

BMJ Global Health

Estimating global trends in total and childhood antibiotic consumption, 2011-2015

Journal:	<i>BMJ Global Health</i>
Manuscript ID	bmjgh-2018-001241.R1
Article Type:	Research
Date Submitted by the Author:	17-Dec-2018
Complete List of Authors:	Jackson, Charlotte; St George's, University of London, Hsia, Yingfen; St George's, University of London Bielicki, Julia; St George's, University of London; UKBB Universitäts-Kinderspital Ellis, Sally; Global Antibiotic Research and Development Partnership Stephens, Peter; IQVIA Wong, Ian; University College London; University of Hong Kong Sharland, Mike; St George's, University of London
Keywords:	Descriptive study < Study design, Child health < Health policies and all other topics

SCHOLARONE™
Manuscripts

1
2
3 **Estimating global trends in total and childhood antibiotic consumption, 2011-2015**
4
5

6 Charlotte Jackson¹, Yingfen Hsia¹, Julia A Bielicki^{1,2}, Sally Ellis³, Peter Stephens⁴, Ian CK Wong^{5,6},
7 Mike Sharland¹
8
9

10 ¹ Paediatric Infectious Diseases Research Group, Institute of Infection and Immunity, St George's,
11 University of London, Cranmer Terrace, London SW17 0RE, United Kingdom
12
13

14 ² Paediatric Pharmacology and Paediatric Infectious Diseases, University Children's Hospital Basel,
15 Spitalstrasse 33, 4056 Basel, Switzerland
16
17

18 ³ Global Antibiotic Research and Development Partnership, 15 Chemin Louis-Dunant, 1202 Geneva,
19 Switzerland
20
21

22 ⁴ IQVIA, 210 Pentonville Road, London N1 9JY, United Kingdom
23
24

25 ⁵ UCL School of Pharmacy, 29-39 Brunswick Square, London WC1N 1AX
26
27

28 ⁶ Department of Pharmacology & Pharmacy, University of Hong Kong
29
30
31

32
33 Corresponding author:

34 Dr Charlotte Jackson

35 Paediatric Infectious Diseases Research Group

36 Institute of Infection and Immunity

37 St George's, University of London

38 Cranmer Terrace

39 London

40 SW17 0RE

41 United Kingdom
42
43

44 Tel: +44 (0)20 8725 2780

45 Email: cjackson@sgul.ac.uk
46
47

48
49
50
51 *Word counts*

52 Abstract: 290

53 Main text: 3403
54
55
56
57
58
59
60

1
2
3 *Abbreviations*

4 ATC Anatomic Therapeutic Chemical
5
6 AWaRe Access / Watch / Reserve
7
8 BRICS Brazil, Russia, India, China, South Africa
9
10 CAF Child-appropriate formulation
11
12 CAGR Compound annual growth rate
13
14 DDD Defined daily dose
15
16 EMLc Essential Medicines List for children
17
18 HIC High income country
19
20 IQR Interquartile range
21
22 LMIC Low / middle income country
23
24 SU Standard unit
25
26 WHO World Health Organization
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Keywords

Antibiotics
Paediatrics
Global surveillance

Abstract*Introduction*

Understanding patterns of antibiotic consumption is essential to ensure access to appropriate antibiotics when needed and to minimise overuse, which can lead to antibiotic resistance. We aimed to describe changes in global antibiotic consumption between 2011 and 2015.

Methods

We analysed wholesale data on total antibiotic sales and antibiotics sold as child-appropriate formulations (CAFs), stratified by country income level (low/middle and high income countries [LMICs and HICs]). The volume of antibiotics sold per year was recorded for 36 LMICs and 39 HICs, measured in standard units (SU: 1SU is equivalent to a single tablet, capsule or 5ml ampule/vial/oral suspension) and SU per person, overall and as CAFs. Changes over time were quantified as percentage changes and compound annual growth rates (CAGR) in consumption per person. Analyses were conducted separately for total sales, sales of antibiotics in the Access and Watch groups of the World Health Organization's Essential Medicines List for children 2017, for amoxicillin and amoxicillin with clavulanic acid.

Results: Antibiotic consumption increased slightly between 2011 and 2015, from 6.85×10^{10} SU to 7.44×10^{10} SU overall, and from 1.66×10^{10} SU to 1.78×10^{10} SU for CAFs. However, trends differed between countries and for specific antibiotics; e.g. consumption of amoxicillin as CAFs changed little in LMICs and HICs, but that of amoxicillin with clavulanic acid increased by 6.8% per year in LMICs and decreased by 1.0% per year in HICs.

Conclusions: As measured in standard units in sales data, the rate of increase in global antibiotic consumption may be slowing. However, the trends appear to differ between countries and drugs. In the absence of routine surveillance of antibiotic use in many countries, these data provide important indicators of trends in consumption which should be confirmed in national and local studies of prescribing.

Summary box

What is already known?

- Antibiotic use has been increasing globally in recent years.
- Several studies have shown that children are important users of antibiotics in many settings but childhood antibiotic consumption has not been quantified globally.

What are the new findings?

- Based on global antibiotic sales data, consumption of antibiotics overall and in specific child-appropriate formulations remained relatively constant between 2011 and 2015 in both high and low/middle income countries.
- Trends in specific antibiotics differed, with an increase in the use of amoxicillin in combination with clavulanic acid in low/middle income countries.

What do the new findings imply?

- There appears to have been limited progress in reducing antibiotic prescribing in high income countries and increasing access to antibiotics in low/middle income countries.

Introduction

Improving and maintaining access to antibiotics presents unique challenges, with the need to ensure availability of appropriate treatment balanced against limiting inappropriate use and emergence of resistance. The World Health Organization (WHO) has recommended that countries implement surveillance of antimicrobial consumption¹, but this may be challenging, particularly in low and middle income settings in which robust surveillance systems are generally not available.

In the absence of global surveillance data, information on international antibiotic sales can provide a proxy measure of consumption²⁻⁴. Based on such data, global consumption of antibiotics, including last resort treatments such as polymyxins, increased between 2000 and 2010, particularly in low and middle income countries^{3,4}. This increase appeared to continue into 2015, particularly, but not exclusively, in low and middle income countries², where increasing consumption potentially reflects improvements in access rather than necessarily overuse.

One limitation of using sales data to estimate consumption is the lack of information on the individuals to whom the drugs were prescribed, including patient age. However, information on the antibiotic formulation may be available and could provide a proxy identifier of drugs dispensed to children, as some oral formulations are particularly suitable for children⁵. Quantifying global paediatric antibiotic use is important as childhood exposure to antibiotics in many settings is high; for example, in New Zealand, 97% of children had received at least one course of antibiotics by the age of five years⁶. Children in low-income settings are also commonly exposed to antibiotics, with an average consumption of 4.9 courses per child-year reported amongst children aged <2 years in eight low-income settings (Bangladesh, Brazil, India, Nepal, Pakistan, Peru, South Africa and Tanzania), although frequency and patterns of use varied substantially between sites⁷. Paediatric antimicrobial stewardship programmes may therefore play a critical role in reducing the emergence of bacterial resistance. However, previous studies of antibiotic use have often either not focussed on children⁸⁻¹⁶ or have used cross-sectional designs¹⁷, often in hospitals¹⁸⁻²¹. A few studies have reported on trends in paediatric antibiotic use, in individual countries or regions or a small number of countries²²⁻²⁵, using data on health insurance claims²², pharmacy databases²³, community surveys²⁴ and electronic health records²⁵.

In this paper, we aim to describe global trends in antibiotic consumption using wholesale data, stratified by country income level, overall and for specific “child-appropriate formulations” as a proxy for consumption by children.

Methods

Data on antibiotic (Anatomic Therapeutic Chemical [ATC] code J01) sales during 2011-2015 were obtained from the IQVIA MIDAS database. The database contains annual figures summarising pharmacy sales of specific antibiotic drugs and combinations in 75 countries/regions (Guatemala, El

1
2
3 Salvador, Honduras, Nicaragua, Costa Rica and Panama are aggregated as Central America, and
4 Benin, Burkina Faso, Cameroon, Côte d'Ivoire, Democratic Republic of the Congo, Gabon, Guinea,
5 Mali, Senegal and Togo as Francophone West Africa); Appendix Table 1 summarises the sectors
6 covered in each included country. Antibiotic consumption is expressed in standard units (SU), with
7 1SU defined by IQVIA as a single tablet, capsule or ampoule/vial or 5ml oral suspension. Countries
8 were classified based on income using World Bank categories ²⁶. Low, lower middle and upper middle
9 income countries were considered together as low/middle income (LMICs). The remaining countries
10 were classified as high income (HICs).
11
12
13
14
15

16 The dataset does not include information on the recipients of prescriptions. To estimate antibiotic
17 consumption by children, all recorded formulations were classified by two senior paediatric specialist
18 pharmacists into those considered to be “child-appropriate” formulations (CAFs, i.e. formulations
19 available as syrups / liquids or dispersible tables / solids that become liquid upon intake or
20 swallowing) and others (Appendix). Analyses are presented overall (all formulations combined) and
21 for the subset of formulations considered to be CAFs.
22
23
24
25

26 Antibiotics were also grouped into Access, Watch and Reserve (AWaRe) groups based on the
27 classification used in the WHO's 2017 revision of the Essential Medicines List for children (EMLc) ²⁷.
28 Access antibiotics are first- or second-choice treatments for major clinical syndromes (e.g. amoxicillin
29 for pneumonia) and should be routinely accessible for appropriate use. Antibiotics in the Watch
30 category are first- or second-choice treatments for specific indications but carry a higher risk of
31 resistance emerging, whilst Reserve antibiotics are considered drugs of last resort. Antibiotics not
32 represented in the EMLc were grouped as “Unclassified”; this group includes monotherapies not
33 included on the list (e.g. second generation cephalosporins) and combination treatments not included
34 on the EMLc (irrespective of whether any of the constituents of the combination are on the EMLc).
35 The nine antibiotics which appear in the Access group only for specific clinical conditions and are
36 otherwise classified in the Watch group were considered as Watch.
37
38
39
40
41
42

43 Annual antibiotic consumption per capita was calculated overall and for child-appropriate
44 formulations, using UN estimates of the total and 0-4 year-old population for each country
45 represented in IQVIA ²⁸, assuming that CAFs are prescribed for children aged <5 years. We first
46 described trends in consumption of antibiotics globally over the period 2011-15, measured in SU and
47 in SU/person (or per child). Changes in overall consumption were assessed globally and for individual
48 countries as the percentage change in consumption per person (or per child aged <5 years),
49 calculated as $100 \times (\text{SU per person in 2015} - \text{SU per person in 2010}) / \text{SU per person in 2010}$. A
50 positive percentage change therefore represents an increase in consumption. Similar to van Boeckel
51 et al ⁴, we also calculated the compound annual growth rate (CAGR) in consumption per person and
52 per child:
53
54
55
56
57
58
59
60

$$CAGR = 100 \times \left[\left(\frac{\text{Consumption per person in 2015}}{\text{Consumption per person in 2011}} \right)^{\frac{1}{N}} - 1 \right]$$

where N is the number of years of data available. For CAFs, calculation of the CAGR was analogous but used consumption per child aged 0–4 years in place of consumption per person. We repeated these analyses for Access and Watch antibiotics and separately for amoxicillin and amoxicillin with clavulanic acid.

Analyses were conducted using Stata, version 15.

Ethics statement

All data were supplied aggregated at country level with no individual-level information. Therefore ethical approval for this analysis was not required.

Patient and public involvement

There was no patient or public involvement in study design, analysis or reporting.

Results

Global consumption of antibiotics, 2011–15

75 countries / regions contributed data to IQVIA for the period 2011–15 (36 low/middle income settings and 39 high income settings). Overall, antibiotic consumption increased from 6.85×10^{10} SU in 2011 to 7.44×10^{10} SU in 2015, an increase of 8.6%. Consumption of CAFs increased by 7.3%, from 1.66×10^{10} SU to 1.78×10^{10} SU. These estimates correspond to CAGRs in consumption per person of 0.9% per year in overall consumption per person and 1.2% per year in consumption per child of CAFs. Combining LMICs and HICs, the four antibiotics with the highest reported sales of CAFs in 2015 were amoxicillin (4.21×10^9 SU), amoxicillin with clavulanic acid (3.55×10^9 SU), cefixime (1.86×10^9 SU) and sulfamethoxazole with trimethoprim (0.88×10^9 SU).

