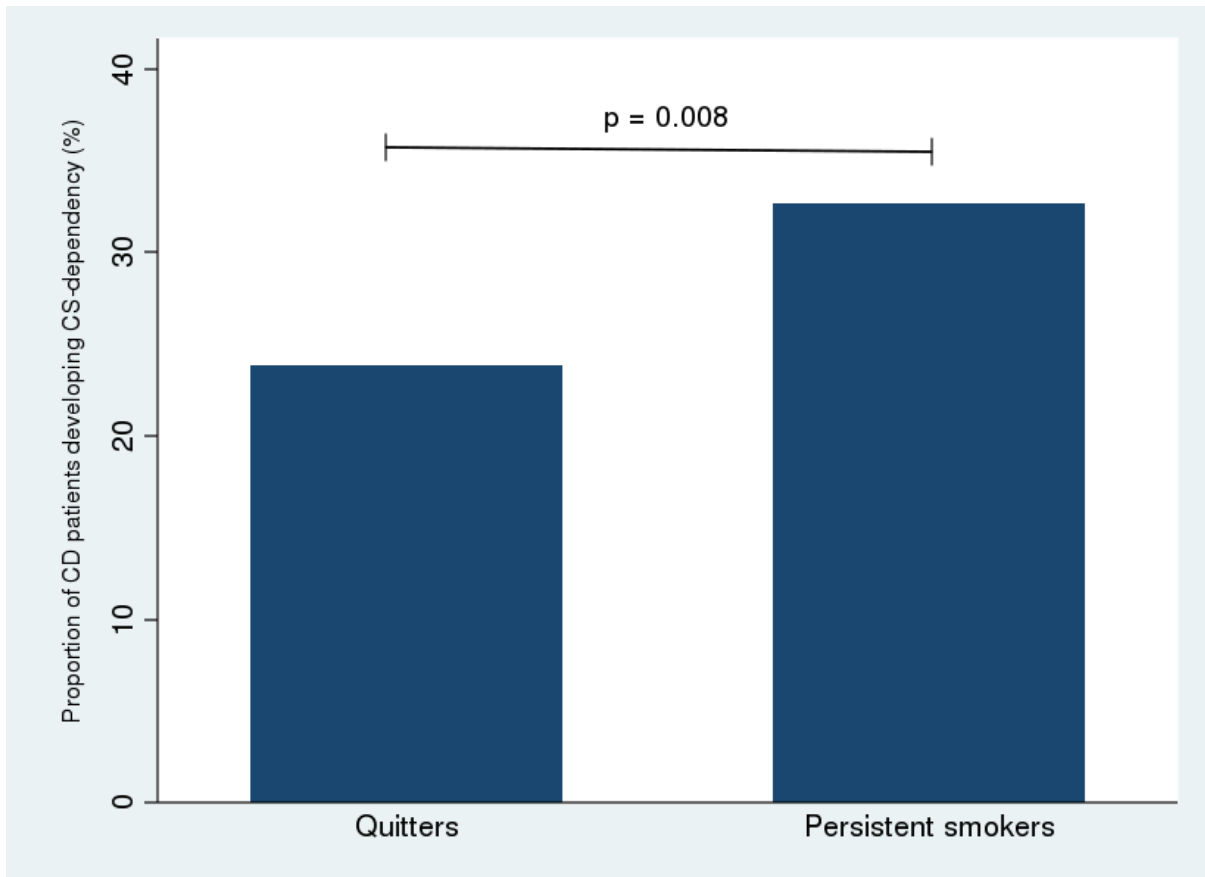
743

744

IBD - inflammatory bowel disease **CD** - Crohn's disease

745

746 **Figure 5: Bar chart comparing CS-dependency between quitters and persistent smokers amongst**
747 **patients with CD**



