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9 **Impact of early life geohelminths on wheeze, asthma, and atopy in Ecuadorian**
10 **children at 8 years.**

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44

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46

47 **Abbreviations**

48 Adj. – adjusted

49 CD4+ - Cluster of differentiation 4

50 CI – confidence interval

51 Epg – eggs per gramme

52 FeNO – fractional exhaled nitric oxide

53 FEV₁ – forced expiratory volume in 1 second

54 LMIC – low and middle-income country

55 OR – Odds ratio

56 SES – socioeconomic status

57 Spp. - species

58 SPT – allergen skin prick test

59 Th – T helper cell type

60 Vs. - versus

61

62

63

Accepted Article

65 **Abstract:**

66

67 **Background:** Early-life exposures to geohelminths may protect against development of
68 wheeze/asthma and atopy.

69

70 **Objective:** Study effect of maternal geohelminths and infections in children during the first
71 5 years on atopy, wheeze/asthma, and airways reactivity/inflammation at 8 years.

72

73 **Methods:** Birth cohort of 2,404 neonates followed to 8 years in rural Ecuador. Data on
74 wheeze/asthma were collected by questionnaire and atopy by skin prick test (SPT)
75 reactivity to 9 allergens. We measured airways reactivity to bronchodilator, fractional
76 exhaled nitric oxide (FeNO), and nasal eosinophilia. Stool samples were examined for
77 geohelminths by microscopy.

78

79 **Results:** 1,933 (80.4%) children were evaluated at 8 years. Geohelminths were detected
80 in 45.8% of mothers and 45.5% of children to 5 years. Frequencies of outcomes at 8
81 years were: wheeze (6.6%), asthma between 5 and 8 years (7.9%), SPT (14.7%),
82 airways reactivity (10%), and elevated FeNO (10.3%) and nasal eosinophilia (9.2%). Any
83 maternal geohelminth was associated with reduced SPT prevalence (OR 0.72). Childhood
84 *Trichuris trichiura* infections during the first 5 years were associated with reduced wheeze
85 (OR 0.57) but greater parasite burdens with *Ascaris lumbricoides* at 5 years were
86 associated with increased wheeze (OR 2.83) and asthma (OR 2.60). Associations
87 between maternal geohelminths and wheeze/asthma were modified by atopy. Parasite-
88 specific effects on wheeze/asthma and airways reactivity and inflammation were observed
89 in non-atopic children.

90

91 **Conclusions:** Our data provide novel evidence for persistent effects of *in utero*
92 geohelminth exposures on childhood atopy but highlight the complex nature of the
93 relationship between geohelminths and the airways. Registered as an observational
94 study (ISRCTN41239086).

95

96 **Key words:** Geohelminths; atopy; asthma; wheeze; Ecuador

97 **Introduction**

98

99 Asthma is the most common chronic disease of childhood and is estimated to affect 358
100 millions worldwide.¹ Asthma is increasing in prevalence in many low and middle-income
101 countries (LMICs).² Temporal trends of increasing asthma prevalence in LMICs are
102 considered to be related to urbanization and loss of protective exposures associated with
103 rural residence.³

104

105 Recent years have seen increasing urbanization in LMICs, accompanied by reductions in
106 poverty, improved access to basic services, and transformation of the living
107 environment.³ Under such circumstances, the intensity of microbial exposures in early
108 childhood is likely to have declined, affecting the maturation and regulation of the immune
109 system and risk of inflammatory diseases including asthma.^{4,5}

110

111 Geohelminths (caused by *Ascaris lumbricoides*, *Trichuris trichiura*, and hookworm) infect
112 over 1 billion humans worldwide⁶ and are most prevalent among children living in
113 conditions of poverty in tropical regions of LMICs. The most frequent geohelminths found
114 in coastal Ecuador are *Ascaris* (*A. lumbricoides*) and *Trichuris* (*T. trichiura*)⁷ that cause
115 significant morbidity, particularly in children, through their effects on nutritional status,
116 growth, and cognition.⁸ Geohelminths cause chronic infections that are associated with
117 modulation of host Th2 inflammatory mechanisms.⁸ The tight regulation of Th2
118 inflammatory responses may modulate inflammation associated with allergy and asthma.
119 It has been suggested that the lower prevalence of asthma symptoms observed in rural
120 compared to urban populations in tropical regions of LMICs³ is explained by the immune
121 modulatory effects of endemic geohelminth infections.⁵

122

123 Epidemiological studies of the relationship between geohelminths and atopy or asthma
124 have shown conflicting findings in cross-sectional analyses and intervention studies done
125 largely in schoolchildren.⁹⁻¹⁵ We hypothesized that *in utero* or early childhood exposures
126 to geohelminths protect against the development of atopy and asthma in later childhood.
127 To test this hypothesis, we followed an Ecuadorian cohort from birth to 8 years of age in
128 an area of high endemicity. Previous analyses from the cohort showed a protective effect

129 of maternal geohelminths on atopy to mite allergens at 3 years.¹⁶ At 5 years, we
130 observed that maternal infections increased the risk of wheeze while childhood infections
131 protected against wheeze and atopy to perennial aeroallergens, and raised the possibility
132 that childhood infections might modulate wheeze through non-allergic mechanisms.¹⁷

133

134 To understand better the effects of early childhood geohelminth exposures on wheeze,
135 asthma and atopy, and whether the previously observed effects persist, we report
136 findings at 8 years including novel measurements of airways inflammation and reactivity
137 to provide further insights on effects of geohelminths on non-atopic wheezing illness and
138 asthma.

139

140

141

142 **Methods**

143

144 *Study design, setting, and participants*

145

146 A prospective study from birth was done in the District of Quinde in Esmeraldas
147 Province, Ecuador, as described.¹⁸The District serves a population of approximately
148 150,000 with limited access to basic services. The District is largely rural with economic
149 activities based mainly on agriculture. The District includes 3 towns of 10,000 or more
150 inhabitants that contain within municipal urban boundaries, rapidly expanding peri-urban
151 populations representing the poorer segment of the population living in precarious
152 circumstances with limited access to basic services. Neonates were recruited at a public
153 hospital between November 2005 and December 2009. Follow-up evaluations and
154 sample collections were done at 13 months and 2, 3, 5, and 8 years of age.

155

156 *Study procedures*

157

158 A questionnaire was used to collect data on socio-demographic factors, family history of
159 allergy, and home environment by interview of the child's mother around the time of birth.
160 Questionnaires were repeated periodically for wheeze and asthma
161 symptoms. Wheeze was defined as any episode of wheeze during the previous 12 months at
162 8 years. Asthma was defined as wheeze during the previous 3 years plus one or both of
163 parentally reported wheeze up to 5 years and a doctor diagnosis of asthma ever.

164

165 Stool samples to detect geohelminths were collected from mothers before birth, and from
166 children periodically from birth. Samples were examined using a combination of saline
167 mounts, modified Kato-Katz, formol-ether concentration, and carbon-coproculture
168 methods.¹⁹A positive sample was defined by the presence of at least one egg or larva from any
169 of the four detection methods. *Ascaris* and *Trichuris* infection intensities were expressed as
170 eggs per gram (epg) of faeces.