The volume of antibiotics consumed (measured in SU) was higher in LMICs than HICs, both overall and for CAFs (partially reflecting the higher population in LMICs); however, consumption per person was higher in HICs (Figure 1). The increase in consumption seen in LMICs between 2011 and 2012 was mostly due to an increase reported from China. From 2012 to 2015, reported consumption of antibiotics changed relatively little in LMICs contributing to IQVIA (from 32.0 SU/child to 32.2 SU/child for CAFs and from 9.9 SU/person to 10.8 SU/person in total). For HICs, there were slight reductions in volume of consumption between 2011 and 2015: 6.7% in consumption/child of CAFs and 4.8% in consumption/person overall (Figure 1). These estimates for HICs correspond to CAGRs of -1.4% per year for CAFs and -1.0% per year overall.

The patterns of change in consumption per person, as measured by the CAGR, differed markedly between countries in both LMICs and HICs, although the range of changes was greater in the former (Figure 2). The median CAGR in CAF consumption per child was a reduction of 0.18% per year (IQR 2.4% decrease to 2.1% increase per year) in LMICs and a reduction of 1.8% per year (IQR 2.7% decrease to 0.7% increase per year) in HICs. For all formulations, the median CAGR in consumption per person was a 0.37% decrease per year (IQR 2.4% decrease to 1.5% increase per year) in LMICs and a 1.1% decrease per year (IQR 1.8% decrease to 0.4% increase per year) in HICs.

Antibiotic use in relation to WHO stewardship categories

The highest levels of consumption per person and per child were reported for Access antibiotics overall and for CAFs (Figure 3). For CAFs, consumption per child of Watch and Unclassified antibiotics was similar between LMICs and HICs, although Access use was considerably higher in the latter. Consumption of Reserve drugs was very low in both income settings.

There was little change in the consumption of Access and Watch drugs over (Table 1), with the largest magnitude CAGR being a median 2.8% per year decrease in consumption of Watch antibiotics in CAFs in HICs. Again, there were substantial variations between countries, particularly for Watch antibiotics for which increases in consumption were generally greater in LMICs to HICs (Appendix Figure 1).

Table 1: Compound annual growth rates in consumption of Access and Watch antibiotics, overall and in child-appropriate formulations, 2011-2015. Source: IQVIA.

Antibiotic stewardship category	CAGR, all formulations (% per year, median [IQR])		CAGR, CAFs (% per year, median [IQR])	
	LMICs	HICs	LMICs	HICs
Access	-0.4 [-3.1 to 1.6]	-0.6 [-1.9 to 1.1]	-0.5 [-2.9 to 2.4]	-0.7 [-2.3 to 1.2]
Watch	0.5 [-0.5 to 2.6]	-1.6 [-3.5 to 0.6]	1.6 [-1.0 to 4.7]	-2.8 [-8.6 to -0.5]

Changes in use of amoxicillin and amoxicillin with clavulanic acid

In HICs, consumption of CAFs of amoxicillin and amoxicillin with clavulanic acid changed relatively little over the period 2011-15 (Figure 4), with CAGRs of 0.5% per year and -1.0% per year, respectively. In LMICs, the CAGR for amoxicillin was again relatively small (0.14% per year). However, usage per child of CAFs of amoxicillin with clavulanic acid increased at a rate of 6.8% per year, with usage almost equalling that of CAFs of amoxicillin alone in 2015.

Again, these global trends obscured marked variation at country level in both HICs and LMICs (Appendix Figure 2). Increases in use were more likely in LMICs than HICs, particularly for amoxicillin with clavulanic acid.

Discussion

We present the first international analysis of temporal changes in antibiotic consumption according to formulation type. Global antibiotic consumption (both overall and of child-appropriate formulations), as measured in standard units by IQVIA sales data, changed relatively little between 2011 and 2015. However, there were marked differences between countries in trends over time, with some countries showing substantial increases in antibiotic use, and trends differed by antibiotic. Global use of last-resort (Reserve) drugs was low. Consumption per child of antibiotics in child-appropriate formulations was higher than consumption per capita overall, consistent with the expected high consumption amongst young children^{18 29}.

The IQVIA dataset is unique in providing extensive, long-term information from a large number of countries, and the reported data are internally validated against alternative sources of sales data³⁰. However, there are several limitations in using this dataset to estimate trends in antibiotic consumption. The data are not intended to necessarily reflect countries' full national sales, but only the sectors or distribution channels from which data are collected. For example, in LMICs, no hospital data are collected in 17 countries, and in some of these only the purchases made from commercial wholesalers are included (see Appendix Table 1 for further information). Coverage in LMICs also refers mainly to community antibiotic use whereas HICs also include hospital data. Consequently, it is difficult to define the population covered in each country; we used national population denominators but these may overestimate the population covered, particularly in LMICs, leading us to underestimate antibiotic sales per person (and per child). Variations in representativeness and coverage between countries and over time make it difficult to assess the comparability of data between individual countries; for this reason, we have not presented data for named countries but instead highlight apparent variation.

Furthermore, many low-income countries, where the infection burden and therefore need for antibiotics are greatest (and where access to, and rational prescribing of, antibiotics may be particularly difficult³¹), are not represented in the dataset (only seven low-income countries, all within the aggregated region of Francophone West Africa, contributed to IQVIA over the study period). Finally, sales data do not necessarily reflect consumption; the extent to which they do may vary between settings (e.g. due to differences in healthcare systems, and the volume of imports and exports). Despite these important biases, the overall direction of which is difficult to ascertain, the dataset provides a useful indication of global trends in antibiotic use. Thus, while there is potential for error in our quantitative estimates, our key conclusions are likely to be valid and highlight the need for countries to examine local consumption data in the context of their national epidemiology, resistance patterns, and data collection systems.

1
2
3 Understanding to whom antibiotics are prescribed can assist with planning stewardship activities.
4 Unfortunately, sales data do not easily lend themselves to analysis of consumption by specific groups,
5 for example by age. We therefore used the type of formulation as a proxy for age, assuming that the
6 defined child-appropriate formulations would be prescribed to children aged <5 years (and using
7 corresponding population denominators). The classification of CAFs was developed by two senior
8 paediatric research pharmacists but is somewhat subjective. CAFs may be used by older children and
9 by adults, particularly those with swallowing difficulties due to advanced age or co-morbidities.
10 Consequently, we may have overestimated antibiotic consumption by young children, particularly in
11 high-income countries where elderly individuals and those with comorbidities comprise a larger
12 percentage of the population. The extent of this error might increase over time, although over the
13 relatively short study period any such change is likely to be minor. These factors could disguise any
14 true reduction in childhood antibiotic consumption over time. Despite this, we saw a slight reduction in
15 consumption of CAFs in HICs, and little change in LMICs. Conversely, formulations which could be
16 given to both adults and children (e.g. intravenous) were not considered child-appropriate, which
17 would underestimate total antibiotic consumption by children. For example, cefazolin and amikacin
18 (which appear on the EMLc as powder and solution for injection, respectively and are classified as
19 Access drugs ²⁷) are not available as CAFs; and solid formulations of drugs other than antibiotics may
20 be used by young children when paediatric formulations are not available ³². In addition, off-label use
21 of formulations not approved for use in children would not be accounted for in our analysis of CAFs.
22 Our defined CAFs are likely to be mainly consumed in the community, where the majority of antibiotic
23 use occurs ³³; these data must therefore be considered alongside hospital data to obtain a fuller
24 picture of antibiotic consumption.
25
26
27
28
29
30
31
32
33
34
35

36 Apparent changes in antibiotic consumption may be (at least partially) artefacts of changes in
37 reporting practices or drug availability. Any real increases in consumption of antibiotics may reflect
38 improved access to appropriate treatments or increasing inappropriate use. We cannot distinguish
39 between these factors, as the data did not include information on indications for prescriptions or
40 microbiological data. Furthermore, an increase in consumption of antibiotics in CAFs may represent a
41 shift to using these formulations in preference to others. Without patient-level data on clinical
42 indication and causative organism (including antibiotic resistance profiles), we cannot assess the
43 appropriateness of prescribing. Assessing the suitability of CAFs is also beyond the scope of this
44 paper (e.g. parents may have difficulty reconstituting powders ³⁴, and liquid formulations may require
45 refrigeration ³⁵).
46
47
48
49
50
51

52 Existing data on trends in antibiotic consumption at a global level, especially in the community, are
53 fairly limited. Considering all age groups, a previous analysis of IQVIA data (2000-2010) reported a
54 dramatic increase in consumption globally, particularly in the BRICS countries (Brazil, Russia, India,
55 China and South Africa), but trends varied between countries. A recent analysis reported that this
56 increase continued up to 2015, with consumption measured in defined daily doses (DDDs), and that
57 patterns of change varied by country and antibiotic ². This contrasts with the plateau in consumption
58
59
60

1
2
3 measured in SUs which we report. This is most likely accounted for by the different units used. We
4 opted not to convert SUs (as available in the dataset) to DDDs, as DDDs do not account for the age-
5 or weight-based dosing used in treating children of different ages and sizes. DDDs could also be
6 influenced by changes in dosage. However, the differences which we highlight between high and
7 lower income countries are consistent with the previous analysis ², and we add information on the
8 AWARe categories and two specific highly used drugs, amoxicillin and amoxicillin with clavulanic acid.
9 Our results are also consistent with broader literature showing different trends in antibiotic
10 consumption, measured from a variety of data sources, over time in different settings. For example,
11 electronic primary care data from five European countries showed no evidence of a trend in the
12 prevalence of antibiotic prescribing between 2004 and 2009 ¹⁶, whereas numbers of antibiotic
13 prescriptions and sales increased by 25% and 57%, respectively, between 2008 and 2011 in Namibia
14 ³⁶.

15
16
17
18
19
20
21
22 Data on trends in antibiotic use by children are also limited but again suggest variation according to
23 both country and antibiotic ²²⁻²⁵. Our analyses provide results from a single dataset for 75
24 countries/regions, aiding understanding of overall patterns of antibiotic usage to complement previous
25 national and regional analyses and highlighting differences between high and low/middle income
26 settings, not only in overall trends but also in use of specific antibiotics. Ecological studies such as
27 these provide valuable indications of potential areas for improvements in antibiotic prescribing, but
28 longitudinal, individual-level cohort studies are also needed to give insight into individual-level
29 patterns, determinants and indications for antibiotic use ^{6 7}.

30
31
32
33
34
35 Whilst preferences for different types of antibiotics vary between settings ^{16 37-39}, amoxicillin, with or
36 without clavulanic acid, is frequently reported to be one of the most commonly used, particularly in
37 community prescribing ^{6 36-38 40 41}. Although recommended as a first-choice treatment only for specific
38 indications ²⁷, CAFs of amoxicillin with clavulanic acid were sold in volumes similar to those of
39 amoxicillin alone in LMICs. The reasons for this increase, which was not driven by any particular
40 country, are unclear, but could relate to real or perceived increases in resistance to amoxicillin, or
41 increases in dosage or duration of treatment. The increase in sales of amoxicillin with clavulanic acid
42 may mean that children receive more expensive treatment (depending on the precise formulation) ⁴²,
43 with little additional clinical benefit.