748

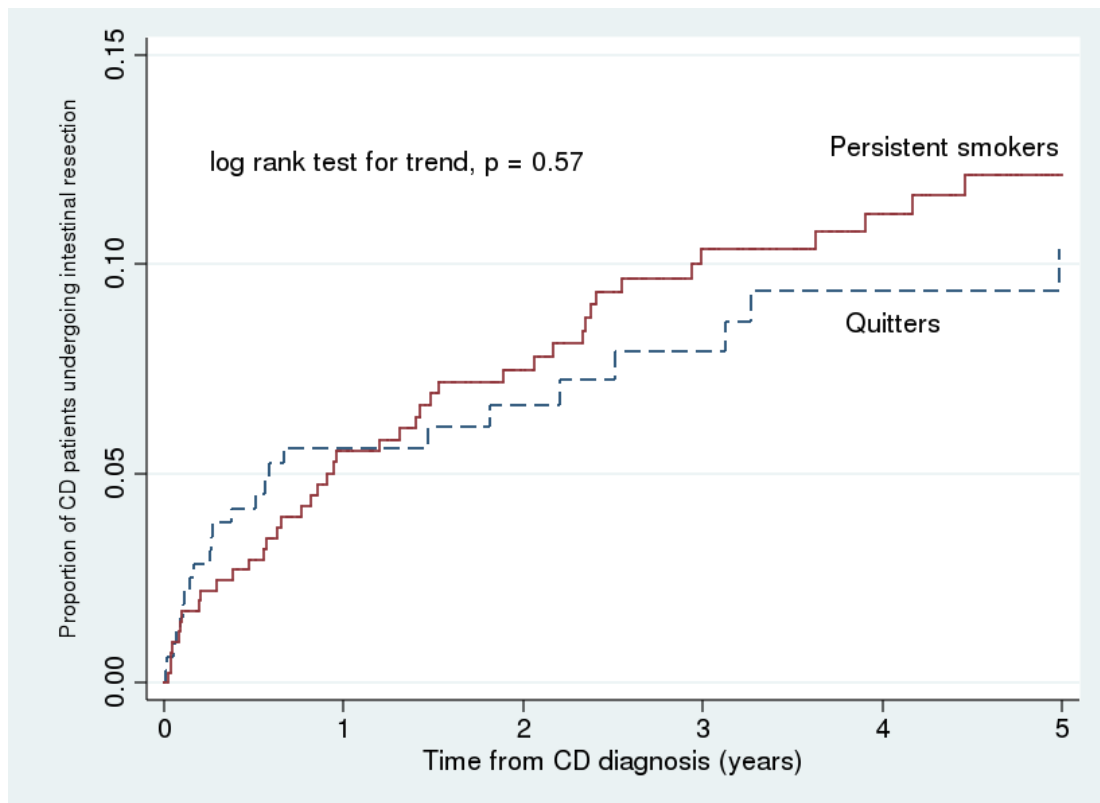
749 legend:

750 Quitters - CD patients who were smokers at diagnosis but had subsequent Read codes in the two years
751 following diagnosis indicating they were ex or non-smokers

752 Persistent smokers - CD patients who were smokers at diagnosis and had subsequent Read codes in the two
753 years following diagnosis indicating they were still smokers

754

755 **Figure 6: KM curves showing probability of first intestinal surgery in patients with Crohn's disease:**
 756 **'quitters' versus 'persistent smokers'**



757

758 legend:

759 Quitters - CD patients who were smokers at diagnosis but had subsequent Read codes in the two years
 760 following diagnosis indicating they were ex or non-smokers

761 Persistent smokers - CD patients who were smokers at diagnosis and had subsequent Read codes in the two
 762 years following diagnosis indicating they were still smokers

763

764

765 **Tables**

766

Table 1: baseline characteristics of cohort

	Smoker at Crohn's diagnosis (n=1121)	Non-smoker at Crohn's diagnosis (n=2432)	p-value
Sex			
% male	42.5	47.0	0.01
Age at diagnosis^a (%)			
A1	0.5	3.2	<0.0001
A2	44.8	43.4	0.45
A3	54.8	53.4	0.44
BMI category at diagnosis^b (%)			
Underweight	7.2	7.3	0.93
Normal	45.8	44.5	0.57
Overweight	29.5	29.0	0.80
Obese	17.4	19.2	0.33
Social deprivation^c (%)			
IMD 1	13.6	25.3	<0.0001
IMD 2	21.2	23.2	0.34
IMD 3	21.8	19.5	0.22
IMD 4	23.8	18.2	0.003
IMD 5	19.5	13.7	0.001
Comorbidities (%)			
IBS ^d	19.4	18.4	0.51
Depression ^e	11.3	7.1	<0.0001
Severity indices^f (%)			
BI score 0	37.7	39.4	
1	42.7	43.9	0.27
2	19.3	16.6	
3	0.3	0.2	

767 a - Age at diagnosis categories as per Montreal classification (A1 <17 years, A2 17-40, A3 >40 years)

768 b - BMI (Body Mass index) - calculated as the closest BMI recording within one year of Crohn's diagnosis. Data available for
769 58% of patients

770 c - IMD (Index of Multiple Deprivation)