171

172 Spirometry was done at 8 years using a Microloop spirometer (CareFusion, UK) before
173 and after 200 ug salbutamol administered. A positive test for airways reactivity was an

174 increase in FEV₁ of ≥12%. Fractional exhaled nitric oxide was measured in parts per
175 billion using NObreath (Bedfont Scientific, UK). Nasal wash samples were collected at 8
176 years as described.²⁰

177
178 Atopy was measured by SPTs with 9 allergen extracts (Greer laboratories, Lenoir, North
179 Carolina, USA): house dust mites (*Dermatophagoides pteronyssinus/Dermatophagoides*
180 *farinae* mix), American cockroach, cat, dog, grass pollen (9 southern grass mix), fungi
181 (New stock mix), egg, milk, and peanut, with positive histamine and negative saline
182 controls. A positive reaction was defined as a mean wheal diameter at least 3 mm greater
183 than the saline control 15 min after pricking the allergen onto the forearm with lancets.
184 Positive SPT was defined as a positive reaction to any of the allergens.

185
186 *Statistical analysis*

187
188 To measure effects of geohelminthsonasthma prevalence with >80% power at
189 significance level of 0.05, we estimated that we would need to follow-up 1,725 children to
190 detect a difference in asthma prevalence of ≥6% with infection rates of 50% among mothers
191 and 35% among children. Primary exposures were maternal and childhood geohelminth
192 infections and primary outcomes were wheeze, asthma, and SPT to any allergen.
193 Exploratory analyses addressed the effects of geohelminth species and infection
194 intensities on primary outcomes, and effects of geohelminths on airways reactivity and
195 airways inflammation. Univariable and multivariable logistic regression were used to
196 estimate associations. Potential confounders are shown in Table 1. Urban-rural residence
197 was defined by municipal geographic boundaries. A socio-economic status (SES) index
198 was created using principal components analysis of 7 socio-economic variables.¹⁶ A
199 conservative analytic approach was used for all adjusted analyses in which potential
200 confounders included were those with P<0.05 in univariable analyses for any of the
201 primary outcomes. All statistical analyses were done using Stata 11 (Statacorp, College
202 Station, Tex).

203
204 *Ethical considerations*

205

206 Study protocols were approved by ethics committees in Ecuador (Hospital Pedro Vicente
207 Maldonado, Universidad San Francisco de Quito, and Universidad Internacional del
208 Ecuador) and UK (London School of Hygiene and Tropical Medicine). The study is
209 registered as an observational study (ISRCTN41239086). Informed written consent was
210 obtained from the child's mother and minor assent was obtained from the child at 8 years.
211 Anthelmintic treatment was provided to mothers and children with positive stools for
212 geohelminths as recommended.²¹

213

214

215

216

217 **Results**

218

219 *Cohort participants*

220

221 Analyses at 8 years of age were done using data from 1,933 (80.4%) children of 2,404
222 newborns initially recruited and for whom complete data were available on primary
223 exposures and outcomes (Figure 1). Frequencies of potential confounders for children
224 included in and excluded from the analysis were similar (Supplementary Table 1).

225

226 *Frequencies of exposures and outcomes*

227

228 Almost half (45.8%) the children had an infected mother (*Ascaris*27.6%, *Trichuris*28.9%,
229 hookworm 5.6%, and *Strongyloides stercoralis* 4.0%). Geohelminth infections during the
230 first 5 years were observed in 45.5% of 1,933 children analysed at 8 years, most
231 frequently with *Ascaris*(36.3%) and *Trichuris*(25.5%). Other infections were hookworm
232 (1.1%), *S. stercoralis* (1.5%)and *Hymenolepis* spp. (4.2%).Geometric mean infection
233 intensities at 5 yearsamong infected children were 1,162 epg for *Ascaris* and 227 epg for
234 *Trichuris*. Maternal and childhood geohelminth infections were strongly associated; of
235 1,933 children, 35.5% had neither maternal nor childhood infections, 19.0% had maternal
236 geohelminths only, 18.7% had childhood infections only, and 26.8% had both (P<0.001).
237 At least one episode of wheeze from birth to 8 years of age was reported for 38.0% of
238 children. Wheeze prevalence at 8 years was 6.6%and asthma between 5 and 8 years
239 was 7.9%. SPT prevalence at 8 years was 14.7%: *D. pteronyssinus/farinae*10.7%,
240 cockroach 5.3%, mixed fungi 0.3%, dog 0.1%, cat 0.2%, mixed grasses 1.1%, peanut
241 0.3%, milk 0.1%, and egg 0.1%.Airways reactivity, elevated FeNO, (>35 ppb) and nasal
242 eosinophilia (>5%) were observed in 10%, 10.3% and 9.2%, respectively, of children.

243

244 *Maternal geohelminth protect against atopy*

245

246 Before adjustment for potential non-helminth confounders, both maternal geohelminths
247 and childhood geohelminths were associated with a significant reduction in SPT positivity,
248 but not wheeze or asthma (Table 1). The univariate association of maternal helminths

249 with atopy (OR 0.69) was not attenuated by adjustment for non-helminth confounders
250 (OR 0.68, 95% CI 0.52-0.89, P=0.004) and remained little changed by further adjustment
251 for childhood geohelminths (OR 0.72, Table 2 and Figure 2). The unadjusted association
252 of childhood geohelminths with atopy was of similar magnitude (OR 0.75) and was barely
253 altered by adjustment for non-helminth confounders (OR 0.77, 95% CI 0.59-1.01,
254 P=0.054) but became somewhat weaker with further adjusted for maternal helminths (OR
255 0.82, Table 2 and Figure 2).

256

257 *Childhood trichuriasis protects against wheeze but ascariasis increase risk of wheeze*
258 *and asthma.*

259

260 Geohelminth infections to 13 months, 2 and 3 years of age were not significantly
261 associated with primary outcomes (supplementary Table 2). Maternal geohelminth
262 parasite species or parasite burden were not associated with primary outcomes (Figure 3
263 and supplementary Table 3). The presence of any *Trichuris* infection within the first
264 5 years of life was associated with a reduced prevalence of wheeze (adj. OR 0.57, 95%
265 CI 0.35-0.94, P=0.029), while moderate to heavy parasite burdens with *Ascaris* at 5 years
266 were associated with increased wheeze (adj. OR 2.83, 95% CI 1.13-7.13, P=0.027) and
267 asthma (adj. OR 2.60, 95% CI 1.13-6.00, P=0.025) (Figure 3).

