

Mutations in GATA2 cause primary lymphedema associated with a predisposition to acute myeloid leukemia (Emberger syndrome).

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We report an allelic series of seven mutations in *GATA2* underlying Emberger syndrome, an autosomal dominant primary lymphedema associated with a predisposition to acute myeloid leukemia (AML). *GATA2* is a transcription factor that plays an essential role in gene regulation during vascular development and hematopoietic differentiation. These findings indicate that haploinsufficiency of *GATA2* underlies primary lymphedema and predisposes to acute myeloid leukemia in this syndrome.

The co-occurrence of primary lymphedema with myelodysplasia progressing to acute myeloid leukemia (AML) termed Emberger syndrome (MIM:*pending*) has been reported as a sporadic disorder but also in a limited number of kindreds¹. The syndrome segregates as an autosomal dominant trait with incomplete penetrance, and additional features may include abnormalities in the lymphocyte subsets, specifically low CD4/CD8 ratio, immune dysfunction as evidenced by the occurrence of severe and widespread cutaneous warts, and sensorineural deafness. Lymphedema consequent upon functional lymphatic hypoplasia, is confined to one or both of the lower limbs and genitalia, and predates the onset of hematological abnormalities. Karyotype anomalies associated with myelodysplasia include monosomy of chromosome 7, and transformation to AML is often rapid.

AML is a heterogeneous hematological malignancy and recent molecular genetic studies have identified recurrent somatic mutations in *DNMT3A*, *NPM1*, *FLT3*, *TET3*, *IDH1* and *IDH2* together with fusion proteins such as PML –RARX and CBFβ –MYH11 each contributing to clonal development of subsets of the disease. Inherited predisposition to AML appears to be rare, having been described in a very few kindreds beyond disorders of primary bone marrow failure and inherited defects of DNA repair². However, the delineation of the molecular genetic basis of familial forms of AML provides significant insight into the molecular mechanisms underlying myelodysplasia and leukemic transformation². Germline *RUNX1* haploinsufficiency underlies an autosomal dominant familial platelet disorder with propensity to myeloid malignancy (MIM 601399)³ and inherited mutations in *CEBPA* the gene encoding the transcription factor CCAAT/enhancer binding protein α (C/EBPα) has also been identified in a small number of families with AML⁴. Both *RUNX1* and C/EBPα are transcription factors central to the development of normal hematopoiesis and somatic mutations in both genes have been identified in sporadic AML^{5,6}. Taken together, these findings demonstrate the critical role of dysregulation of transcription control in hematological malignancies and AML in particular.

We sought to identify disease causing alleles in Emberger syndrome and recruited seven unrelated affected probands to this study. Two had a family history of primary lymphedema and/or AML and five were sporadic occurrences of the disease (**Table 1**). We recruited additional family members from the two affected kindreds (**Supplementary** Figure 1). Clinical investigation of familial cases provided significant insight into the phenotypic variability of Emberger syndrome; within one family (Emb-01). This ranged from hydrops fetalis evolving to severe bilateral lower limb lymphedema (**Figure 1A and B**) with genital involvement and development of AML at 11 years of age (Emb-01 III-1) to an obligate carrier (her father, Emb-01 II-1) with minimal edema and a normal lymphocyte count but low CD4/CD8 ratio (case reports of Emb-01 presented as case 1 in reference 1). We undertook whole exome sequencing of three unrelated affected individuals; one sporadic case and two subjects from kindreds with the disorder (**Table 1**). Whole exome capture was performed by in-solution hybridisation followed by massively parallel sequencing (**Supplementary** Methods). Over 6.8 gigabases of sequence was generated for each subject, such that >80% of the coding bases of the Gencode defined exome were represented by at least 20 reads (**Supplementary** Table 1). Single nucleotide substitutions and small insertion deletion variants were identified using our in house variant calling pipeline⁷ (**Supplementary** Methods and **Supplementary** Table 2). Analysis of the exome variant profiles was performed under a model of a rare autosomal dominant disorder, requiring at least one previously unobserved heterozygous nonsynonymous or splice site substitution or a coding insertion or deletion in the same gene in all three individuals, a process that highlighted *GATA2* as the only candidate gene matching these criteria (**Supplementary** Methods and **Supplementary** Table 3). The three *GATA2* variants are each predicted to lead to premature termination of the protein product with a high likelihood of significant functional impact (**Figure 1C**). Each of the three mutations was confirmed by Sanger sequencing and assessed in all available relatives. The two variants identified in the familial cases were demonstrated to be transmitted across generations between affected subjects within the kindred (**Table 1**).