44
45
46
47
48
49 Antibiotics which appear on the EMLc are categorised into the Access, Watch and Reserve groups to
50 assist with stewardship ²⁷. However, antibiotics not on the EMLc (the “Unclassified” category) account
51 for a substantial percentage of antibiotic consumption in the IQVIA dataset. This is a heterogeneous
52 group of drugs and combinations. Some of these are widely used treatments which are generally
53 considered safe and effective and may be appropriate treatments, but do not meet the current criteria
54 for being on the EMLc, e.g. second generation cephalosporins and several narrow-spectrum or beta-
55 lactamase resistant penicillins. Others are variations on combinations which appear on the EMLc, e.g.
56 amoxicillin with beta lactamase inhibitors other than clavulanic acid. Still others are drug
57
58
59
60

1
2
3 combinations, some, all or none of the constituents of which may be on the EMLc. We have not
4 analysed the composition of these combinations. Previous analysis has found a high proportion of
5 Watch and Reserve consumption in India to be accounted for by fixed dose combinations (FDCs)⁴³.
6 Based on IQVIA data from eight Latin American countries (1999-2009), 70% of FDCs were
7 considered to lack evidence in support of combined use and a further 21% were considered
8 potentially unsafe, with 20% of consumption in 2009 being FDCs⁴⁴. These studies highlight the need
9 to analyse these combinations in detail and to consider their implications for stewardship
10 programmes.
11
12
13
14
15

16 *Conclusions*

17 Global antibiotic consumption, as measured in standard units and recorded in IQVIA sales data,
18 changed relatively little between 2011 and 2015. However, the direction and magnitude of change
19 differed between countries and drugs, for both total consumption and consumption of child-
20 appropriate formulations, although these comparisons are subject to several caveats. The relative
21 importance of improvements in access to appropriate treatments versus changes in inappropriate
22 usage remains to be determined.
23
24
25
26
27
28

29 *Acknowledgements*

30 We are grateful to Stephen Tomlin for assistance with classifying the child-appropriate formulations.
31
32

33 *Contributors*

34 CJ analysed the data with input from YH, JAB, SE and MS, and drafted the paper. PS and ICKW
35 obtained the data. All authors contributed to the interpretation of the results and critically revised the
36 manuscript. The corresponding author attests that all listed authors meet authorship criteria and that
37 no others meeting the criteria have been omitted.
38
39
40
41

42 *Funding*

43 This study was funded by the Netherlands Ministry of Health, Welfare and Sport. The funder had no
44 role in the study design, analysis and interpretation of data, the writing of the report, or the decision to
45 submit the article for publication.
46
47
48

49 *Competing interests*

50 PS is employed by IQVIA, which is funded by both industry and governments to collect, process and
51 analyse information on medicine usage. All other authors report no conflicts of interest.
52
53
54

55 *Data sharing*

56 No additional data available
57
58

59 *Transparency declaration*

1
2
3 The lead author (CJ) affirms that the manuscript is an honest, accurate, and transparent account of
4 the study being reported; that no important aspects of the study have been omitted; and that any
5 discrepancies from the study as originally planned have been explained.
6
7
8

9 **References**

- 10 1. World Health Organization. Global action plan on antimicrobial resistance, 2015.
- 11 2. Klein EY, Van Boeckel TP, Martinez EM, et al. Global increase and geographic convergence in
12 antibiotic consumption between 2000 and 2015. *Proceedings of the National Academy of*
13 *Sciences of the United States of America* 2018;115(15):E3463-e70. doi:
14 10.1073/pnas.1717295115 [published Online First: 2018/03/28]
15
- 16 3. Van Boeckel T, Laxminarayan R. Correction to global antibiotic consumption data. *The Lancet*
17 *Infectious diseases* 2017;17(5):476-77. doi: 10.1016/s1473-3099(17)30187-1 [published
18 Online First: 2017/04/28]
19
- 20 4. Van Boeckel TP, Gandra S, Ashok A, et al. Global antibiotic consumption 2000 to 2010: an
21 analysis of national pharmaceutical sales data. *The Lancet Infectious diseases*
22 2014;14(8):742-50. doi: 10.1016/s1473-3099(14)70780-7 [published Online First: 2014/07/16]
23
- 24 5. van Riet-Nales DA, Schobben AF, Vromans H, et al. Safe and effective pharmacotherapy in infants
25 and preschool children: importance of formulation aspects. *Arch Dis Child* 2016;101(7):662-9.
26 doi: 10.1136/archdischild-2015-308227 [published Online First: 2016/03/17]
27
- 28 6. Hobbs MR, Grant CC, Ritchie SR, et al. Antibiotic consumption by New Zealand children: exposure
29 is near universal by the age of 5 years. *The Journal of antimicrobial chemotherapy*
30 2017;72(6):1832-40. doi: 10.1093/jac/dkx060 [published Online First: 2017/03/24]
31
- 32 7. Rogawski ET, Platts-Mills JA, Seidman JC, et al. Use of antibiotics in children younger than two
33 years in eight countries: a prospective cohort study. *Bulletin of the World Health Organization*
34 2017;95(1):49-61. doi: 10.2471/blt.16.176123 [published Online First: 2017/01/06]
35
- 36 8. Sticchi C, Alberti M, Artioli S, et al. Regional point prevalence study of healthcare-associated
37 infections and antimicrobial use in acute care hospitals in Liguria, Italy. *The Journal of*
38 *hospital infection* 2017 doi: 10.1016/j.jhin.2017.12.008 [published Online First: 2017/12/19]
39
- 40 9. Cai Y, Venkatachalam I, Tee NW, et al. Prevalence of Healthcare-Associated Infections and
41 Antimicrobial Use Among Adult Inpatients in Singapore Acute-Care Hospitals: Results From
42 the First National Point Prevalence Survey. *Clinical infectious diseases : an official publication*
43 *of the Infectious Diseases Society of America* 2017;64(suppl_2):S61-s67. doi:
44 10.1093/cid/cix103 [published Online First: 2017/05/06]
45
- 46 10. Phu VD, Wertheim HF, Larsson M, et al. Burden of Hospital Acquired Infections and Antimicrobial
47 Use in Vietnamese Adult Intensive Care Units. *PloS one* 2016;11(1):e0147544. doi:
48 10.1371/journal.pone.0147544 [published Online First: 2016/01/30]
49
- 50 11. Ren N, Zhou P, Wen X, et al. Point prevalence survey of antimicrobial use in Chinese hospitals in
51 2012. *American journal of infection control* 2016;44(3):332-9. doi: 10.1016/j.ajic.2015.10.008
52 [published Online First: 2016/01/01]
53
54
55
56
57
58
59
60

12. Alfandari S, Robert J, Pean Y, et al. Antibiotic use and good practice in 314 French hospitals: The 2010 SPA2 prevalence study. *Medecine et maladies infectieuses* 2015;45(11-12):475-80. doi: 10.1016/j.medmal.2015.10.001 [published Online First: 2015/11/28]
13. Cotta MO, Robertson MS, Upjohn LM, et al. Using periodic point-prevalence surveys to assess appropriateness of antimicrobial prescribing in Australian private hospitals. *Internal medicine journal* 2014;44(3):240-6. doi: 10.1111/imj.12353 [published Online First: 2014/01/01]
14. Ansari F, Erntell M, Goossens H, et al. The European surveillance of antimicrobial consumption (ESAC) point-prevalence survey of antibacterial use in 20 European hospitals in 2006. *Clinical infectious diseases : an official publication of the Infectious Diseases Society of America* 2009;49(10):1496-504. doi: 10.1086/644617 [published Online First: 2009/10/22]
15. Baggs J, Fridkin SK, Pollack LA, et al. Estimating National Trends in Inpatient Antibiotic Use Among US Hospitals From 2006 to 2012. *JAMA internal medicine* 2016;176(11):1639-48. doi: 10.1001/jamainternmed.2016.5651 [published Online First: 2016/09/23]
16. Brauer R, Ruigomez A, Downey G, et al. Prevalence of antibiotic use: a comparison across various European health care data sources. *Pharmacoepidemiology and drug safety* 2016;25 Suppl 1:11-20. doi: 10.1002/pds.3831 [published Online First: 2015/07/15]
17. Padget M, Tamarelle J, Herindrainy P, et al. A community survey of antibiotic consumption among children in Madagascar and Senegal: the importance of healthcare access and care quality. *The Journal of antimicrobial chemotherapy* 2017;72(2):564-73. doi: 10.1093/jac/dkw446 [published Online First: 2017/01/25]
18. Versporten A, Sharland M, Bielicki J, et al. The antibiotic resistance and prescribing in European Children project: a neonatal and pediatric antimicrobial web-based point prevalence survey in 73 hospitals worldwide. *The Pediatric infectious disease journal* 2013;32(6):e242-53. doi: 10.1097/INF.0b013e318286c612 [published Online First: 2013/07/11]
19. Amadeo B, Zarb P, Muller A, et al. European Surveillance of Antibiotic Consumption (ESAC) point prevalence survey 2008: paediatric antimicrobial prescribing in 32 hospitals of 21 European countries. *The Journal of antimicrobial chemotherapy* 2010;65(10):2247-52. doi: 10.1093/jac/dkq309 [published Online First: 2010/08/18]
20. Ciofi Degli Atti ML, Raponi M, Tozzi AE, et al. Point prevalence study of antibiotic use in a paediatric hospital in Italy. *Euro surveillance : bulletin Europeen sur les maladies transmissibles = European communicable disease bulletin* 2008;13(41) [published Online First: 2008/10/18]
21. Blinova E, Lau E, Bitnun A, et al. Point prevalence survey of antimicrobial utilization in the cardiac and pediatric critical care unit. *Pediatric critical care medicine : a journal of the Society of Critical Care Medicine and the World Federation of Pediatric Intensive and Critical Care Societies* 2013;14(6):e280-8. doi: 10.1097/PCC.0b013e31828a846d [published Online First: 2013/07/05]
22. Vaz LE, Kleinman KP, Raebel MA, et al. Recent trends in outpatient antibiotic use in children. *Pediatrics* 2014;133(3):375-85. doi: 10.1542/peds.2013-2903 [published Online First: 2014/02/04]

- 1
2
3 23. Marra F, Patrick DM, Chong M, et al. Antibiotic use among children in British Columbia, Canada.
4 *The Journal of antimicrobial chemotherapy* 2006;58(4):830-9. doi: 10.1093/jac/dkl275
5 [published Online First: 2006/08/22]
6
7 24. Sommet A, Sermet C, Boelle PY, et al. No significant decrease in antibiotic use from 1992 to
8 2000, in the French community. *The Journal of antimicrobial chemotherapy* 2004;54(2):524-8.
9 doi: 10.1093/jac/dkh342 [published Online First: 2004/07/10]
10
11 25. Holstiege J, Schink T, Molokhia M, et al. Systemic antibiotic prescribing to paediatric outpatients
12 in 5 European countries: a population-based cohort study. *BMC pediatrics* 2014;14:174. doi:
13 10.1186/1471-2431-14-174 [published Online First: 2014/07/07]
14
15 26. World Bank. World Bank Country and Lending Groups 2018 [Available from:
16 [https://datahelpdesk.worldbank.org/knowledgebase/articles/906519-world-bank-country-and-](https://datahelpdesk.worldbank.org/knowledgebase/articles/906519-world-bank-country-and-lending-groups)
17 [lending-groups](https://datahelpdesk.worldbank.org/knowledgebase/articles/906519-world-bank-country-and-lending-groups) accessed 8 March 2018.
18
19 27. World Health Organization. WHO Model Lists of Essential Medicines 2017 [6th:[Available from:
20 <http://www.who.int/medicines/publications/essentialmedicines/en/> accessed 15 September
21 2017.
22
23 28. United Nations. World Population Prospects 2017 2017 [Available from:
24 <https://esa.un.org/unpd/wpp/>.
25
26 29. Blix HS, Engeland A, Litleskare I, et al. Age- and gender-specific antibacterial prescribing in
27 Norway. *Journal of Antimicrobial Chemotherapy* 2007;59(5):971-76. doi: 10.1093/jac/dkm032
28
29 30. IQVIA. ACTS: IQVIA Quality Assurance 2018 [Available from: <https://www.iqvia.com/landing/acts>
30 accessed 10 October 2018.
31
32 31. Cox JA, Vlieghe E, Mendelson M, et al. Antibiotic stewardship in low- and middle-income
33 countries: the same but different? *Clinical microbiology and infection : the official publication*
34 *of the European Society of Clinical Microbiology and Infectious Diseases* 2017;23(11):812-18.
35 doi: 10.1016/j.cmi.2017.07.010 [published Online First: 2017/07/18]
36
37 32. O'Brien DP, Sauvageot D, Zachariah R, et al. In resource-limited settings good early outcomes
38 can be achieved in children using adult fixed-dose combination antiretroviral therapy. *AIDS*
39 *(London, England)* 2006;20(15):1955-60. doi: 10.1097/01.aids.0000247117.66585.ce
40 [published Online First: 2006/09/22]
41
42 33. European Centre for Disease Prevention and Control. Summary of the latest data on antibiotic
43 consumption in the European Union: ESAC-Net surveillance data, November 2017.
44 Stockholm, 2017.
45
46 34. Berthe-Aucejo A, Girard D, Lorrot M, et al. Evaluation of frequency of paediatric oral liquid
47 medication dosing errors by caregivers: amoxicillin and josamycin. *Arch Dis Child*
48 2016;101(4):359-64. doi: 10.1136/archdischild-2015-309426 [published Online First:
49 2016/01/06]
50
51 35. Hoppu K, Sri Ranganathan S, Doodoo AN. Realities of paediatric pharmacotherapy in the
52 developing world. *Arch Dis Child* 2011;96(8):764-8. doi: 10.1136/adc.2009.180000 [published
53 Online First: 2011/03/29]
54
55
56
57
58
59
60