268

269 *Strongest protective effects against SPT were seen among infected children of infected*
270 *mothers*

271

272 Chronic exposures to childhood geohelminth infections were assessed as repeated
273 infections in childhood (i.e., 0, 1, and ≥ 2 documented infections with *Ascaris* or *Trichuris*
274 during the first 5 years of life), and as cumulative burdens for *Ascaris* or *Trichuris* during
275 the first 5 years of life. None of these had significant effects on outcomes (data not
276 shown). Maternal geohelminths were strongly associated with childhood infections (adj.
277 OR 2.70, 95% CI 2.23-3.22, P<0.001). To separate maternal/childhood geohelminth
278 effects, we did a four-group analysis of combinations of maternal and childhood
279 geohelminth infections (mother-/child-, mother+/child-, mother-/child+, and
280 mother+/child+). Significant effects were observed on SPT for the mother+/child+ group

281 (versus mother-/child-, adj. OR 0.58, 95% CI 0.41-0.83, P=0.003) (Supplementary Table
282 4).
283

284 *Children of mothers with greater ascariasis infection intensities have greater levels of*
285 *FeNO*

286
287 There were no significant associations of geohelminths with airways reactivity, FeNO and
288 nasal eosinophilia (Figure 2 and supplementary Table5). When considering parasite
289 species and burden, elevated FeNO was associated with moderate/heavy parasite
290 burdens with *Ascaris* both in mothers (vs. uninfected, adj. OR 2.19, 1.23-3.90, P=0.008)
291 and children at 5 years (vs. uninfected, adj. OR 2.27, 1.10-4.70, P=0.027). After co-
292 adjusting for maternal and child infection intensities, only the maternal effect remained
293 significant (vs. uninfected, adj. OR 2.20, 95% CI 1.16-4.19, P=0.016).

294
295 *SPT modifies association between maternal geohelminths and wheeze/asthma*

296
297 SPT reactivity was strongly associated with wheeze (adj. 4.13, 95% CI 2.80-6.08,
298 P<0.001) and asthma (adj. OR 2.32, 95% CI 1.57-3.42, P<0.001). We explored if effects
299 of geohelminths on outcomes might vary by SPT (Figure 2 and supplementary Table 6).
300 Although interactions were seen for SPT on geohelminth-outcome associations, they
301 were not highly significant. However, overall associations between maternal
302 geohelminths and wheeze/asthma were positive among atopic but negative among non-
303 atopic children.

304
305 *Maternal geohelminth parasite species are associated with childhood wheeze/asthma*
306 *and airways reactivity and inflammation among non-atopics*

307
308 Among non-atopic children, maternal geohelminths were positively associated with
309 wheeze (adj. OR 1.73, 95% CI 1.06-2.83, P=0.028), an effect that appeared to be
310 explained by maternal *T. trichiura* infections (adj. OR 1.78, 95% CI 1.08-2.93, P=0.024),
311 while a maternal effect on asthma was associated with moderate to heavy infection
312 intensities with *Ascaris* (vs. uninfected, adj. 2.11, 95% CI 1.01-4.38, P=0.046) (Figure 4

313 and Supplementary Table 6). To separate contrasting effects of maternal vs. childhood
314 *Trichuris* on wheeze in non-atopic children, we did a 4-group analysis by strata of
315 maternal/child *Trichuris* infection using maternal-/child- as reference group: we observed
316 that mother+/child- children had an elevated risk of wheeze (adj. OR 2.39, 95% CI 1.39-
317 4.10, P=0.002), an effect that was abolished by childhood infections (mother+/child+, adj.
318 OR 0.94, 0.42-2.07, P=0.858) (Supplementary Table 7). Neither any maternal nor any
319 childhood geohelminth infections were associated with airways reactivity, elevated FeNO,
320 or nasal eosinophilia irrespective of atopy (supplementary Table8). Analyses by parasite
321 species and burden showed effects among non-atopic children (supplementary Table 9):
322 1) light infection intensities with *Trichuris* in mothers were positively (vs. uninfected, adj.
323 OR 1.56, 95% CI 1.05-2.01, P=0.028) but childhood *Trichuris* infections inversely (adj. OR
324 0.62, 95% CI 0.40-0.96, P=0.031) associated with airways reactivity; 2) childhood *Ascaris*
325 (adj. OR 1.61, 95% CI 1.07-2.42, P=0.021) and moderate/heavy infection intensities with
326 *Ascaris* in mothers (vs. uninfected, adj. OR 2.89, 95% CI 1.53-5.49, P=0.001) were
327 positively associated with elevated FeNO; and 3) nasal eosinophilia was associated with
328 moderate/heavy infections with *Ascaris* in mothers (vs. uninfected, adj. OR 2.27, 95% CI
329 1.00-5.12, P=0.049).

330

331

332

333 Discussion

334

335 We tested the hypothesis that early life exposures to geohelminths - through an infected
336 mother during pregnancy or early childhood, or both - protect against wheeze/asthma
337 and atopy at school-age. To do this, we followed a birth cohort study to measure effects of
338 maternal and early childhood geohelminths on the development of atopy (measured as
339 SPT), wheeze/asthma, and airways reactivity and inflammation (measured by elevated
340 FeNO and nasal eosinophilia) at 8 years. Our findings indicate that maternal
341 geohelminths have persistent protective effects against childhood SPT but that this effect
342 was strongest among children of infected mothers who also acquired infections. A
343 maternal effect on increased wheeze and airways inflammation was seen among non-
344 atopic children, the dominant phenotype in non-affluent societies.^{22,23} Effects on SPT were
345 not associated with specific parasite species, while the maternal effect on wheeze among
346 non-atopic children appeared to be mediated by *Trichuris* infection. In contrast, early
347 childhood *Trichuris* protected against wheeze.

348

349 There are few previous longitudinal analyses of the effects of early geohelminth infections
350 on development of allergy, and none have adequately addressed effects of maternal or
351 childhood geohelminths on asthma or atopy: 1) a birth cohort in Ethiopia that did not
352 measure maternal geohelminths and in which the prevalence of geohelminths (<4%) in
353 early childhood was too low to explore effects on allergy at 5 years;²⁴ and 2) a longitudinal
354 study in Brazil, with no data on maternal geohelminths, showed that *Trichuris* infections in
355 early childhood, particularly at higher parasite burdens, were associated with a reduced
356 risk of SPT in later childhood.²⁵ To our knowledge, the only other study to show effects of
357 maternal geohelminths on allergy-related outcomes was a study in Uganda that showed
358 maternal hookworm reduced the risk of eczema in children.²⁶

359

360 Previous cross-sectional studies have shown that childhood geohelminths might protect
361 against wheeze/asthma: 1) a study in Ethiopia in 1-4 year olds showed a negative
362 association between *Ascaris* and wheeze;²⁷ 2) a study among schoolchildren in a rural
363 region in Ecuador showed an inverse association between heavy infections with *Trichuris*
364 and atopic wheeze²⁸ - most previous cross-sectional studies, however, showed no effects

365 of *Trichuris* on asthma symptoms;^{9,22,29,30} and 3) three separate studies in Ethiopia
366 showed an inverse relationship between hookworm infection and asthma symptoms.⁹
367 With respect to *Ascaris* school-age children, several studies have shown a positive
368 association between infection or allergic sensitization to *Ascaris* antigens and asthma
369 symptoms^{9,29,31,32} and airways reactivity,^{30,32,33} an effect that was strongest in non-
370 atopics.²⁹ Our data showed positive associations between greater parasite burdens with
371 *Ascaris* in mothers and risk of asthma (Figure 4) and markers of airways
372 inflammation (Supplementary Table 9) in non-atopic children, while *Ascaris* in children was
373 associated with elevated FeNO (Supplementary Table 9).

