## 1 Absolute quantification of uric acid in human urine using surface enhanced Raman

- 2 scattering and standard addition method
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# 29 ABSTRACT

<ul> <li>31</li> <li>32</li> <li>33</li> <li>34</li> <li>35</li> <li>36</li> <li>37</li> <li>38</li> <li>39</li> <li>40</li> <li>41</li> </ul>	High levels of uric acid in urine and serum can be indicative of hypertension, and the pregnancy related condition, preeclampsia. We have developed a simple, cost-effective, portable surface enhanced Raman scattering (SERS) approach for the routine analysis of uric acid at clinically relevant levels in urine patient samples. This approach, combined with standard additions method (SAM), allows for the absolute quantification of uric acid directly in a complex matrix such as that from human urine. Results are highly comparable and in very good agreement with HPLC results, with an average <9% difference in predictions between the two analytical approaches across all samples analysed, with SERS demonstrating a 60-fold reduction in acquisition time compared with HPLC. For the first time, clinical prepreeclampsia patient samples have been used for quantitative uric acid detection using a simple, rapid colloidal SERS approach without the need for complex data analysis.
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#### **INTRODUCTION** 60

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Preeclampsia is a hypertension disorder that can occur in pregnant women and is a primary 62 cause for maternal morbidity and mortality worldwide, mainly in developing countries, with 63 2-5% of pregnancies affected.<sup>1-3</sup> The cause of preeclampsia remains unknown and even 64 predicting which women are susceptible/have an increased risk of developing the condition is 65 problematic.<sup>4,5</sup> Moreover, when preeclampsia appears in the second or third trimester, there 66 are no treatments available other than premature delivery of the baby,<sup>6</sup> and those babies born 67 are exposed to an increased risk of developing hypertension, heart disease and diabetes<sup>1,7,8</sup>. 68 Currently, diagnosis of preeclampsia is a challenge as it relies on non-specific signs of the 69 disease<sup>9</sup>; commonly associated symptoms include raised blood pressure and elevated protein 70 levels (proteinuria) in the urine and so blood tests and urine protein measurement tests are 71 often performed.<sup>10</sup> 72

Uric acid has been identified as an important biomarker for various diseases such as 73

cardiovascular diseases, gout, renal diseases and preeclampsia.<sup>11-15</sup> Uric acid is the end 74

product of the metabolic breakdown of purine nucleotides and in normal circumstances, 75

concentrations range from 3.5-7.0 mg/dL in the blood and from 16-100 mg/dL per 24 h in 76

urine.<sup>16</sup> Moreover, elevated uric acid levels (referred to as hyperuricemia) in urine and serum 77

has been associated with preeclampsia, with levels greater than 0.4 mM indicating severe 78 preeclampsia.<sup>17</sup>

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80 Colorimetric enzymatic assays, liquid chromatography and capillary electrophoresis

methodologies have been reported for uric acid detection; however, associated disadvantages 81

include that these tests are often only applicable to late-stage preeclampsia, involve time 82

consuming assay based tests, expensive enzymes, sophisticated instrumentation and 83

equipment and often lack sensitivity. Therefore, there is a great need for a rapid, inexpensive, 84

routine, diagnostic test to aid early uric acid detection, thus allowing hyperuricemia 85

conditions to be properly monitored and managed to prevent further health implications.<sup>18,19</sup> 86

Surface enhanced Raman scattering (SERS) has increasingly been employed for use in 87

quantification of biologically relevant molecules<sup>20-24</sup>. SERS is the dramatic enhancement of 88

Raman signals when an analyte is in close proximity/absorbed onto a nanoscale rough 89

metallic surface, with typical enhancements of  $10^4$ - $10^6$  observed. It is an attractive approach 90

for disease diagnosis as it yields molecular specific information, is label-free, has the ability 91

92 to be performed in aqueous environments and has high sensitivity with low analyte

concentration detection (down to fM level and single molecule detection).<sup>25-28</sup> 93

