**Genetically Elevated LDL Associates with Lower Risk of Intracerebral Hemorrhage**

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**ABSTRACT**

**Objective**: Observational studies point to an inverse correlation between LDL cholesterol levels and risk of intracerebral hemorrhage (ICH), but it remains unclear whether this association is causal. We tested the hypothesis that genetically-elevated LDL is associated with reduced risk of ICH.

**Methods**: We constructed one polygenic risk score (PRS) per lipid trait (total cholesterol, LDL, HDL and triglycerides) using independent genome-wide significant SNPs for each trait. We used data from 316,428 individuals enrolled in the UK Biobank to estimate the effect of each PRS on its corresponding trait, and data from 1,286 ICH cases and 1,261 matched controls to estimate the effect of each PRS on ICH risk. We used these estimates to conduct Mendelian Randomization analyses.

**Results**: We identified 410, 339, 393, and 317 lipid-related SNPs for total cholesterol, LDL, HDL and triglycerides, respectively. All four PRSs were strongly associated with their corresponding trait (all p<1x10-100). While one standard deviation increase in the PRSs for total cholesterol (OR 0.92, 95%CI 0.85-0.99; *p*=0.03) and LDL cholesterol (OR 0.88; 95%CI, 0.81-0.95; *p*=0.002) were inversely associated with ICH risk, no significant associations were found for HDL and triglycerides (both p>0.05). Mendelian Randomization analyses indicated that 1 mmol/L (38.67 mg/dL) increase of genetically-instrumented total and LDL cholesterol were associated with 23% (OR 0.77; 95%CI 0.65-0.98; *p*=0.03) and 41% lower risks of ICH (OR 0.59; 95%CI 0.42-0.82; *p*=0.002), respectively.

**Interpretation**: Genetically elevated LDL levels were associated with lower risk of ICH, providing support for a potential causal role of LDL cholesterol in ICH.

**INTRODUCTION**

Novel therapies are needed for spontaneous, non-traumatic intracerebral hemorrhage (ICH), a disease responsible for 50% of stroke-related deaths and disability with no proven acute treatments.1 Several complementary lines of evidence highlight the importance of lipid metabolism as a promising pathophysiological pathway for risk prediction and therapeutic strategies. The Stroke Prevention by Aggressive Reduction in Cholesterol Levels (SPARCL) trial reported that statin treatment in surviving adults with a first ischemic stroke reduced the risk of recurrent ischemic stroke but increased the risk of ICH.2 However, this trial could not exclude possible pleiotropic effects of statins, whereby the effects on ICH may be independent of the effects of statins on lipids.3 In addition, clinical trials investigating statins as the primary cardiovascular disease prevention strategy have yielded inconsistent results for ICH risk.4–6

Results from several observational studies evaluating data from thousands of ICH cases also reported inverse associations between lipid levels and ICH risk. The Genetic and Environmental Risk Factors for Hemorrhagic Stroke (GERFHS) Study reported a one third lower ICH risk among study participants with a past medical history of hypercholesterolemia.7 Other observational studies reported that higher low-density lipoprotein cholesterol (LDL-C) levels were correlated with ICH severity and 3-month clinical outcome.8 While promising, these studies are limited by the observational nature of the underlying design, which preclude the possibility of establishing causality.

Population genetics provides powerful tools to overcome such limitations in causal inference. Genetic variants known to associate with lipid levels can be used as instruments to evaluate the causal relationship between different lipid fractions and risk of ICH.9 These genetic variants are randomly distributed during meiosis and are ought to be exempt from confounding by environmental exposures.9–11 A recent report from the China Kadoorie Biobank involving several thousand ICH cases demonstrated concordant effects estimates between the observational and genetic analyses for LDL-C and ICH risk in Chinese adults, thereby providing strong support for the causal relevance for this association in this ethnic group. However, in contrast with highly significant inverse observational associations of directly-measured LDL-C and ICH risk, the associations of genetically-instrumented LDL-C and ICH risk were not statistically significant in this study.12