374

375 Our observation that maternal infections protect against atopy (Figure 2) are consistent
376 with observations of inverse associations between geohelminths and SPT from cross-
377 sectional studies of schoolchildren.^{22,25,34} A protective effect of maternal geohelminth
378 (against mite) was present from 3 years of age.^{16,17} Childhood infections protected
379 against SPT to perennial allergens from 5 years,¹⁷ and strongest effects at 8 years on
380 SPT were observed among infected children of infected mothers. Maternal geohelminths
381 were strongly associated with childhood infections to 5 years of age – reflecting a shared
382 risk of infection in the household environment – a child growing up in a household where
383 one or more family members are infected, is at greater risk of infection.³⁵ The previous
384 observation from Brazil showing a protective effect of early life *Trichuris* infections against
385 SPT at school age²⁵ could have been mediated partly by maternal infections which were
386 not measured but with which early childhood infections are likely to be strongly
387 associated. A maternally-mediated effect on SPT could explain two previous
388 observations from Ecuador: 1) bimonthly anthelmintic treatments in schoolchildren
389 showed no treatment effect on allergen SPT;¹¹ and 2) community mass drug
390 administrations with the broad-spectrum anthelmintic, ivermectin, over 15 years for the
391 elimination of onchocerciasis, was associated with an increase in SPT prevalence in
392 schoolchildren.³⁶ Long-term ivermectin started before most children were born, likely
393 resulted in reduced geohelminth infections in mothers.³⁶

394

395 We have shown previously in this population that newborns of mothers infected with
396 *Ascaris* have evidence of sensitization of CD4+ T cells to *Ascaris* antigens.³⁷ The same is

397 likely to be true for *T. trichiura* that has an intimate relationship with the mucosal immune
398 system.⁸ Certainly, geohelminth antigens are present in the blood³⁷ of infected mothers
399 and can cross the placenta to sensitize the foetus. Decreased responsiveness could be
400 associated with tolerization to parasite allergens including those that are cross-reactive
401 with aeroallergens. Extensive cross-reactivity has been demonstrated between helminth
402 parasites and aeroallergens,³⁹ and such cross-reactivity can mediate cross-sensitization
403 in immediate hypersensitivity skin reactions in murine models.⁴⁰ The suppressive effect of
404 maternal geohelminths on SPT (Figure 2) in children could occur through tolerization to
405 cross-reactive allergens.

406

407 Differences in the life cycle of the two principal geohelminth species present in the study
408 setting could explain parasite-species specific effects among children acquiring infections
409 during childhood. *Trichuris* is exclusively enteric and has an intimate relationship with the
410 host mucosa – it inserts its anterior end into the mucosa where it feeds – and has strong
411 regulatory effects on mucosal inflammatory responses.⁸ Such an effect could explain the
412 modulatory effect of early-life trichuriasis on wheeze symptoms (Figure 3). In contrast,
413 *Ascaris* has a phase of larval migration through the lungs where it can induce strong
414 inflammatory responses.⁸ Childhood infections with *Ascaris* might be expected to
415 increase eosinophilic inflammation in the airways and might explain elevated FeNO
416 (supplementary Table 9). The transmission of maternal geohelminth effects on increasing
417 airways symptoms, reactivity and inflammation to non-atopic offspring is less clear. There
418 is evidence from experimental models that the maternal immune response to a helminth
419 infection may affect the risk of airways inflammation in offspring through effects on the
420 fetomaternal interface:⁴¹ maternal helminth infections in humans have been associated
421 with increased pro-inflammatory gene expression profiles in mother, placenta, and
422 foetus.^{42,43} Such effects could lead to potentiated inflammatory responses in the airways
423 of offspring. Interestingly, a maternal effect of *Trichuris* on increased wheeze in children
424 was observed only among children who did not acquire *Trichuris* infections during
425 childhood (supplementary Table 7), indicating that *in utero* effects could be modified by
426 childhood infections.

427

428 Strengths of the study include prospective design with follow-up from birth, stool data on
429 maternal geohelminths during pregnancy, and collection of large number of
430 sociodemographic and lifestyle variables allowing us to control for potential confounders.
431 Potential biases were reduced by using objective measures of geohelminth infections,
432 performing all evaluations blind to the child's exposure status, and high retention in the
433 cohort to 8 years (~80%). Repeated exposure measures for childhood geohelminths
434 during the first 5 years of life provided more precise estimates of infection rates but
435 children with positive stools were treated thus reducing prevalence and parasite burdens.
436 SPT is a more reliable measure of atopy than allergen-specific IgE in populations
437 endemic for helminth parasites because of high proportions of false positive reactions in
438 serologic assays caused by cross-reactive carbohydrate determinants such as
439 glycans.^{44,45} We did exploratory analyses relating to effects of geohelminth parasite
440 species and burden on outcomes and effects of exposures on airways reactivity and
441 inflammation for which power was limited. Such findings should be interpreted with
442 caution and require replication in future studies. Our definition of recent wheeze has been
443 used widely in epidemiological studies and validated in different settings. It has the
444 advantage of being readily understood in most language and cultural settings and may be
445 less subject to bias in populations with limited access to health care. There is no widely
446 agreed definition for asthma- the definition used here was designed to be more specific
447 than recent wheeze but likewise may be subject to misclassification.

448

449 **Conclusions**

450 Evidence of a protective effect of STH parasites against allergy in children remains
451 fragmentary and inconsistent. Our data indicate that maternal geohelminths protect
452 children from the development of allergen SPT but increase the risk of wheeze, and
453 airways reactivity and inflammation. The latter effects were attributable to specific
454 parasite species. Early childhood *Trichuris* appeared to protect against wheeze. Overall,
455 our findings indicate that *in utero* exposures to geohelminths through maternal infections
456 may have long-lasting effects on allergic inflammation and airways disease. These
457 effects extended to school age and were modified by childhood infections, parasite
458 species, and atopy.

