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1 Perfusion by Arterial Spin Labelling following Single Dose Tadalafil in Small Vessel Disease
2 (PASTIS): study protocol for a randomized controlled trial
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33

34 **Abstract**

35 Background. Cerebral small vessel disease is a common cause of vascular cognitive
36 impairment in older people, with no licensed treatment. Cerebral blood flow is reduced in
37 small vessel disease. Tadalafil is a widely-prescribed phosphodiesterase-5 inhibitor that
38 increases blood flow in other vascular territories. The aim of this trial is to test the hypothesis
39 that tadalafil increases cerebral blood flow in older people with small vessel disease.

40 Methods. PASTIS is a phase II randomised double-blind crossover trial. In two visits, 7-30
41 days apart, participants undergo arterial spin labelling to measure cerebral blood flow and a
42 battery of cognitive tests, pre and post-dosing with oral tadalafil (20 mg) or placebo.

43 Sample size: 54 participants are required to detect a 15% increase in cerebral blood flow in
44 subcortical white matter ($p < 0.05$, 90% power).

45 Primary outcomes are cerebral blood flow in subcortical white matter and deep grey nuclei.

46 Secondary outcomes are cortical grey matter cerebral blood flow and performance on
47 cognitive tests (reaction time, information processing speed, digit span forwards and
48 backwards, semantic fluency).

49 Discussion. Recruitment started on 4th September 2015 and 25 participants have completed
50 to date (8th November 2016). No serious adverse events have occurred. All participants have
51 been recruited from one centre, St George's University Hospitals NHS Foundation Trust.

52 Trial registration. European Union Clinical Trials Register (EudraCT number 2015-001235-
53 20) registered: 13th May 2015.

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55 **Keywords** tadalafil cerebral blood flow vascular cognitive impairment

56 vascular dementia phosphodiesterase MRI arterial spin labelling

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59 **Background**

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3 60 Cerebral small vessel disease (SVD) is a frequent cause of vascular cognitive impairment
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5 61 (VCI) in older adults [1-4]. There is currently no licensed treatment for SVD or for VCI [1,
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8 62 2]. There is evidence from some previous studies to suggest that cerebral blood flow (CBF) is
9
10 63 reduced in SVD, particularly in subcortical white matter [5-10]. We hypothesize that
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13 64 increasing CBF has potential to be both a symptomatic and a disease-modifying treatment for
14
15 65 SVD and VCI.

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18 66 Phosphodiesterase-5 inhibitors (PDE5i) such as sildenafil and tadalafil are well-established
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21 67 pharmacological vasodilators, causing enhanced nitric oxide-cGMP signalling in peripheral
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23 68 small arteries [11-13]. PDE5i are widely-used in treatment of erectile dysfunction and
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26 69 pulmonary hypertension [13]. PDE5 mRNA and protein are also found in human brain tissue
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28 70 [12, 14, 15]. Side-effect profiles are well-known and the drugs are well-tolerated in the target
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31 71 population [16-18]. In a meta-analysis of 28 placebo-controlled trials [18] overall incidence
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33 72 of myocardial infarction, cardiovascular death, or cerebrovascular death in tadalafil-treated
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36 73 patients did not differ from placebo. Incidence of these adverse events was independent of
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38 74 dosing regimen and duration of tadalafil therapy (up to 27 months) [18]. The choice of
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40
41 75 tadalafil (over other PDE5i) was based on long plasma half-life (17 h in healthy adults) [16,
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43 76 17] and established brain penetration (brain:plasma ratio 1:10 in rodents and primates) [12,
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45 77 19]. This study will test whether single dose tadalafil increases CBF in older people with
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48 78 neuroradiological and clinical evidence of SVD.

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3 83 **Methods**

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6 84 **Objectives**

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9 85 The aim of this study is to test the hypothesis that tadalafil increases cerebral blood flow in
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11 86 subcortical areas in older people with symptomatic small vessel disease.