We next addressed the hypothesis that further mutant *GATA2* alleles may provide the molecular genetic basis of additional cases of Emberger syndrome. We performed Sanger sequencing of the five *GATA2* coding exons and their associated splice sites in four additional independent cases (**Supplementary** Methods). We identified novel heterozygous genetic variants in all four subjects (**Figure 1C** and **Table 1**). Two are predicted to lead to premature termination and hence are likely to have significant functional impact. The remaining two lead to substitution of conserved residues in a known and critical functional domain (**Supplementary** Methods and **Supplementary** Figure 2). The *GATA* family of transcription factors comprises six proteins in humans. All have two adjacent C₄ zinc

finger DNA-binding domains each containing four cysteine residues with the C373R and R361L amino acid substitutions both residing within the second C₄ zinc-finger domain of the protein. This family of molecules controls the development of a wide range of tissues by activating and repressing transcription through the zinc finger mediated binding to the GATA consensus sequence motif (A/T)GATA(A/G). In total we identified seven independent novel *GATA2* variants in 13 individuals (**Table 1**). None of the identified variants were detected in 300 unrelated control chromosomes. Each variant is predicted to have substantial impact upon the function of GATA2 suggesting that dysregulation of gene expression controlled by this transcription factor is responsible for Emberger syndrome and inherited predisposition to AML. The spectrum of clinical findings across the 13 mutation carriers confirms the variable presentation of the disorder (**Table 1**).

GATA2 is expressed in hematopoietic stem cells, multipotent hematopoietic progenitors and beyond the hematopoietic system. Homozygous *Gata2* knock-out mice die during mid gestation due to severe anaemia with levels of myeloid-erythroid progenitor cells significantly reduced in comparison to wild-type controls⁸. Analysis of heterozygous mice haploinsufficient for *Gata2* revealed disruption of hematopoietic stem cell homeostasis within the granular macrophage progenitor compartment, which has previously been shown to be vulnerable to leukemic transformation⁹.

GATA transcription factors have previously been implicated in human cancers (reviewed in reference 10). Indeed, somatic mutation of *GATA2* has been identified in samples from patients with chronic myeloid leukemia undergoing blast transformation¹¹. The mutations we present here provide the first description of germline defects in *GATA2* underlying an inherited predisposition to AML, findings that are supported by the recent identification of a microdeletion at 3q21, encompassing 36 genes including *GATA2*, in a patient with multiple congenital abnormalities and development of myelodysplasia with monosomy 7 at the age of 11 years¹². Also of interest and relevant to our findings, *GATA2* has been shown to form protein complexes C/EBP α ¹³, implicating mutation of either of the genes that encode for these transcription factors in inherited predisposition to AML.

A limited number of genes have been shown to be critical for the development and maintenance of a functional lymphatic system in humans with disruption leading to primary lymphedema. These include loss of function mutations of the tyrosine kinase domain of *VEGFR3* and defects in *FOXC2*, *SOX18*, *CCBE1* and *GJC2*. *GATA2* is known to be expressed in lymphatic, vascular and endocardial endothelial cells¹⁴. However, neither heterozygous nor homozygous knock-out mice were reported with any overt defect of the vasculature, which may suggest a level of functional redundancy of *Gata2* to other *Gata* family members in these tissues in the mouse. We also note the described

function of Gata2 in vestibular morphogenesis and growth of the semicircular canals¹⁵, the relationship of these findings with the development of sensorineural deafness in three of the 13 mutation carriers requires further investigation.