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Accepted Article

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Variable	Overall	Wheeze			Asthma			SPT to any allergen		
	n (%)	%	OR (95% CI)	P value	%	OR (95% CI)	P value	%	OR (95% CI)	P value
Any maternal geohelminth										
No	1048 (54.2)	6.2	1		8.2	1		16.8	1	
Yes	885 (45.8)	7.0	1.14 (0.79-1.63)	0.478	7.6	0.92 (0.66-1.28)	0.606	12.2	0.69 (0.53-0.89)	0.005
Any childhood geohelminths										
No	1054 (54.5)	6.7	1		8.4	1		16.4	1	
Yes	879 (45.5)	6.4	0.94 (0.66-1.35)	0.747	7.4	0.88 (0.63-1.22)	0.439	13.0	0.75 (0.59-0.98)	0.034
Maternal age (yrs)										
≤20	501 (25.9)	5.8	1		6.6	1		13.8	1	
21-29	929 (48.1)	6.6	1.14 (0.72-1.80)	0.564	8.7	1.35 (0.89-2.06)	0.157	14.0	1.01(0.74-1.40)	0.908
≥30	503 (26.0)	7.4	1.29 (0.78-2.14)	0.317	7.8	1.19 (0.74-1.93)	0.474	16.9	1.27 (0.90-1.80)	0.170
Maternal ethnicity										
Afro-Ecuadorian	508 (26.3)	7.7	1		11.8	1		14.8	1	
Non-Afro-Ecuadorian	1425 (73.7)	6.2	0.79 (0.54-1.17)	0.242	6.5	0.52 (0.37-0.73)	<0.001	14.7	0.99 (0.75-1.32)	0.958
Maternal educational level										
Illiterate	293 (15.2)	5.5	1		8.2	1		14.7	1	
Complete primary	1133 (58.6)	6.2	1.14 (0.65-1.99)	0.646	7.3	0.89 (0.55-1.42)	0.616	13.7	0.92 (0.64-1.33)	0.661
Complete Secondary	507 (26.2)	8.2	1.52 (0.84-2.77)	0.167	9.1	1.12 (0.67-1.87)	0.671	17.0	1.19 (0.89-1.77)	0.397
Area of residence										
Urban	1346 (69.6)	7.4	1		9.4	1		15.5	1	
Rural	587 (30.4)	4.6	0.60 (0.39-0.93)	0.022	4.6	0.47 (0.30-0.72)	<0.001	13.0	0.81 (0.61-1.08)	0.153
Sex										
Male	984 (50.9)	7.9	1		8.7	1		16.5	1	
Female	949 (49.1)	5.2	0.63 (0.44-0.91)	0.015	7.1	0.79 (0.57-1.11)	0.172	12.9	0.75 (0.58-0.96)	0.025

Socioeconomic status§										
1	642 (33.2)	6.2	1		7.8	1		13.4	1	
2	638 (33.0)	5.6	0.90 (0.57-1.43)	0.656	7.2	0.92 (0.61-1.40)	0.695	14.0	1.05 (0.76-1.44)	0.773
Birth order										
1 st	490 (25.4)	6.1	1		5.5	1		16.7	1	
2 nd -4 th	1063 (55.0)	7.3	1.21 (0.79-1.88)	0.382	9.4	1.78 (1.15-2.76)	0.010	13.5	1.05 (0.76-1.44)	0.088
≥5 th	380 (19.6)	5.0	0.81 (0.45-1.46)	0.477	6.8	1.26 (0.72-2.20)	0.416	15.5	1.30 (0.95-1.76)	0.632
Maternal allergy										
No	1830 (95.4)	6.3	1		7.7	1		14.4	1	
Yes	89 (4.6)	12.4	2.10 (1.09-4.06)	0.027	14.6	2.06 (1.12-3.81)	0.020	18.0	1.30 (0.75-2.27)	0.355
Household overcrowding¶										
≤3	1086 (56.2)	6.5	1		7.6	1		15.6	1	
>3	847 (43.8)	6.6	1.01 (0.70-1.45)	0.948	8.4	1.12 (0.80-1.56)	0.502	13.6	0.85 (0.66-1.10)	0.222
Pets inside house										
No	1438 (74.4)	6.3	1		7.7	1		14.5	1	
Yes	495 (25.6)	7.3	1.16 (0.78-1.73)	0.465	8.7	1.15 (0.79-1.66)	0.461	15.2	1.05 (0.79-1.40)	0.738
Large farm animals‡										
No	1294 (66.9)	7.0	1		8.0	1		15.8	1	
Yes	639 (33.1)	5.8	0.82 (0.55-1.22)	0.331	7.7	0.95 (0.67-1.35)	0.778	12.5	0.76 (0.58-1.01)	0.058
Pneumonia to 13 months										
No	1758 (95.3)	6.6	1		7.9	1		15.0	1	
Yes	90 (4.7)	5.6	0.83 (0.33-2.09)	0.697	7.8	0.99 (0.45-2.18)	0.980	7.8	0.48 (0.22-1.04)	0.064

Table 1. Frequencies of maternal and childhood geohelminth infections to 5 years of age and potential confounders and associations with wheeze and allergen skin test (SPT) reactivity to any allergen at 8 years and asthma between 5 and 8 years.

SPT – allergen skin prick test reactivity to any of 9 allergens. Odds ratios (OR) and 95% confidence intervals (95% CI) for univariable associations were estimated using logistic regression. $P < 0.05$ are shown in bold. Ethnicity ‘other’ represents: 1,417 Mestizo/8 Indigenous. Numbers of missing values (brackets) were: maternal allergy (14) and pneumonia during first 13 months (85). § Socioeconomic status (SES) represents tertiles of z scores obtained using a factor analysis with 1 representing the lowest and 3 the highest SES. ¶ Household overcrowding is defined as the number of people living in the household per sleeping room. ‡ Any of cows, pigs, mules, donkeys, and horses. Other helminths: mother (*S. stercoralis*, 4.0%; *Hymenolepis* spp., 0.5%); child (hookworm, 1.1%; *S. stercoralis*, 1.5%; *Hymenolepis* spp., 4.2%).

Variable	Wheeze		Asthma		SPT to any allergen	
	OR (95%CI)	P value	OR (95% CI)	p-value	OR (95% CI)	p-value
Any maternal geohelminth						
No	1		1		1	
Yes	1.11 (0.76-1.62)	0.594	0.81 (0.57-1.16)	0.254	0.72 (0.55-0.94)	0.018
Any childhood geohelminth						
No	1		1		1	
Yes	0.94 (0.64-1.39)	0.740	0.84 (0.58-1.20)	0.329	0.82 (0.62-1.08)	0.157
Maternal allergy						
No	1		1		1	
Yes	2.24 (1.14-4.39)	0.019	2.07 (1.10-3.88)	0.024	1.37 (0.78-2.41)	0.274
Maternal ethnicity						
Afro-Ecuadorian	1		1		1	
Non-Afro-Ecuadorian	0.88 (0.58-1.34)	0.551	0.55 (0.38-0.79)	0.001	0.95 (0.70-1.28)	0.725
Area of residence						
Urban	1		1		1	
Rural	0.60 (0.38-0.97)	0.035	0.46 (0.29-0.73)	0.001	0.84 (0.62-1.14)	0.269
Sex						
Male	1		1		1	
Female	0.60 (0.41-0.88)	0.009	0.78 (0.55-1.09)	0.149	0.70 (0.54-0.90)	0.006
Birth order						
1 st	1	0.416	1	0.006	1	0.168
2 nd – 4 th	1.20 (0.77-1.87)	0.440	1.87 (1.19-2.92)	0.438	0.81 (0.60-1.09)	0.930
	0.78 (0.43-1.45)		1.26 (0.71-2.24)		1.02 (0.70-1.48)	

$\geq 5^{\text{th}}$						
Large farm animals‡						
No	1		1		1	
Yes	0.91 (0.60-1.38)	0.650	1.17 (0.80-1.71)	0.431	0.81 (0.60-1.09)	0.171

Table 2. Adjusted analyses for associations between maternal and childhood geohelminth infections to 5 years of age or potential confounders and associations with wheeze and allergen skin prick test (SPT) reactivity to any allergen at 8 years and asthma between 5 and 8 years. SPT – allergen skin prick test reactivity to any of 9 allergens. Odds ratios (OR) and 95% confidence intervals (95% CI) were estimated using logistic regression and adjusted for all variables shown. $P < 0.05$ are shown in bold.