Raman spectroscopy also offers several advantages including its ease-of-use along with 94

portability<sup>29</sup> leading to the ability to develop point-of-care analysis systems for on-site Point-95

and-Shoot analyses.<sup>30</sup> Recently, there have been several Raman spectroscopy based 96

approaches, mostly utilising electrochemical surface enhanced Raman (E-SERS), to measure 97

uric acid detection. However, these studies have demonstrated problems in establishing 98

reliable, quantitative detection of uric acid at clinically relevant concentrations<sup>31</sup>, have 99

100	employed complex sample make up <sup>32,33</sup> and used complex data analysis <sup>34</sup> . In all cases, the
101	studies were not performed in real-life situations, i.e. biological fluids, but instead were

101 studies were not performed in real-ine studions, i.e. biological
 102 performed in either urine stimulant, synthetic urine or water.<sup>35</sup>

104 105 106 107 108 109 110 111 112 113 114 115 116	In this paper, we present an optimised SERS approach for uric acid detection in human urine from clinical pre-preeclamptic patients (urine samples collected between 11-14 weeks gestation) using a portable, easy-to-use Raman instrument. As uric acid is already present in urine, our approach involves using the well-known standard additions method (SAM), as well as HPLC analysis for additional benchmarking (see <b>supporting information Figure S1</b> ). In SAM, a series of samples are analysed involving spiking in known amounts of uric acid in increasing concentrations whereby the subsequent calibration curve is used to determine the unknown concentration of uric acid in the original (undiluted) sample. We have performed this approach on 21 clinical samples, of which, 11 were performed in triplicate analysis to establish reproducibility, affording direct quantification of the target analyte within the sample thus accounting for the complex sample matrix. To the best of our knowledge, this simple colloidal uric acid detection approach in clinical patient samples is the first study of its kind.
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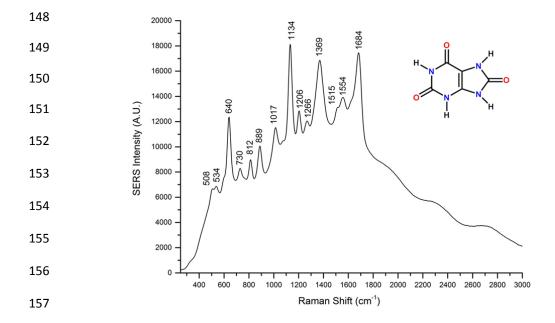
#### 132 **RESULTS AND DISCUSSION**

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#### 134 SERS optimisation

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We have previously established optimised conditions for uric acid SERS detection within an 136 137 enzymatic system by varying certain parameters such as colloid type, pH, concentration, time aggregation and aggregating agent.<sup>8</sup> However, uric acid detection in a more complex matrix, 138 such as urine which is a molecular milieu of small molecules, organic acids and ions as well 139 140 as proteins, required a slightly different set of conditions. Optimised conditions were 141 established using a pooled QC urine sample (from all 58 patient samples), and as before 142 hydroxylamine-reduced silver colloid was the optimum SERS substrate to use, at pH 7.6, 143 without the need for an aggregating agent. The aggregation time was slightly modified, with 144 optimum uric acid detection achieved after 3.5 min  $(\pm 1 \text{ min})$  aggregation (see Figure 1 and 145 supporting information Figures S2 and S3). Table 1 shows the tentative SERS band 146 assignments for uric acid (see supporting information Figure S4 for normal Raman spectra of uric acid (solid) which aided in band assignment).<sup>8,13,35</sup> 147



**Figure 1** Annotated mean averaged SERS spectra (n = 5) of uric acid. SERS spectra were obtained for 20 s, at 25  $\mu$ M (dissolved in water) using 200  $\mu$ L of hydroxylamine reduced silver colloid, potassium phosphate buffer at pH 7.6; measurements were made 3 min after aggregation.