- 1
2
3 36. Pereko DD, Lubbe MS, Essack SY. Surveillance of antibiotic use in the private sector in Namibia
4 using sales and claims data. *Journal of infection in developing countries* 2016;10(11):1243-
5 49. doi: 10.3855/jidc.7329 [published Online First: 2016/11/26]
6
7 37. Dik JW, Sinha B, Friedrich AW, et al. Cross-border comparison of antibiotic prescriptions among
8 children and adolescents between the north of the Netherlands and the north-west of
9 Germany. *Antimicrobial resistance and infection control* 2016;5:14. doi: 10.1186/s13756-016-
10 0113-8 [published Online First: 2016/04/21]
11
12 38. Versporten A, Bielicki J, Drapier N, et al. The Worldwide Antibiotic Resistance and Prescribing in
13 European Children (ARPEC) point prevalence survey: developing hospital-quality indicators
14 of antibiotic prescribing for children. *The Journal of antimicrobial chemotherapy*
15 2016;71(4):1106-17. doi: 10.1093/jac/dkv418 [published Online First: 2016/01/10]
16
17 39. Versporten A, Bolokhovets G, Ghazaryan L, et al. Antibiotic use in eastern Europe: a cross-
18 national database study in coordination with the WHO Regional Office for Europe. *The Lancet*
19 *Infectious Diseases* 2014;14(5):381-87. doi: [https://doi.org/10.1016/S1473-3099\(14\)70071-4](https://doi.org/10.1016/S1473-3099(14)70071-4)
20
21 40. Lass J, Odlind V, Irs A, et al. Antibiotic prescription preferences in paediatric outpatient setting in
22 Estonia and Sweden. *SpringerPlus* 2013;2(1):124. doi: 10.1186/2193-1801-2-124 [published
23 Online First: 2013/05/15]
24
25 41. Buccellato E, Melis M, Biagi C, et al. Use of Antibiotics in Pediatrics: 8-Years Survey in Italian
26 Hospitals. *PloS one* 2015;10(9):e0139097. doi: 10.1371/journal.pone.0139097 [published
27 Online First: 2015/09/26]
28
29 42. UNICEF / WHO. Sources and Prices of Selected Medicines for Children. Including Therapeutic
30 Food, Dietary Vitamin and Mineral Supplementation - 2nd edition.
31
32 43. McGettigan P, Roderick P, Kadam A, et al. Access, Watch, and Reserve antibiotics in India:
33 challenges for WHO stewardship. *The Lancet Global health* 2017;5(11):e1075-e76. doi:
34 10.1016/s2214-109x(17)30365-0 [published Online First: 2017/10/14]
35
36 44. Wirtz VJ, Mol PG, Verdijk J, et al. Use of antibacterial fixed-dose combinations in the private
37 sector in eight Latin American Countries between 1999 and 2009. *Tropical medicine &*
38 *international health : TM & IH* 2013;18(4):416-25. doi: 10.1111/tmi.12068 [published Online
39 First: 2013/02/06]
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Figure legends

Figure 1: Global antibiotic consumption, all formulations and child-appropriate formulations in low/middle and high income countries, 2011-2015. Consumption is measured in SU and in SU/person (all formulations) or SU/child aged 0-4 years (child-appropriate formulations). Source: IQVIA. Note the differing y axis scales.

Figure 2: Compound annual growth rate in consumption per person (all formulations) and per child aged 0-4 years (child-appropriate formulations) of antibiotics, 2011-2015, for individual countries, by country income. Source: IQVIA.

Figure 3: Consumption per person (all formulations) and per child aged 0-4 years (child-appropriate formulations) of antibiotics in Access, Watch, Reserve and Unclassified categories, 2011-2015, in low/middle and high income settings. Source: IQVIA.

Figure 4: Consumption of amoxicillin and amoxicillin with clavulanic acid, per child aged 0-4 years, 2011-2015. Source: IQVIA.

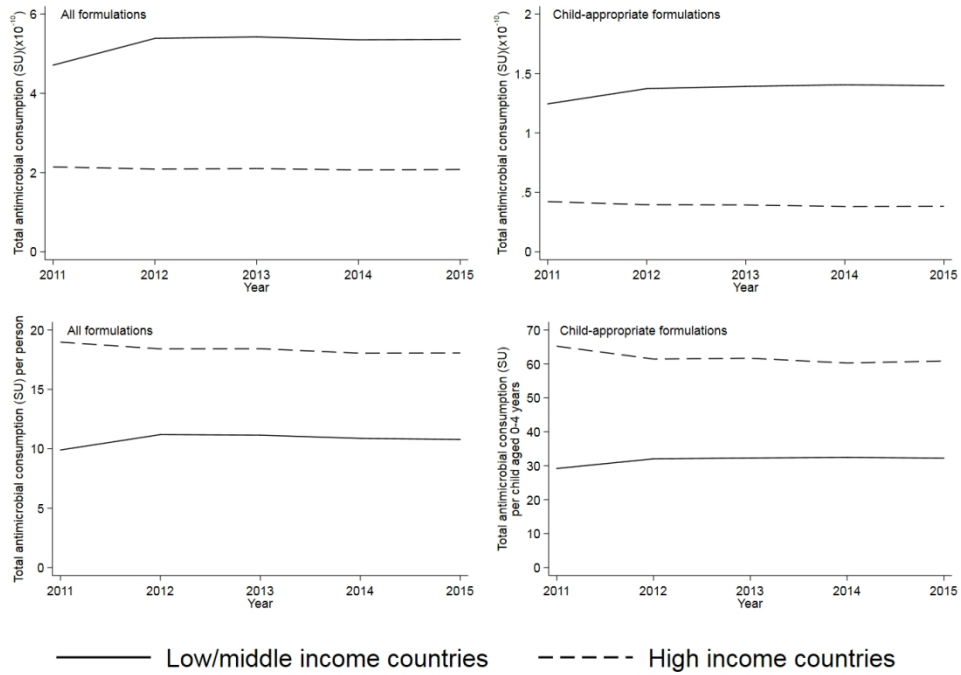


Figure 1: Global antibiotic consumption, all formulations and child-appropriate formulations in low/middle and high income countries, 2011-2015. Consumption is measured in SU and in SU/person (all formulations) or SU/child aged 0-4 years (child-appropriate formulations). Source: IQVIA. Note the differing y axis scales.

507x369mm (72 x 72 DPI)

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

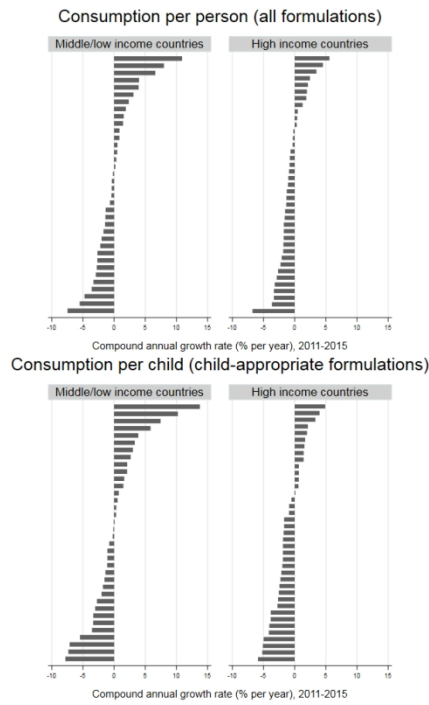


Figure 2: Compound annual growth rate in consumption per person (all formulations) and per child aged 0-4 years (child-appropriate formulations) of antibiotics, 2011-2015, for individual countries, by country income. Source: IQVIA.

507x369mm (72 x 72 DPI)

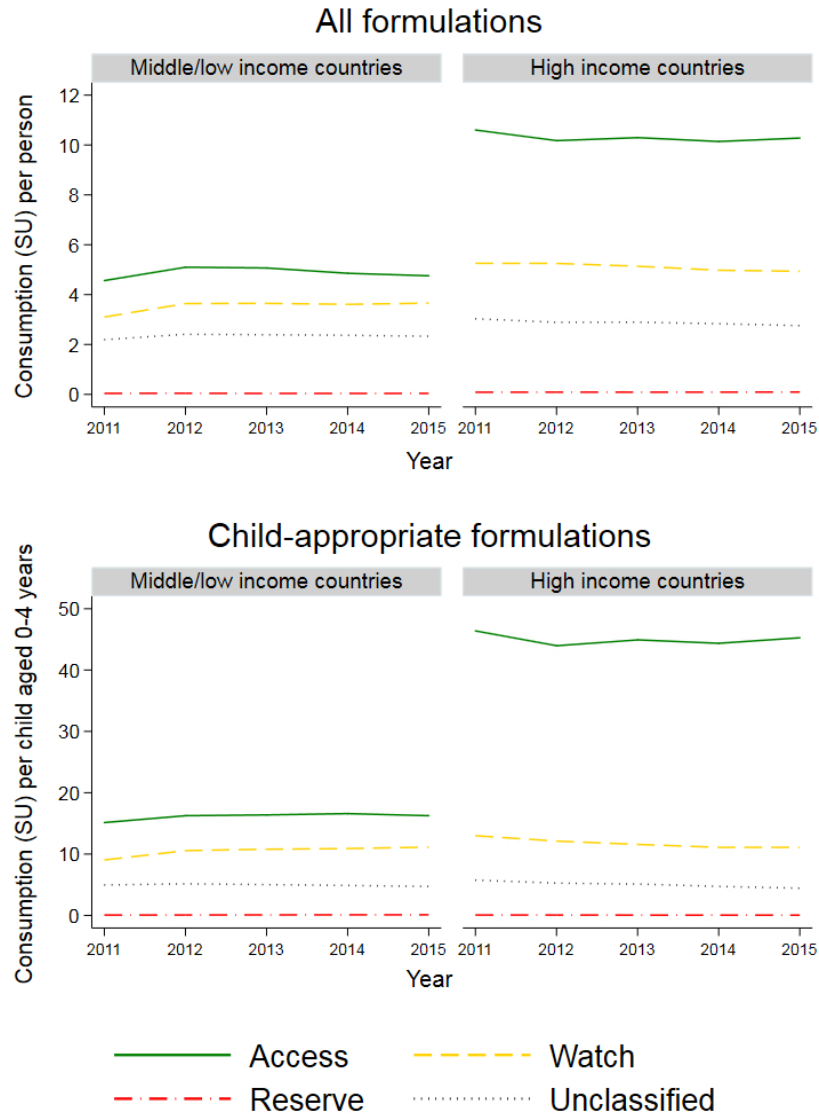


Figure 3: Consumption per person (all formulations) and per child aged 0-4 years (child-appropriate formulations) of antibiotics in Access, Watch, Reserve and Unclassified categories, 2011-2015, in low/middle and high income settings. Source: IQVIA.

277x369mm (72 x 72 DPI)

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

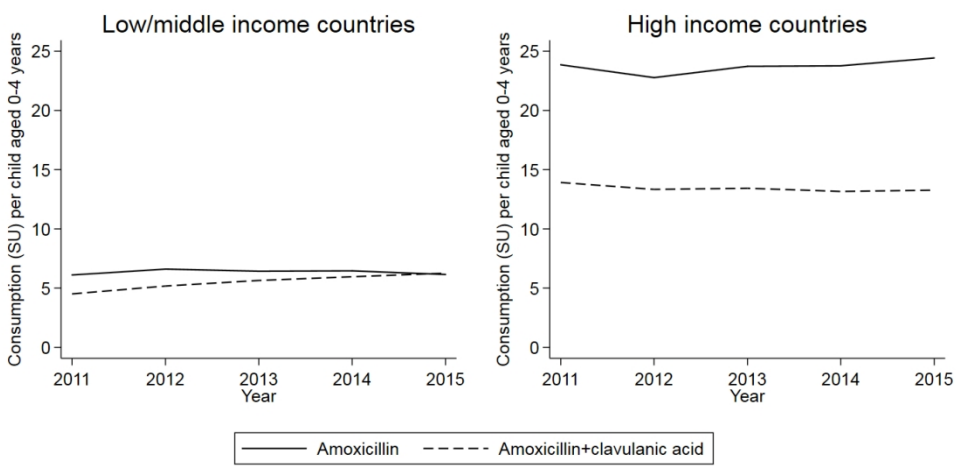


Figure 4: Consumption of amoxicillin and amoxicillin with clavulanic acid, per child aged 0-4 years, 2011-2015. Source: IQVIA.

508x254mm (72 x 72 DPI)

1
2
3 **Estimating global trends in total and childhood antibiotic consumption, 2011-2015: Appendix**
4
5

6 Charlotte Jackson¹, Yingfen Hsia¹, Julia A Bielicki^{1,2}, Sally Ellis³, Peter Stephens⁴, Ian CK Wong^{5,6},
7 Mike Sharland¹
8
9

10 ¹ Paediatric Infectious Diseases Research Group, Institute of Infection and Immunity, St George's,
11 University of London, Cranmer Terrace, London SW17 0RE, United Kingdom
12
13

14 ² Paediatric Pharmacology and Paediatric Infectious Diseases, University Children's Hospital Basel,
15 Spitalstrasse 33, 4056 Basel, Switzerland
16
17

18 ³ Global Antibiotic Research and Development Partnership, 15 Chemin Louis-Dunant, 1202 Geneva,
19 Switzerland
20
21

22 ⁴ IQVIA, 210 Pentonville Road, London N1 9JY, United Kingdom
23
24

25 ⁵ UCL School of Pharmacy, 29-39 Brunswick Square, London WC1N 1AX
26
27

28 ⁶ Department of Pharmacology & Pharmacy, University of Hong Kong
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Appendix Table 1: Sectors covered by the IQVIA data in each participating country.