Figure legends

Figure 1. Participant flow through follow-up to 8 years of age and those included and excluded from the analysis. FU- follow-up.

Figure 2. Adjusted associations between maternal and childhood geohelminths and study outcomes among all children and stratified by atopy (SPT).

Shown are adjusted ORs and 95% CIs. SPT – allergen skin prick test reactivity; Air React. – airways reactivity; FeNO – fractional exhaled nitric oxide; Nas eosin – nasal eosinophilia.

Figure 3. Adjusted associations between geohelminth parasite species and parasite burdens in mothers and children up to and at 5 years of age, and wheeze, asthma, and atopy (SPT).

Y=yes (infected); N=no (uninfected); L-light parasites burdens; M-H – moderate to heavy parasite burdens. Shown are adjusted ORs and 95% CIs. SPT – allergen skin prick test reactivity.

Figure 4. Adjusted associations between geohelminths, geohelminth parasite species and parasite burdens in mothers and children up to 5 years of age and wheeze/asthma stratified by atopy (SPT).

L-light parasites burdens; M-H – moderate to heavy parasite burdens. Shown are adjusted ORs and 95% CIs. SPT – allergen skin prick test reactivity.

Figure 1

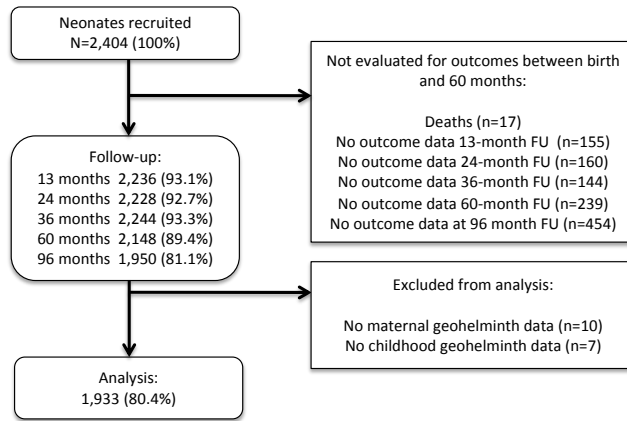


Figure 2

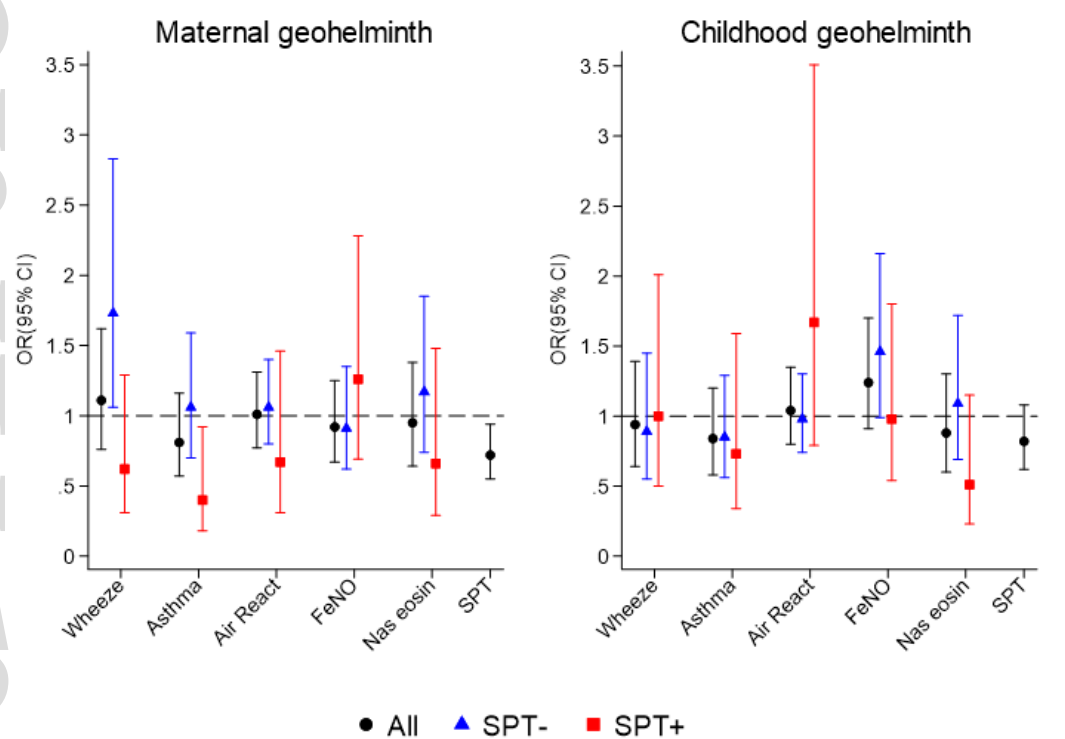


Figure 3

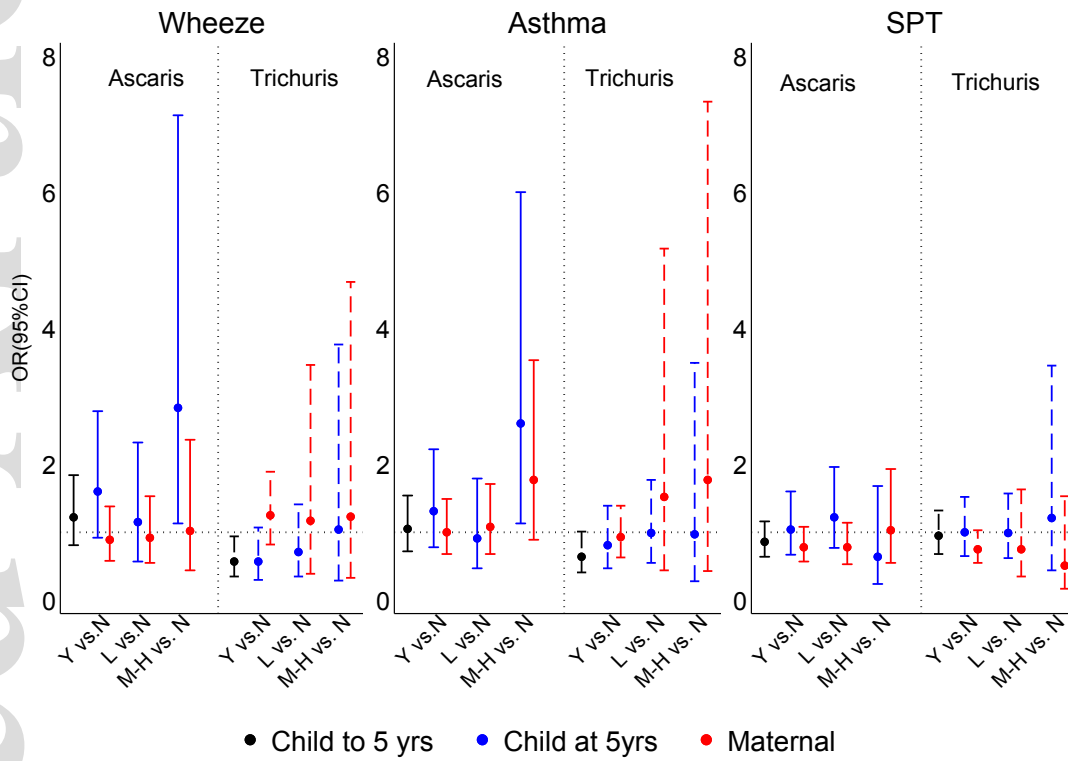
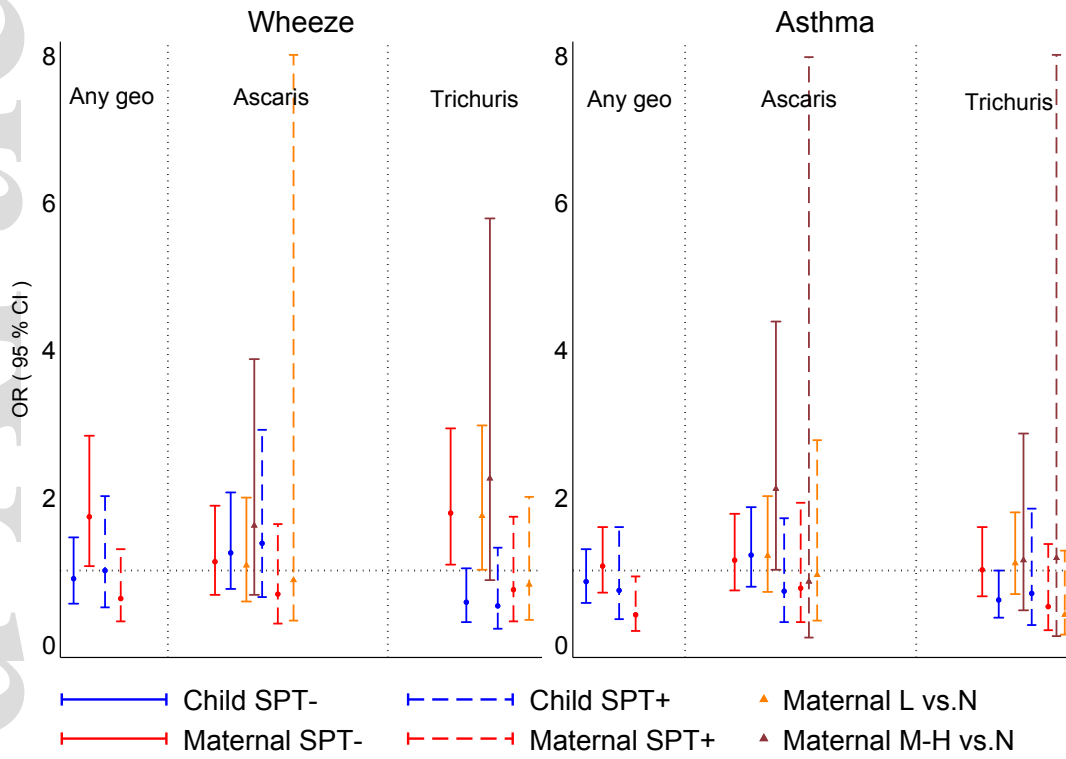
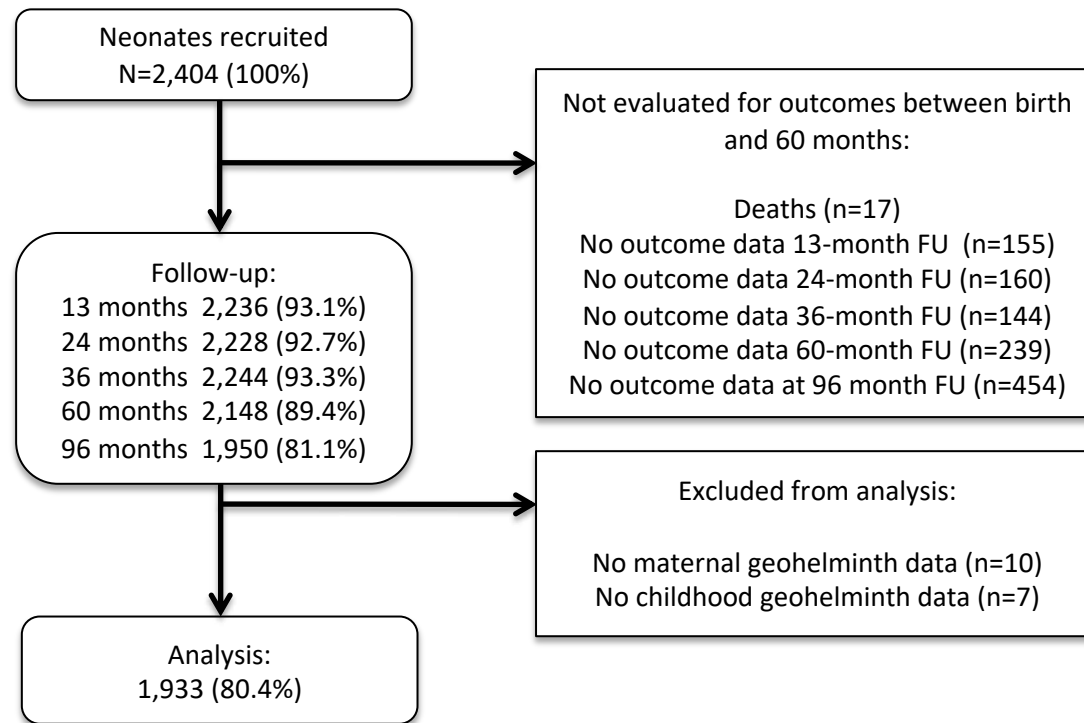
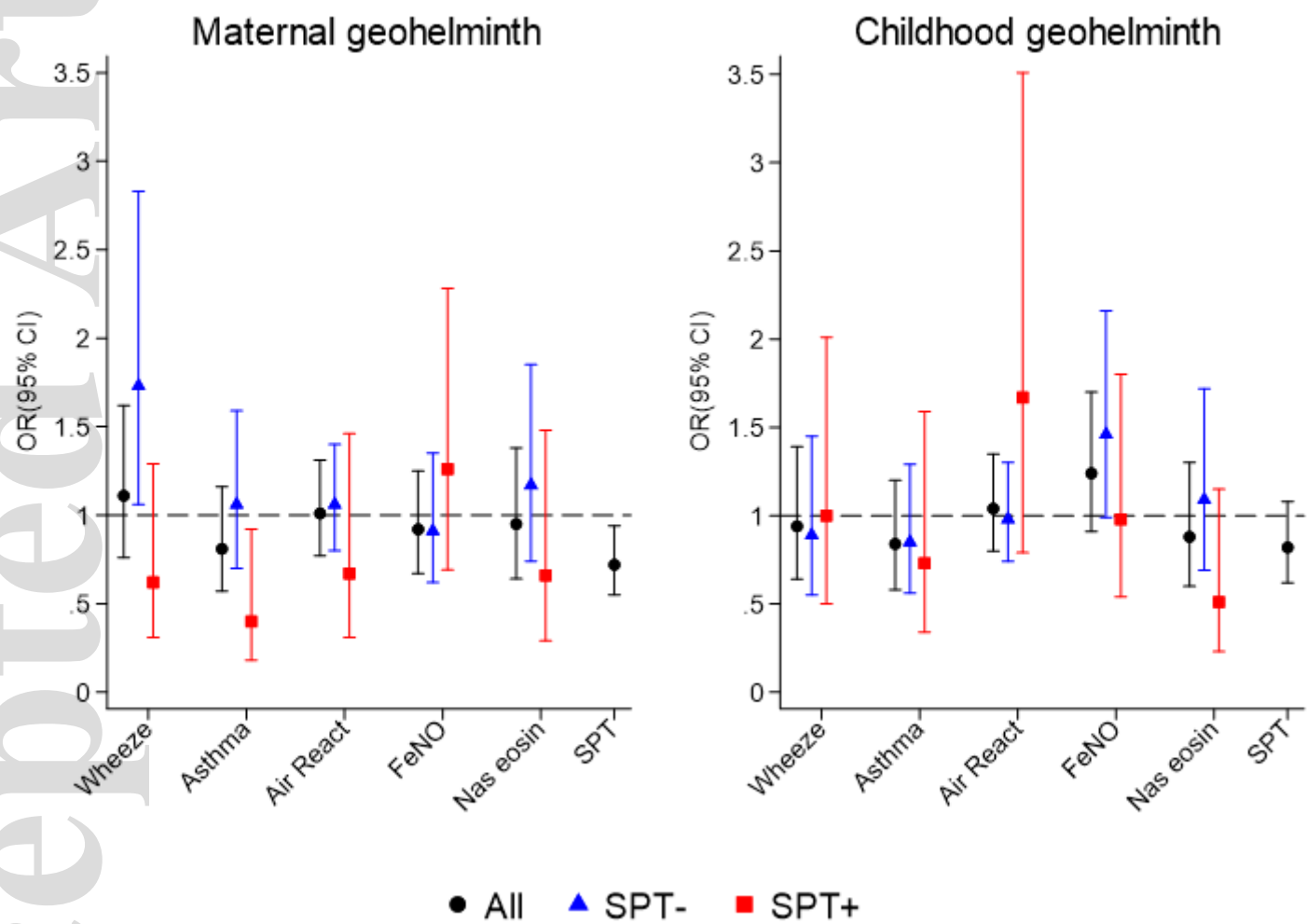