771 d - IBS (Irritable Bowel Syndrome) - co-diagnosis considered as any patient with a defined Read code for IBS in records

772 e - Co-diagnosis of depression considered if patient had any Read code for depressive illness in CPRD record

773 f - BI (Beaugerie index) - BI score of 2 or more associated with disabling disease course. Please see methodology for
774 detailed description of the indices

775

776

777 **Table 2: Steroid flares and steroid dependency in patients with Crohn's disease by smoking status**
 778 **at diagnosis**

	smoker at diagnosis	non-smoker at diagnosis	p-value
flares/year*(%)			
0	42.9	51.4	<0.0001
0-1	47.8	42.7	0.004
>1	9.3	6.0	<0.0001
steroid dependency**(%)	27.4	20.8	<0.0001

779

780 * a steroid flare was considered as the first steroid prescription (after a Crohn's diagnosis) and any other
 781 prescription for oral steroids following a 4 month time free of steroid prescription

782 ** steroid dependency calculated as any patient with a repeat steroid prescription within 3 months of the end
 783 of a previous steroid prescription or patients with steroid prescriptions for greater than 3 months

784

785

786 **Table 3: Univariate and multivariate Cox regression analysis for risk of intestinal surgery in**
 787 **patients with Crohn's disease**

	univariate analysis n=3553			multivariate analysis n=3553		
	HR	95% CI	p value	HR	95% CI	p value
Smoking status at diagnosis^a						
Smoker	1.42	1.09-1.86	0.01	1.64	1.06-2.52	0.02
Sex (ref to female)	0.78	0.59-1.01	0.07	-	-	-
Age at IBD diagnosis^b						
A1	1	-	-	-	-	-
A2	0.56	0.30-1.03	0.06	-	-	-
A3	0.34	0.18-0.64	0.001	-	-	-
Era of IBD diagnosis^c						
Era 1	1	-	-	-	-	-
Era 2	1.18	0.82-1.71	0.37	-	-	-
Era 3	0.88	0.59-1.32	0.54	-	-	-
Era 4	0.98	0.65-1.48	0.91	-	-	-
Era 5	0.70	0.40-1.21	0.23	-	-	-
BMI category^d						
Underweight	0.99	0.54-1.81	0.97	-	-	-
Normal	1	-	-	-	-	-
Overweight	0.67	0.45-1.00	0.06	-	-	-
Obese	0.70	0.44-1.11	0.13	-	-	-
Social deprivation^e						
IMD upper	0.91	0.64-1.28	0.57	-	-	-
Co-morbidities^f						
IBS	1.13	0.82-1.55	0.47	-	-	-
Depression	0.98	0.60-1.59	0.93	-	-	-
IBD medication^g						
5-ASA	0.95	0.73-1.2	0.68	-	-	-
TP	2.64	2.03-3.44	<0.0001	2.72	1.73-4.29	<0.0001
Severity Indices^h						
MBI 2+	1.94	1.45-2.59	<0.0001	-	-	-

788 Multivariate analysis includes all covariates of univariate analysis. Only significant hazard ratios shown for multivariate
 789 analysis

790 **HR** - Hazard ratio **CI** - Confidence Interval **IBD** - Inflammatory Bowel Disease **BMI** - Body Mass Index **IMD** - index of
 791 multiple depravity **IBS** - irritable bowel syndrome **5-ASA** - 5-aminosalicylates **TP** - Thiopurine **BI** - Beaugerie Index

792 a - smoker at diagnosis defined as any patient with Read codes for active smoking within the year preceding IBD diagnosis

793 b - Age categories as per Montreal classification (A1 <17 years, A2 17-40, A3 >40 years)

794 c - Era 1: 2005-06 (inclusive), Era 2: 2007-08, Era 3: 2009-10, Era 4: 2011-12, Era 5: 2013-14

- 795 d - calculated as the closest recorded BMI to date of IBD diagnosis, one year either side of IBD diagnosis
- 796 e - IMD upper includes IMD categories 1 and 2 (versus IMD category 3,4 and 5)
- 797 f - IBS co-diagnosis defined as any patient with a Read code for IBS before or after IBD diagnosis. Depression defined as any
798 patient with a Read code for depression before or after IBD diagnosis
- 799 g - exposure of 5-ASA or TP medications defined as any patients with at least one or more prescription for either 5-ASA of
800 TP after IBD diagnosis
- 801 h - BI 2+ - Beaugerie Index score of 2+ - please refer to methodology for exact details of these definitions
- 802