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15 88 **Design of the Study**

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18 89 PASTIS is a phase II double-blind crossover trial. Participants are randomised to order of
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20 90 treatment (tadalafil 20 mg, placebo; oral administration). Two visits are performed 7-30 days
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22 91 apart, with perfusion MRI and a battery of cognitive tests pre and 3-5 hours post dosing (see
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24 92 Figure 1).

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28 93 ***** **Figure 1 near here**

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31 94 **A SPIRIT checklist is appended (see Table 1).**

95 **Table 1.** Schedule of enrolment, interventions, and assessments in the PASTIS trial. From
 96 PASTIS Protocol v4, 27 Jan 2016.

Study Procedures	Visit 0	Visit 1 – Day 1 (< 60 day window)			Visit 2 (7 - 30 days later)		
	Screening	before IMP dose	IMP dosing	3-6 hrs post IMP dosing	before IMP dose	IMP dosing	3-6 hours post IMP dosing
Informed consent	x						
Inclusion/exclusion criteria	x						
Medical history	x						
Demographics	x						
Screening	x						
Modified Rankin Score	x						
MRI		x		x	x		x
Neuropsychological Test Batteries see Appendix 3	x	x		x	x		x
Dispensing/Administration of IMP			x			x	
Concomitant Medication	x	x			x		
Measure blood pressure	x	x		x	x		x
FBC*		x		x	x		x
plasma samples for Tadalafil drug levels				x			x

97
 98 *Sample for full blood count (FBC) to be taken immediately following 1st scan and 2nd scan
 99 on both visits.

100 Trial Endpoints

101 The primary endpoints are change in regional CBF in two sub-cortical brain areas (deep
102 white matter and deep grey nuclei). The secondary endpoints are i) change in regional CBF in
103 cortical grey matter, ii) change in neuropsychological test performance, iii) plasma tadalafil
104 concentration-dependence of any changes observed.

105

106 Setting of the Study

107 Participants are recruited from St George's University Hospital NHS Foundation Trust and
108 local Participant Identification Centre (PIC) sites. All patient visits, data management and
109 trial coordination are performed at St George's. PASTIS has been adopted on to the UK
110 NIHR Clinical Research Network Portfolio.

111

112 Characteristics of Participants

113 Participants are older people (men and women) without diagnosis of dementia who have
114 radiological and clinical evidence of symptomatic SVD. Following Informed consent the
115 following activities will occur at a screening visit (see Figure 1).

- 116 1. Trial eligibility criteria check
- 117 2. Medical history
- 118 3. Concomitant medication checklist: medications, dose and frequency
- 119 4. MRI suitability/contraindication checklist
- 120 5. Participant demographics, including ethnic origin.
- 121 6. Next of kin and GP contact details to be recorded if not already in medical notes or
122 check if still current and up-to-date.

- 123 7. Affix Clinical Trials Alert sticker to front of the medical notes
1
2 124 8. Complete Case Report Form screening page ensuring the participant trial ID is
3 included.
4 125
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6
7 126 9. Test of premorbid functioning (TOPF) – to establish estimated levels of cognitive
8 functioning pre-illness
9 127
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11 128 10. NIH Stroke Scale (NIHSS)
12
13 129 11. Montreal Cognitive Assessment (MoCA) to establish estimated levels of cognitive
14 functioning
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17 131 12. Record the Modified Rankin Score (mRS)
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25 133 Inclusion Criteria
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- 28 134 1. Radiological evidence of cerebral SVD defined as: MRI evidence of lacunar infarct(s)
29 (≤ 1.5 cm maximum diameter) and/or confluent deep WMH (\geq grade 2 on Fazekas
30 scale)
31 135
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36 137 2. Clinical evidence of SVD, including:
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40 138 a) lacunar stroke syndrome with symptoms lasting >24 hours, occurring at least 6
41 months prior to visit 1; or:
42 139
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45 140 b) transient ischaemic attack (TIA) lasting < 24 hours with limb weakness, hemi-
46 sensory loss or dysarthria at least 6 months previously and with MRI Diffusion
47 Weighted Imaging performed acutely showing lacunar infarction, or if MRI is not
48 141 performed within 10 days of TIA, lacunar infarct in an anatomically-appropriate
49 area
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58 145 3. Age ≥ 50 years.
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146 4. Imaging of the carotid arteries with Doppler ultrasound, CT angiography or MR
147 angiography in the previous 12 months, demonstrating <70% stenosis in both internal
148 carotid arteries or <50% stenosis in both internal carotids if measured in previous 12-
149 60 months.