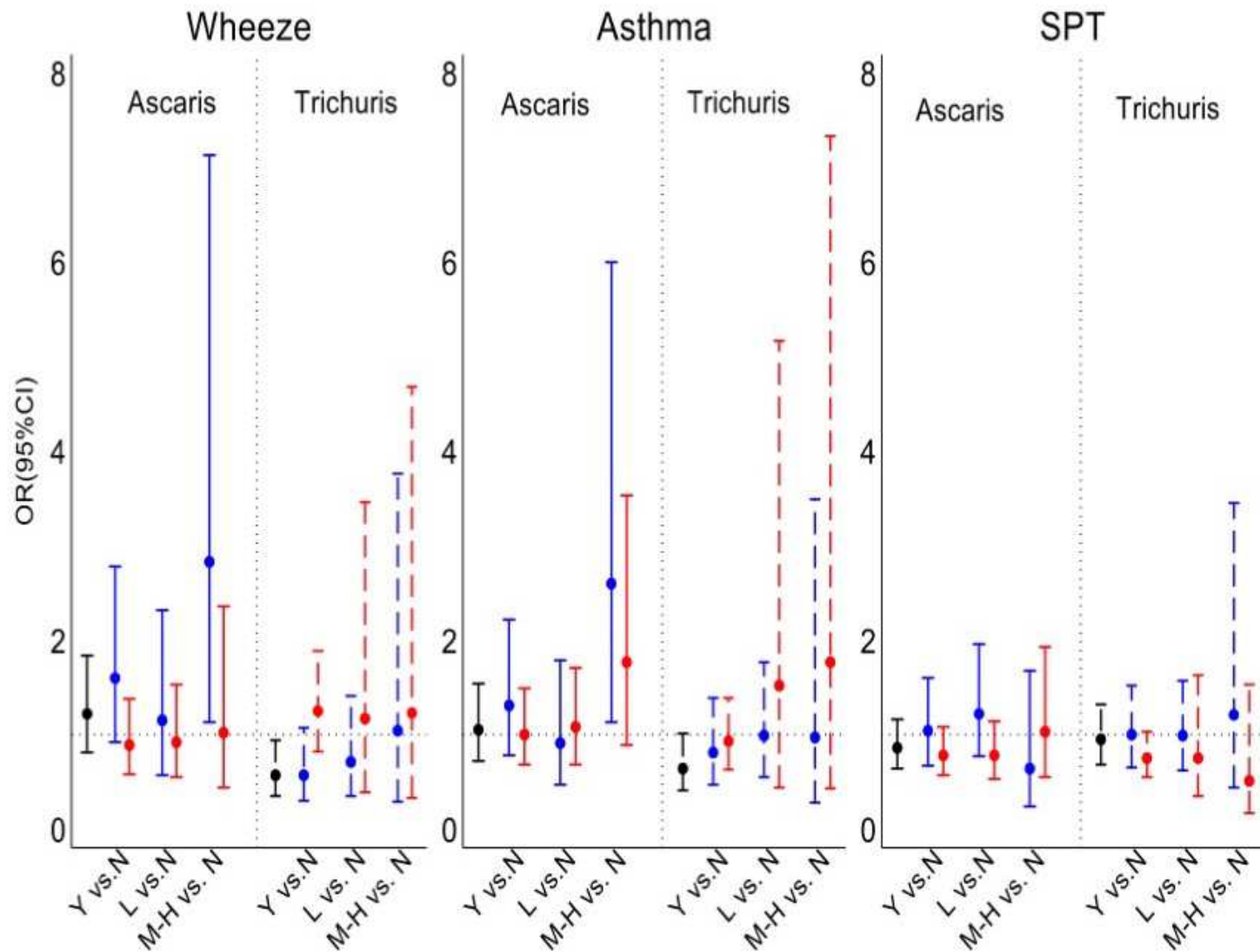
Figure 4





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● Child to 5 yrs ● Child at 5 yrs ● Maternal