To overcome the limitations of previous randomized trials, observational studies and genetic analyses, we conducted a multistage genetic association study that combined polygenic risk score13 (PRS) and Mendelian randomization9 (MR) analyses to test the hypothesis that genetically-elevated lipid levels are associated with a lower risk of ICH. We separately evaluated the associations of genetically-instrumented differences in total cholesterol (TC), low-density lipoprotein cholesterol (LDL-C), high-density lipoprotein cholesterol (HDL-C) and triglycerides. Because of the known differences in underlying biology according to the location of ICH within the brain, we conducted stratified analyses based on hemorrhage location.14

**METHODS**

**Study design**

We conducted a three-stage genetic study in participants from European ancestry. All study stages utilized publicly available individual-level data accessible through the National Institute of Health database of Genotypes and Phenotypes and UK Biobank. All studies had approval from the local institutional review board or ethics committee at each participating institution. Informed consent was obtained from all study participants or their legally authorized representatives, or consent was waived via protocol-specific allowance. All study participants had available genome-wide genotyping data, allowing the implementation of principal component analysis to confirm ancestry and account for population stratification. In **stage 1**, we constructed four PRSs for TC, LCL-C, HDL-C and triglycerides using genetic variants known to associate with each of these traits in previous studies.15–17 We estimated the effect of each PRS on its corresponding lipid trait using data from the UK Biobank. In **stage 2**, we conducted an individual participant data meta-analysis of genetic studies of ICH to evaluate the association between the four PRSs created in stage 1 and risk of ICH. This stage included individual-level data from three case-control genetic studies: the Genetics of Cerebral Hemorrhage on Anticoagulation (GOCHA) Study,18 International Stroke Genetics Consortium ICH Study (ISGC-ICH),19 and the Genetic and Environmental Risk Factors for Hemorrhagic Stroke (GERFHS) Study.7 In **stage 3**, we combined the effect estimates from stage 1 (associations between each PRS and its corresponding lipid fraction) and stage 2 (associations between each PRS and ICH risk) to conduct MR analyses of genetically-instrumented lipid levels and ICH risk.

**Blood lipids in the UK Biobank**

Stage 1 utilized data from the UK Biobank, a prospective population-based cohort study that recruited 500,000 community-dwelling individuals aged 40 to 69 years between 2006 and 2010 from across the United Kingdom. Study participants undergone multiple baseline physical measures, provided blood, urine and saliva samples for different analysis, provided detailed information about themselves, and agreed to have their health followed.20 We used recently released values for lipid traits which were measured using a Beckman Colter AU5800 clinical chemistry analyzer. Analyzer performance was verified continually throughout the project.

**Ascertainment of ICH cases and ICH-free controls**

ICH cases included in Stage 2 were defined as new and acute (<24hours) neurological deficits with consistent findings in neuroimaging. Cases were aged >55 years in GOCHA, and >18 years in GERFHS and the ISGC-ICH. Patients were excluded if they were taking anticoagulants (antiaggregants were permitted) or had head trauma, hemorrhagic conversion of an ischemic stroke, intracerebral tumor, intracerebral vascular malformation, vasculitis, or any other cause of secondary ICH. Controls included in Stage 2 were ICH-free individuals enrolled at the same study sites as cases and followed the same age and ethnicity criteria. Controls were sampled by random digit dialing in GERFHS and by random selection from ambulatory clinics in GOCHA and ISGC-ICH.

**Neuroimaging analysis**

For ICH cases included in Stage 2, stroke neurologists or neuroradiologists at each participating site confirmed the diagnosis and, following the known differences in underlying biology, classified each case as lobar or nonlobar according to location.21 ICH originating in the corticosubcortical junction was defined as lobar, whereas ICH selectively involving the thalamus, internal capsule, basal ganglia, brainstem, or cerebellum was defined as nonlobar.

