

# PRENATAL DIAGNOSIS

## Maternal age in the epidemiology of common autosomal trisomies

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# 1 **Maternal age in the epidemiology of common autosomal trisomies**

2 Running head: Maternal age and common trisomies

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15

16 What is already known about this topic

- 17 • Birth prevalence of each common autosomal trisomy increases with maternal age
- 18 • Each trisomy has high intra-uterine fatality
- 19 • Down syndrome fetal loss rates increase with maternal age

20 What does this study add

- 21 • A review of all published estimates of prevalence at term and during pregnancy
- 22 • Confirms flattening of the Down syndrome birth prevalence curve at extremely high maternal ages
- 23 • Dismisses the claimed relatively high Down syndrome birth prevalence at extremely low ages
- 24 • Shows that Patau syndrome birth prevalence increases with maternal age less rapidly than Down
- 25 syndrome

26

27 **Abstract**

28 The birth prevalence rate of each common autosomal trisomy generally increases with advancing maternal  
29 age and there is a substantial fetal loss rate between late first trimester and term. The literature is reviewed  
30 in order to provide the best estimates of these rates, taking account where possible of biases due to prenatal  
31 diagnosis and selective termination of pregnancy. There is an almost exponential increase in Down  
32 syndrome birth prevalence between ages 15 and 45 but at older ages the curve flattens. There is no  
33 evidence of the claimed relatively high birth prevalence at extremely low ages. Gestation-specific intra-  
34 uterine fetal loss rates are estimated by follow-up of women declining termination of pregnancy after  
35 prenatal diagnosis, comparison of observed rates with those expected from birth prevalence and  
36 comparison of age-specific curves developed for prenatal diagnosis and birth. Down syndrome fetal loss  
37 rates reduce with gestation and increase with maternal age. Edwards and Patau syndrome birth prevalence  
38 is approximately 1/8 and 1/13 that of Down syndrome overall, although the ratio differs according to  
39 maternal age, particularly for Patau syndrome where it reduces steadily from 1/9 to 1/19. Fetal loss rates  
40 are higher for Edwards and Patau syndromes than for Down syndrome.

41

42 **Keywords:** epidemiology; autosomal trisomy; maternal age; gestation; fetal losses

43 In this review we consider the common autosomal trisomies, defined as an extra copy of chromosome 21,  
44 18 or 13 (Down, Edwards and Patau syndromes) whose birth prevalence increases with maternal age.  
45 Among the common sex chromosome abnormalities birth prevalence is not universally associated with  
46 age: 47,XXY and 47,XXX increase, 47,XYY is unaltered and monosomy X (Turner syndrome) declines  
47 [1]. For each type of trisomy maternal age-specific prevalence rates are estimated at birth and according to  
48 gestational age. Claims are examined that in women aged 45 of more prevalence does not continue to  
49 increase and in those aged 15 or less prevalence is relatively high.

50

### 51 **Down syndrome – early studies of adults and children**

52 The discovery of a maternal age effect was made by Lionel Penrose. This arose from his study of 1280  
53 residents of the Royal Eastern Counties Institution in Colchester, England, and their families [2]. Penrose  
54 belief that mental abnormality had a biological rather than social aetiology was confirmed by the survey. It  
55 yielded clear evidence to support a number of salient features of mental abnormality: an excess of males,  
56 heterogeneity of expression and continuum between normal and intellectual impairment. This seminal  
57 work led to more focussed investigation including a study of 63 residents with Down syndrome where  
58 Penrose observed an association with increased maternal and paternal ages. In a more detailed study with a  
59 larger population he was able to show, using regression analysis, that the primary effect was maternal age  
60 [3].

61

### 62 **Down syndrome – maternal age-specific birth prevalence rates**

#### 63 Methodology

64 The only unequivocal estimates of age-specific prevalence are available from studies carried out before  
65 invasive prenatal diagnosis for aneuploidy became clinically established. Prenatal diagnosis and  
66 subsequent termination of affected pregnancies will necessarily reduce birth prevalence and this will not be  
67 uniform across all maternal ages. When advanced maternal age was the only indication for prenatal  
68 diagnosis it was still possible to estimate prevalence at younger ages, say, less than 35. When maternal

69 serum and, later, ultrasound marker screening became widespread the problem became exacerbated.  
70 Screening combined information on the marker profile and maternal age calculate a personalised DS risk  
71 which was used to select those at high enough risk to warrant the costs and hazards of prenatal diagnosis.  
72 Consequently, the proportion of affected pregnancies diagnosed and terminated varied according to  
73 maternal age. During this phase it was possible to estimate birth prevalence from the observed numbers of  
74 affected births and affected terminations but required assumptions to be made about *intra-uterine* viability.  
75 Where possible, the best estimate of prevalence is based on the meta-analysis of individual studies, ideally  
76 fitting a simple curve to the data.

### 78 Early studies and four meta-analyses

79 In the late 1980s a meta-analysis was carried out using data from all eight studies published at that time  
80 [4]. This included with a total of 4528 DS births and more than 5 million unaffected births. The studies  
81 were from Australia (1960-77), Belgium (1971-8), Canada (1961-70), Sweden (1968-70), USA  
82 (Massachusetts (1958-65), New York (1968-74), Ohio (1970-9)) and Wales (1968-76). Five used multiple  
83 sources to identify DS births including birth certificates, hospital and mental health institution records,  
84 cytogenetic laboratories, special schools and sheltered workshops. Two studies, in New York and Ohio,  
85 used only birth certificates but were adjusted for under-ascertainment, increasing the number of cases 2.66-  
86 and 2.74-fold respectively, based on a comparison of cytogenetic records and birth certificates. The last  
87 study was based solely on newborn examinations by an obstetrician and paediatrician. For each year of  
88 age from 15 to 50 data were pooled by taking the average birth prevalence rate across the studies weighted  
89 by the number of births. A three parameter, additive-exponential regression equation was used of the  
90 form,  $y=a+\exp(b+cx)$ , where  $y$  is prevalence and  $x$  is age. A single regression was performed over the  
91 entire age range.