Our findings demonstrate the critical role of *GATA2* in the development and maintenance of the lymphatics and hematopoietic system. Further investigation is required to delineate fully the molecular and cellular mechanisms that contribute to the variable phenotypic expression of Emberger syndrome including predisposition to AML. The identification of *GATA2* as the gene responsible for this syndrome will facilitate the diagnosis and monitoring of patients with primary lymphoedema who are at increased risk of developing this life-threatening hematological malignancy.

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URLs

The GENECODE Project

<http://www.gencodegenes.org/>

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Figure 1: A. Bilateral lower limb lymphedema in patient Emb-01 III-1. B. Four limb lymphoscintigraphy performed in subject Emb-01 III-1 showing no significant main tract filling of the lower limbs at 2 hours. C. Location of the seven identified mutations with respect to the genomic organisation of the *GATA2* gene (upper panel) and *GATA2* protein domain structure (lower panel).

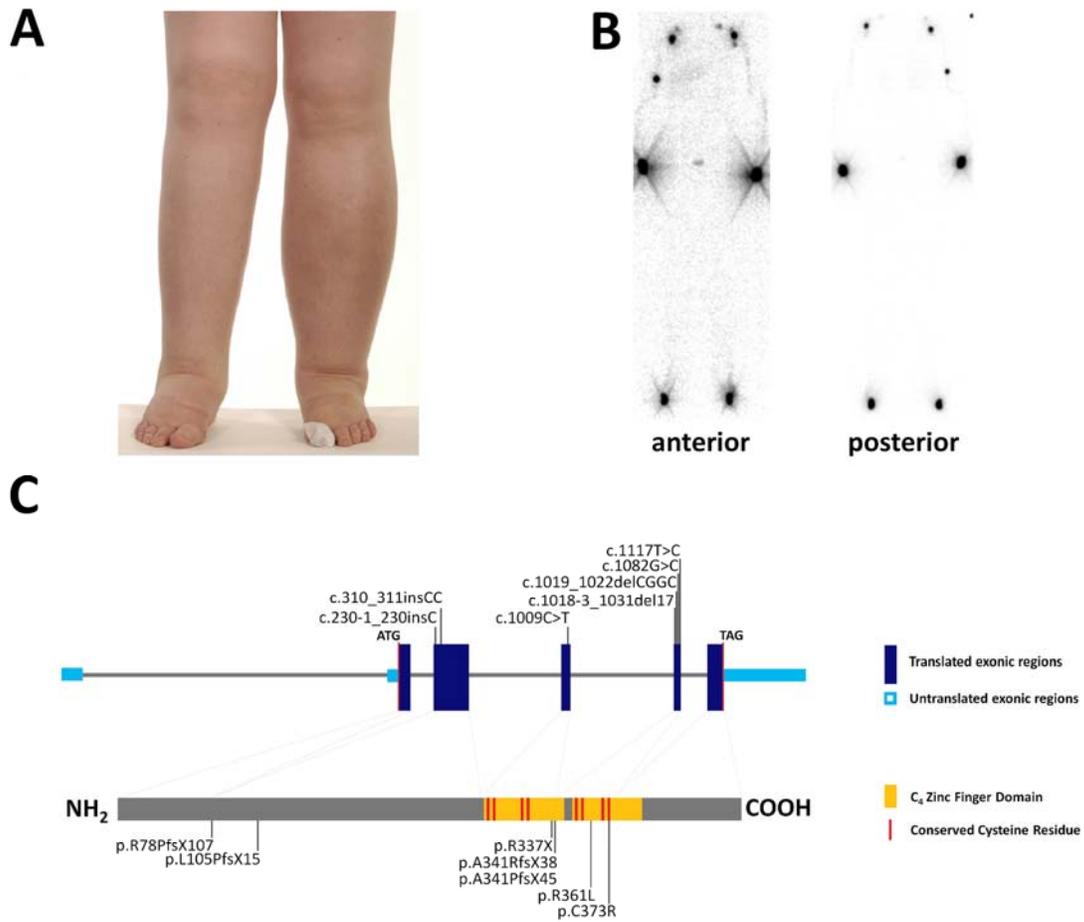


Table1: Clinical and genetic findings in Emberger syndrome

| Pedigree | Individual | Gender | Familial /Sporadic | Lymphedema (age at diagnosis) | Hematological abnormalities (age at diagnosis) | Additional clinical features | Nucleotide variant | Predicted protein alteration |
|---------------|------------|--------|--------------------|--|--|---|--------------------|------------------------------|
| Emb-01 | II-1* | Male | Familial | Minimal edema both feet | Low CD4/CD8 ratio | None | c.310_311insCC | p.L105PfsX15 |
| | II-4 | Female | Familial | Bilateral lower limb (44 years [#]) | Myelodysplasia (50 years) AML (53 years) | Died (53 years) Cutaneous warts with malignant transformation to anogenital dysplasia | c.310_311insCC | p.L105PfsX15 |