**Genetic data**

Study participants were genotyped using the UK Biobank Axiom Array (UK Biobank Study), Illumina HumanHap610-Quad (GOCHA and ISGC-ICH), and Affymetrix 6.0 (GERFHS). Standard quality control procedures22 were implemented separately for each participating study. SNPs with palindromic alleles (A/T or C/G), a genotype call rate <95%, significant difference in missingness between cases and controls (p<0.05), deviation from Hardy-Weinberg Equilibrium (p<1x10-6), or minor allele frequency (MAF) <1% were removed. Individuals with a genotype call rate <95%, inconsistency between self-reported and genotyped sex, an inferred first or second degree relative in the sample, and a genome-wide heterozygosity F-statistic greater than five times the standard deviation were filtered out from the analysis. Principal component analysis was implemented to account for population structure.23 After quality control and principal component analyses, genetic data were prephased and imputed to 1000 Genomes integrated reference panels (Phase 3 integrated variant set release in NCBI build 37).24 Post-imputation filters included MAF <1%, an information score <0.7, and missing estimates in one or more studies.

**Statistical analyses**

We present discrete variables as counts (percentage) and continuous variables as mean (standard deviation [SD]) or median (interquartile range [IQR]), as appropriate.

***Stage 1. Derivation of lipid-related PRSs.*** We used PRSs to model each individual’s genetic load of lipid-related risk alleles. To build these PRSs, we queried the GWAS Catalog and reviewed published genome-wide association studies of lipids.15–17 Following similar recent analyses, we selected independent (r2<0.3) and common (MAF>5%) single nucleotide polymorphisms (SNPs) associated with at least one lipid trait at p<5x10-8.15 All selected SNPs were aligned to the GRCh37 assembly of the human genome and, for each SNP, the allele associated with an increase in lipid levels was identified and utilized as the tested allele in downstream analyses. The epsilon variants within *APOE* were not included in these PRSs, as they are not captured by commercially available genotyping arrays and there is a plausible alternative pathway via cerebral amyloid angiopathy that could mediate its association with ICH.25 The PRS for each individual is the sum of the product of the risk allele counts for each locus multiplied by the allele’s reported effect on the corresponding lipid level. To assure common directionality of effects, the allele associated with higher lipid levels was selected as the effect allele during scoring. One PRS per lipid trait was generated (TC, LDL-C, HDL-C and triglycerides). All four PRSs were standardized (by subtracting the mean and dividing by the SD) and entered as continuous predictors into regression models. With this approach, the beta for the PRS can be interpreted as the change in ICH risk per 1 SD increase of the PRS. The association between each PRS and its corresponding lipid trait was evaluated using linear regression, adjusting for age, sex, and principal components 1 to 4. The primary analysis was restricted to unrelated study participants of genetically-determined European ancestry who were not taking lipid-lowering medications. In secondary analyses, we followed a less restrictive approach and included all study participants of self-reported European ancestry.

***Stage 2. Association between each PRS and ICH risk.*** We evaluated the association between each PRS and risk of ICH via logistic regression adjusting for age, sex and principal components 1 to 4. Analyses were completed separately in each genetic study and pooled in meta-analysis using fixed-effects (primary analysis) and random effects (secondary analysis) approaches with evaluation of heterogeneity via Cochrane’s Q (with corresponding p) and *I*2. In sensitivity analyses, we excluded genetic variants within *CETP*, a locus that powerfully modifies lipid levels previously shown to be associated with ICH risk. To account for recognized differences in underlying causative ICH mechanism by location within the brain, lipid traits with significant associations were taken forward to stratified analyses based on hemorrhage location (lobar or nonlobar).

***Stage 3. Mendelian randomization analyses.*** The causal relationship between genetically-determined lipid levels and ICH risk was evaluated via MR analyses using each PRS as an instrument. The primary MR analysis utilized the ratio method combining the point estimates and standards errors from Stage 1 (denominator) and Stage 2 (numerator). In secondary analyses, we implemented other MR methods usually used with summary level data, including inverse-variance weighted, weighted median, MR-Egger, and Mendelian Randomization Pleiotropy Residual Sum and Outlier (MR-PRESSO) analyses. To confirm the validity of our results, we implemented MR analyses of genetically-instrumented cholesterol levels and risk of ischemic stroke using estimates for lipids levels from the UK Biobank and estimates for ischemic stroke risk from the MEGASTROKE26 consortium; these results were compared with previously reported MR studies for the same analysis.