92 The second meta-analysis used the same eight studies [5]. Pooling was by summation of the birth  
93 prevalence numerators and denominators at ages 15 to 50. Two different additive-exponential regression  
94 equations were fitted: three parameter, and five parameters with a cubic exponential component. Separate

95 analyses were carried out for the two series that the authors regarded as most complete – from Belgium and  
96 Sweden - and restricting maternal age range in four ways (15-49, 20-49, 15-45, 20-45). Unlike the first  
97 meta-analysis, four terminations of pregnancy following prenatal diagnosis of Down syndrome at  
98 amniocentesis in the Wales study were reduced by 30% to allow for fetal loss (see ‘Down syndrome –  
99 *intra-uterine* viability’ below).

100 The third meta-analysis comprised five studies [6]. This included the two ‘most complete’ studies  
101 from the second meta-analysis replaced by a study in Belgium which extended the series to 1971-90 and  
102 complemented by a more recent study in Sweden (1971-77). The study in Australia was also replaced by a  
103 more extended series 1960-89 although data from 1960-4 were not included because of concerns about  
104 completeness. The fifth ‘Intensive Newborn’ study combined data from studies in Winnipeg and  
105 Edinburgh where all neonates had cytogenetic tests. Pooling was by summation at each maternal age, 16  
106 to 49. Three, five and six parameter additive-exponential regression equations were used, the latter having  
107 a quartic exponential component. A separate analysis was carried out after excluding the Australia study.  
108 A total of 110 terminations of Down syndrome pregnancies diagnosed following amniocentesis were  
109 reduced by 30%.

110 The fourth meta-analysis included nine studies, all but two of those in the first meta-analysis (New  
111 York and Wales), making the replacements (Australia and Belgium) and additions (Sweden and Intensive  
112 Newborn) from the third meta-analysis, together with a study of Down syndrome births from a different  
113 part of Australia (1987-91), in women aged 36 or more [7]. Pooling was by the use of a weighting factor  
114 which estimated the proportional under-ascertainment in each study. The regression analysis  
115 simultaneously estimated the curve parameters and this proportion over the maternal age range 16 to 50. A  
116 three parameter logistic regression equation was used of the form,  $y=a+(1-a)/(1+\exp(-b-cx))$ , where  $a$  is  
117 between 0 and 1. A separate analysis was carried out after excluding the Canada study. The numbers of  
118 terminated pregnancies were reduced by 30%.

119  
120 National Down Syndrome Cytogenetic Register (NDSCR)

121 NDSCR receives reports of cases with a DS karyotype from all clinical cytogenetic in England and Wales;  
122 this is the largest national consecutive series of such cases [8]. The register used data on 11,683 DS cases  
123 reported in 1989-98 to estimate maternal age-specific prevalence rates, more than double the total number  
124 included in a meta-analysis [9]. Prevalences were calculated at maternal age 11 to 55 from the number of  
125 births according to age in England and Wales in 1990-98 obtained from the Office of National Statistics.  
126 Unlike the early studies this series was strongly biased by prenatal diagnosis which accounted for 5276  
127 cases (45%) of which 82% were known to have been terminated. In order to allow for this, after all cases  
128 were increased by 6% to allow for under-ascertainment, the number of terminated cases was reduced by  
129 43% if prenatal diagnosis followed chorionic villus sampling (CVS) and by 23% if it followed  
130 amniocentesis. For terminated cases the maternal age was calculated assuming that the pregnancy would  
131 have delivered at 38 weeks gestation, the modal value for DS births in the study. A four parameter logistic  
132 regression curve was fitted to the data including all maternal ages with the form,  $y=1/(1-$   
133  $\exp(a+b/(1+\exp(c+d*\text{age}))))$ ). Separate analyses were carried out for births in 1989-93 and 1994-8 but  
134 there were no material differences.

### 136 Comparison between curves

137 Over the 15-40 year age range there is little difference between each of the 19 regression curves from the  
138 four meta-analyses or the NDSCR regression curve (Table 1). At age 45 differences emerge with  
139 estimated prevalence ranging from 27.8/1000 to 43.0/1000 but at age 50 there is an almost 5-fold range of  
140 values from 38.5/1000 to 188/1000. The curves that yield the lowest values at older ages are either  
141 additive-exponential with higher order parameters or logistic.

142 Table 2 shows the observed age-specific prevalence rates for each single year of age between 45  
143 and 49 or more in ten studies included in the four meta-analyses, in NDSCR and 87 cases from twelve of  
144 the congenital malformations registries belonging to the European network EUROCAT [11]. For Australia  
145 and Belgium the studies in the second meta-analysis replacing those in the first meta-analysis were used,

146 except that the 1960-4 data from Australia was not excluded. The study from Wales did not include data in  
147 this maternal age range.

148 The tabulated maternal age-specific birth prevalence rates are higher at all ages in the meta-  
149 analyses than in the NDSCR and EUROCAT data but all series combined indicates a flattening within this  
150 age range. This could be, at least in part, due to bias. One possibility is that there the observation is an  
151 artefact due to errors in the recording of maternal age. Pregnancy at such advanced reproductive ages is  
152 relatively uncommon and a proportion of those recorded as aged over 45 may in fact be younger and have  
153 a lower DS prevalence. One group of pregnancies where age might be under-recorded are those achieved  
154 by assisted reproductive technology (ART) using either a donor oocyte or an autologous frozen embryo  
155 transfer. The recorded age should be that of the donor or the woman at the time of storage rather than the  
156 literal maternal age. However, most of the pregnancies included in Table 2 occurred before 1990, when  
157 ART was not very common, and this is unlikely to have contributed to the overall result.