150

151 Exclusion Criteria

152 1. Known diagnosis of dementia

153 2. Cortical infarct (>1.5 cm maximum diameter)

154 3. Systolic BP <90 and/or diastolic BP <50 mmHg

155 4. Creatinine Clearance <30 ml/min

156 5. Severe hepatic impairment

157 6. History of lactose intolerance

158 7. Concomitant use of PDE5i e.g. sildenafil, tadalafil, vardenafil

159 8. receiving nicorandil or nitrates e.g. isosorbide mononitrate, GTN

160 9. Weight >130 kg

161 10. Uncontrolled cardiac failure

162 11. Persistent or paroxysmal atrial fibrillation

163 12. History of gastric ulceration

164 13. History of 'sick sinus syndrome' or other supraventricular cardiac conduction
165 conditions

166 14. Uncontrolled COPD

167 15. Stroke or TIA within 6 months

168 16. MRI not tolerated or contra-indicated

169 17. Known monogenic causes of stroke e.g. CADASIL

170 18. Unable to provide informed consent

171

172 Randomization

173 The randomisation list will be generated by Sharp Clinical Services, Crickhowell, Powys, UK
174 (<http://www.sharpservices.com/our-facilities/sharp-clinical-services-wales/>) and will be in
175 blocks as detailed in the Client Study Information form kept in the Sponsor Site File. The
176 participants will be acting as their own controls. Each participant will receive on two separate
177 occasions a placebo dose and a tadalafil 20mg stat dose which appear identical in size, shape,
178 weight and colour.

179 The patient pack numbers on the Pharmacy shelf correlates directly with the next available
180 pack number on the blinded randomisation list held in the Pharmacy site file. Each patient
181 pack contains two bottles, labelled as Bottle A and Bottle B. The randomisation list will be
182 confidential to the trial statistician and will be summarised as treatment arm A and B, and not
183 by Tadalafil and Placebo.

184

185 Measurement of Regional Cerebral Blood Flow

186 Whole brain perfusion will be determined by pseudo-continuous arterial spin labelling (ASL)
187 [20] in a 3T MRI scanner (Philips). A total 20 min pseudo-continuous ASL acquisition time
188 will be used to provide adequate signal-to-noise for CBF quantification in white matter. Other

189 image data acquired: an M_0 image, to enable quantification of CBF; high resolution 3D T1-
190 weighted images for identification of grey and white matter regions of interest (including
191 deep grey matter structures) for ASL analysis [20] and to map the ASL data to a standard
192 brain atlas; Fluid Attenuated Inversion Recovery (FLAIR) for delineation of white matter
193 hyperintensities (WMH); susceptibility weighted imaging for detection of micro-
194 haemorrhages. These will provide participant-specific WMH load and location of WMH.
195 Total scanning time is under 60 minutes per MRI session.

197 Cognitive Testing

198 Scores derived from the TOPF and MoCA instruments are recorded at the screening visit.

199 These are included in the analyses as baseline data. They are not used as Inclusion or

200 Exclusion criteria.

201 At the two dosing visits, the neuropsychological tests used are: Reaction Time (RTI) subtest
202 of Cambridge Cognition CANTAB; Speed of Information Processing (SoIP) subtest of Brain
203 Injury Rehabilitation Trust Memory and Information Processing Battery (BMIPB); Digit
204 Span (DS) Forwards and Backwards subtest of Repeatable Battery for the Assessment of
205 Neuropsychological Status (RBANS); Semantic Fluency subtest of RBANS.

207 Biochemical analyses

208 A blood sample is taken at the end of Visits 1 and 2 for haematocrit and full blood count.

209 Plasma samples are stored at $-80\text{ }^{\circ}\text{C}$ for subsequent analysis of plasma tadalafil concentration.