Country	Retail	Hospital
<i>Low / middle income countries</i>		
Algeria	X	
Argentina	X	
Bangladesh	X	
Bosnia	X	X
Brazil	X	
Bulgaria	X	X
Central America*	X	
China	X	X
Colombia	X	
Croatia	X	X
Dominican Republic	X	
Ecuador	X	
Egypt	X	
Francophone West Africa†	X	
India	X	X
Indonesia	X	X
Jordan	X	
Kazakhstan	X	X
Lebanon	X	
Malaysia	X	X
Mexico	X	
Morocco	X	X
Pakistan	X	
Peru	X	
Philippines	X	X
Romania	X	X
Russia	X	X
Serbia	X	X
South Africa	X	X
Sri Lanka	X	
Thailand	X	X
Tunisia	X	X
Turkey	X	X
Ukraine	X	X
Venezuela	X	
Vietnam	X	X
<i>High income countries</i>		
Australia	X	X
Austria	X	X
Belgium	X	X

Country	Retail	Hospital
Canada	X	X
Chile	X	
Czech Republic	X	X
Estonia	X	
Finland	X	X
France	X	X
Germany	X	X
Greece	X	
Hong Kong	X	X
Hungary	X	X
Ireland	X	X
Italy	X	X
Japan	X	X
Korea	X	X
Kuwait	X	
Latvia	X	
Lithuania	X	X
Luxembourg	X	
Netherlands	X	
New Zealand	X	X
Norway	X	X
Poland	X	X
Portugal	X	X
Puerto Rico	X	X
Saudi Arabia	X	
Singapore	X	X
Slovakia	X	X
Slovenia	X	X
Spain	X	X
Sweden	X	X
Switzerland	X	X
Taiwan	X	X
United Arab Emirates	X	
United Kingdom	X	X
United States of America	X	X
Uruguay	X	X

* Aggregated data for Costa Rica, El Salvador, Guatemala, Honduras, Nicaragua, Panama

† Aggregated data for Benin, Burkina Faso, Cameroon, Côte d'Ivoire, Democratic Republic of the Congo, Gabon, Guinea, Mali, Senegal, Togo

1
2
3 *List of child-appropriate formulations*

4 Oral Solid Ordinary Orally Disintegrating Tablets

5
6 Oral Solid Ordinary Buccal Tablets

7 Oral Solid Ordinary Chewable Tablets

8
9 Oral Solid Ordinary Effervescent Tablets

10 Oral Solid Ordinary Soluble Tablets

11 Oral Solid Ordinary Powders

12
13 Oral Solid Ordinary Granules

14 Oral Solid Ordinary Unit Dose Powders

15
16 Oral Solid Retard Unit Dose Powders

17 Oral Solid Retard Other Powders/Granules

18
19 Oral Liquid Unit Dose Pressurised Aerosols

20 Oral Liquid Ordinary Soluble Powders

21
22 Oral Liquid Ordinary Unit Dose Powders

23 Oral Liquid Ordinary Other Powders/Granules

24
25 Oral Liquid Ordinary Liquids

26 Oral Liquid Ordinary Drops

27 Oral Liquid Ordinary Dry Suspensions/Syrups/Drops

28
29 Oral Liquid Ordinary Suspensions

30 Oral Liquid Ordinary Syrups

31
32 Oral Liquid Ordinary Unit Dose Liquids

33 Oral Liquid Retard Soluble Powders

34
35 Oral Liquid Retard Unit Dose Powders

36

37

38

39

40

41

42

43

44

45

46

47

48

49

50

51

52

53

54

55

56

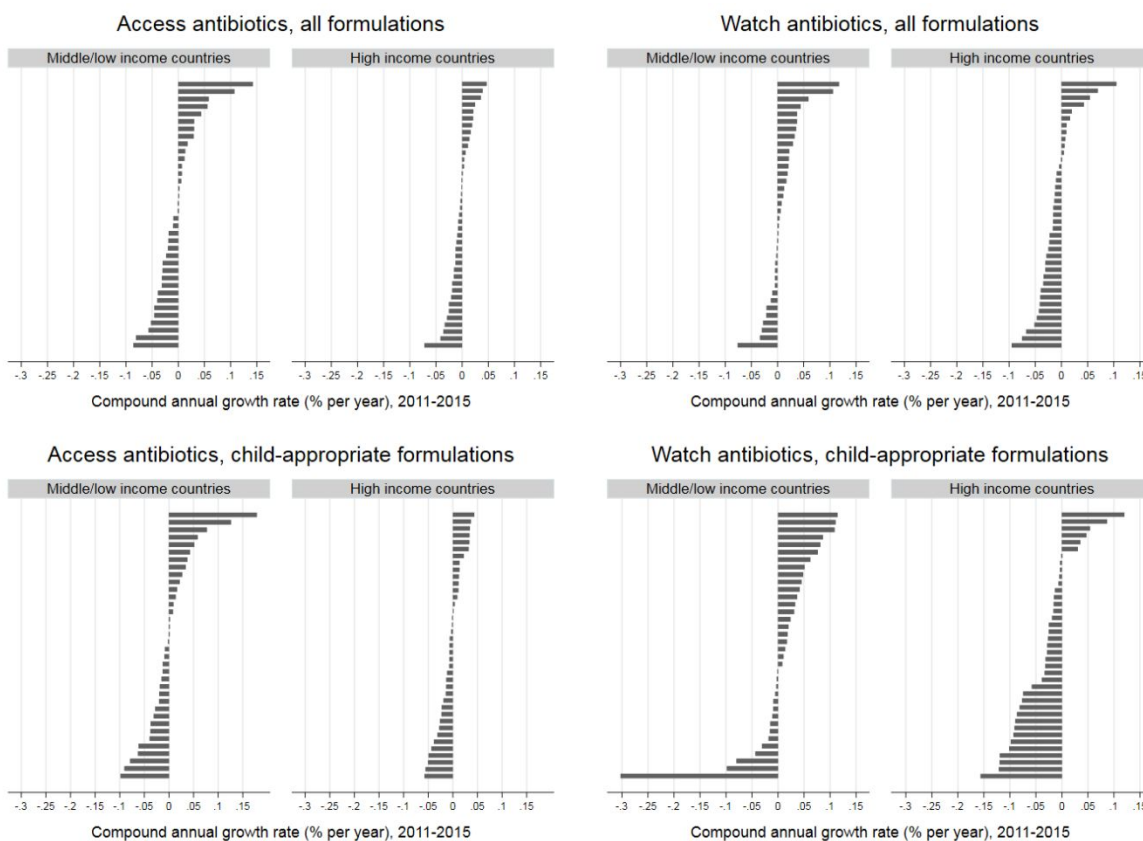
57

58

59

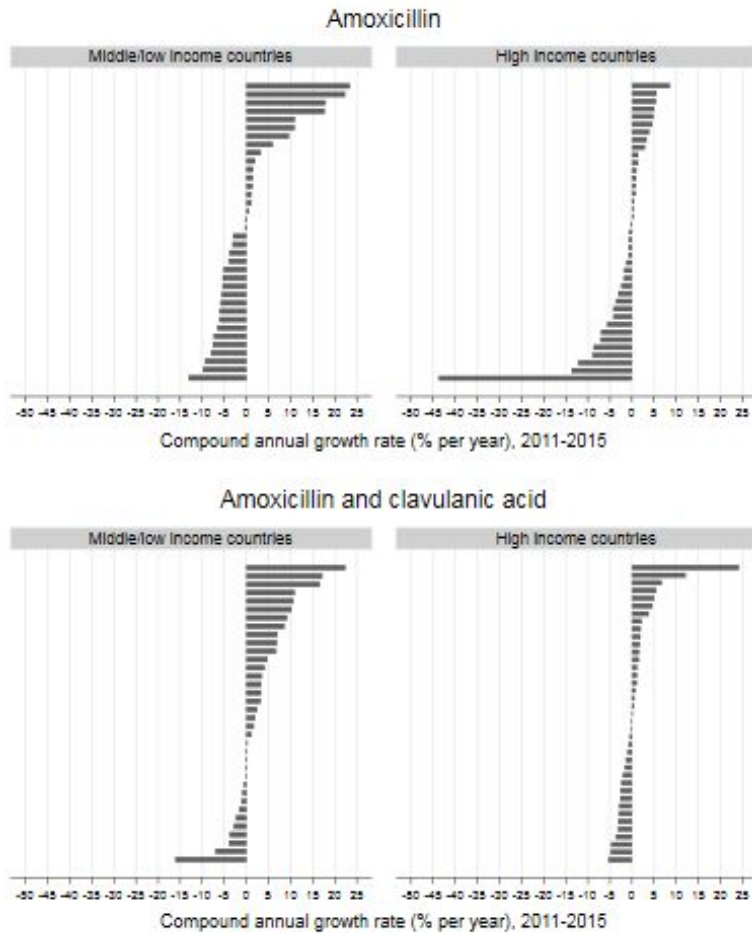
60

Appendix Figure 1: Compound annual growth rates in consumption per person (all formulations) or per child aged 0-4 years (child-appropriate formulations) of Access and Watch antibiotics for individual countries, 2011-2015. Source: IQVIA.



Review Only

Appendix Figure 2: Compound annual growth rates in consumption per child of amoxicillin and amoxicillin with clavulanic acid for individual countries, 2011-2015. Source: IQVIA.



Review Only

1
2
3 **Estimating global trends in total and childhood antibiotic consumption, 2011-2015**
4

5
6 Charlotte Jackson¹, Yingfen Hsia¹, Julia A Bielicki^{1,2}, Sally Ellis³, Peter Stephens⁴, Ian CK Wong^{5,6},
7 Mike Sharland¹
8
9

10 ¹ Paediatric Infectious Diseases Research Group, Institute of Infection and Immunity, St George's,
11 University of London, Cranmer Terrace, London SW17 0RE, United Kingdom
12
13

14 ² Paediatric Pharmacology and Paediatric Infectious Diseases, University Children's Hospital Basel,
15 Spitalstrasse 33, 4056 Basel, Switzerland
16
17

18 ³ Global Antibiotic Research and Development Partnership, 15 Chemin Louis-Dunant, 1202 Geneva,
19 Switzerland
20
21

22 ⁴ IQVIA, 210 Pentonville Road, London N1 9JY, United Kingdom
23
24

25 ⁵ UCL School of Pharmacy, 29-39 Brunswick Square, London WC1N 1AX
26
27

28 ⁶ Department of Pharmacology & Pharmacy, University of Hong Kong
29
30
31

32
33 Corresponding author:

34 Dr Charlotte Jackson

35 Paediatric Infectious Diseases Research Group

36 Institute of Infection and Immunity

37 St George's, University of London

38 Cranmer Terrace

39 London

40 SW17 0RE

41 United Kingdom
42
43
44
45

46
47 Tel: +44 (0)20 8725 2780

48 Email: cjackson@sgul.ac.uk
49
50
51

52 *Word counts*

53 Abstract: 290

54 Main text: 3403
55
56
57
58
59
60

1
2
3 *Abbreviations*

4 ATC Anatomic Therapeutic Chemical
5
6 AWaRe Access / Watch / Reserve
7
8 BRICS Brazil, Russia, India, China, South Africa
9
10 CAF Child-appropriate formulation
11
12 CAGR Compound annual growth rate
13
14 DDD Defined daily dose
15
16 EMLc Essential Medicines List for children
17
18 HIC High income country
19
20 IQR Interquartile range
21
22 LMIC Low / middle income country
23
24 SU Standard unit
25
26 WHO World Health Organization
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Keywords

Antibiotics
Paediatrics
Global surveillance

Abstract

Introduction

Understanding patterns of antibiotic consumption is essential to ensure access to appropriate antibiotics when needed and to minimise overuse, which can lead to antibiotic resistance. We aimed to describe changes in global antibiotic consumption between 2011 and 2015.