158 There are also possible biological explanations. In older women, the Down syndrome fetal loss  
159 rate following prenatal diagnosis is relatively high (discussed below) but losses are likely to be even higher  
160 before prenatal diagnosis. Specifically, with the approach of menopause the number of available oocytes  
161 declines leading to fewer recognized pregnancies and the number of ~~trisomy 21~~ abnormal oocytes (resulting  
162 in trisomic conceptions) may decline even more than ~~disomic~~ normal oocytes (resulting in non-trisomic  
163 conceptions). Double and triple aneuploidy with trisomy 21 and another autosomal trisomy or monosomy  
164 X are more common at advanced ages [8] and are excluded from DS prevalence rates.

165 Even if bias has substantially contributed to the flattening of the maternal age-specific curve, it would  
166 be reasonable to use a single birth prevalence estimate for all women aged 45 or more. Since the 95%  
167 confidence intervals (CIs) on the age-specific estimates in this range largely overlap, the number of cases  
168 and pregnancies can be combined. This yields an overall prevalence of 34.1 per 1000 (454/13,304) with  
169 95% CI 31.2-37.3. The age-specific and overall estimates are shown together with the 95% CIs in Figure  
170 1.

171 Table 3 shows the observed age-specific prevalence rates for each single year of age between 15 or  
172 less and 19 in nine studies included in the four meta-analyses and in the NDSCR. There are no substantial  
173 differences at any age in this range between prevalence in the meta-analyses and NDSCR and the rates are  
174 consistent with a baseline low prevalence as assumed with the use of an additive exponential curve.

175 The results are inconsistent with the suggestion that the prevalence of Down syndrome is relatively  
176 high at extremely young ages [21]. This was found in a USA register of malformations, the National Cleft  
177 Lip and Palate Intelligence Service during 1961-6, which included 4925 Down syndrome births obtained  
178 from birth certificates. A representative 1% sample of unaffected controls was obtained from the same  
179 referral areas as the cases and no adjustment was made for under-ascertainment. The prevalence in those  
180 aged under 15 was, after allowing for the sample control proportion, 0.682 per 1000 (3/44; 95% CI 0.235-  
181 1.82) compared with the rate at age 15-19 of 0.208 per 1000 (239/11,502; 95% CI 0.183-0.236). It is  
182 noteworthy though that prevalence was low at all ages in the 15-45 range indicating considerable under-  
183 ascertainment of Down syndrome which might have not been present in the youngest group.

### 184 185 **Down syndrome – *intra-uterine* viability**

186 Three approaches have been used to estimate the DS fetal loss rate between CVS or amniocentesis and  
187 term. These are (1) follow-up of individuals declining an offer of termination of pregnancy after prenatal  
188 diagnosis, (2) comparison of observed number of cases at the time of prenatal diagnosis to the number  
189 expected from the maternal age-specific prevalence curves at birth, and (3) comparison of age-specific  
190 curves developed for the time of prenatal diagnosis with those at birth. The estimates for approaches (1)  
191 and (2) are shown in Table 4.

### 192 193 Declining termination

194 The combined results from three amniocentesis series including a total of 110 cases in women having prenatal  
195 diagnosis for advanced age observed a 29% fetal loss rate [22]. However, such direct follow-up is potentially  
196 biased since some miscarriages will have occurred in women who did intend to have a pregnancy

197 termination, thus inflating the rate. Actuarial survival analysis rather than direct follow-up has been  
198 carried out for NDSCR data [23]. Not only does this overcome the bias but it is more data efficient since  
199 all cases contribute to the estimate, not just those in which pregnancy termination was refused. During the  
200 period 1989-96, among a total of 2035 cases diagnosed by amniocentesis carried out at 16-18 weeks  
201 gestation the estimated loss rate was 24%. There were also 441 cases diagnosed by CVS carried out at 11-  
202 13 weeks and the fetal loss rate was 31%. During this period, of the DS cases diagnosed prenatally in  
203 England and Wales the indication for invasive testing was advanced age in 40%, serum screening in 34%  
204 and ultrasound screening in 20%, the remainder because of family history and third trimester ultrasound  
205 [24]. The state-wide California screening program reported the direct follow-up of 392 pregnancies  
206 detected by screening and declining termination; the fetal loss rate was only 10% [25]. However, the  
207 authors suggested that the low rate may be due to some miscarriages having been classified as terminations  
208 of pregnancy. The screening program relies on reporting by obstetrical practitioners and it is possible that  
209 some women who originally chose to continue the pregnancy changed their mind without informing the  
210 provider.

### 212 Prenatal diagnoses and number expected from birth prevalence

213 A number of studies have used this approach in women having prenatal diagnosis because of advanced age.  
214 In one study three series, two of them previously published, were combined yielding estimated loss rates after  
215 CVS and amniocentesis of 54% and 33% respectively [26]. In another study from the same group, two  
216 different previously published series were combined with an estimated rate after amniocentesis of 31% [27].  
217 The group later considerably extended one of the original series and reanalysed the data to allow for the  
218 increase in maternal age between prenatal diagnosis and term [28]. This yielded much lower loss rates after  
219 CVS, 32% and after amniocentesis, 22%. Another study included five series, three of which were included in  
220 the above analyses, and found loss rates of 54% and 32% after CVS and amniocentesis [29]. One of the  
221 studies of DS births according to maternal age over 36 in Australia which was included in one of the meta-  
222 analyses also included data on prenatal diagnoses [20]. A statistical model was fitted and the estimated fetal

loss rates were much lower than the other studies – 31% and 18% after CVS and amniocentesis, but the numbers of cases were small and the upper 90% confidence limits were 52% and 38% respectively. Finally, modelling was also used on the combined data in older women from series included some of the studies of births (five), amniocentesis (three) and CVS (six) [30]. Again the estimated loss rates were low at 39% and 12%.