| | III-1 | Female | Familial | Hydrops fetalis, Bilateral lower limb and genital (birth) | Myelodysplasia (11 years) AML (11 years) | None | c.310_311insCC | p.L105PfsX15 |
| | III-3 | Female | Familial | None | Myelodysplasia (9 years) AML (9 years) | Died (9 years) | c.310_311insCC | p.L105PfsX15 |
| | III-4 | Male | Familial | Left lower limb and genital(14 years) | Low CD4/CD8 ratio | Unilateral ptosis | c.310_311insCC | p.L105PfsX15 |
| Emb-02 | I-2 | Female | Familial | Minimal edema both feet | None | None | c.230-1_230insC | p.R78PfsX107 |
| | II-1 | Female | Familial | Left lower limb (16 years) | Immature bone marrow ⁺ | Bilateral cleft lip and palate | c.230-1_230insC | p.R78PfsX107 |
| | II-2* | Male | Familial | Left lower limb and genital (16 years) | Myelodysplasia (17 years) AML (17 years) | Died (17 years) | c.230-1_230insC | p.R78PfsX107 |
| Emb-03 | I-1* | Female | Sporadic | Bilateral lower limb and genital(6 years) | Myelodysplasia (12 years) AML (12 years) | None | c.1009C>T | p.R337X |
| Emb-04 | I-1 | Male | Sporadic | Left lower limb and genital (6 years) | Myelodysplasia (11 years) | Sensorineural hearing loss | c.1019_1022delCGGC | p.A341PfsX45 |
| Emb-05 | I-1 | Female | Sporadic | Bilateral lower limb (birth) | Low CD4/CD8 ratio | Cutaneous warts with malignant transformation to anogenital dysplasia Sensorineural hearing loss | c.1018-3_1031del17 | p.A341RfsX38 |
| Emb-06 | I-1 | Male | Sporadic | Bilateral lower limb and genital (8 years) | Myelodysplasia (16 years) | Died (16 years) Persistent warts on fingers | c.1117T>C | p.C373R |
| Emb-07 | I-1 | Male | Sporadic | Bilateral lower limb and genital (10 years) | Low CD4/CD8 ratio | Cutaneous warts with malignant transformation to anogenital dysplasia Sensorineural hearing loss | c.1082G>C | p.R361L |

*individuals exome sequenced in the primary analysis, #onset of lymphedema post surgery, +Monosomy 7 detected in bone marrow aspirate

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Competing Financial Interests

The authors declare no competing financial interests.