Methods

We analysed wholesale data on total antibiotic sales and antibiotics sold as child-appropriate formulations (CAFs), stratified by country income level (low/middle and high income countries [LMICs and HICs]). The volume of antibiotics sold per year was recorded for 36 LMICs and 39 HICs, measured in standard units (SU: 1SU is equivalent to a single tablet, capsule or 5ml ampule/vial/oral suspension) and SU per person, overall and as CAFs. Changes over time were quantified as percentage changes and compound annual growth rates (CAGR) in consumption per person. Analyses were conducted separately for total sales, sales of antibiotics in the Access and Watch groups of the World Health Organization's Essential Medicines List for children 2017, for amoxicillin and amoxicillin with clavulanic acid.

Results: Antibiotic consumption increased slightly between 2011 and 2015, from 6.85×10^{10} SU to 7.44×10^{10} SU overall, and from 1.66×10^{10} SU to 1.78×10^{10} SU for CAFs. However, trends differed between countries and for specific antibiotics; e.g. consumption of amoxicillin as CAFs changed little in LMICs and HICs, but that of amoxicillin with clavulanic acid increased by 6.8% per year in LMICs and decreased by 1.0% per year in HICs.

Conclusions: As measured in standard units in sales data, the rate of increase in global antibiotic consumption may be slowing. However, the trends appear to differ between countries and drugs. In the absence of routine surveillance of antibiotic use in many countries, these data provide important indicators of trends in consumption which should be confirmed in national and local studies of prescribing.

Summary box

What is already known?

- Antibiotic use has been increasing globally in recent years.
- Several studies have shown that children are important users of antibiotics in many settings but childhood antibiotic consumption has not been quantified globally.

What are the new findings?

- Based on global antibiotic sales data, consumption of antibiotics overall and in specific child-appropriate formulations remained relatively constant between 2011 and 2015 in both high and low/middle income countries.
- Trends in specific antibiotics differed, with an increase in the use of amoxicillin in combination with clavulanic acid in low/middle income countries.

What do the new findings imply?

- There appears to have been limited progress in reducing antibiotic prescribing in high income countries and increasing access to antibiotics in low/middle income countries.

Introduction

Improving and maintaining access to antibiotics presents unique challenges, with the need to ensure availability of appropriate treatment balanced against limiting inappropriate use and emergence of resistance. The World Health Organization (WHO) has recommended that countries implement surveillance of antimicrobial consumption¹, but this may be challenging, particularly in low and middle income settings in which robust surveillance systems are generally not available.

In the absence of global surveillance data, information on international antibiotic sales can provide a proxy measure of consumption²⁻⁴. Based on such data, global consumption of antibiotics, including last resort treatments such as polymyxins, increased between 2000 and 2010, particularly in low and middle income countries^{3,4}. This increase appeared to continue into 2015, particularly, but not exclusively, in low and middle income countries², where increasing consumption potentially reflects improvements in access rather than necessarily overuse.

One limitation of using sales data to estimate consumption is the lack of information on the individuals to whom the drugs were prescribed, including patient age. However, information on the antibiotic formulation may be available and could provide a proxy identifier of drugs dispensed to children, as some oral formulations are particularly suitable for children⁵. Quantifying global paediatric antibiotic use is important as childhood exposure to antibiotics in many settings is high; for example, in New Zealand, 97% of children had received at least one course of antibiotics by the age of five years⁶. Children in low-income settings are also commonly exposed to antibiotics, with an average consumption of 4.9 courses per child-year reported amongst children aged <2 years in eight low-income settings (Bangladesh, Brazil, India, Nepal, Pakistan, Peru, South Africa and Tanzania), although frequency and patterns of use varied substantially between sites⁷. Paediatric antimicrobial stewardship programmes may therefore play a critical role in reducing the emergence of bacterial resistance. However, previous studies of antibiotic use have often either not focussed on children⁸⁻¹⁶ or have used cross-sectional designs¹⁷, often in hospitals¹⁸⁻²¹. A few studies have reported on trends in paediatric antibiotic use, in individual countries or regions or a small number of countries²²⁻²⁵, using data on health insurance claims²², pharmacy databases²³, community surveys²⁴ and electronic health records²⁵.

In this paper, we aim to describe global trends in antibiotic consumption using wholesale data, stratified by country income level, overall and for specific “child-appropriate formulations” as a proxy for consumption by children.

Methods

Data on antibiotic (Anatomic Therapeutic Chemical [ATC] code J01) sales during 2011-2015 were obtained from the IQVIA MIDAS database. The database contains annual figures summarising pharmacy sales of specific antibiotic drugs and combinations in 75 countries/regions (Guatemala, El

1
2
3 Salvador, Honduras, Nicaragua, Costa Rica and Panama are aggregated as Central America, and
4 Benin, Burkina Faso, Cameroon, Côte d'Ivoire, Democratic Republic of the Congo, Gabon, Guinea,
5 Mali, Senegal and Togo as Francophone West Africa); Appendix Table 1 summarises the sectors
6 covered in each included country. Antibiotic consumption is expressed in standard units (SU), with
7 1SU defined by IQVIA as a single tablet, capsule or ampoule/vial or 5ml oral suspension. Countries
8 were classified based on income using World Bank categories ²⁶. Low, lower middle and upper middle
9 income countries were considered together as low/middle income (LMICs). The remaining countries
10 were classified as high income (HICs).
11
12
13
14
15

16 The dataset does not include information on the recipients of prescriptions. To estimate antibiotic
17 consumption by children, all recorded formulations were classified by two senior paediatric specialist
18 pharmacists into those considered to be “child-appropriate” formulations (CAFs, i.e. formulations
19 available as syrups / liquids or dispersible tables / solids that become liquid upon intake or
20 swallowing) and others (Appendix). Analyses are presented overall (all formulations combined) and
21 for the subset of formulations considered to be CAFs.
22
23
24
25

26 Antibiotics were also grouped into Access, Watch and Reserve (AWaRe) groups based on the
27 classification used in the WHO's 2017 revision of the Essential Medicines List for children (EMLc) ²⁷.
28 Access antibiotics are first- or second-choice treatments for major clinical syndromes (e.g. amoxicillin
29 for pneumonia) and should be routinely accessible for appropriate use. Antibiotics in the Watch
30 category are first- or second-choice treatments for specific indications but carry a higher risk of
31 resistance emerging, whilst Reserve antibiotics are considered drugs of last resort. Antibiotics not
32 represented in the EMLc were grouped as “Unclassified”; this group includes monotherapies not
33 included on the list (e.g. second generation cephalosporins) and combination treatments not included
34 on the EMLc (irrespective of whether any of the constituents of the combination are on the EMLc).
35 The nine antibiotics which appear in the Access group only for specific clinical conditions and are
36 otherwise classified in the Watch group were considered as Watch.
37
38
39
40
41
42

43 Annual antibiotic consumption per capita was calculated overall and for child-appropriate
44 formulations, using UN estimates of the total and 0-4 year-old population for each country
45 represented in IQVIA ²⁸, assuming that CAFs are prescribed for children aged <5 years. We first
46 described trends in consumption of antibiotics globally over the period 2011-15, measured in SU and
47 in SU/person (or per child). Changes in overall consumption were assessed globally and for individual
48 countries as the percentage change in consumption per person (or per child aged <5 years),
49 calculated as $100 \times (\text{SU per person in 2015} - \text{SU per person in 2010}) / \text{SU per person in 2010}$. A
50 positive percentage change therefore represents an increase in consumption. Similar to van Boeckel
51 et al ⁴, we also calculated the compound annual growth rate (CAGR) in consumption per person and
52 per child:
53
54
55
56
57
58
59
60

$$CAGR = 100 \times \left[\left(\frac{\text{Consumption per person in 2015}}{\text{Consumption per person in 2011}} \right)^{\frac{1}{N}} - 1 \right]$$

where N is the number of years of data available. For CAFs, calculation of the CAGR was analogous but used consumption per child aged 0–4 years in place of consumption per person. We repeated these analyses for Access and Watch antibiotics and separately for amoxicillin and amoxicillin with clavulanic acid.

Analyses were conducted using Stata, version 15.

Ethics statement

All data were supplied aggregated at country level with no individual-level information. Therefore ethical approval for this analysis was not required.

Patient and public involvement

There was no patient or public involvement in study design, analysis or reporting.

Results

Global consumption of antibiotics, 2011–15

75 countries / regions contributed data to IQVIA for the period 2011–15 (36 low/middle income settings and 39 high income settings). Overall, antibiotic consumption increased from 6.85×10^{10} SU in 2011 to 7.44×10^{10} SU in 2015, an increase of 8.6%. Consumption of CAFs increased by 7.3%, from 1.66×10^{10} SU to 1.78×10^{10} SU. These estimates correspond to CAGRs in consumption per person of 0.9% per year in overall consumption per person and 1.2% per year in consumption per child of CAFs. Combining LMICs and HICs, the four antibiotics with the highest reported sales of CAFs in 2015 were amoxicillin (4.21×10^9 SU), amoxicillin with clavulanic acid (3.55×10^9 SU), cefixime (1.86×10^9 SU) and sulfamethoxazole with trimethoprim (0.88×10^9 SU).

The volume of antibiotics consumed (measured in SU) was higher in LMICs than HICs, both overall and for CAFs (partially reflecting the higher population in LMICs); however, consumption per person was higher in HICs (Figure 1). The increase in consumption seen in LMICs between 2011 and 2015 was mostly due to an increase reported from China. From 2012 to 2015, reported consumption of antibiotics changed relatively little in LMICs contributing to IQVIA (from 32.0 SU/child to 32.2 SU/child for CAFs and from 9.9 SU/person to 10.8 SU/person in total). For HICs, there were slight reductions in volume of consumption between 2011 and 2015: 6.7% in consumption/child of CAFs and 4.8% in consumption/person overall (Figure 1). These estimates for HICs correspond to CAGRs of -1.4% per year for CAFs and -1.0% per year overall.

The patterns of change in consumption per person, as measured by the CAGR, differed markedly between countries in both LMICs and HICs, although the range of changes was greater in the former (Figure 2). The median CAGR in CAF consumption per child was a reduction of 0.18% per year (IQR 2.4% decrease to 2.1% increase per year) in LMICs and a reduction of 1.8% per year (IQR 2.7% decrease to 0.7% increase per year) in HICs. For all formulations, the median CAGR in consumption per person was a 0.37% decrease per year (IQR 2.4% decrease to 1.5% increase per year) in LMICs and a 1.1% decrease per year (IQR 1.8% decrease to 0.4% increase per year) in HICs.

Antibiotic use in relation to WHO stewardship categories

The highest levels of consumption per person and per child were reported for Access antibiotics overall and for CAFs (Figure 3). For CAFs, consumption per child of Watch and Unclassified antibiotics was similar between LMICs and HICs, although Access use was considerably higher in the latter. Consumption of Reserve drugs was very low in both income settings.

There was little change in the consumption of Access and Watch drugs over (Table 1), with the largest magnitude CAGR being a median 2.8% per year decrease in consumption of Watch antibiotics in CAFs in HICs. Again, there were substantial variations between countries, particularly for Watch antibiotics for which increases in consumption were generally greater in LMICs to HICs (Appendix Figure 1).

Table 1: Compound annual growth rates in consumption of Access and Watch antibiotics, overall and in child-appropriate formulations, 2011-2015. Source: IQVIA.

Antibiotic stewardship category	CAGR, all formulations (% per year, median [IQR])		CAGR, CAFs (% per year, median [IQR])	
	LMICs	HICs	LMICs	HICs
Access	-0.4 [-3.1 to 1.6]	-0.6 [-1.9 to 1.1]	-0.5 [-2.9 to 2.4]	-0.7 [-2.3 to 1.2]
Watch	0.5 [-0.5 to 2.6]	-1.6 [-3.5 to 0.6]	1.6 [-1.0 to 4.7]	-2.8 [-8.6 to -0.5]

Changes in use of amoxicillin and amoxicillin with clavulanic acid

In HICs, consumption of CAFs of amoxicillin and amoxicillin with clavulanic acid changed relatively little over the period 2011-15 (Figure 4), with CAGRs of 0.5% per year and -1.0% per year, respectively. In LMICs, the CAGR for amoxicillin was again relatively small (0.14% per year). However, usage per child of CAFs of amoxicillin with clavulanic acid increased at a rate of 6.8% per year, with usage almost equalling that of CAFs of amoxicillin alone in 2015.

Again, these global trends obscured marked variation at country level in both HICs and LMICs (Appendix Figure 2). Increases in use were more likely in LMICs than HICs, particularly for amoxicillin with clavulanic acid.