***Software.*** We used the GWAS Catalog to identify genetic variants related to lipid levels, PLINK for quality control procedures and generation of PRSs,27 EIGENSTRAT for principal component analysis,28 SHAPEIT for genotype prephasing,29 IMPUTE2 for imputation,30 and Rstudio (Version1.1.453) for association testing, meta-analysis, and MR analysis.31

**RESULTS.** Selected population characteristics are presented in Table 1.

**Stage 1: Derivation of cholesterol-related PRSs**

We identified 1,459 common (MAF >5%) genetic variants reported by prior studies as strongly associated with one or more of the lipid traits of interest. These common variants included 410 SNPs for TC, 339 for LDL-C, 393 for HDL-C and 317 for triglycerides (Supplementary Tables 1-4). We built four different PRSs, one for each lipid trait, and evaluated their associations with their corresponding trait in the UK Biobank. All four PRSs showed highly significant associations with their corresponding lipid trait, both in the primary analysis considering 316,428 (mean age 68 [SD 8], 170,871 females [54%]) unrelated individuals of European ancestry not on lipid-lowering medications (all p<1x10-100), and in the secondary analysis not applying any exclusion criteria (all p<1x10-100; Table 2).

**Stage 2: Association between each PRS and ICH risk**

 A total of 1,286 ICH cases (mean age 71 [SD 13], 593 females [46%]) and 1,261 ICH-free controls (mean age 68 [SD 14], 613 females [49%]) from the GOCHA, ISGC ICH, and GERFHS studies were included in association testing (Table 1). For TC, each additional SD increase of the corresponding PRS was associated with an 8% lower ICH risk (OR 0.92, 95%CI 0.85-0.99; *p*=0.03). When evaluating specific lipid fractions, we found that each additional SD increase of the LDL-C- based PRS was associated with a 12% lower risk of ICH (OR 0.88, 95%CI 0.81-0.95; *p*=0.002) (Table 3). Similar results were obtained when utilizing random-effects meta-analyses. These associations remained significant after removing *CETP* (Table 4). We did not find significant associations for the PRSs based on HDL-C or triglycerides (both p >0.05).

**Stage 3: Mendelian randomization analysis**

The primary MR analysis implemented the ratio method utilizing the effect estimates obtained in Stages 1 and 2. As shown in Table 5, each 1 mmol/L (or 38.67 mg/dL) increase of genetically-instrumented TC was associated with a 23% reduction of ICH risk (OR 0.77, 95%CI 0.60-0.98; *p*=0.03), whereas a 1 mmol/L (or 38.67 mg/dL) increase of genetically-instrumented LDL-C was associated with a 41% reduction in this risk (OR 0.59, 95%CI 0.42-0.82; *p*=0.002). These results remained unaltered when the effect of each PRS on its corresponding lipid trait was estimated without excluding any individuals from the UK Biobank (data not shown). Secondary analyses utilizing an r2<0.1 yielded comparable results for LDL-C (OR 0.62, 95%CI 0.41-0.94; *p*=0.02) and confirmed the direction of effect for TC without reaching statistical significance (OR 0.94, 95%CI 0.87-1.02; *p*=0.17). Secondary analyses utilizing other MR methods confirmed the direction of effect, although not all yielded statistical significance (Table 5). There was no indication of pleiotropy for either TC or LDL-C (MR‐egger intercepts and MR-PRESSO global test p>0.05). MR analyses for LDL-C and risk of ischemic stroke utilizing the estimates for LDL-C from the UK Biobank yielded similar results to those reported by prior publications based on lipid estimates from the Global Lipid Genetics Consortium (Table 6).