### Potential confounding and bias

A problem with these analyses is that the maternal age, serum and ultrasound screening indications for invasive testing are associated with altered *a priori* risk of fetal loss generally and could potentially disproportionately influence fetal losses rates in DS pregnancies which are already vulnerable.

A large population based epidemiological study from Denmark has demonstrated that among pregnancies in general, the probability of miscarriage increases steadily with age from 9% at 20-24 to 75% at 45 or older [31]. Since, karyotype analysis is not routinely carried out on material obtained after miscarriage it is not known if aneuploidy *per se* contributes to the increasing rates.

Fetal demise is associated with abnormal screening marker levels: in the first trimester, low pregnancy associated plasma protein (PAPP)-A, low or high free  $\beta$ -human chorionic gonadotrophin (hCG), very large nuchal translucency (NT) or cystic hygroma; in the second trimester, low  $\alpha$ -fetoprotein or unconjugated estriol, high hCG or inhibin-A [32]. The marker profile in women who are referred for invasive testing because of a screen-positive result varies according to maternal age. For example, in young women with a screen-positive Combined tests PAPP-A will be lower, free  $\beta$ -hCG and NT higher than older women with screen-positive results who might have moderate marker profiles and a higher risk just because of relatively advanced age.

### Maternal and gestational age-specific rates

247 Comparison of maternal age-specific prevalence according to gestational age with that at birth has been  
248 carried out in two studies. The first study included three series in women aged 36 or more and was  
249 discussed above in relation to the overall fetal loss rate [28]. Relative prevalence compared to births was  
250 analysed and over this range it was not significantly related to maternal age but reduced with gestation:  
251 1.57 at 9-10, 1.35 at 11-14 and 1.29 at 15-16 week, equivalent to fetal loss rates of 34%, 26% and 22%  
252 respectively. The second study was from NDSCR including 5177 prenatally diagnosed cases, more than  
253 10 times larger than the first study and represented the entire maternal age range of 15-50 [33]. A subset  
254 of the cases had been included in a previous study of fetal loss which like this also used an actuarial  
255 survival analysis [23]. Proportional hazards regression was used to assess any effect on survival of  
256 maternal age, stratified by CVS or amniocentesis and gestational age. This showed a statistically  
257 significant increase in losses with maternal age from the time of CVS and from amniocentesis: at age 25,  
258 23% and 19%; at 35, 32% and 25%; and at 45, 44% and 33%.

259 When the first study [28] was combined with three further series of older women [20,27,29], it was  
260 confirmed that the fetal loss rate from the time of CVS increased with maternal age but there was no  
261 statistically significant comparable increase from the time of amniocentesis [33]. It remains possible that  
262 the discrepancy between these studies and NDSCR is due to confounding with screening markers in  
263 younger women.

264 A consequence of an association between DS fetal loss and maternal age is that the estimated  
265 maternal age-specific birth prevalence rates in some studies will have been distorted. In studies which  
266 included a large numbers of terminated DS pregnancies and the number was reduced by applying a single  
267 overall fetal loss rate will have under-estimated prevalence at younger ages and over-estimated it at older  
268 ages. It is also possible that the association has contributed to the observed flattening of the birth  
269 prevalence curve at advanced maternal ages. However, this is unlikely to explain all the effect since the  
270 rate of increase in fetal losses with age is much less than the expected exponential increase in births.

## 272 **Implications for Down syndrome screening**

### 273 Age-specific prior risk

274 Screening programs differ in time referred to by the computed risk: term, mid-second trimester, late first  
275 trimester or the gestational week of screening. Those computing term risks – the probability of having a  
276 DS birth in the absence of detection and termination of pregnancy – use one of the published age-specific  
277 birth prevalence curves. However, since the curves were constructed from prevalence at completed years  
278 of age, they need to be modified to accurately estimate risk at the estimated date of delivery in years and  
279 decimals by subtracting 0.5 years assuming that the prevalence relates to the middle of the year. For the  
280 mid-second and late-first trimester referral points either an *overall* fetal loss factor from DS loss rates after  
281 amniocentesis or CVS is applied to the term prior risk or a maternal age-specific factor is used.

### 283 Monitoring performance

284 The expected detection and false-positive rates for different multi-marker screening policies can be derived  
285 from statistical modelling based on the assumption of multi-variate log Gaussian methods distributions of  
286 the multi-marker profile. An observed maternal age distribution can be used, usually a national population  
287 whose maternal age structure has been published, or a Gaussian distribution of maternal ages [34].

288 Deriving the performance of a specific policy in practice is not so straightforward. The observed  
289 false-positive rate is reliable but the observed detection rate will necessarily be inflated due to viability  
290 bias. One unbiased estimate is derived from the observed numbers of Down syndrome cases: screen detected  
291 terminated ( $n_1$ ) or not ( $n_2$ ), missed by screening but terminated subsequently ( $n_3$ ) or born ( $n_4$ ); using the  
292 formula  $(n_1 * p + n_2) / (n_1 * p + n_2 + n_3 * p + n_4)$ , where  $p$  is the intra-uterine survival rate for Down syndrome at the  
293 time of prenatal diagnosis. Another approach is to calculate  $e$  which is the expected number of DS births,  
294 given the maternal age distribution of screened women and use the formula  $1 - (n_2 + n_4) / e$ .