211 Details of the Intervention

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212 Each participant pack contains 1 bottle containing a single tadalafil 20mg capsule and 1
213 identical bottle containing a single matched placebo capsule. At each visit participants
214 undergo cognitive tests and the first MRI scanning session of the day. Participants are then
215 observed to swallow the appropriate investigational medicinal product (IMP) capsule, and
216 receive a standard light lunch (450-750 kcal and 500 ml fluid). They undergo an equivalent,
217 parallel version of the cognitive tests and the second MRI session of the day 3-5 h later. All
218 participants are given a 24 hour emergency contact card with: study title, details of IMP,
219 participant trial number, investigator's contact details and out-of-hours contact details (see
220 Figure 2).

221 ***** **Figure 2 near here**

222 All involved in the study (researchers, radiologists, pharmacists and participants) are blinded
223 to treatment allocation for the duration of the study. Emergency un-blinding will take place in
224 circumstances such as serious adverse events (SAE). Any SAE and safety endpoints will be
225 reported in line with Clinical Trial regulations SI2004/1031 and Sponsor's procedures. **We**
226 **do not anticipate any serious adverse reactions to the medication** since tadalafil is widely-
227 used clinically and well-tolerated. **The start point for SAE monitoring is the first intervention**
228 **visit, ending 5 days after the second visit (based on a drug elimination period of 6 half-lives**
229 **for the study medication, using a 20 hour half-life for tadalafil).**

230
231 Power Calculation

232 From previous ASL studies of regional CBF we estimate baseline perfusion of 30 (± 10)
233 ml/100g/min (mean \pm SD) in subcortical white matter and 70 (± 15) ml/100g/min in deep grey
234 nuclei [21, 22]. To detect a treatment effect of 15 % (mean paired difference) with statistical

235 power of 90% a sample size of N=24 is required in deep grey matter nuclei and N=54 in
236 subcortical white matter. We aim to recruit a target cohort of N=54.

237

238 Statistical Analysis

239 Baseline characteristics (age, sex, ethnic group, baseline BP, Modified Rankin Score, NIHSS,
240 TOPF, MoCA) will be summarised as mean (SD) or median (Q1, Q3) for continuous
241 variables, depending on distribution, and as number (percent) for categorical variables.

242 Changes in outcome variables will be calculated for each participant at each visit as (post-
243 dose value) minus (pre-dose value). Data will be analysed using a linear mixed effects

244 regression model with fixed effects for treatment (drug vs. placebo), visit (Visit 1, 2),

245 treatment sequence and baseline response; and a random effect for participant nested within

246 treatment sequence. Carry-over will be investigated by the treatment-by-visit interaction. If

247 statistically significant, data from each visit will be analysed separately within linear

248 regression models adjusting for treatment and pre-dose value. Clinical variables and other

249 possible confounders (e.g. blood pressure at the time of the scan) will be included in the

250 linear mixed effects models as adjustment variables. These will be pre-specified in the

251 Statistical Analysis Plan.

252 All analyses will be intention-to-treat and no adjustment will be made for missing data.

253 Statistical analyses will be performed using SAS® v9.3 for Windows or later. A p-value of

254 >0.05 indicates the absence of a statistically significant effect.

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256 Data Monitoring

257 Monitoring is performed by the Sponsor Clinical Trials Monitor in accordance with an agreed

258 Risk-based monitoring plan. Case Report Form entries are verified against the source

1 259 documents and the participant medical notes. All data are entered directly from Case Report
2 260 Forms to the PASTIS Access database by the PASTIS research team. Data transfer from the
3
4 261 Case Report Form will be double-checked and where corrections are required these will carry
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7 262 a full audit trail and justification. Trial data storage conforms to St George's institutional
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9 263 Information Governance policies. Trial data, evidence of monitoring and system audits will
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11 264 be made available for inspection by the Sponsor and regulatory authorities as required.
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17 266 **Discussion**