**Stratification based on location of the ICH within the brain**

A total of 1,243 ICH cases (96%) had available information about the location of the hematoma within the brain. Of these, 539 (43%) had lobar ICH and 704 (56%) had nonlobar ICH. Location-specific analyses indicated that the association between the LDL-C PRS and ICH risk remained significant for both lobar (OR 0.81, 95%CI 0.73-0.89; *p*<0.001) and nonlobar ICH (OR 0.90, 95%CI 0.82-0.99; *p*=0.04 - Table 7), whereas the association between ICH risk and the TC PRS was significant for lobar (OR 0.89, 95%CI 0.80 - 0.99; *p*=0.03) but not nonlobar bleeds (OR 0.94, 95%CI 0.85-1.08; *p*=0.20). Mendelian randomization analyses implementing the ratio method using these location-specific estimates indicated that genetically-elevated LDL-C was associated with a decreased risk of ICH for both lobar (OR 0.41, 95%CI 0.27-0.64; *p*<0.001) and nonlobar ICH (OR 0.66, 95%CI 0.44-0.97; *p*=0.04), whereas genetically elevated TC was associated with a decreased risk of lobar (OR 0.70, 95%CI 0.51-0.96; *p*=0.03) but not nonlobar ICH (OR 0.73, 95%CI 0.62-1.11;*p*=0.20).

**DISCUSSION**

We report the results of a multi-stage genetic association study that evaluated whether genetically-instrumented levels of different lipid traits influence the risk of spontaneous ICH. We constructed four PRSs to model the aggregate genetic load of risk alleles for TC, LDL-C, HDL-C and triglycerides; assessed for association between each PRS and its corresponding lipid trait; assessed for association between each PRS and ICH risk; and utilized the estimates obtained in prior steps to conduct MR analyses. We found that all four PRSs were robustly associated with their corresponding lipid trait and that the PRSs for TC and LDL-C were inversely associated with ICH risk. Analyses stratified by location indicated that these associations remained significant for both lobar and nonlobar ICH, with stronger associations for lobar bleeds. Of note, the genetically-instrumented HDL-C and triglycerides levels were not associated with ICH risk.

Previous studies provided promising, but inconclusive, evidence on the relevance of LDL-C for risk of ICH. The evidence from randomized control trials of statins is inconsistent. The SPARCL trial, a study focused on the utilization of statins for secondary prevention after a first stroke or transient ischemic attack, found an unexpected increment in ICH risk as a side effect.2 However, large meta-analyses of statin trials yielded conflicting conclusions for this question, with some finding similar associations5,12 and others finding nulls results.4 This inconsistencies may be driven by a lack of statistical power, as ICH is a rare event and statin trials, while large, do not accrue the necessary number of events to appropriately evaluate this relationship. From an observational perspective, the Genetic and Environmental Risk Factors for Hemorrhagic Stroke Study reported a reduction in ICH risk among study participants with a history of hypercholesterolemia.7 In terms of genetic evidence, a candidate gene study focused on the powerful lipid regulatory gene *CETP* found an association between variants at this locus and ICH risk 32 While promising, each of these pieces of evidence has an important limitation: the inconsistency of results observed in clinical trials of statin treatment, the inability to draw causal conclusions in observational studies, and the and the single-locus design nature of the *CETP* study.

The present study provides important additional evidence to support a causal role of LDL-C cholesterol in risk of ICH. Genetic variants known to be associated with lipid levels can be used as instruments to evaluate a causal relationship between different lipid fractions and ICH risk.33 We deployed two specific strategies to maximize the accuracy and power of this analytical strategy. First, all analytical steps used individual level phenotypic and genotypic data, permitting the utilization of rigorous quality control procedures and the implementation of sensitivity analyses to evaluate whether results were robust to different modeling strategies. Second, we estimated the effect of our instruments, the four lipid-related PRSs, on newly released data on lipid fractions from the UK Biobank. The sample size of this study (400,000+ study participants) maximizes the discovery power of the MR analysis by improving the precision of the estimates.

Beyond providing support for a causal role of lipid metabolism in ICH in Europeans, our results also support a specific role of LDL-C as the operative lipid trait mediating the observed inverse associations. Previous studies in Asians, who have lower LDL-C levels than Western populations, reported that this lipid fraction is the likely mediator underlying the inverse association between TC and ICH risk. A nested case-control study within the prospective China Kadoorie Biobank involving ~5,000 ICH cases reported that elevated levels of LDL-C were inversely associated with risk of ICH.12 Mendelian randomization analyses in this study yielded concordant effect estimates, although these were not statistically significant, possibly due to the lower number of SNPs utilized to build the instrument (59 variants) and the European origin of the populations where these lipid-related SNPs had originally been identified. Our results confirm the role of LDL-C as the mediating lipid fraction and provide evidence supporting its role in persons of European ancestry. We acknowledge that, while concordant in the direction of effect, the point estimates for the MR analysis of LDL-C and ICH risk yielded by the present study (OR 0.59, 95%CI 0.42-0.82) are significantly more extreme than those reported in the China Kadoorie Biobank (OR 0.89, 95%CI 0.62-1.16). The discrepant results could reflect the lower mean age at ICH onset and lower mean LDL-C levels in Chinese compared with Europeans or between-population differences in the distribution of LDL-C which could have biased such comparisons. While the overlap in confidence intervals between European and Chinese studies indicate that differences in the estimates between studies are not statistically significant, precise estimates of effects of LDL-C on ICH risk will require additional analyses in further studies involving larger numbers of ICH cases.