### 296 **Edwards and Patau syndromes**

#### 297 Birth prevalence

298 Three studies, one of which combined multiple series, have reported aneuploidy rates following routine  
299 karyotyping of consecutive neonates in the era before widespread prenatal diagnosis [35-37]. The total  
300 numbers of Down, Edwards and Patau syndrome births were 143, 20 and 7 respectively. Hence the birth  
301 prevalence of Edwards syndrome was 1/7 that of Down syndrome and Patau syndrome was 1/20.

### 303 Maternal age-specific rates

304 These rates can be derived from a large study of these trisomies in nine regional multi-source congenital  
305 abnormality register, seven members of the British Isles Network of Congenital Anomaly Registers  
306 (BINOCAR) and two in Australia [38]. There were a total of 2254 with Edwards syndrome and 975 with  
307 Patau syndrome of which 59% and 57% respectively ended in termination of pregnancy. To allow for fetal  
308 losses, rates were applied according to trisomy, gestation and gender which had been derived in another  
309 BINOCAR study discussed below [39]. Logistic regression was carried out on the observed age-specific  
310 prevalences. Based on the regression curves the overall prevalence was for Edwards syndrome 1/8 of that  
311 for Down syndrome based on the NDSCR regression curve [10] and for Patau syndrome the overall  
312 prevalence was 1/13 of Down syndrome. For both Edwards and Patau syndrome the curves showed a  
313 flattening after age 45. Table 5 shows the regressed prevalences for selected maternal ages between 20 and  
314 45 and Figure 2 shows the regression curves. Edwards syndrome prevalence relative to Down syndrome  
315 varied with age; Patau syndrome prevalence relative to Down syndrome reduced steadily with age, halving  
316 between 20 and 45.

### 318 Intra-uterine viability

319 The frequency of autosomal trisomies in spontaneous abortions indicates that viability is considerably  
320 lower for Edwards and Patau syndromes compared with Down syndrome. In a meta-analysis the total  
321 numbers of Down, Edwards and Patau syndrome miscarriages were 121, 46 and 35 respectively,  
322 prevalence ratios of 1/3 and 1/4 which are 2-4 fold higher than at birth [40].

323 Table 6 shows the estimated Edwards and Patau syndromes fetal loss rates in women declining  
324 termination of pregnancy and based on comparison of observed cases with those expected from maternal  
325 age-specific birth prevalence.

326 Two large studies have reported fetal loss rates in women who decline termination of pregnancy  
327 following amniocentesis. One study, including three series, reported fetal loss rates for Edwards and Patau  
328 syndromes of 68% (27/40) and 40% (4/10) respectively [22]. A study from the state-wide California  
329 screening program followed-up 106 Edwards syndrome and reported only 34 fetal deaths (32%) [25]. The  
330 same study found a relatively low fetal loss rate for DS pregnancies, possibly due to misclassification of  
331 some miscarriages as terminations of pregnancy (see above). Combining data from five small studies in  
332 women refusing termination after prenatal diagnosis the loss rate for Edwards syndrome was 70% (30/43)  
333 and for Patau syndrome 37% (20/54) [41-45].

334 Only one study estimated Edwards and Patau syndrome fetal loss rates by comparing the number of  
335 prenatal diagnoses, in older women, with that expected from birth prevalence rates [27]. The estimated  
336 loss rates for Edwards syndrome from the time of CVS and amniocentesis were 87% and 77%; for Patau  
337 syndrome 82% and 69% respectively. However, the expected number was calculated *indirectly* from the  
338 overall relative prevalence compared with Down syndrome based on routine karyotyping of consecutive  
339 neonates [35]. Hence, in addition to being based on small numbers of Edwards and Patau syndrome  
340 neonates, it makes the assumption that relative incidence is unrelated to maternal age.

341 More reliable loss rates are provided by a study from five members of BINOCAR which included 475  
342 Edwards and 175 Patau syndrome cases diagnosed prenatally and followed-up [39]. Actuarial survival  
343 analysis estimated that for Edwards syndrome the loss rates from 12 and 18 weeks were 72% and 65%; for  
344 Patau syndrome 49% and 42% respectively. A similar study, but much larger study from NDSCR provides  
345 gestational age-specific fetal loss rates for each syndrome [46]. The actuarial survival rates from 12 and 18  
346 weeks were for 4088 Edwards syndrome cases, 70% and 65%; for 1471 Patau syndrome cases 50% and 43%  
347 respectively.

348 Early small studies reported that the Edwards syndrome fetal loss rate was higher in males than  
349 females [22]. This was confirmed by the large BINOCAR study with loss rates from 12 weeks of 79% and  
350 67%, and from amniocentesis, 85% and 64%, respectively [39], and by the NDSCR study [46]. However,  
351 none of these gender effects was statistically significant.

### 353 **Origins of aneuploidy**

354 The rapid increase in prevalence of the common autosomal trisomies over much of the maternal age range  
355 has generated a number of aetiological hypotheses. *Production line* - oocytes formed in late fetal life are  
356 more susceptible to mal-segregation and the order in which they eventually ovulate mirrors that in which  
357 they were produced [47]. *Ageing oocyte* - disturbances during stages of oogenesis, particularly meiotic  
358 arrest, are responsible [48]. *Relaxed selection* - the propensity for selection against trisomy, whereby  
359 affected fetuses are miscarried, decreases in older mothers [49]. *Premature reproductive ageing* -  
360 physiological ageing, for example depletion of the oocyte pool by accelerated atresia, is more important  
361 than chronological age *per se* [50].