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20 267 This randomised double-blind crossover phase II study will test whether tadalafil (20 mg)
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22 268 increases CBF in older people with SVD. Tadalafil was chosen over other PDE5i (such as
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24 269 sildenafil or vardenafil) owing to the documented brain penetration [12, 19] and longer
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26
27 270 plasma half-life of tadalafil [16, 17]. In the present trial we are simply testing for acute
28
29 271 changes in response to a single dose of tadalafil. For this purpose a crossover design appeared
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31 272 optimal. In the event that a positive outcome is detected in the present study, it appears likely
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33 273 that a subsequent study testing tadalafil over a longer dosing period will be required. This
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35 274 will be needed to explore whether any tadalafil-mediated actions are maintained on chronic
36
37 275 dosing and to test for any additional adverse reactions in participants who are likely to be
38
39 276 taking concomitant stroke medications.

40
41 277 ASL was chosen to quantify regional CBF as it does not require injected radioisotopes or
42
43 278 gadolinium compounds as tracers [20-22]. This MRI-based approach also enables acquisition
44
45 279 of high resolution 3D T1-weighted images, T2-weighted FLAIR images and susceptibility
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47 280 weighted imaging. The neuropsychological tests that are used were chosen because each has
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49 281 four parallel versions of the test, to be applied at each screening point (Figure 1). The
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51 282 cognitive tests used measure processing speed, attention and executive function, which are
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283 affected in SVD, as well as working memory and semantic fluency. Nevertheless it may be
284 difficult to detect cognitive changes in such short term follow up as is employed here. The
285 cognitive data obtained from this trial may be of value in assessing sample size and feasibility
286 for any subsequent trial of tadalafil in cognitive function.

287 The trial commenced on 4th September 2015 and 25 participants have completed to date (8th
288 November 2016). In addition to the European Union Clinical Trials Register (EudraCT
289 number 2015-001235-20, date of registration: 13th May 2015) the trial has been registered on
290 ClinicalTrials.gov (NCT02450253, date of registration: 18th May 2015). No serious adverse
291 events have so far been observed. Inadvertent un-blinding due to the erectile effects of
292 tadalafil has not occurred so far as we are aware. Spontaneous penile erection has been
293 reported in a modest fraction (11%) of subjects taking 20 mg tadalafil [16, 17]. PASTIS is the
294 first Phase II clinical trial of a selective PDE5 inhibitor in older people with symptomatic
295 SVD. Outcomes are expected in late 2017 and may inform a larger trial for re-purposing of
296 tadalafil in SVD and VCI.

297

298 **Trial Status**

299 The PASTIS trial is ongoing at the time of manuscript submission. Patient recruitment has
300 not been completed.

301

302 **List of Abbreviations**

303 ASL: arterial spin labelling. CBF: cerebral blood flow. IMP: investigational medicinal
304 product. PDE5i: phosphodiesterase-5 inhibitor. SAE: serious adverse event. SVD: small
305 vessel disease. VCI: vascular cognitive impairment.

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307 Declarations

308 Ethics approval and consent to participate

309 The PASTIS study received NHS National Research Ethics Service approval on 6th May
310 2015 (London-Brent Research Ethics Committee Ref 15/LO/0714). PASTIS has received
311 MHRA authorisation (Ref 16745/0222/001-0001; 5th June 2015) and St George’s University
312 of London R&D approval (protocol number 14.0189; 4th Sept 2015). Informed consent to
313 participate is obtained from all participants in the study. The trial opened for recruitment on
314 4th September 2015 and the first participant was enrolled on 14th September 2015. The
315 PASTIS trial is sponsored by: Joint Research and Enterprise Office, St George’s University
316 of London, Cranmer Terrace, London SW17 0RE. A representative of the sponsor (DR) has
317 made input to trial design and has contributed to this report.

318
319 Consent for publication -- Not Applicable

320
321 Availability of data and material -- Not Applicable

322
323 Competing interests

324 The authors declare that they have no competing interests.

325
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329 number MR/N013638/1). The funders have no input to trial design, data collection or data
330 analysis.