The independent replication of our findings constitutes an important next step to consolidate lipid metabolism as an actionable biological target in ICH. This follow-up studies will be greatly facilitated by increasingly available data from large biobanks and multi-purpose repositories like dbGaP34 and the European Genome-phenome Archive (EGA).35 Another important future direction involves the clarification of the pathophysiology underlying the observed association. Histopathological evidence in humans suggests that lower cholesterol concentrations may increase the frailty and permeability of brain vessel walls, triggering arterionecrosis, microaneurysm formation and, ultimately, ICH.36,37 Because our findings point to an effect that is present for both lobar and deep hemorrhages, it is possible that low lipid levels could work as an effect modifier of the risk conveyed by the underlying small vessel disease responsible for the bleed.

The results of this study prompt questions about the risk-benefit ratio of lowering LDL cholesterol for risk of different stroke types. The China Kadoorie Biobank demonstrated equal and opposite proportional differences in risk of ischemic stroke and ICH for equivalent differences in LDL-C cholesterol. Because the absolute number of ischemic stroke cases exceeded those of ICH by 4-fold, any beneficial effects of lowering LDL-C on ischemic stroke were likely to outweigh risks of ICH. In light of this evidence, it is reasonable to use extreme caution when evaluating possible applications of these results to clinical decision-making.

An important limitation of our study was the inability to evaluate the effect of other lipid fractions. Alongside the vast majority of related studies, we evaluated TC, LDL-C, HDL-C and triglycerides, the four lipid traits routinely used in clinical practice, and did not account for several other cardiovascular risk-stratifying lipid fractions, such as apolipoprotein levels.38–40 A second important limitation is the absence of an independent dataset to replicate the association analysis between the lipid-related PRS and ICH risk. The relatively low incidence of ICH in Western populations limits the amount of appropriately ascertained cases within available genetic and location information. Nevertheless, the estimates observed in three different genetic studies of ICH were consistent with each other. In addition, because this study was not intended at risk loci discovery, it could be argued that independent replication for this specific analysis is not strictly needed. Finally, the limited available data on medical history and use of medication in ICH cases precluded any detailed analysis of possible interactions or confounding effects by these variables.

**SUMMARY**

In conclusion, we report an inverse association between the genetic load of risk alleles for total and LDL-C and risk of ICH in persons of European ancestry. We also found that genetically-instrumented higher total and LDL-C were inversely associated with this same risk. Similar associations were observed for both lobar and nonlobar ICH. Our results support a potential causal role of LDL-C in risk of primary, non-traumatic ICH. **ACKNOWLEDGEMENTS**

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**AUTHOR CONTRIBUTIONS**

**Conception and design of the study:** GJF, DG, MG, LS, TMG, SK, CDL, DW, JR, KNS and CDA.

**Acquisition and analysis of data:** GJF, EK, JNA, RBN, AL, SM, JC, MS, JFM, DLB, BBW, DLT, JMJ, HS, JJC, IFC, AL, AS, CLP, NHP, CNM and AB.

**Drafting the text and preparing the figures:** GJF, MH, DB, ZC, LLS, RC, RGW and CDA.

**POTENTIAL CONFLICTS OF INTEREST**

None.

**DATA SHARING**

All data utilized in this study is publicly available through dbGaP (<https://www.ncbi.nlm.nih.gov/gap/>) and the UK Biobank (<https://www.ukbiobank.ac.uk/>).

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