362 Other aneuploidies of meiotic origin are also associated with advancing maternal age. However,  
363 the shapes of the curve may differ; for some such as trisomy 16 this is because of a greater propensity for  
364 mal-segregation [51] whilst some are more susceptible to early fetal loss due to greater imbalance [52]. In  
365 contrast, mitotic errors are largely not associated with age [53]. This might explain why the Patau  
366 syndrome prevalence relative to Down syndrome reduced steadily with age since a larger proportion of the  
367 former are mosaic.

368 The proportion of common autosomal trisomies births attributable to maternal age depends on the  
369 age distribution. It has been estimated that for England and Wales in 2017 the proportion was about three-  
370 quarters [54]. The identification of causal factors in the remaining cases is difficult to establish because of  
371 strong confounding by age and gestation.

372 The reason why some affected pregnancies with common autosomal trisomies are non-viable while  
373 others survive to term is not known unknown and a search for differentiating genetic or other factors would

374 be valuable. It has been suggested that in Edwards and Patau syndromes, the presence of a diploid cell line  
375 in the placenta enhances intra-uterine survival although this is not a pre-requisite [55].

### 377 **Conclusions**

378 Several curves have been developed to describe the increase in Down syndrome birth prevalence with  
379 advancing maternal age, based on meta-analysis or by the extensive data from a single national register.  
380 The curves do not differ substantially over the range 16-44 with a slow increase only doubling by about  
381 age 30, and doubling again by 35 with a much steeper increase thereafter. At age 45 or older rates flatten  
382 and a single prevalence rate is applicable. There is no evidence that prevalence at age 15 or lower is higher  
383 than age 16-19. Edwards syndrome birth prevalence increases at a similar rate to Down syndrome, albeit  
384 not uniformly, but for Patau syndrome the increase is shallower. All three common autosomal trisomies  
385 have high intrauterine fatality. The fetal loss rate in Down syndrome increases with maternal age and is  
386 higher for Edwards syndrome with Patau syndrome having an intermediate rate.

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Table 1 – Estimated Down syndrome birth prevalence (/1000) at selected maternal ages from 20 regression curves

Regression curve*	Maternal age (years)							
	15	20	25	30	35	40	45	50
<u>Meta-analyses</u>								
<u>First [4]</u>								
8 studies, Additive-exponential (3)	0.634	0.654	0.740	1.10	2.60	8.86	35.0	144
<u>Second [5]</u>								
8 studies, Additive-exponential (3)	0.634	0.654	0.740	1.10	2.62	8.97	35.6	147
8 studies, 15-45 age range	0.637	0.656	0.740	1.10	2.60	9.01	36.2	152
8 studies, 15-49 age range	0.632	0.653	0.740	1.10	2.62	8.97	35.6	147
8 studies, 20-45 age range	0.644	0.663	0.745	1.10	2.60	9.01	36.4	153
8 studies, 20-49 age range	0.638	0.659	0.744	1.10	2.61	8.96	35.7	148
2 studies, 15-45 age range	0.594	0.616	0.711	1.12	2.87	10.4	42.7	181
2 studies, 15-49 age range	0.590	0.613	0.711	1.12	2.88	10.3	42.0	177
2 studies, 20-45 age range	0.636	0.655	0.740	1.12	2.81	10.4	44.1	195
2 studies, 20-49 age range	0.630	0.650	0.738	1.13	2.83	10.3	43.0	186
8 studies, Additive-exponential (5)	0.648	0.661	0.740	1.08	2.59	9.26	34.3	99.4
<u>Third [6]</u>								
5 studies, Additive-exponential (3)	0.642	0.666	0.764	1.17	2.87	9.96	39.4	162
5 studies, Additive-exponential (5)	0.664	0.678	0.766	1.14	2.86	10.4	37.0	96.9
5 studies, Additive-exponential (6)	0.588	0.659	0.782	1.16	2.78	10.5	36.9	54.8
4 studies, Additive-exponential (3)	0.661	0.682	0.777	1.18	2.92	10.4	42.5	180
4 studies, Additive-exponential (5)	0.659	0.679	0.781	1.18	2.89	10.5	41.8	145
4 studies, Additive-exponential (6)	0.510	0.655	0.798	1.19	2.81	10.7	41.9	102
<u>Fourth [7]</u>								
8 studies, Logistic (4)	0.688	0.710	0.803	1.20	2.90	10.1	39.6	184
7 studies, Logistic (4)	0.667	0.692	0.794	1.22	2.97	10.2	38.9	142
<u>NDSCR [9,10]</u>								
Logistic (4)	0.660	0.677	0.746	1.06	2.83	11.6	27.8	38.5

\*the number of parameters is shown in parenthesis

398 Table 2 – Observed Down syndrome birth prevalence in single years of maternal ages from age 45 to 49,  
 399 and older, in 12 studies  
 400