Discussion

We present the first international analysis of temporal changes in antibiotic consumption according to formulation type. Global antibiotic consumption (both overall and of child-appropriate formulations), as measured in standard units by IQVIA sales data, changed relatively little between 2011 and 2015. However, there were marked differences between countries in trends over time, with some countries showing substantial increases in antibiotic use, and trends differed by antibiotic. Global use of last-resort (Reserve) drugs was low. Consumption per child of antibiotics in child-appropriate formulations was higher than consumption per capita overall, consistent with the expected high consumption amongst young children^{18 29}.

The IQVIA dataset is unique in providing extensive, long-term information from a large number of countries, and the reported data are internally validated against alternative sources of sales data³⁰. However, there are several limitations in using this dataset to estimate trends in antibiotic consumption. The data are not intended to necessarily reflect countries' full national sales, but only the sectors or distribution channels from which data are collected. For example, in LMICs, no hospital data are collected in 17 countries, and in some of these only the purchases made from commercial wholesalers are included (see Appendix Table 1 for further information). Coverage in LMICs also refers mainly to community antibiotic use whereas HICs also include hospital data. Consequently, it is difficult to define the population covered in each country; we used national population denominators but these may overestimate the population covered, particularly in LMICs, leading us to underestimate antibiotic sales per person (and per child). Variations in representativeness and coverage between countries and over time make it difficult to assess the comparability of data between individual countries; for this reason, we have not presented data for named countries but instead highlight apparent variation.

Furthermore, many low-income countries, where the infection burden and therefore need for antibiotics are greatest (and where access to, and rational prescribing of, antibiotics may be particularly difficult³¹), are not represented in the dataset (only seven low-income countries, all within the aggregated region of Francophone West Africa, contributed to IQVIA over the study period). Finally, sales data do not necessarily reflect consumption; the extent to which they do may vary between settings (e.g. due to differences in healthcare systems, and the volume of imports and exports). Despite these important biases, the overall direction of which is difficult to ascertain, the dataset provides a useful indication of global trends in antibiotic use. Thus, while there is potential for error in our quantitative estimates, our key conclusions are likely to be valid and highlight the need for countries to examine local consumption data in the context of their national epidemiology, resistance patterns, and data collection systems.

1
2
3 Understanding to whom antibiotics are prescribed can assist with planning stewardship activities.
4 Unfortunately, sales data do not easily lend themselves to analysis of consumption by specific groups,
5 for example by age. We therefore used the type of formulation as a proxy for age, assuming that the
6 defined child-appropriate formulations would be prescribed to children aged <5 years (and using
7 corresponding population denominators). The classification of CAFs was developed by two senior
8 paediatric research pharmacists but is somewhat subjective. CAFs may be used by older children and
9 by adults, particularly those with swallowing difficulties due to advanced age or co-morbidities.
10 Consequently, we may have overestimated antibiotic consumption by young children, particularly in
11 high-income countries where elderly individuals and those with comorbidities comprise a larger
12 percentage of the population. The extent of this error might increase over time, although over the
13 relatively short study period any such change is likely to be minor. These factors could disguise any
14 true reduction in childhood antibiotic consumption over time. Despite this, we saw a slight reduction in
15 consumption of CAFs in HICs, and little change in LMICs. Conversely, formulations which could be
16 given to both adults and children (e.g. intravenous) were not considered child-appropriate, which
17 would underestimate total antibiotic consumption by children. For example, cefazolin and amikacin
18 (which appear on the EMLc as powder and solution for injection, respectively and are classified as
19 Access drugs ²⁷) are not available as CAFs; and solid formulations of drugs other than antibiotics may
20 be used by young children when paediatric formulations are not available ³². In addition, off-label use
21 of formulations not approved for use in children would not be accounted for in our analysis of CAFs.
22 Our defined CAFs are likely to be mainly consumed in the community, where the majority of antibiotic
23 use occurs ³³; these data must therefore be considered alongside hospital data to obtain a fuller
24 picture of antibiotic consumption.
25
26
27
28
29
30
31
32
33
34
35

36 Apparent changes in antibiotic consumption may be (at least partially) artefacts of changes in
37 reporting practices or drug availability. Any real increases in consumption of antibiotics may reflect
38 improved access to appropriate treatments or increasing inappropriate use. We cannot distinguish
39 between these factors, as the data did not include information on indications for prescriptions or
40 microbiological data. Furthermore, an increase in consumption of antibiotics in CAFs may represent a
41 shift to using these formulations in preference to others. Without patient-level data on clinical
42 indication and causative organism (including antibiotic resistance profiles), we cannot assess the
43 appropriateness of prescribing. Assessing the suitability of CAFs is also beyond the scope of this
44 paper (e.g. parents may have difficulty reconstituting powders ³⁴, and liquid formulations may require
45 refrigeration ³⁵).
46
47
48
49
50
51

52 Existing data on trends in antibiotic consumption at a global level, especially in the community, are
53 fairly limited. Considering all age groups, a previous analysis of IQVIA data (2000-2010) reported a
54 dramatic increase in consumption globally, particularly in the BRICS countries (Brazil, Russia, India,
55 China and South Africa), but trends varied between countries. A recent analysis reported that this
56 increase continued up to 2015, with consumption measured in defined daily doses (DDDs), and that
57 patterns of change varied by country and antibiotic ². This contrasts with the plateau in consumption
58
59
60

1
2
3 measured in SUs which we report. This is most likely accounted for by the different units used. We
4 opted not to convert SUs (as available in the dataset) to DDDs, as DDDs do not account for the age-
5 or weight-based dosing used in treating children of different ages and sizes. DDDs could also be
6 influenced by changes in dosage. However, the differences which we highlight between high and
7 lower income countries are consistent with the previous analysis ², and we add information on the
8 AWaRe categories and two specific highly used drugs, amoxicillin and amoxicillin with clavulanic acid.
9 Our results are also consistent with broader literature showing different trends in antibiotic
10 consumption, measured from a variety of data sources, over time in different settings. For example,
11 electronic primary care data from five European countries showed no evidence of a trend in the
12 prevalence of antibiotic prescribing between 2004 and 2009 ¹⁶, whereas numbers of antibiotic
13 prescriptions and sales increased by 25% and 57%, respectively, between 2008 and 2011 in Namibia
14 ³⁶.

15
16
17
18
19
20
21
22 Data on trends in antibiotic use by children are also limited but again suggest variation according to
23 both country and antibiotic ²²⁻²⁵. Our analyses provide results from a single dataset for 75
24 countries/regions, aiding understanding of overall patterns of antibiotic usage to complement previous
25 national and regional analyses and highlighting differences between high and low/middle income
26 settings, not only in overall trends but also in use of specific antibiotics. Ecological studies such as
27 these provide valuable indications of potential areas for improvements in antibiotic prescribing, but
28 longitudinal, individual-level cohort studies are also needed to give insight into individual-level
29 patterns, determinants and indications for antibiotic use ^{6 7}.

30
31
32
33
34
35 Whilst preferences for different types of antibiotics vary between settings ^{16 37-39}, amoxicillin, with or
36 without clavulanic acid, is frequently reported to be one of the most commonly used, particularly in
37 community prescribing ^{6 36-38 40 41}. Although recommended as a first-choice treatment only for specific
38 indications ²⁷, CAFs of amoxicillin with clavulanic acid were sold in volumes similar to those of
39 amoxicillin alone in LMICs. The reasons for this increase, which was not driven by any particular
40 country, are unclear, but could relate to real or perceived increases in resistance to amoxicillin, or
41 increases in dosage or duration of treatment. The increase in sales of amoxicillin with clavulanic acid
42 may mean that children receive more expensive treatment (depending on the precise formulation) ⁴²,
43 with little additional clinical benefit.

44
45
46
47
48
49 Antibiotics which appear on the EMLc are categorised into the Access, Watch and Reserve groups to
50 assist with stewardship ²⁷. However, antibiotics not on the EMLc (the “Unclassified” category) account
51 for a substantial percentage of antibiotic consumption in the IQVIA dataset. This is a heterogeneous
52 group of drugs and combinations. Some of these are widely used treatments which are generally
53 considered safe and effective and may be appropriate treatments, but do not meet the current criteria
54 for being on the EMLc, e.g. second generation cephalosporins and several narrow-spectrum or beta-
55 lactamase resistant penicillins. Others are variations on combinations which appear on the EMLc, e.g.
56 amoxicillin with beta lactamase inhibitors other than clavulanic acid. Still others are drug
57
58
59
60

1
2
3 combinations, some, all or none of the constituents of which may be on the EMLc. We have not
4 analysed the composition of these combinations. Previous analysis has found a high proportion of
5 Watch and Reserve consumption in India to be accounted for by fixed dose combinations (FDCs) ⁴³.
6 Based on IQVIA data from eight Latin American countries (1999-2009), 70% of FDCs were
7 considered to lack evidence in support of combined use and a further 21% were considered
8 potentially unsafe, with 20% of consumption in 2009 being FDCs ⁴⁴. These studies highlight the need
9 to analyse these combinations in detail and to consider their implications for stewardship
10 programmes.
11
12
13
14
15

16 *Conclusions*

17 Global antibiotic consumption, as measured in standard units and recorded in IQVIA sales data,
18 changed relatively little between 2011 and 2015. However, the direction and magnitude of change
19 differed between countries and drugs, for both total consumption and consumption of child-
20 appropriate formulations, although these comparisons are subject to several caveats. The relative
21 importance of improvements in access to appropriate treatments versus changes in inappropriate
22 usage remains to be determined.
23
24
25
26
27
28

29 *Acknowledgements*

30 We are grateful to Stephen Tomlin for assistance with classifying the child-appropriate formulations.
31
32

33 *Contributors*

34 CJ analysed the data with input from YH, JAB, SE and MS, and drafted the paper. PS and ICKW
35 obtained the data. All authors contributed to the interpretation of the results and critically revised the
36 manuscript. The corresponding author attests that all listed authors meet authorship criteria and that
37 no others meeting the criteria have been omitted.
38
39
40
41

42 *Funding*

43 This study was funded by the Netherlands Ministry of Health, Welfare and Sport. The funder had no
44 role in the study design, analysis and interpretation of data, the writing of the report, or the decision to
45 submit the article for publication.
46
47
48

49 *Competing interests*

50 PS is employed by IQVIA, which is funded by both industry and governments to collect, process and
51 analyse information on medicine usage. All other authors report no conflicts of interest.
52
53
54

55 *Data sharing*

56 No additional data available
57
58

59 *Transparency declaration*

1
2
3 The lead author (CJ) affirms that the manuscript is an honest, accurate, and transparent account of
4 the study being reported; that no important aspects of the study have been omitted; and that any
5 discrepancies from the study as originally planned have been explained.
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Confidential: For Review Only

References

1. World Health Organization. Global action plan on antimicrobial resistance, 2015.
2. Klein EY, Van Boeckel TP, Martinez EM, et al. Global increase and geographic convergence in antibiotic consumption between 2000 and 2015. *Proceedings of the National Academy of Sciences of the United States of America* 2018;115(15):E3463-e70. doi: 10.1073/pnas.1717295115 [published Online First: 2018/03/28]
3. Van Boeckel T, Laxminarayan R. Correction to global antibiotic consumption data. *The Lancet Infectious diseases* 2017;17(5):476-77. doi: 10.1016/s1473-3099(17)30187-1 [published Online First: 2017/04/28]
4. Van Boeckel TP, Gandra S, Ashok A, et al. Global antibiotic consumption 2000 to 2010: an analysis of national pharmaceutical sales data. *The Lancet Infectious diseases* 2014;14(8):742-50. doi: 10.1016/s1473-3099(14)70780-7 [published Online First: 2014/07/16]
5. van Riet-Nales DA, Schobben AF, Vromans H, et al. Safe and effective pharmacotherapy in infants and preschool children: importance of formulation aspects. *Arch Dis Child* 2016;101(7):662-9. doi: 10.1136/archdischild-2015-308227 [published Online First: 2016/03/17]
6. Hobbs MR, Grant CC, Ritchie SR, et al. Antibiotic consumption by New Zealand children: exposure is near universal by the age of 5 years. *The Journal of antimicrobial chemotherapy* 2017;72(6):1832-40. doi: 10.1093/jac/dkx060 [published Online First: 2017/03/24]
7. Rogawski ET, Platts-Mills JA, Seidman JC, et al. Use of antibiotics in children younger than two years in eight countries: a prospective cohort study. *Bulletin of the World Health Organization* 2017;95(1):49-61. doi: 10.2471/blt.16.176123 [published Online First: 2017/01/06]
8. Sticchi C, Alberti M, Artioli S, et al. Regional point prevalence study of healthcare-associated infections and antimicrobial use in acute care hospitals in Liguria, Italy. *The Journal of hospital infection* 2017 doi: 10.1016/j.jhin.2017.12.008 [published Online First: 2017/12/19]
9. Cai Y, Venkatachalam I, Tee NW, et al. Prevalence of Healthcare-Associated Infections and Antimicrobial Use Among Adult Inpatients in Singapore Acute-Care Hospitals: Results From the First National Point Prevalence Survey. *Clinical infectious diseases : an official publication of the Infectious Diseases Society of America* 2017;64(suppl_2):S61-s67. doi: 10.1093/cid/cix103 [published Online First: 2017/05/06]
10. Phu VD, Wertheim HF, Larsson M, et al. Burden of Hospital Acquired Infections and Antimicrobial Use in Vietnamese Adult Intensive Care Units. *PloS one* 2016;11(1):e0147544. doi: 10.1371/journal.pone.0147544 [published Online First: 2016/01/30]
11. Ren N, Zhou P, Wen X, et al. Point prevalence survey of antimicrobial use in Chinese hospitals in 2012. *American journal of infection control* 2016;44(3):332-9. doi: 10.1016/j.ajic.2015.10.008 [published Online First: 2016/01/01]
12. Alfandari S, Robert J, Pean Y, et al. Antibiotic use and good practice in 314 French hospitals: The 2010 SPA2 prevalence study. *Medecine et maladies infectieuses* 2015;45(11-12):475-80. doi: 10.1016/j.medmal.2015.10.001 [published Online First: 2015/11/28]