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Raman Shift (cm <sup>-1</sup> )	SERS Peak (cm <sup>-1</sup> )	Tentative band assignment <sup>[8,13,35]</sup>
380 (mw)	-	-
-	508 (vwsh)	C-N-C ring vibrations
-	534 (vw)	
626 (w)	640 (s)	Skeletal ring deformation
705 (w)	730 (w)	N-H bending
783 (m)	812 (m)	Ring vibration
884 (w)	889 (m)	N-H bending
998 (s)	1017 (w)	Ring vibrations
1033 (vs)	-	-
1122 (s)	1134 (vs)	C-N
1233 (s)	1206 (m)	N-C-C stretching and bending
1289 (s)	1266 (w)	-
1355 (w)	1369 (s)	C-0
-	1515 (vwsh)	Asymmetric deformation NH <sub>3</sub>
1406 (vs)	-	-
1499 (s)	-	-
1596 (m)	1554 (m)	C-N
1679 (vs)	1684 (s)	

**Table 1:** Band assignments for normal Raman (solid) and tentative SERS (25 μM solution) band assignments for the Uric Acid

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v –stretching, b-bending, R-ring; trigd-trigonal deformation, s-strong, vs-very strong, m-medium,
 w-weak, vw-very weak

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165 A concern for the analysis in human urine was the effect the pH of individual samples would 166 have on the SERS spectrum as well as any potential difference in how the target analyte would interact with the silver surface. After dilution, the pH of the urine samples varied 167 considerably from 4.45 - 9.3, meaning uric acid would be in different ionisation states and 168 consequently interact with the surface differently, generating sub-optimal SERS spectra, 169 ultimately not representing the actual concentration in the sample. To overcome this and to 170 ensure the urine sample had an overall pH that coincided with optimal uric acid detection, the 171 sample environment was carefully modified. A potassium phosphate buffer at pH 7.6 was 172 173 added to the colloid and urine, as well as the uric acid spiked in to the sample for the standard additions measurements. Therefore, after all constituents were added (i.e. urine, buffer, 174 spiked uric acid and colloid), the overall sample pH for all patients varied from pH 7.2 - 8. 175

This meant that in all analyses uric acid was predominantly in its negative 1 ionisation state

and would have the same affinity for the colloidal surface thus affording more reproducible

and optimal SERS uric acid detection for all samples (see supporting information Figure

- 179 S3). Moreover, the presence of additional buffer (i.e. 75  $\mu$ L) in the sample make up was
- 180 necessary as it also acted as an aggregating agent to help stabilise the SERS response,
- 181 especially for samples where low uric acid (or none, as in the blank) was spiked in.
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#### 183 Standard additions method (SAM) approach

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185 Previously for quantifying uric acid within a mixture (in terms of monitoring

186 biotransformation(s) involving three analytes) we used various chemometric approaches such

as partial least squares regression (PLSR) and multivariate curve resolution-alternating least

- squares (MCR-ALS).<sup>8</sup> However, these data analysis methods are not suitable for quantifying
- analytes in complex matrices where the sample matrix actually contributes to the analytical
- signal (i.e., when uric acid is already present in urine). Standard additions method (SAM)
- 191 works by spiking in known amounts of standard, in this case uric acid, and plotting peak area
- 192 (of a characteristic peak, viz. 1134 cm<sup>-1</sup>) against the concentration of the standard spiked in,
- thus yielding a straight line (y = mx + b); where *m* and *b* are the slope of the line and
- 194 *y*-intercept, respectively). From there, the concentration of the analyte can be determined
- from the point at which the extrapolated line crosses the concentration axis (x) at zero signal
- 196 (i.e. where y = 0 and thus x = -b/m such that the concentration = b/m).<sup>36,37</sup>
- 197 A prerequisite in order to use SAM is a linear relationship between the concentration and the 198 SERS signal of uric acid. From performing a concentration profile (see supporting 199 information Figure S2a), the linear concentration range was determined to be between 1 - 1200  $100 \mu$ M). Therefore, for some samples, dilution of the initial urine sample was performed to 201 ensure that on spiking in a known amount of standard, this linear concentration range was 202 retained. Notably, in cases of saturation, comparably lower SERS signals are observed for 203 higher analyte concentrations, as seen beyond 100  $\mu$ M, and a non-linear relationship is 204 observed. We also had to consider the effect of the concentration-dependence on the 205 orientation of the target molecule on the colloidal surface. We noticed that below 5  $\mu$ M, 206 although still part of the linear relationship, the SERS spectrum of uric acid changed slightly, 207 in terms of intensity and broadness of certain peaks, indicating the orientation of the analyte 208 molecule on the surface was probably different. Therefore, for this reason, 5 - 100  $\mu$ M was 209 determined to be the optimum total concentration range of uric acid in the urine sample,
- taking into account spiking of standard and dilution factors.
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# 212 Sample selection and SAM Analysis