Studies	Maternal age (years)				
	45	46	47	48	≥49
<u>Meta-analyses</u>					
New York 1968-74 [12]*	40/1111	27/514	8/183	3/65	5/38
Massachusetts 1958-65 [13]	20/638	9/258	7/103	2/41	0/38
Canada 1971-8 [14]	11/327	-	-	-	-
Sweden 1968-70 [15]	9/161	7/82	1/35	2/19	0/9
Ohio 1970-9 [16]*	16/405	16/188	3/77	0/18	5/20
Sweden 1971-7 [17]	9/217	5/105	0/41	-	-
Belgium 1971-90 [18]	4/112	3/74	1/3	1/6	0/3
Australia (Southern) 1960-89 [19]	9/301	3/170	1/56	1/24	0/12
Intensive newborn 1967-73 [6]	2/19	1/7	1/2	-	-
Australia (Victoria) 1987-91# [20]	0/20	0/23	1/14	0/5	0/4
Total	120/3311	71/1421	23/514	9/178	10/124
Prevalence per 1000 births (95% CI)	36.2 (30.4-40.2)	50.0 (39.8-62.6)	44.7 (30.0-66.2)	50.6 (26.8-93.3)	80.6 (44.4-142)
<u>Others</u>					
NDSCR [9]**	69/2277	33/1073	20/535	5/293	7/646
EUROCAT [11]	45/1620	21/686	15/303	4/125	2/198
Total	114/3897	54/1759	35/838	9/418	9/844
Prevalence per 1000 births (95% CI)	29.2 (24.4-35.0)	30.7 (23.6-39.8)	41.8 (30.2-57.5)	21.5 (11.4-40.4)	10.7 (5.62-20.1)
<u>All</u>					
Total	234/7208	125/3180	58/1352	18/596	19/968
Prevalence per 1000 births (95% CI)	32.5 (28.6-36.6)	39.3 (33.1-46.6)	42.9 (33.3-55.1)	30.2 (19.2-47.2)	19.6 (12.6-30.5)

401 \*numerators adjusted for under-reporting on birth certificates

402 \*\*numerators adjusted for under-reporting and terminations of pregnancy

403 #excluding prenatal diagnoses

404 CI=confidence interval, based on Wilson score

405 Table 3 – Observed Down syndrome birth prevalence in single years of maternal ages from age 15 or  
 406 younger to 19, in 10 studies  
 407

Studies	Maternal age years				
	≤15	16	17	18	19
<u>Meta-analyses</u>					
New York 1968-74 [12]*	3/5142	11/12,524	19/27,701	37/51,057	43/80,075
Massachusetts 1958-65 [13]	1/1364	2/3959	10/9848	9/19,632	24/32,687
Canada 1971-8 [14]	-	-	-	15/13,675	16/18,752
Sweden 1968-70 [15]	0/383	1/1979	3/5265	10/9212	4/13,433
Ohio 1970-9 [16]*	5/11,114	14/24,404	30/45,190	33/65,802	63/84,721
Sweden 1971-7 [17]	-	0/3321	3/8883	8/15,891	23/25,262
Belgium 1971-90 [18]	0/797	0/2681	4/5834	5/10,664	9/18,405
Australia (Southern) 1960-89 [19]	1/1611	4/4212	4/9517	6/15,711	13/21,829
Intensive newborn 1967-73 [6]	0/55	0/228	0/457	0/799	1/1013
Total	10/20,464	32/53,308	73/159,695	123/202,443	196/296,177
Prevalence per 1000 births (95% CI)	0.489 (0.265-0.899)	0.600 (0.425-0.847)	0.457 (0.364-0.575)	0.608 (0.509-0.725)	0.662 (0.575-0.926)
<u>Other</u>					
NDSCR [9]**	6/13,068	18/36,962	51/82,120	70/125,464	116/166,520
Prevalence per 1000 births (95% CI)	0.459 (0.210-1.00)	0.487 (0.308-0.770)	0.621 (0.472-0.816)	0.558 (0.442-0.705)	0.697 (0.581-0.835)
<u>All</u>					
Total	16/33,532	50/90,270	124/241,815	193/327,907	312/462,697
Prevalence per 1000 births (95% CI)	0.477 (0.294-0.775)	0.554 (0.420-0.730)	0.513 (0.430-0.611)	0.589 (0.511-0.678)	0.674 (0.604-0.753)

408 \*numerators adjusted for under-reporting on birth certificates

409 \*\*numerators adjusted for under-reporting and terminations of pregnancy

410 CI=confidence interval, based on Wilson score

411 Table 4 – Estimated Down syndrome fetal loss rate (95% CI) from CVS and amniocentesis to birth, in 9  
 412 studies  
 413

Studies	Indication	CVS	Amniocentesis
<u>Declining termination</u>			
[22]	Maternal age	-	29% (21-38%)
[23]	Mixed	31% (13-64%)	24% (17-34%)
[25]	Screening	-	10% (8.6-14%)
<u>Prenatal diagnoses and expected births</u>			
[26]	Maternal age	54% (48-61%)	33% (30-36%)
[27]	Maternal age	-	27% (25-30%)
[28]	Maternal age	32% (26-38%)	22% (18-27%)
[29]	Maternal age	54% (48-60%)	32% (26-39%)
[20]	Maternal age	31% (22-43%)	18% (11-29%)
[30]	Maternal age	39% (34-43%)	12% (10-14%)

414

415 CI=confidence interval, calculated by the authors or based on Wilson score

416

417 Table 5 – Estimated Down, Edwards and Patau syndrome birth prevalence at selected maternal ages (/1000  
 418 and relative to Down syndrome)\*  
 419

Disorder	Maternal age (years)					
	20	25	30	35	40	45
Down syndrome (DS)	0.677	0.746	1.06	2.83	11.6	27.8
Edwards syndrome (ES)	0.112	0.116	0.139	0.283	1.36	4.68
Patau syndrome (PS)	0.0733	0.0764	0.0932	0.195	0.698	1.46
ES/DS	1/6	1/6	1/8	1/10	1/9	1/6
PS/DS	1/9	1/10	1/11	1/14	1/17	1/19

420  
 421 \*from logistic regression curves: Down syndrome tabulated values [10]; Edwards and Patau syndromes  
 422 directly from the curves [38]  
 423