- 1
2
3 13. Cotta MO, Robertson MS, Upjohn LM, et al. Using periodic point-prevalence surveys to assess
4 appropriateness of antimicrobial prescribing in Australian private hospitals. *Internal medicine*
5 *journal* 2014;44(3):240-6. doi: 10.1111/imj.12353 [published Online First: 2014/01/01]
6
7
- 8 14. Ansari F, Erntell M, Goossens H, et al. The European surveillance of antimicrobial consumption
9 (ESAC) point-prevalence survey of antibacterial use in 20 European hospitals in 2006.
10 *Clinical infectious diseases : an official publication of the Infectious Diseases Society of*
11 *America* 2009;49(10):1496-504. doi: 10.1086/644617 [published Online First: 2009/10/22]
12
- 13 15. Baggs J, Fridkin SK, Pollack LA, et al. Estimating National Trends in Inpatient Antibiotic Use
14 Among US Hospitals From 2006 to 2012. *JAMA internal medicine* 2016;176(11):1639-48. doi:
15 10.1001/jamainternmed.2016.5651 [published Online First: 2016/09/23]
16
- 17 16. Brauer R, Ruigomez A, Downey G, et al. Prevalence of antibiotic use: a comparison across
18 various European health care data sources. *Pharmacoepidemiology and drug safety* 2016;25
19 Suppl 1:11-20. doi: 10.1002/pds.3831 [published Online First: 2015/07/15]
20
- 21 17. Padget M, Tamarelle J, Herindrainy P, et al. A community survey of antibiotic consumption among
22 children in Madagascar and Senegal: the importance of healthcare access and care quality.
23 *The Journal of antimicrobial chemotherapy* 2017;72(2):564-73. doi: 10.1093/jac/dkw446
24 [published Online First: 2017/01/25]
25
- 26 18. Versporten A, Sharland M, Bielicki J, et al. The antibiotic resistance and prescribing in European
27 Children project: a neonatal and pediatric antimicrobial web-based point prevalence survey in
28 73 hospitals worldwide. *The Pediatric infectious disease journal* 2013;32(6):e242-53. doi:
29 10.1097/INF.0b013e318286c612 [published Online First: 2013/07/11]
30
- 31 19. Amadeo B, Zarb P, Muller A, et al. European Surveillance of Antibiotic Consumption (ESAC) point
32 prevalence survey 2008: paediatric antimicrobial prescribing in 32 hospitals of 21 European
33 countries. *The Journal of antimicrobial chemotherapy* 2010;65(10):2247-52. doi:
34 10.1093/jac/dkq309 [published Online First: 2010/08/18]
35
- 36 20. Ciofi Degli Atti ML, Raponi M, Tozzi AE, et al. Point prevalence study of antibiotic use in a
37 paediatric hospital in Italy. *Euro surveillance : bulletin Europeen sur les maladies*
38 *transmissibles = European communicable disease bulletin* 2008;13(41) [published Online
39 First: 2008/10/18]
40
- 41 21. Blinova E, Lau E, Bitnun A, et al. Point prevalence survey of antimicrobial utilization in the cardiac
42 and pediatric critical care unit. *Pediatric critical care medicine : a journal of the Society of*
43 *Critical Care Medicine and the World Federation of Pediatric Intensive and Critical Care*
44 *Societies* 2013;14(6):e280-8. doi: 10.1097/PCC.0b013e31828a846d [published Online First:
45 2013/07/05]
46
- 47 22. Vaz LE, Kleinman KP, Raebel MA, et al. Recent trends in outpatient antibiotic use in children.
48 *Pediatrics* 2014;133(3):375-85. doi: 10.1542/peds.2013-2903 [published Online First:
49 2014/02/04]
50
- 51 23. Marra F, Patrick DM, Chong M, et al. Antibiotic use among children in British Columbia, Canada.
52 *The Journal of antimicrobial chemotherapy* 2006;58(4):830-9. doi: 10.1093/jac/dkl275
53 [published Online First: 2006/08/22]
54
55
56
57
58
59
60

- 1
2
3 24. Sommet A, Sermet C, Boelle PY, et al. No significant decrease in antibiotic use from 1992 to
4 2000, in the French community. *The Journal of antimicrobial chemotherapy* 2004;54(2):524-8.
5 doi: 10.1093/jac/dkh342 [published Online First: 2004/07/10]
6
- 7 25. Holstiege J, Schink T, Molokhia M, et al. Systemic antibiotic prescribing to paediatric outpatients
8 in 5 European countries: a population-based cohort study. *BMC pediatrics* 2014;14:174. doi:
9 10.1186/1471-2431-14-174 [published Online First: 2014/07/07]
10
- 11 26. World Bank. World Bank Country and Lending Groups 2018 [Available from:
12 [https://datahelpdesk.worldbank.org/knowledgebase/articles/906519-world-bank-country-and-](https://datahelpdesk.worldbank.org/knowledgebase/articles/906519-world-bank-country-and-lending-groups)
13 [lending-groups](https://datahelpdesk.worldbank.org/knowledgebase/articles/906519-world-bank-country-and-lending-groups) accessed 8 March 2018.
14
- 15 27. World Health Organization. WHO Model Lists of Essential Medicines 2017 [6th:[Available from:
16 <http://www.who.int/medicines/publications/essentialmedicines/en/> accessed 15 September
17 2017.
18
- 19 28. United Nations. World Population Prospects 2017 2017 [Available from:
20 <https://esa.un.org/unpd/wpp/>.
21
- 22 29. Blix HS, Engeland A, Litlekare I, et al. Age- and gender-specific antibacterial prescribing in
23 Norway. *Journal of Antimicrobial Chemotherapy* 2007;59(5):971-76. doi: 10.1093/jac/dkm032
24
- 25 30. IQVIA. ACTS: IQVIA Quality Assurance 2018 [Available from: <https://www.iqvia.com/landing/acts>
26 accessed 10 October 2018.
27
- 28 31. Cox JA, Vlieghe E, Mendelson M, et al. Antibiotic stewardship in low- and middle-income
29 countries: the same but different? *Clinical microbiology and infection : the official publication*
30 *of the European Society of Clinical Microbiology and Infectious Diseases* 2017;23(11):812-18.
31 doi: 10.1016/j.cmi.2017.07.010 [published Online First: 2017/07/18]
32
- 33 32. O'Brien DP, Sauvageot D, Zachariah R, et al. In resource-limited settings good early outcomes
34 can be achieved in children using adult fixed-dose combination antiretroviral therapy. *AIDS*
35 *(London, England)* 2006;20(15):1955-60. doi: 10.1097/01.aids.0000247117.66585.ce
36 [published Online First: 2006/09/22]
37
- 38 33. European Centre for Disease Prevention and Control. Summary of the latest data on antibiotic
39 consumption in the European Union: ESAC-Net surveillance data, November 2017.
40 Stockholm, 2017.
41
- 42 34. Berthe-Aucejo A, Girard D, Lorrot M, et al. Evaluation of frequency of paediatric oral liquid
43 medication dosing errors by caregivers: amoxicillin and josamycin. *Arch Dis Child*
44 2016;101(4):359-64. doi: 10.1136/archdischild-2015-309426 [published Online First:
45 2016/01/06]
46
- 47 35. Hoppu K, Sri Ranganathan S, Dodoo AN. Realities of paediatric pharmacotherapy in the
48 developing world. *Arch Dis Child* 2011;96(8):764-8. doi: 10.1136/adc.2009.180000 [published
49 Online First: 2011/03/29]
50
- 51 36. Pereko DD, Lubbe MS, Essack SY. Surveillance of antibiotic use in the private sector in Namibia
52 using sales and claims data. *Journal of infection in developing countries* 2016;10(11):1243-
53 49. doi: 10.3855/jidc.7329 [published Online First: 2016/11/26]
54
55
56
57
58
59
60

- 1
2
3 37. Dik JW, Sinha B, Friedrich AW, et al. Cross-border comparison of antibiotic prescriptions among
4 children and adolescents between the north of the Netherlands and the north-west of
5 Germany. *Antimicrobial resistance and infection control* 2016;5:14. doi: 10.1186/s13756-016-
6 0113-8 [published Online First: 2016/04/21]
7
8
9 38. Versporten A, Bielicki J, Drapier N, et al. The Worldwide Antibiotic Resistance and Prescribing in
10 European Children (ARPEC) point prevalence survey: developing hospital-quality indicators
11 of antibiotic prescribing for children. *The Journal of antimicrobial chemotherapy*
12 2016;71(4):1106-17. doi: 10.1093/jac/dkv418 [published Online First: 2016/01/10]
13
14 39. Versporten A, Bolokhovets G, Ghazaryan L, et al. Antibiotic use in eastern Europe: a cross-
15 national database study in coordination with the WHO Regional Office for Europe. *The Lancet*
16 *Infectious Diseases* 2014;14(5):381-87. doi: [https://doi.org/10.1016/S1473-3099\(14\)70071-4](https://doi.org/10.1016/S1473-3099(14)70071-4)
17
18
19 40. Lass J, Odlind V, Irs A, et al. Antibiotic prescription preferences in paediatric outpatient setting in
20 Estonia and Sweden. *SpringerPlus* 2013;2(1):124. doi: 10.1186/2193-1801-2-124 [published
21 Online First: 2013/05/15]
22
23 41. Buccellato E, Melis M, Biagi C, et al. Use of Antibiotics in Pediatrics: 8-Years Survey in Italian
24 Hospitals. *PloS one* 2015;10(9):e0139097. doi: 10.1371/journal.pone.0139097 [published
25 Online First: 2015/09/26]
26
27 42. UNICEF / WHO. Sources and Prices of Selected Medicines for Children. Including Therapeutic
28 Food, Dietary Vitamin and Mineral Supplementation - 2nd edition.
29
30 43. McGettigan P, Roderick P, Kadam A, et al. Access, Watch, and Reserve antibiotics in India:
31 challenges for WHO stewardship. *The Lancet Global health* 2017;5(11):e1075-e76. doi:
32 10.1016/s2214-109x(17)30365-0 [published Online First: 2017/10/14]
33
34
35 44. Wirtz VJ, Mol PG, Verdijk J, et al. Use of antibacterial fixed-dose combinations in the private
36 sector in eight Latin American Countries between 1999 and 2009. *Tropical medicine &*
37 *international health : TM & IH* 2013;18(4):416-25. doi: 10.1111/tmi.12068 [published Online
38 First: 2013/02/06]
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Figure legends

Figure 1: Global antibiotic consumption, all formulations and child-appropriate formulations in low/middle and high income countries, 2011-2015. Consumption is measured in SU and in SU/person (all formulations) or SU/child aged 0-4 years (child-appropriate formulations). Source: IQVIA. Note the differing y axis scales.

Figure 2: Compound annual growth rate in consumption per person (all formulations) and per child aged 0-4 years (child-appropriate formulations) of antibiotics, 2011-2015, for individual countries, by country income. Source: IQVIA.

Figure 3: Consumption per person (all formulations) and per child aged 0-4 years (child-appropriate formulations) of antibiotics in Access, Watch, Reserve and Unclassified categories, 2011-2015, in low/middle and high income settings. Source: IQVIA.

Figure 4: Consumption of amoxicillin and amoxicillin with clavulanic acid, per child aged 0-4 years, 2011-2015. Source: IQVIA.