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- Rather than measure all samples, 21 samples from the 58 human urine samples were
- randomly selected for comparative SERS and HPLC SAM analysis we ensured that the
- samples selected covered the entire uric acid concentration range. The characteristic peak for

uric acid used in the analysis was at 1134 cm<sup>-1</sup>, corresponding to the C-N vibration. **Table 2** 

- shows a summary of the results from each analytical approach along with the associated
- 219 percentage differences. As an example, for sample 18, HPLC results predicted a uric acid

220 concentration of 172  $\mu$ M, compared to 186  $\mu$ M predicted from SERS, a difference of 7.5%.

- 221 Overall, for all samples analysed, the percentage difference between the two analytical
- approaches ranged from 0.8% (sample 20) to 19.1% (sample 40), with the average percentage
- difference being 8.4 %. This is very encouraging considering the uric acid concentration
- range was extensive (starting from around 65  $\mu$ M to 670  $\mu$ M) meaning this approach could
- be extended to target other uric acid related diseases (i.e. those related to *low* concentration of
- uric acid (hypouricemia) as well as elevated uric acid levels (hyperuricemia) such as in
- 227 preeclampsia).
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229	Table 2: Summary of results for all samples analysed: HPLC (µM), SERS (µM) and the
230	associated percentage differences between the two analytical approaches

Sample	HPLC (µM)	SERS (µM)	Difference	Sample	HPLC (µM)	SERS (µM)	Difference
QC ( <i>n</i> =58)	190.3	181.1	4.8%	26	215.0	182.9	14.9%
1	274.9	266.6	3.1%	31	548.5	601.6	8.8%
4	112.9	111.8	1.0%	36	206.9	187.7	10.2%
5	119.4	135.1	11.6%	40	219.2	184.0	19.1%
9	64.5	73.2	11.9%	41	309.8	294.2	5.3%
12	91.5	88.4	3.4%	44	196.6	188.7	4.0%
14	70.1	73.1	4.1%	47	183.0	157.5	16.2%
16	511.2	561.6	9.9%	49	679.4	665.5	2.1%
18	172.0	186.0	7.5%	52	249.5	233.8	6.7%
20	151.9	153.1	0.8%	53	126.3	117.4	7.1%
22	234.6	199.3	15.0%	55	345.8	301.6	12.8%

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NB Dilution factors taken into account. See 'Preparation of urine for HPLC and SERS analysis' in SupportingInformation.

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We next wanted to address the reproducibility of this SERS approach. Eleven of the 21 235 samples were randomly selected for triplicate HPLC and SERS analysis. For the latter, this 236 237 meant using a different colloidal batch for each replicate performed (see supporting information Figure S5). In general, batch-to-batch variation and reproducibility of SERS 238 enhancements is a key discussion point within the Raman community.<sup>38-41</sup> Groups report 239 noticeable discrepancies in results due to variations in colloidal batches, colloid 240 241 concentrations and nanoparticle aggregation – all of which can result in inconsistent 242 enhancements. However, we have demonstrated using these optimised set of conditions for 243 uric acid detection in urine that we can produce consistent, reliable results that are in good

agreement and comparable to HPLC results, the 'gold standard' in this case and used forbenchmarking (see Table 3).

As an example, sample 20 was subjected to triplicate analysis (see **supporting information** 

**Figure S6**). The average percentage difference between the HPLC and SERS results was just

over 1.5% across the three replicates. The standard deviation (SD) for SERS results was 4.1

249 (compared to 3.3 for HPLC) and the relative standard deviations (RSD) were 2.1 and 2.7%

250 for HPLC and SERS respectively. These results are excellent in terms of accuracy (difference

between HPLC and SERS), precision (SD for these repeat measurements) and overall

- reproducibility. To emphasise this further, the average percentage difference for triplicate
- analysis between the two analytical approaches was 7.2%, with the average SD and RSD for
- SERS being 12.8 and 6.7% and for HPLC, 6.3 and 3.3% respectively.