424 Table 6 – Estimated Edwards and Patau syndrome fetal loss rates (95% CI), in 10 studies  
 425

Studies	Diagnosis	Edwards syndrome	Patau syndrome
<u>Declining termination</u>			
[22]	Amniocentesis	68% (52-80%)	40% (17-69%)
[25]	Amniocentesis	32% (24-42%)	-
[41-45]	Prenatal diagnosis	70% (55-81%)	37% (25-50%)
<u>Prenatal diagnoses and expected births</u>			
[26]	CVS	87% (76-93%)	82% (64-93%)
	Amniocentesis	77% (71-82%)	69% (56-78%)
[39]	12 weeks	72% (61-81%)	49% (29-73%)
	18 weeks	65% (59-79%)	42% (18-72%)
[46]	12 weeks	70% (66-75%)	50% (42-59%)
	18 weeks	65% (60-70%)	43% (35-53%)

426

427 CI=confidence interval, calculated by the authors or based on Wilson score

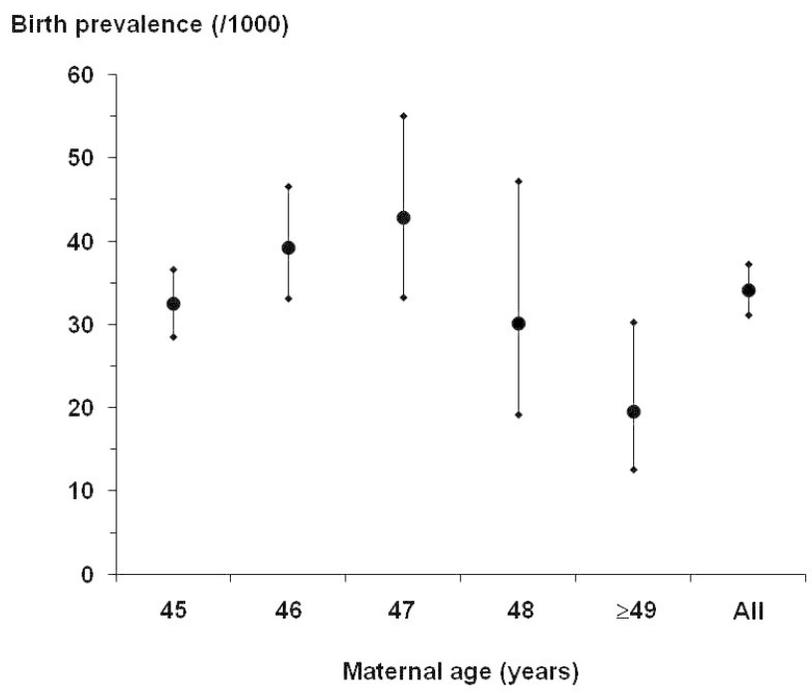
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429 Legends to Figures

430 Figure 1 – Observed Down syndrome birth prevalence and 95% confidence interval at maternal age 45 or  
431 more from 12 studies combined: [6], [9], [11]-[20]

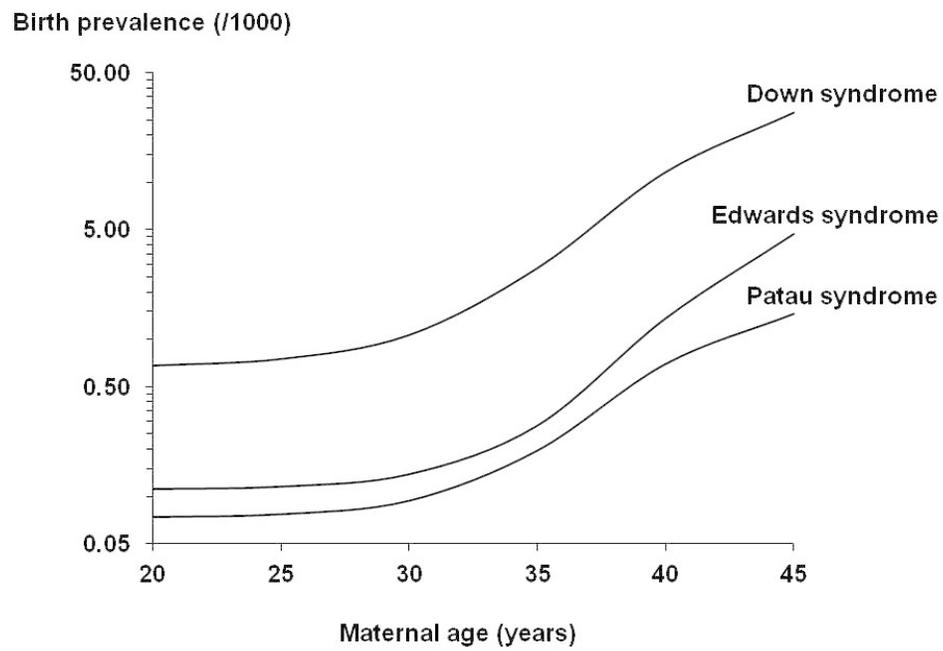
432 Figure 2 – Estimated Down, Edwards and Patau syndrome birth prevalence according to maternal ages  
433 from logistic regression curves in [10] and [38]

For Peer Review



Observed Down syndrome birth prevalence and 95% confidence interval at maternal age 45 or more from 12 studies combined: [6], [9], [11]-[20]

254x190mm (96 x 96 DPI)



Estimated Down, Edwards and Patau syndrome birth prevalence according to maternal ages from logistic regression curves in [10] and [38]

254x190mm (96 x 96 DPI)