331

332 Authors' contributions

333 JDI, TRB, FAH, DR, SB, JBM, BM, UK, ACP, CK, ER, AHH contributed to study design.

334 MMHP, ST, NC performed data collection. MMHP, TRB, CEH carried out data analysis.

335 MMHP, NC drafted the manuscript. All authors contributed to revising the manuscript. AHH
336 prepared the final manuscript. All authors read and approved the final manuscript.

337

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340 London for their support of the PASTIS study.

341

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358 Clinical Principal Investigator on the PASTIS trial. AHH is a Reader in Cerebrovascular
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360

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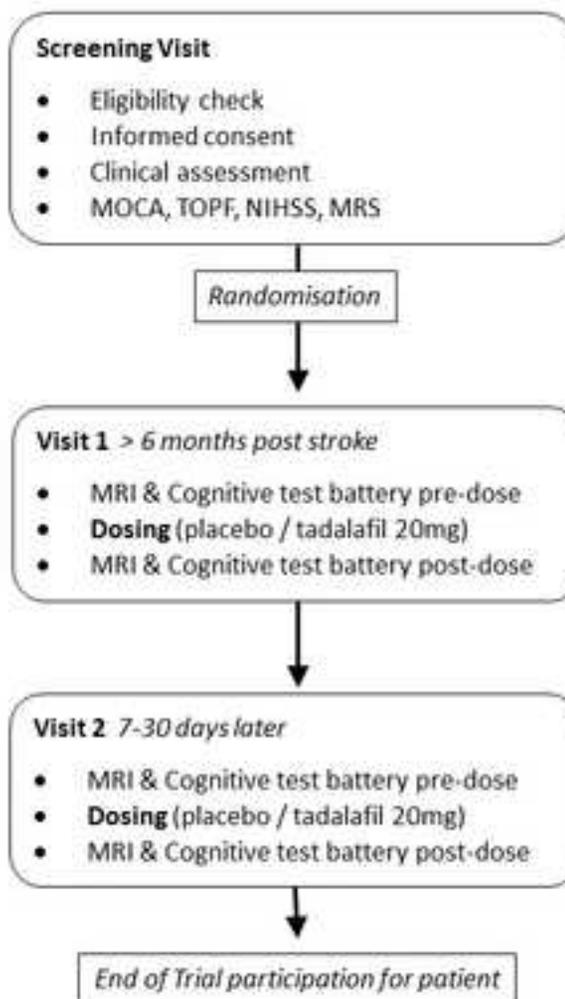
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This person is taking part in **PASTIS** a Randomised, placebo controlled, Cross-over Trial of Tadalafil 20mg Stat dose in SVD

PASTIS_14.0189 Patient ID/Pack#

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Tadalafil 20 mg PO Stat or matched Placebo PO Stat

PASTIS is coordinated by the Neurology Department, St George's Hospital & sponsored by St George's University of London

Please carry this card while you are participating in the study and show it to any other doctor who may be treating you.

Patient Name

In case of any medical problems or, if further information is required, please contact:

PI: Dr Jeremy Isaacs at St George's Hospital
Tel: 020 8725 4630

Out of hours contact: 020 8672 1255
and request the 'on call pharmacist'

Version 1.0_2nd April 2015 REC reference: 15/LO/0714

Table 1. Schedule of enrolment, interventions, and assessments in the PASTIS trial. From PASTIS Protocol v4, 27 Jan 2016.

Study Procedures	Visit 0	Visit 1 – Day 1 (< 60 day window)			Visit 2 (7 - 30 days later)		
	Screening	before IMP dose	IMP dosing	3-6 hrs post IMP dosing	before IMP dose	IMP dosing	3-6 hours post IMP dosing
Informed consent	x						
Inclusion/exclusion criteria	x						
Medical history	x						
Demographics	x						
Screening	x						
Modified Rankin Score	x						
MRI		x		x	x		x
Neuropsychological Test Batteries see Appendix 3	x	x		x	x		x
Dispensing/Administration of IMP			x			x	
Concomitant Medication	x	x			x		
Measure blood pressure	x	x		x	x		x
FBC*		x		x	x		x
plasma samples for Tadalafil drug levels				x			x

*Sample for full blood count (FBC) to be taken immediately following 1st scan and 2nd scan on both visits.



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