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**Table 3:** Summary of results for samples involving triplicate analysis including associated percentage differences between the two analytical approaches, standard deviations (SD)

Sample	HPLC (µM)	SD (±)	RSD (±)	SERS (µM)	SD (±)	RSD (±)	Difference
1	274.9	13.6	5.0%	266.6	17.4	6.5%	3.1%
4	112.9	3.8	3.4%	111.8	9.6	8.6%	1.0%
5	119.4	1.5	1.3%	135.1	11.1	8.3%	11.6%
12	91.5	6.0	6.5%	88.4	9.2	10.5%	3.4%
20	152.3	3.2	2.1%	153.1	4.1	2.7%	1.5%
36	206.9	5.0	2.4%	187.7	8.4	4.5%	10.2%
40	219.2	1.6	0.7%	184.0	12.5	6.8%	19.1%
41	309.8	10.8	3.5%	294.2	16.8	5.7%	5.3%
47	183.0	6.1	3.3%	157.5	13.4	8.5%	16.2%
49	679.4	9.4	4.2%	665.5	16.5	2.5%	2.1%
52	249.5	8.5	3.4%	233.8	22.1	9.4%	6.7%
Control*	51.0	0.7	1.4%	53.9	4.6	8.5%	7.8%

and relative standard deviations (RSD)

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The average difference between the two analytical approaches was 7.2%. The average SD and RSD for SERS was 12.8 and 6.7% and for HPLC, 6.3 and 3.3% respectively.

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To confirm further how excellent the agreement of data are between the two analytical

approaches, Figure 2a) shows a HPLC versus SERS plot for all 21 analyses, reflecting a very

264 good linear trend with an  $R^2$  of 0.9792. In addition, Figure 2b) is a plot of percentage

265 difference (from HPLC – SERS predictions) against HPLC predicted concentration for all

samples analysed. The 'randomness' of the plot (i.e. both positive and negative differences)

267 indicates that there is no systematic bias in the analysis. Notably, there are less samples

analysed at high uric acid levels, and ideally we would want an even spread of samples across

the entire uric acid concentration range to demonstrate fully how applicable this SERS
 approach is. However, these samples are real, clinical pre-preeclampsia samples and hence

this is reflected by the uric acid concentrations observe



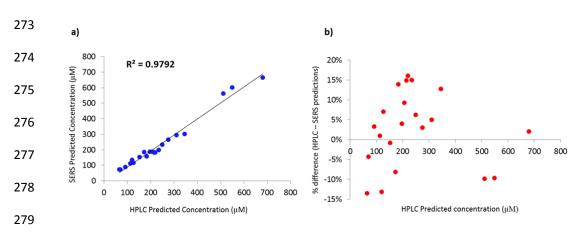


Figure 2 a) A plot of predictions from HPLC *vs.* SERS for all samples (including replicates) with an  $R^2$  of 0.9792 indicating results are in very good agreement. b) A plot of percentage difference (from HPLC – SERS predictions) against HPLC predicted concentration for all samples analysed, indicating that there is no systematic bias in the analysis.

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Noticeably, SERS results have slightly higher SD and RSD throughout the samples analysed. 284 However, the reduction in acquisition times for SERS analysis largely compensates and 285 outweighs this. In total, SAM HPLC takes 140 min (7 samples  $\times$  20 min HPLC trace) for uric 286 acid prediction for each sample. Comparatively, SAM SERS analysis takes 140 s (7 samples 287  $\times$  20 s SERS acquisition), a 60-fold reduction and yet results are in very good agreement and 288 289 highly comparable. There is only on average 8.4% difference for all samples analysed. There 290 was on average 7.2% difference for the triplicate analysis indicating that the precision and accuracy were similar. Moreover, if one considers the actual associated error with each 291 292 analytical approach (see **Table 2**) - for HPLC there are associated SD and RSD of 0.7 and 293 1.4% and for SERS 4.6 and 8.5% respectively - there is overlap and leeway in the results between the two analytical approaches, making this SERS approach a highly attractive 294 295 alternative that could be used in clinical settings. Finally on this point, the cost of the analysis 296 is comparable, as HPLC apparatus include instrument costs and consumables, which are 297 equivalent to low-cost portable and indeed hand-held Raman spectrometers plus cost of 298 colloid and reagents.

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# 302 ONLINE METHODS

Full experimental details are provided in the supporting information 'SupplementaryMethods' section.

Materials. All chemical reagents were of analytical grade and used with no additional
 purification unless otherwise stated.

**Human urine samples** were kindly donated by Prof B. Thilaganathan from St George's,

308 University of London & St George's University Hospitals NHS Foundation Trust Clinical

309 Sciences Research Centre. Written informed consent was obtained from each study

participant and the study conformed to the principles set out in the WMA Declaration of

- Helsinki and the NIH Belmont report. The study was approved by Wandsworth Local
- 312 Research Ethics Committee.
- **Processing of Urine.** For optimisation of uric acid detection in urine, we used a pooled urine
- stock from 58 different patients (QC n=58). For each urine sample, a protein crash method
- 315 was performed using methanol (at RT). 150  $\mu$ L urine was aliquoted in Eppendorf tubes. 600
- $\mu$ L methanol was added to this, and centrifuged for 15 min at 13,500 ×g. 400  $\mu$ L was

removed from the supernatant into a new Eppendorf tube and concentrated for  $\sim 4$  h using an

- Eppendorf Vacufuge Concentrator 5301 (Eppendorf, UK). Once all methanol had been
- removed, the sample was re-suspended in 150  $\mu$ L water and vortexed for 5 s.
- **Sample Selection.** All urine samples from the 58 patients were processed as described above.
- For the QC sample used in optimising various parameters for SERS detection, all 58 urine
- samples were pooled. 21 of these 58 urine samples were randomly selected to cover the entire
- 323 uric acid concentration range to remove any measurement redundancy. Triplicate analyses
- were then performed on 11 of these 21 samples to establish reproducibility.
- **Sample preparation.**  $3.5 \times 10^{-4}$  M uric acid stock solution was prepared in 1M potassium
- phosphate buffer solution at pH 7.6. Samples for individual analyses were then prepared as
- 327 follows: For SERS samples, 200  $\mu$ L of hydroxylamine reduced Ag colloid (HRSC), 75  $\mu$ L
- 328 potassium phosphate buffer at pH 7.6 and 125  $\mu$ L urine was added to a glass vial. The silver
- 329 colloid was prepared according to the method of Leopold and Lendl.<sup>42</sup> For HPLC samples,
- the same procedure was followed except water was added instead of colloid. For SAM
- sample preparation the concentration of uric acid spiked into each urine sample was 0, 8.5,
- 16.7, 24.4, 31.8, 38.9, 45.7 and  $55.3 \mu$ M. All dilution factors were taken into account when
- predicting final uric acid concentrations.
- Raman Instrumentation. A DeltaNu Advantage 200A portable Raman spectrometer
   (DeltaNu, Laramie, WY, USA) was used for collection of spectra using a HeNe 633 nm laser
- with  $\sim$ 3 mW on the sample.

# 337 CONCLUSION

339	There is a real urgent unmet need for early diagnosis of preeclampsia in pregnant women. We
340	have demonstrated a SERS based approach to measure uric acid in pre-preeclamptic urine
341	samples which yields absolute quantitation. In combination with the well-known SAM
342	approach, uric acid concentrations have been predicted in urine samples from 21 different
343	patients, and benchmarked against HPLC. It is highly notable that there is a 60-fold reduction
344	in acquisition time when employing this SERS-based approach, and yet the average
345	difference between the two analytical approaches is less than 9%. Furthermore, we have
346	demonstrated excellent reproducibility, with 11 of these samples performed in triplicate
347	analysis using different colloidal batches (for each replicate) highlighting its use for
348	applications in rapid, routine detection of uric acid. We believe this new SERS-SAM
349	analytical approach could easily be translated into an on-site, diagnostic tool for early
350	diagnosis of preeclampsia and indeed other disease where quantification of uric acid is
351	needed.
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